



Crowe Chizek and Company LLC
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**STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
COMPLIANCE EXAMINATION**

(In accordance with the Single Audit Act
and OMB Circular A133)

For the Year Ended June 30, 2006

Performed as Special Assistant
Auditors for the Auditor General,
State of Illinois

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
FINANCIAL AUDIT AND COMPLIANCE EXAMINATION
(In Accordance with the Single Audit Act and OMB Circular A-133)
For the Year Ended June 30, 2006

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Related Reports Published Under Separate Cover

The Financial Statements for the Year Ended June 30, 2006 for
Southern Illinois University
Housing and Auxiliary Facilities System
Medical Facilities System

Supplementary Financial Information of Southern Illinois
University for the Year Ended June 30, 2006, Including
Compliance with Legislative Audit Commission University
Guidelines 1982 as Amended 1997, and Special Data
Required for University Audits

**Southern Illinois University
Board of Trustees and
Officers of Administration
Fiscal Year 2006**

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SOUTHERN ILLINOIS UNIVERSITY

November 10, 2006

Crowe Chizek and Company LLC
One Mid America Plaza
Oak Brook, Illinois 60522

Ladies and Gentlemen:

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the University. We are responsible for and have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the University's compliance with the following assertions during the year ended June 30, 2006. Based on this evaluation, we assert that during the year ended June 30, 2006, the University has materially complied with the assertions below.

- A. The University has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The University has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The University has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. The State revenues and receipts collected by the University are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the University on behalf of the State or held in trust by the University have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

Yours very truly

Glenn Poshard
President

Duane Stucky
Vice-President for Financial and
Administrative Affairs & Board Treasurer

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COMPLIANCE REPORT

SUMMARY

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

AUDITORS' REPORTS

The Independent Accountants' Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

SUMMARY OF FINDINGS

<u>Number of</u>	<u>This Report</u>	<u>Prior Report</u>
Findings	5	3
Repeated findings	1	1
Prior recommendations implemented or not repeated	2	2

Details of findings are presented in a separately tabbed report section.

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

<u>Item No.</u>	<u>Page</u>	<u>Description</u>
FINDINGS AND QUESTIONED COSTS (FEDERAL COMPLIANCE)		
06-1	14	Written Agreements Were Not in Place with Off-campus Organizations Employing Federal Work Study Students
FINDINGS (STATE COMPLIANCE)		
06-2	16	Failure to Timely Cancel University Cards and System Data Access Upon Employee Separation
06-3	18	Failure to Follow University Policy for P-Card Purchases
06-4	20	Time Sheets are Not Maintained in Compliance with the State Officials and Employees Ethics Act
06-5	23	The University Failed to Comply with Provisions of the Illinois Procurement Code for Contracting
PRIOR FINDINGS NOT REPEATED (STATE COMPLIANCE)		
06-6	25	Inadequate security controls

PRIOR FINDINGS NOT REPEATED (FEDERAL COMPLIANCE)

06-7

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Late filing of performance reports

EXIT CONFERENCE

The University waived an exit conference. Written responses to the findings were provided by Ron Cremeens, Internal Audit Director, on March 5, 2007.

INDEPENDENT ACCOUNTANTS' REPORT ON STATE COMPLIANCE AND
ON INTERNAL CONTROL OVER COMPLIANCE FOR STATE COMPLIANCE PURPOSES

Honorable William G. Holland
Auditor General
State of Illinois

Compliance

As Special Assistant Auditors for the Auditor General, we have examined Southern Illinois University's (the University's) compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the year ended June 30, 2006. The management of the University is responsible for compliance with these requirements. Our responsibility is to express an opinion on the University's compliance based on our examination.

- A. The University has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The University has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The University has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. The State revenues and receipts collected by the University are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the University on behalf of the State or held in trust by the University have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the

Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the University's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the University's compliance with specified requirements.

In our opinion, the University complied, in all material respects, with the aforementioned requirements during the year ended June 30, 2006. However, the results of our procedures disclosed instances of noncompliance with those requirements, which are required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of State findings and questioned costs as findings 06-3, 06-4, and 06-5.

As required by the Audit Guide, immaterial findings relating to instances of noncompliance excluded from this report have been reported in a separate letter to your office.

Internal Control

The management of the University is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws and regulations. In planning and performing our examination, we considered the University's internal control over compliance with the aforementioned requirements in order to determine our examination procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide, issued by the Illinois Office of the Auditor General.

We noted certain matters involving internal control over compliance and its operation that we consider to be reportable conditions. Reportable conditions involve matters coming to our attention relating to significant deficiencies in the design or operation of the internal control over compliance that, in our judgment, could adversely affect the University's ability to comply with one or more of the aforementioned requirements. Reportable conditions are described in the accompanying schedule of State findings and questioned costs as finding 06-2.

A material weakness is a condition in which the design or operation of one or more internal control components does not reduce to a relatively low level the risk that noncompliance with applicable requirements of laws and regulations that would be material in relation to one or more of the aforementioned requirements being examined may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. Our consideration of internal control over compliance would not necessarily disclose all matters in internal control that might be reportable conditions and, accordingly, would not necessarily disclose all reportable conditions that are also considered to be material weaknesses. However, we believe the reportable condition described above is not a material weakness.

As required by the Audit Guide, immaterial findings relating to internal control deficiencies excluded from this report have been reported in a separate letter to your office.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, the University Board of Trustees and management and is not intended to be and should not be used by anyone other than these specified parties.

Crowe Chizek and Company LLC
Crowe Chizek and Company LLC

Oak Brook, Illinois
November 10, 2006



Crowe Chizek and Company LLC
Member Horwath International

Report on Internal Control Over Financial Reporting on Compliance and
Other Matters Based on an Audit of Financial Statements Performed in
Accordance with *Government Auditing Standards*

Honorable William G. Holland
Auditor General, State of Illinois
and Board of Trustees
Southern Illinois University

As Special Assistant Auditors for the Auditor General, we have audited the financial statements of Southern Illinois University (the "University") as of and for the year ended June 30, 2006, and have issued our report thereon dated November 10, 2006. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Internal Control Over Financial Reporting

In planning and performing our audit, we considered the University's internal control over financial reporting in order to determine our auditing procedures for the purpose of expressing our opinion on the financial statements and not to provide an opinion on the internal control over financial reporting. Our consideration of the internal control over financial reporting would not necessarily disclose all matters in the internal control that might be material weaknesses. A material weakness is a reportable condition in which the design or operation of one or more internal control components does not reduce to a relatively low level the risk that misstatements caused by error or fraud in amounts that would be material in relation to the financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control over financial reporting and its operation that we consider to be material weaknesses. However, we noted certain immaterial instances of internal control deficiencies, which were reported to the University's management in a separate letter dated November 10, 2006.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the University's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions

of laws, regulations, contracts, and grant agreements, noncompliance which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*. However, we noted certain matters which are reported as State compliance findings in the Schedule of Findings. We also noted certain other matters which have been reported to the University's management in a separate letter dated November 10, 2006.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, the Board of Trustees, University management, and federal awarding agencies and pass through entities and is not intended to be and should not be used by anyone other than these specified parties.


Crowe Chizek and Company LLC

Oak Brook, Illinois
November 10, 2006

REPORT ON COMPLIANCE WITH REQUIREMENTS APPLICABLE TO EACH
MAJOR PROGRAM AND ON INTERNAL CONTROL OVER COMPLIANCE
IN ACCORDANCE WITH OMB CIRCULAR A-133

Honorable William G. Holland
Auditor General
State of Illinois

Compliance

We have audited the compliance of Southern Illinois University (the "University") with the types of compliance requirements described in the *U.S. Office of Management and Budget (OMB) Circular A-133 Compliance Supplement* that are applicable to each of its major federal programs for the year ended June 30, 2006. The University's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts, and grants applicable to each of its major federal programs is the responsibility of the University's management. Our responsibility is to express an opinion on the University's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination of the University's compliance with those requirements.

In our opinion, the University complied, in all material respects, with the requirements referred to above that are applicable to each of its major federal programs for the year ended June 30, 2006. However, the results of our auditing procedures disclosed an instance of noncompliance with those requirements, which is required to be reported in accordance with OMB Circular A-133 and which is described in the accompanying schedule of findings and questioned costs as item 06-01.

Internal Control Over Compliance

The management of the University is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit, we considered the University's internal control over compliance with requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on the internal control over compliance in accordance with OMB Circular A-133.

We noted certain matters involving the internal control over compliance and its operation that we consider to be reportable conditions. Reportable conditions involve matters coming to our attention relating to significant deficiencies in the design or operation of the internal control over compliance that, in our judgment, could adversely affect the University's ability to administer a major federal program in accordance with the applicable requirements of laws, regulations, contracts, and grants. Reportable conditions are described in the accompanying schedule of findings and questioned costs as item 06-1.

A material weakness is a reportable condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that noncompliance with the applicable requirements of laws, regulations, contracts, and grants caused by error or fraud that could be material in relation to a major federal program being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. Our consideration of the internal control over compliance would not necessarily disclose all matters in the internal control that might be reportable conditions and, accordingly, would not necessarily disclose all reportable conditions that are also considered to be material weaknesses. However, we believe that the reportable condition described above is not a material weakness

Schedule of Expenditures of Federal Awards

We have audited the basic financial statements of the University as of and for the year ended June 30, 2006, and have issued our report thereon dated November 10, 2006. Our audit was performed for the purpose of forming an opinion on the University's basic financial statements. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, is fairly stated in all material respects, in relation to the basic financial statements taken as a whole.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, University Board of Trustees, management, and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.


Crowe Chizek and Company LLC

Oak Brook, Illinois
November 10, 2006

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
SCHEDULE OF FINDINGS AND QUESTIONED COSTS
Year ended June 30, 2006

a. Summary of Auditor's Results

Financial Statements

Type of auditors' report issued: *unqualified*

Internal control over financial reporting:

- Material weakness(es) identified? _____ Yes X No
- Reportable condition(s) identified that are not considered to be material weakness(es)? _____ Yes X None reported
 - Noncompliance material to financial statements noted? _____ Yes No
_____ X

Federal Awards

Internal control over major programs:

- Material weakness(es) identified? _____ Yes X No
- Reportable condition(s) identified that are not considered to be material weakness(es)? X Yes _____ None reported

Type of auditors' report issued on compliance for major programs: *unqualified*

Any audit findings disclosed that are required to be reported in accordance with section 510(a) of Circular A-133?

 X Yes _____ No

Identification of major programs:

CFDA Number(s)

Name of Federal Program or Cluster

Various

Student Financial Aid Cluster

Various

Research & Development Cluster

93.600

Head Start

Various

Trio Cluster

Dollar threshold used to distinguish between type A and type B programs:

 \$2,274,400

Auditee qualified as low-risk auditee?

 X Yes _____ No

b. Findings Related to the Financial Statements that are Required to be Reported in Accordance with *Government Auditing Standards* - None

c. Findings and Questioned Costs for Federal Awards - See page 14 -15

Status of Prior Year Findings - See page 26

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
FINDINGS, RECOMMENDATIONS AND UNIVERSITY RESPONSES - FEDERAL
Year ended June 30, 2006

06-1 Written Agreements Were Not in Place with Off-Campus Organizations Employing Federal Work Study Students

Federal Agency: Department of Education
CFDA Number: 84.033
Program Name: Cooperative Work Study Program
Questioned Costs: None

The University failed to comply with federal regulations for employing Federal Work Study students as tutors with an off-campus organization.

Written agreements had not been executed between the University and off-campus organizations employing Carbondale campus Federal Work Study (FWS) students as tutors for the America Reads Program.

According to the 2005-2006 Federal Student Aid (FSA) Handbook vol. 6, Ch. 2, Page 12, a school must enter into a written agreement with an off-campus organization "employing" FWS students. In addition, a written agreement is required with the off-campus organization even if the school is considered the employer of the FWS student. The agreement should specify what share of student compensation and other costs will be paid by the off-campus organization. It should establish the work conditions and whether the school or the off-campus organization will be the employer for purposes of hiring, firing, and paying the student. In addition, the agreement should identify whether it is the school or off-campus organization who will be liable for any on-the-job injuries to the student.

Of the nearly \$2.2 million that was expended from the Cooperative Work Study Program at the Carbondale campus during the fiscal year, \$29,000 was paid to the 38 America Reads Program tutors.

University Officials stated they were not aware that this requirement applied to the University.

Without written agreements between the University and participating off-campus organizations, roles, responsibilities and liabilities are not defined and may come into question. In addition, the University is in violation of a federal regulation related to this program (34CFR 675.20(b)). (Finding Code No. 06-1)

Recommendation

We recommend that written agreements be executed between the University and participating off-campus organizations employing FWS students in accordance with the requirements of the FSA Handbook and federal regulations.

University Response

Accepted.

University Corrective Action Plan

Name of Contact Person: Lynn C. Smith, Chair, Curriculum and Instruction and Billie Jo Hamilton, Director, Financial Aid.

Corrective Action Plan: The University has developed a Memorandum of Understanding that will be executed between the University and participating off-campus organizations employing Federal Work Study students.

Anticipated Completion Date: Spring 2007.

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
FINDINGS, RECOMMENDATIONS AND UNIVERSITY RESPONSES - STATE
Year ended June 30, 2006

06-2 Failure to Timely Cancel University Cards and System Data Access Upon Employee Separation

The University failed to timely cancel University telephone calling and fuel PINs as well as system data access upon employee separation. We noted the following deficiencies.

Calling Cards

We tested 13 separated employees at both the Carbondale campus and the Edwardsville campus, for timely calling card cancellation, and we noted the following:

- Eight (8) (62%) calling cards issued to employees on the Carbondale campus were not timely cancelled upon the employee's termination from the University. These cards remained active after the employee's termination for 27 - 60 days, with six of the eight being cancelled between 27 and 30 days after termination. All have been subsequently cancelled.
- Ten (10) (77%) calling cards issued to employees on the Edwardsville campus were not timely cancelled upon the employee's termination from the University. These cards remained active after the employee's termination for 30 days to over 8.5 years. All of these cards had been cancelled by University personnel as of our test date in August, 2006.

Fuel PINs

We reviewed the list of active fuel personal identification numbers (PIN) (92 PINs) for the Carbondale campus, and we noted employees that have long been separated from the university still remained on the list as having an active fuel PIN. The fuel PINs for forty-one (41) employees (45%) were not cancelled by the University at the date of separation. These PINs remained active from 181 days to 12 years. However, it was noted that a physical device is required in addition to the PINs in order to operate the fuel pumps and that these physical devices remain with the university vehicle keys. Also, Fiscal Officers are responsible for monitoring usage of the PINs. All of these PINs have subsequently been cancelled. No exceptions of this nature were noted on the Edwardsville campus.

Network Access

On the Carbondale campus, we noted several instances where access to the campus network was not revoked when employees/students separated from the University. We tested 14 terminated employees and 11 terminated students, and noted that network access status for 3 of the employees (21%) was still "active," and that the network access status for 6 of the employees (46%) was "expired." However, terminated employees with expired status can, in certain circumstances, still re-activate their Network Ids. We noted no exceptions regarding students who had left the University, and we noted no exceptions of this nature on the Edwardsville campus.

Upon separation of any employee, as a matter of good business practice, the University should timely cancel the employee's access to University data and should ensure that all University

property is returned in a timely fashion. This includes but is not limited to telephone calling cards, fuel PINs and network access rights.

The SIUE Departing Employee Checklist and the SIUC Departmental Exit Checklist both include the retrieval of keys, cell phones, pagers, credit cards, university's equipment, etc. However, the use of this form is optional at the Carbondale campus. In addition, every University department, independently, makes sure that their employees return all of the University items before departing the unit. It is the responsibility of Fiscal Officers to inform other departments of original items issued to departing employees during their employment with the University. It is the University's practice to cancel calling cards within 30 days.

While no actual incidents of abuse were noted during our testing, the University is at risk of incurring non-business charges by failing to promptly cancel calling cards and fuel PINs; the University is also at risk of exposing its resources to unauthorized access and use by failing to deactivate Network IDs. (Finding Code Nos. 06-2 and IM05-1)

Recommendation

We recommend that the University establish consistent campus-wide policies and procedures regarding the timely retrieval of University owned properties and timely cancellation of access upon employee termination in order to safeguard University data and resources. This could include a centralized notification process, perhaps initiated by the Human Resources Department, rather than reliance on individual department notification of multiple areas that need to take action to recover University property and cancel network access. We further recommend that the University shorten the timeframes between employee termination and the canceling of calling cards, and that the SIUC Departmental Exit Checklist be made mandatory.

University Response

Accepted.

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
FINDINGS, RECOMMENDATIONS AND UNIVERSITY RESPONSES - STATE
Year ended June 30, 2006

06-3 Failure to Follow University Policy for P-Card Purchases.

The University failed to follow its written policies for P-Card purchases.

During our examination, we noted the following weaknesses:

- We noted the Edwardsville campus strung together a number of specific vendor purchases with other P-Card purchases. Several P-Card purchases were made from the one vendor at approximately the same time. Specifically, nine (9) transactions were involved with charged amounts ranging from \$472 to \$22,896. The total amount paid to this one vendor (\$74,508), exceeded the bid limit requirement and P-Card maximum limit. The items purchased were nursing PDA's and software.
- A list of telecommunication expenditures for the Carbondale campus included several purchases of telecommunication equipment by P-Card, which is prohibited per University policy (P-Card Prohibited Purchases/Exceptions List). We noted 15 such items totaling \$23,571 that we verified to be P-card purchases of telecommunication equipment from various vendors.

The University P-Card policy states that P-Card purchases of \$10,000 and over are still subject to competitive selection in adherence with the University's stipulated guidelines on purchasing (i.e., obtaining quotations from multiple suppliers). The P-Card policy strictly prohibits successive purchasing ("stringing") to circumvent the \$10,000 competitive selection limit. Additionally, the University has stipulated a per transaction limit for P-Card purchased for this account of \$25,000, and this amount was exceeded.

The P-Card Prohibited Purchases/Exceptions List, located on the Carbondale campus website, specifically states that calling cards, as well as telecommunication equipment and services cannot be purchased with University P-Cards.

University Officials stated, the stringing of P-Card purchases was an isolated incident by an employee in violation of University policy. The purchase of telecommunication equipment with P-Cards was a result of University personnel following informal policies that have not been documented. These informal policies allow for certain exceptions to the P-Card policy that is located on the University's website, however, these exceptions for P-Card purchases are not documented in the policies of Southern Illinois University-Carbondale and directly conflict with the policies that are currently reflected on their website. Therefore, University policy for the Carbondale Campus P-Card purchases for telecommunications equipment is inconsistent with actual purchase practices.

Failure to follow written P-Card policies can result in unauthorized purchases due to circumvention of the approval process and a failure to follow competitive selection requirements. (Finding Code No. 06-3)

Recommendation

We recommend that the University establish effective controls to prevent abuse of P-Card usage. Further, the University should review its policies and procedures to determine whether policies are still relevant to its present business needs, make modification if necessary and communicate the policies and procedures to all employees.

University Response

Accepted.

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
FINDINGS, RECOMMENDATIONS AND UNIVERSITY RESPONSES - STATE
Year ended June 30, 2006

06-4 Time Sheets are Not Maintained in Compliance with the State Officials and Employees Ethics Act

The University does not have a policy that requires all employees to periodically submit time sheets documenting the time spent each day on official University business to the nearest quarter hour as required by the State Officials and Employees Ethics Act (Act).

The Act required the Illinois Board of Higher Education (IBHE), with respect to State employees of public universities, to adopt and implement personnel policies. The IBHE adopted personnel policies for public universities on February 1, 2004, in accordance with the Act. However, the University has not incorporated IBHE policies into the University's policies.

We noted that the University's salaried employees did not maintain timesheets in compliance with the Act. Employees' vacation and sick leave is tracked monthly using reports which are filled out by each department's Fiscal Agent. The process is effectively a "negative" timekeeping system whereby the employee is assumed to be working unless noted otherwise. No time sheets documenting the time spent each day on official State business to the nearest quarter hour are maintained for the majority of the University's employees. The employees documenting time to the nearest quarter hour were only Civil Service bi-weekly paid employees and student employees, who record time on time sheets.

The Act (5 ILCS 430/5-5(c)) states, "The policies shall require State employees to periodically submit time sheets documenting the time spent each day on official State business to the nearest quarter hour."

According to University personnel they relied upon an opinion received from the Office of the Executive Inspector General and General Counsel for the Office of the Executive Inspector General that a system of "absence reporting" would be an appropriate method of time keeping under the Act.

Failure to require appropriate time sheets from its employees constitutes noncompliance with State law. (Finding Code Nos. 06-4, 05-01)

Recommendation

We recommend the University amend its policies to require all employees to submit time sheets in compliance with the Act.

University Response

As indicated in our 2005 response, Southern Illinois University ("University") again respectfully takes exception to this finding. The University has taken the steps necessary to comply with the State Officials and Employees Ethics Act ("Ethics Act" or "Act") and has adopted the necessary policies to comply with the Act. Furthermore, the University believes that it is in compliance with the Ethics Act, based on advice received from the Office of the

Executive Inspector General ("OEIG"), interpretation of the Ethics Act and the University's efforts to comply with relevant federal and state laws.

All Southern Illinois University employees are subject to University policies. After reviewing the University's policies, it is clear that employees are required to report their time as required by the Ethics Act. For example, at SIUC, the policy states:

Each time an employee finds it necessary to be absent during a scheduled work period, a report explaining the absence should be filed with the department. Except for emergencies, the use of vacation should be submitted for approval in advance. When an absence is due to illness or injury, a properly completed absence form should be presented to the department on the day the employee returns to work. If benefit time is not or cannot be used to compensate an employee, the employee will not be paid for the absence. Documentation pertaining to employee absences should be retained by the department for a minimum of three years.

As reported in 2005, University employees have a scheduled work period during which they are required to be at work and engaged in their duties as University employees. Any absences from the scheduled work period must be reported on University approved forms and in accordance with the policy quoted above. If the employee is not present during his/her scheduled work period, then he/she must report the absence. Further, employees are subject to discipline for violating work duties. In fact, the University's policy provides greater reporting requirements than those specified in the Ethics Act because employees are required to report any and all absences from their scheduled work period rather than absences in fifteen minute increments.

Further, upon enactment of the State Officials and Employees Ethics Act, the University, along with other public institutions of higher education, met with the previous Executive Inspector General, Z. Scott, to discuss the Universities' requirements under the Ethics Act. During that meeting, the Universities questioned whether their current practice of time reporting satisfied the requirements of the Ethics Act. The Executive Inspector General indicated that such practices were in compliance with the Ethics Act.

Notwithstanding the change in personnel related to the position of the Executive Inspector General, the University community has not received any change or additional instructions on the issue of time reporting. Based in part on the interpretation by the Agency responsible for enforcement of the Ethics Act, the University has maintained its current system of timekeeping.

In addition, given the guidance that was provided by the Executive Inspector General, the University does not believe that it could unilaterally require members of the bargaining units to maintain time sheets in the fifteen minute increment as indicated by the Auditor General without first bargaining the issue. The University believes that making a unilateral change would expose it to an unfair labor practice charge without a sound legal basis to defend its actions.

To summarize, Southern Illinois University's current timekeeping policies and procedures, as approved by the OEIG, meet the intent of the Ethics Act and do so in a more efficient and cost-effective manner than the procedures specified by this finding. Finally, the University believes that requiring a change for represented employees would potentially violate the current collective bargaining agreements and would result in unnecessary and additional administrative burdens for both represented and non-represented employees.

Auditors' Comment

As noted in the finding, the State Officials and Employees Ethics Act requires "State employees to periodically submit time sheets documenting the time spent each day on official State business to the nearest quarter hour..." 5 ILCS 430/5-5(c). This timekeeping requirement went into effect March 1, 2004. The negative timekeeping system used by the majority of the Universities' employees reports only time away from State business, not the time spent each day on official State business. Further, it is logical to assume that, by adopting this language, the legislature meant to effect a change in the method used by State employees to record their time - that is, to adopt a positive timekeeping system.

In addition, the advice received from the Office of the Executive Inspector General upon which the University relied in maintaining its customary negative timekeeping system is not a legal opinion.

The auditors continue to believe that a positive timekeeping system for State employees is required by the State Officials and Employees Ethics Act. If the University disagrees with this conclusion, we further recommend that it seek a formal, written opinion from the Attorney General's Office on the requirements of this statutory provision.

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
FINDINGS, RECOMMENDATIONS AND UNIVERSITY RESPONSES - STATE
Year ended June 30, 2006

06-5 The University Failed to Comply with Provisions of the Illinois Procurement Code for Contracting

The Carbondale campus did not comply with certain required contracting procedures. During our tests of contractual service expenditures, we noted the following:

- One (1) of 25 (4%) contracts, totaling \$35,000, did not have a Contract Obligation Document which is required to be filed with the State Comptroller and maintained in the contract file.
- Two (2) of 25 (8%) contracts totaling \$77,081 had no disclosure of financial interest in the contract file, and
- Three (3) of 10 (30%) lease contracts totaling \$171,829 had no penalty stipulated in the contract for failure of the lessor to deliver agreed upon improvements.

The Illinois Procurement Code (30 ILCS 500/20-80(b)) and the Statewide Accounting Management System (Procedure 15.10.40) require State agencies to file contracts for professional and artistic services exceeding \$5,000 and contracts for other goods and services exceeding \$10,000 with the State Comptroller within 15 calendar days after execution.

Section 50-35 of the Illinois Procurement Code on disclosure and potential conflicts of interest, requires that contracts with an annual value of more than \$10,000 shall be accompanied by disclosure of the financial interests of the contractor, bidder, or proposer. The financial disclosure of each successful bidder or offeror shall become part of the publicly available contract or procurement file maintained by the appropriate chief procurement officer.

Section 40-55 of the Illinois Procurement Code on lessor's failure to make improvements, requires that each lease must provide for a penalty upon the lessor's failure to make improvements agreed upon in the lease. The penalty shall consist of a reduction in lease payments equal to the corresponding percentage of the improvement value to the lease value. The penalty shall continue until the lessor complies with the lease and the improvements are certified by the chief procurement officer and the leasing State agency.

The University did not recall whether it failed to retain a copy of the Contract Obligation Document in their file or failed to file the contract with the Office of the Comptroller. The Office of the Comptroller had no record of receiving a copy of the contract. The University could not locate in their file nor could they remember if disclosure of financial interest was provided by the suppliers. Lastly, University Officials stated they did not include in their lease contracts the stipulation for penalties in case of lessors' failure to deliver improvements because they deemed that any such failure was already addressed in the section on breach of the general contract.

Failure to ensure contract documents are maintained and filed with the Office of the Comptroller constitutes non-compliance with the Illinois Procurement Code. Also, failure to include a stipulation for penalties in case of a lessors' failure to deliver improvements could inhibit the University's ability to timely remedy a breach of the lease agreement. (Finding Code No. 06-5)

Recommendation

We recommend the University ensure that awarded contracts be filed with the Office of the Comptroller in accordance with State statutes and guidelines. Also the University should ensure compliance with the Illinois Procurement Code with regard to disclosure of financial interest and required contractual provisions.

University Response

Accepted.

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
PRIOR FINDINGS NOT REPEATED (STATE)
Year ended June 30, 2006

06-6 During the prior period, the University did not have adequate security controls over its computer systems.

 During the current examination period, we noted that although some weaknesses still existed, overall the University had made an improvement regarding the security over their computer operations. As a result, the prior finding has been downgraded to immaterial status. (Finding Code No. 05-2).

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
PRIOR FINDINGS NOT REPEATED (FEDERAL)
Year ended June 30, 2006

06-7

During the prior period, the University did not file performance reports by the due dates for several of its research and development grant programs receiving Federal financial assistance.

During the current period, all performance reports tested were filed by their due dates. (Finding Code No. 05-3)

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS SUMMARY
Year ended June 30, 2006

	Federal Expenditures		
	<u>Carbondale</u>	<u>Edwardsville</u>	<u>Total</u>
U.S. Department of Education	\$ 22,089,496	\$ 10,303,592	\$ 32,393,088
U.S. Department of Commerce	1,108,180	-	1,108,180
U.S. Department of Health and Human Services	17,722,160	10,669,593	28,391,753
National Science Foundation	3,095,183	670,922	3,766,105
U.S. Environmental Protection Agency	45,236	42,394	87,630
U.S. Department of Defense	1,201,985	22,664	1,224,649
U.S. Department of Agriculture	1,915,101	616,013	2,531,114
U. S. Department of Energy	994,978	55,012	1,049,990
U.S. Department of the Interior	708,356	383,985	1,092,341
U.S. Department of Transportation	925,570	72,692	998,262
Corporation for National and Community Service	-	730,227	730,227
U.S. Department of Justice	57,175	-	57,175
U.S. Small Business Administration	-	333,005	333,005
U.S. Department of Housing and Urban Development	443,524	123,392	566,916
U.S. Department of Labor	822,742	-	822,742
U.S. Department of Veterans Affairs	130	-	130
U.S. Postal Service	-	4,639	4,639
National Endowment for the Humanities	196,733	158,585	355,318
U.S. Department of State	168,756	-	168,756
CIES-Fulbright	-	19,521	19,521
National Aeronautics and Space Administration	160,066	-	160,066
Library of Congress	246,830	185,081	431,911
U.S. Department of Commerce	-	128,602	128,602
National Archives	20,219	-	20,219
Delta Regional Authority	371	-	371
U.S. Information Agency	(139)	-	(139)
Corporation for Public Broadcasting	-	23,338	23,338
	<u> </u>	<u> </u>	<u> </u>
TOTAL FEDERAL GRANTS AND CONTRACTS	<u>\$ 51,922,652</u>	<u>\$ 24,543,257</u>	<u>\$ 76,465,909</u>

Immaterial differences between the above summaries and the following Schedules of Expenditures of Federal Awards are due to rounding

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY, CARBONDALE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the year ended June 30, 2006

<u>Federal Grantor/Pass Through Grantor/Program Title</u>	<u>Federal CFDA/Grant/Contract Number</u>	<u>Flow-Thru Grantor's Number</u>	<u>Program or Award Amount</u>	<u>Disbursements/Expenditures</u>
U.S. DEPARTMENT OF AGRICULTURE				
Direct Grants and Contracts:				
Challenge Cost Share for Investigations	(2) 10-CS-03-11090804-021		\$ 10,000.00	\$ 62.45
Effects of Prescribed Burning	(2) 10.05-PA-11090800-011		10,000.00	127.01
A Needs Assessment of Conservation	(2) 10-04-DG-11120102-012		16,700.00	167.34
Monitor Indiana Bat Maternity	(2) 10.06-PA-11090800-018		10,000.00	628.00
Blue Joint Grass Restoration	(2) 10.03-CS-11090800-022		5,000.00	726.35
Effects of Fuel Reduction Treatments	(2) 10.03-DG-11244225-573		5,000.00	767.54
Harvesting Impacts on Sediment Particle	(2) 10.06-PA-11092100-042		69,525.00	3,298.56
Analysis of the Rockhouse Hollow	(2) 10.AG-52B1-P-06-0009		3,600.00	3,386.74
Preliminary Analysis of Vegetation	(2) 10.00-CA-11231300-076		7,500.00	4.41
New Techniques in Ethanol Production	(2) 10.58-0111-1-006		30,000.00	519.95
Channel Morphology Responses	(2) 10.03-CA-1124343-021		24,000.00	778.59
Sampling for Sudden Oak Death	(2) 10.03-CA-1124343-135		15,000.00	1,127.44
Conservation Assessment of Sensitive Reptiles	(2) 10.03-CS-1190804-009		20,000.00	2,000.00
Improvement of Early Season Soybean	(2) 10.43-3AEU-5-80019		45,000.00	2,848.28
Technical Assistance to Small Forest	(2) 10.03-DG-11244225056		29,000.00	2,972.23
Studies to Monitor Animal & Habitat	(2) 10.05-PA-11090800-008		5,000.00	4,262.62
Aquatic Community Inventory	(2) 10.04-PA-11090800007		10,000.00	4,829.63
Shawnee National Forest Bathymetry	(2) 10.05-PA-11090800-006		11,500.00	6,602.45
Stabukuze Mine 30	(2) 10.06-PA-11090800-019		36,000.00	9,360.00
Mapping the Fire Regimes	(2) 10.03CS11090800-030		41,359.00	12,813.58
Effect of Equestrian Trails	(2) 10.05-PA-11090800-018		20,000.00	14,348.41
Identification of Novel Sources	(2) 10.58-6435-5-032		15,000.00	15,109.67
Shawnee Timber Stand Survey	(2) 10.05-PA-11090800-013		21,000.00	15,392.63
Monitor Avian Use in the SNF	(2) 10.05-PA-1-1090800-009		52,000.00	15,592.42
Erosion Dynamics	(2) 10.04-PA-1		35,000.00	24,728.24
Harvest Survey Study on the Shawnee National Forest	(2) 10.05-PA-11090800-003		50,004.00	27,629.20
Ecological Classification	(2) 10.FS-05-PA-1		50,000.00	27,932.97

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY, CARBONDALE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

For the year ended June 30, 2006

<u>Federal Grantor/Pass Through Grantor/Program Title</u>	<u>Federal CFDA/Grant/Contract Number</u>	<u>Flow-Thru Grantor's Number</u>	<u>Program or Award Amount</u>	<u>Disbursements/Expenditures</u>
Influence of Dietary Fatty Acids	(2) 10.2005-35203-1589		270,000.00	54,934.10
Midwest Regional Canola Research Program	(2) 10.200		353,635.00	43,913.69
Midwest Regional Canola Research Program	(2) 10.200		329,426.00	70,564.02
Migration Rates	(2) 10.202		81,429.00	31,882.62
McIntire-Stennis Administration	(2) 10.202		153,456.00	39,776.00
McIntire-Stennis Administration	(2) 10.202		153,918.00	110,549.63
Annual Meeting of American Society	(2) 10.206		7,660.00	2,628.81
Intercellular Metal Trafficking	(2) 10.206		120,000.00	8,114.07
Quantifying Multispecies Interactions	(2) 10.206		200,000.00	83,833.69
Mechanism of Pesticide Interactions	(2) 10.206		276,000.00	86,924.12
What's on Your Plate	10.217		249,996.00	37,639.42
Illinois Ag Mediation Program	10.435		841,848.00	58,248.82
Inventory of Non Native Invasive Species	(2) 10.652		10,047.00	1,462.50
Behavioral and Genetic Variation	(2) 10.652		48,370.00	8,714.04
Bat Hibernacula Stabilization	(2) 10.652		20,000.00	18,334.37
Integrating Wildlife Techniques	(2) 10.652		42,000.00	19,782.44
			<u>3,804,973.00</u>	<u>875,319.05</u>
Flow-Thru Grants and Contracts:				
<i>Michigan State University:</i>				
Responses of Northern Bobwhite	(2) 10.NRCS68-7482-3-121	330544-080300-027000-084020	122,997.00	56,229.42
Largemouth Bass	(2) 10.200	61-4109D	80,000.00	5,916.89
Hybrid Striped Bass Nutrition	(2) 10.200	61-4104P	47,500.00	8,961.06
Drug Approval Research	(2) 10.200	61-4104R	50,000.00	28,533.10
<i>Harvest Select Farms:</i>				
Spawning and Hatchery Technology	(2) 10.Unknown	NA	18,775.00	11,034.83
<i>Arcelik Research & Technology Development Center:</i>				
Feasibility Study for National Heritage	(2) 10.Unknown	NA	43,978.00	11.38
<i>Illinois Conservation Foundation:</i>				
Children's Activity Book Update	10.Unknown	FLEP-ED-0305	3,630.00	3,572.97

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY, CARBONDALE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the year ended June 30, 2006

<u>Federal Grantor/Pass Through Grantor/Program Title</u>	<u>Federal CFDA/Grant/Contract Number</u>	<u>Flow-Thru Grantor's Number</u>	<u>Program or Award Amount</u>	<u>Disbursements/Expenditures</u>
<i>Golconda Main Street:</i>				
Southern Illinois Heritage Feasibility Study	10. Unknown	NA	19,450.00	18,616.48
<i>Southern Illinois Coal Belt Champion Community:</i>				
Administrative Support for Public Officials	10. Unknown	NA	9,000.00	8,040.36
<i>University of Illinois:</i>				
Soybean Rust Early Detection Project	(2) 10. Unknown	2005-02942-01	20,252.00	16,992.27
Uniform Fungicide Trial	(2) 10.001	2003-06974-01	2,927.00	2,970.40
New Strategies	(2) 10.200	04-261	14,000.00	(217.31)
<i>Illinois State Board of Education:</i>				
National School Lunch Program	10.555	06-4478	23,451.95	23,451.95
Child and Adult Care Food Program	10.558	06-4478	433,794.87	433,794.87
Child and Adult Care Commodities	10.558	06-4478	20,383.86	20,383.86
Summer Food Service Program	10.559	06-4478	11,322.33	11,322.33
Summer Food Service Administration	10.559	06-4478	1,010.07	1,010.07
School Meals Initiative	10.560	06-4478	415,475.00	180,401.28
School Meals Initiative	(2) 10.560	05-4999	56,300.00	(6,098.71)
School Meals Initiative	(2) 10.560	MY03841	237,200.00	567.10
<i>Mississippi State University:</i>				
Identification Characterization	(2) 10.200	3850011805	30,000.00	(294.23)
<i>Purdue University:</i>				
Influence of Winter Weed Management	(2) 10.200	591-0565-0	28,501.00	214.51
<i>Florida State University:</i>				
A Comparison Downscaled Hindcasts	(2) 10.200	R00623	24,000.00	3,642.63
<i>University of Idaho:</i>				
National Winter Development Program	(2) 10.200	BJKE76-SIU	6,147.00	6,147.00
<i>Illinois-Missouri Biotechnology Alliance:</i>				
Soy Isoflavones	(2) 10.200	04-267	163,000.00	63,068.66

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY, CARBONDALE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the year ended June 30, 2006

<u>Federal Grantor/Pass Through Grantor/Program Title</u>	<u>Federal CFDA/Grant/ Contract Number</u>	<u>Flow-Thru Grantor's Number</u>	<u>Program or Award Amount</u>	<u>Disbursements/ Expenditures</u>
<i>Oregon State University:</i>				
Peruvian Amazon Pond Aquaculture	(2) 10.965	RD010A-12	951,786.00	141,509.10
			<u>2,834,881.08</u>	<u>1,039,782.27</u>
Total U.S. Department of Agriculture			6,639,854.08	1,915,101.32
U.S. DEPARTMENT OF COMMERCE				
Direct Grants and Contracts:				
WSIU-WUSI-WVSI Radio Console	11.17-01-N05192		40,782.00	32,548.39
			<u>40,782.00</u>	<u>32,548.39</u>
Flow-Thru Grants and Contracts:				
<i>Western Illinois University:</i>				
Southern Illinois Economic Development Services	11.303	5-17000	7,500.00	31.16
Southern Illinois Economic Development Services	11.303	5-15810	10,000.00	535.61
<i>Bradley University:</i>				
Manufacturing Extension-NIST	11.611	NA	1,174,438.00	272,644.33
Manufacturing Extension-NIST	(2) 11.611	NA	1,242,321.00	802,420.63
			<u>2,434,259.00</u>	<u>1,075,631.73</u>
Total U.S. Department of Commerce			2,475,041.00	1,108,180.12
U.S. DEPARTMENT OF DEFENSE				
Direct Grants and Contracts:				
Critical Research Issues	12. W81SWHO51P1015		10,000.00	10,010.11
IPA Agreement	(2) 12.05-0002		112,074.00	58,624.31
Mechanisms of Tumor Metastasis	(2) 12. W81XWH-04-0193		350,230.00	134,366.43

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY, CARBONDALE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the year ended June 30, 2006

<u>Federal Grantor/Pass Through Grantor/Program Title</u>	<u>Federal CFDA/Grant/Contract Number</u>	<u>Flow-Thru Grantor's Number</u>	<u>Program or Award Amount</u>	<u>Disbursements/Expenditures</u>
Demographics of Pallid Sturgeon	(2) 12.CE-PO-DACW42-02-C-0018		773,393.00	(20,374.31)
XI International Workshop	(2) 12.DAAL03-87-G0110		15,000.00	11.62
XXVIII International Workshop	(2) 12.W911NF-04-1-0394		20,000.00	2,057.72
XXVI International Workshop	(2) 12.DAAD19-02-1-0090		20,000.00	2,640.05
Management and Conservation	(2) 12.W9132T-06-2-0013		22,148.00	4,232.74
XXIX International Workshop	(2) 12.W911NF-05-1-0367		7,000.00	5,929.43
Habitat Rehabilitation Swan Lake	(2) 12.W912HZ-04-C-0023		159,940.00	26,127.34
Monitoring Population Status	(2) 12.W912HZ-06-C-0028		440,840.00	28,237.92
IPA Agreement	(2) 12.CE-IWR-02-0003		227,619.00	28,540.69
Airforce IPA Agreement	(2) 12.Unknown		568,085.00	49,614.97
Low Power Terahertz Optical	(2) 12.N00014-06-1-0309		146,832.00	84,383.47
Breast Cancer After Treatment	(2) 12.W81XWH0510503		107,250.00	99,583.27
Implementation of an Innovative Approach	(2) 12.W9132		152,333.00	113,257.67
Swan Lake Habitat Rehabilitation	(2) 12.W912HZ-04-C-0023		542,241.00	124,291.89
Evaluation of Native and Non Native Fishes	(2) 12.W912HZ-05-C-0031		324,000.00	221,022.64
Effects of Explosions on Sandwich Structures	(2) 12.300		25,114.00	6,235.21
Optical Decimator Module	(2) 12.300		63,565.00	29,444.79
Idea Mechanism of Vitamin E	(2) 12.420		308,056.00	90.11
Dysregulation of RNA Interference	(2) 12.420		107,250.00	9,367.26
Lipoxigenase Angiogenicit	(2) 12.420		248,908.00	13,786.84
DRG1 in Breast Cancer	(2) 12.420		141,963.00	55,332.62
			4,893,841.00	1,086,814.79
Flow-Thru Grants and Contracts:				
<i>West Virginia Division of Natural Resources:</i>				
Winter Habitat Use by Fishes	(2) 12.Unknown	DNR-30161	185,000.00	(6,769.96)
<i>Academy of Applied Sciences:</i>				
JSHS-FY05	12.W911NH-04-1-0001	NA	15,700.00	77.99
<i>Parsons Engineering:</i>				
Habitat Utilization Pallid Sturgeon	(2) 12.DACW43-99-D-0503	740078-S-001	34,958.00	882.28
Mississippi River Pool	(2) 12.DACW43-99-D-0503	737745-S-001	76,745.20	9,618.73

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY, CARBONDALE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the year ended June 30, 2006

<u>Federal Grantor/Pass Through Grantor/Program Title</u>	<u>Federal CFDA/Grant/ Contract Number</u>	<u>Flow-Thru Grantor's Number</u>	<u>Program or Award Amount</u>	<u>Disbursements/ Expenditures</u>
<i>Sparta Inc:</i>				
Strain Rate in Loading	(2) 12.Unknown	NA	60,000.00	13,807.52
<i>ERC, Inc:</i>				
Crack Growth Analysis	(2) 12.F04611-99-C-0025	RP020298	240,000.00	97,553.82
			<u>612,403.20</u>	<u>115,170.38</u>
Total U.S. Department of Defense			5,506,244.20	1,201,985.17
U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT				
Direct Grants and Contracts:				
Southern Illinois Research Park	(2) 14.246		299,340.00	299,340.00
Southern Illinois Research Park	(2) 14.246		150,000.00	59,343.50
Community Outreach Partnership Center	14.511		399,999.00	9,483.77
			<u>849,339.00</u>	<u>368,167.27</u>
Flow-Thru Grants and Contracts:				
<i>Illinois Department of Public Health:</i>				
HOPWA-FY05	14.241	55780310	78,336.00	27,525.43
HOPWA-FY06	14.241	65780265		40,406.30
<i>City of Carbondale, Illinois:</i>				
COPC Grant	14.246	NA	15,000.00	7,425.11
			<u>93,336.00</u>	<u>75,356.84</u>
Total U.S. Department of Housing and Urban Development			942,675.00	443,524.11

**STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY, CARBONDALE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**

For the year ended June 30, 2006

<u>Federal Grantor/Pass Through Grantor/Program Title</u>	<u>Federal CFDA/Grant/ Contract Number</u>	<u>Flow-Thru Grantor's Number</u>	<u>Program or Award Amount</u>	<u>Disbursements/ Expenditures</u>
U.S. DEPARTMENT OF THE INTERIOR				
Direct Grants and Contracts:				
Restoration Giant Cane in Cypress Creek	(2) 15.301814J191		5,000.00	2,786.52
Using Nutrient Reserve Dynamics	(2) 15.3018		37,365.00	670.44
The Origin of Salinity Changes	(2) 15.02ERSA0298		24,500.00	1,445.33
Testing Remote Cameras for Detecting	(2) 15.53411-4-0198A		6,500.00	6,301.10
Habitat Inventory in the Cache River Basin	(2) 15.30181J046		11,524.00	6,314.40
Effectiveness of PCB Remediation	(2) 15.30181-3-J211		75,000.00	14,215.98
Special Wildlife Studies	(2) 15. Unknown		154,540.00	25,965.74
Microsatellite Tools	(2) 15.617		56,000.00	3,897.00
Determining Cost Effectiveness of Active Versus Passive	(2) 15.617		62,000.00	19,554.89
Comparison of the Sensitivity	(2) 15.808		10,200.00	11.18
Magmatic Fluid Evolution	(2) 15.808		40,000.00	3,682.53
Patuxent Kestrel Study	(2) 15.808		115,479.00	35,634.37
River Use Monitoring	(2) 15.900		5,000.00	65.36
An Assessment of the Trail of Tears	(2) 15.900		7,000.00	2,950.15
Buffalo National River Visitor Use	(2) 15.900		25,548.00	8,862.32
Cholinesterase Activity Levels in Pacific Treefrog	(2) 15.900		12,150.00	8,955.98
			647,806.00	141,313.29
Flow-Thru Grants and Contracts:				
<i>The Nature Conservancy:</i>				
Toxicity of Glyphosate	(2) 15. Unknown	PAFO 010504	19,000.00	84.04
<i>Seneca Nation of Indians:</i>				
Fire Management Plan	(2) 15. Unknown	NA	20,000.00	4,499.70
<i>Missouri Department of Conservation:</i>				
Structural and Functional Indicators	(2) 15. Unknown	NA	34,118.00	4,509.26
<i>Nebraska Game and Parks Commission:</i>				
Evaluation of Soil	(2) 15. Unknown	NA	33,380.00	7,237.89

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<i>Little River Band of Ottawa Indians:</i>				
A Comprehensive Management Plan	(2) 15.L3-05-019	NA	157,039.00	19,690.55
<i>Illinois Department of Natural Resources:</i>				
Illinois Trophy Muskellunge Study	(2) 15.605	F-141-R-04	63,337.00	(239.57)
Illinois Trophy Muskellunge Study	(2) 15.605	F-141-R-03	59,443.00	416.75
Woodrat Reintroduction and Recovery	(2) 15.611	W-135-R-06	27,594.00	(758.10)
Cooperative Upland Research	(2) 15.611	W-106-R-15	160,266.00	506.85
Cooperative Beaver and Nongame Mammal	(2) 15.611	W-135-R-06	91,290.00	519.96
Ecology of White Tailed Deer	(2) 15.611	W135R06	77,731.00	813.19
Bobwhite Quail Life	(2) 15.611	R50417076	5,000.00	4,998.32
Woodrat Reintroduction and Recovery	(2) 15.611	W-135-R-07	27,905.00	29,545.42
Winter Ecology of Trumpeter Swans	(2) 15.611	W-142-R-03	36,856.00	40,931.85
Cooperative Beaver and Nongame Mammal	(2) 15.611	W-135-R-07	96,312.00	82,184.40
Cooperative Upland Research	(2) 15.611	W-106-R-16	118,009.00	117,320.77
Ecology of White Tailed Deer	(2) 15.611	W-087-R-28	151,751.00	152,932.78
<i>Nebraska State Wildlife Grants Program:</i>				
Biological Evaluation of Central Platte River	(2) 15.617	NA	32,933.00	9,398.96
<i>University of Illinois:</i>				
Develop Water Benchmarks	(2) 15.805	2005-209-1-00	94,245.00	27,664.33
<i>University of California-Berkeley:</i>				
Distribution and Toxicity of Sediment	(2) 15.805	SA4295	100,000.00	64,550.90
<i>University of Tennessee:</i>				
Analysis of Pottery	(2) 15.900	4182-002-01	14,901.00	234.52
			<u>1,421,110.00</u>	<u>567,042.77</u>
Total U.S. Department of the Interior			2,068,916.00	708,356.06

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U.S. DEPARTMENT OF JUSTICE				
Flow-Thru Grants and Contracts:				
<i>Hoyleton Youth and Family Services:</i>				
Mentoring Youth to Reduce Violence	16.2004-PSN-09	NA	14,988.00	1,587.85
<i>Illinois Criminal Justice Information Authority:</i>				
Corrections Symposium	16. Unknown	NA	17,500.00	2,947.84
<i>Illinois State Police:</i>				
Bullet Proof Vest Partnership	16. Unknown	NA	11,310.69	7,880.88
<i>City of Carbondale, Illinois:</i>				
Safepace	16. Unknown	NA	55,063.00	44,758.69
Total U.S. Department of Justice				
			98,861.69	57,175.26
U.S. DEPARTMENT OF LABOR				
Direct Grants and Contracts:				
Patterns of Health Insurance Coverage	(2) 17. B9923951		23,214.00	1,066.59
			23,214.00	1,066.59
Flow-Thru Grants and Contracts:				
<i>Illinois Department of Commerce & Economic Opportunity:</i>				
Rural Short-Term Employment Forecasts	(2) 17.250	98-09806	7,247.00	(1,000.00)
ETIP	17.258	03-67837	29,792.00	9,930.67
On-Line Instruction and Certifications	17.258	04-67302	435,588.00	65,336.31
On-Line Instruction and Certifications	17.259	04-67302	435,588.00	65,336.31
ETIP	17.259	03-67837	29,792.00	9,930.67
On-Line Instruction and Certifications	17.260	04-67302	435,588.00	65,336.33
ETIP	17.260	03-67837	29,792.00	9,930.66

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Health/TDL Cluster	(2) 17.267	03-11307	640,000.00	588,588.24
Career Cluster	17.267	02-11304	500,000.00	8,286.28
			<u>2,543,387.00</u>	<u>821,675.47</u>
Total U.S. Department of Labor			2,566,601.00	822,742.06
U.S. DEPARTMENT OF STATE				
Direct Grants and Contracts:				
21st Century Tanzania	19.415		145,745.00	1,198.05
Strengthening Journalism	19.415		151,414.00	2,736.23
Promoting Transparency in Government	19.415		240,337.00	80,857.99
American Studies Institute Program	19.418		181,450.00	106.70
			<u>718,946.00</u>	<u>84,898.97</u>
Flow-Thru Grants and Contracts:				
<i>National Association for Foreign Student Affairs:</i>				
Women and Leadership in the Muslim World	19. Unknown	NA	5,148.00	600.29
<i>Association Liaison Office:</i>				
Higher Education Assistance for Afghanistan	19.418	NA	119,933.00	83,257.02
			<u>125,081.00</u>	<u>83,857.31</u>
Total U.S. Department of State			844,027.00	168,756.28
U.S. DEPARTMENT OF TRANSPORTATION				
Flow-Thru Grants and Contracts:				
<i>GCR & Associates, Inc:</i>				
National Airport Safety Data Program	20. Unknown	FAA-49	29,900.00	11,945.50
Airport Safety Data Program	20. Unknown	NA	160,477.00	56,494.76

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Airport Safety Data Collection Program	20.Unknown	NA	121,467.00	97,501.49
<i>Illinois Department of Transportation:</i>				
Health Monitoring of Highway Bridges	(2) 20.205	TEA-21	620,489.00	211,345.82
Pedestrian Bridge Project	20.205	D9950500	-	143,479.67
Think First	20.600	40084056	487,968.00	(2,235.16)
Think First	20.600	05-LP-003	241,951.00	78,867.71
Think First	20.600	OP6-548-063	258,292.00	158,258.00
Think First	20.600	OPG-548-063	131,976.00	66,942.11
SI Regional Child Passenger Safety Resource Center	20.600	05-CPSRC-005	98,000.00	24,155.48
Southwestern Illinois Regional Occupant Protection	20.600	OP60865110	98,876.00	63,215.08
Child Passenger Safety Resource Center	20.602	OP2-0865-275	50,513.50	2,926.31
Mini-Grant Alcohol Enforcement	20.605	AL4-0039-075	45,958.83	12,673.31
Total U.S. Department of Transportation			2,345,868.33	925,570.08
LIBRARY OF CONGRESS				
Direct Grants and Contracts:				
Lewis and Clark Archaeological Investigations	(2) 42.03-LIB-0007		19,834.00	3,000.20
Lewis and Clark	(2) 42-03-LIB-0007		178,866.00	23,476.42
			198,700.00	26,476.62
Flow-Thru Grants and Contracts:				
<i>Education and Research Consortium of Western North Carolina:</i>				
Adventure of the American Mind	42.00G-LIB-ND001	NA	1,296,425.00	220,353.54
Total Library of Congress			1,495,125.00	246,830.16

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NATIONAL AERONAUTICS AND SPACE ADMINISTRATION				
Direct Grants and Contracts:				
Development of Digital Image Correlation	(2) 43.NNNM05AA12P		20,000.00	15,860.41
An Integrated Framework for the Intelligent Monitoring	(2) 43.NNS04AB796		50,000.00	27,019.60
Development of Physical Sensors	(2) 43.NNS05AB59G		50,000.00	42,611.57
Geodynamics of the Ithosphere	(2) 43.NAG-5-13681		101,000.00	46,410.27
			221,000.00	131,901.85
Flow-Thru Grants and Contracts:				
<i>University of Maryland:</i>				
Improving Land Surface Products	(2) 43.Unknown	Z607901	50,685.00	28,163.87
			271,685.00	160,065.72
Total National Aeronautics and Space Administration				
NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES				
Direct Grants and Contracts:				
John Dewey Project	(2) 45.161		125,000.00	77,886.27
21st Century Collection Management	45.301		149,715.00	89,780.04
			274,715.00	167,666.31
Flow-Thru Grants and Contracts:				
<i>Carbondale Community Arts:</i>				
Art Synergy Fall Workshops	45.Unknown	R2-12	400.00	278.25
Southern Illinois Children's Choir Summer Camp	45.000	R2-7	500.00	500.00
<i>Illinois Arts Council:</i>				
Illinois Arts Council Literary Award	45.000	05-2350 LIA	2,000.00	2,596.05

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<i>Illinois Humanities Council:</i>				
Prairie Power Documentary	(2) 45.129	11727-0997	9,700.00	(1,035.57)
Artifacts and Heavy Timber	45.129	3264	10,000.00	923.39
Illinois Southern Shore <i>Chamber Music of America:</i>	45.129	3531	9,856.00	8,021.15
CMA Residency Partnership Program	45.164	NA	12,000.00	5,783.72
CMA Residency Partnership Program	45.164	NA	12,000.00	12,000.00
			<u>56,456.00</u>	<u>29,066.99</u>
			331,171.00	196,733.30
Total National Foundation on the Arts and the Humanities				
NATIONAL SCIENCE FOUNDATION				
Direct Grants and Contracts:				
Nanotube Array-Based Lithography	(2) 47.041		107,431.00	67.00
Ambient Non-Thermal Plasma	(2) 47.041		254,700.00	6,959.25
US/French Workshop on Fractional Derivatives	(2) 47.041		16,000.00	8,126.09
Dynamical Neural Networks	(2) 47.041		226,736.00	24,546.16
Preliminary Evaluation of the Impact of CO2	(2) 47.041		66,426.00	29,423.75
Implementing Mixed-Signal Circuits	(2) 47.041		400,000.00	74,241.43
Seeking Half Metallic Alloys	(2) 47.049		99,474.00	3,813.21
Locally Analytic Representations	(2) 47.049		98,889.00	6,776.62
Singular Deformations in Mechanics	(2) 47.049		110,000.00	30,483.60
Finite and Infinite-Dimensional Stochastic-Systems	(2) 47.049		226,534.00	38,007.21
Development Plan for Optical Resonance	(2) 47.049		552,000.00	84,534.44
Acquisition of a 400 MHZ NMR	(2) 47.049		256,841.00	1,430.00
Research in Polar Organic Materials	(2) 47.049		492,410.00	4,405.23
Robust Statistics	(2) 47.049		89,162.00	12,014.16
Absorption Studies	(2) 47.049		300,000.00	14,849.94
REU Site for Interdisciplinary Materials	(2) 47.049		76,000.00	25,585.33

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Maldi MS Based Fundamental Studies	(2)	47.049	154,107.00	91,547.27
Career: Superparamagnetic Nanoparticles	(2)	47.049	399,700.00	126,116.23
Fabric and Seismic Anisotropy	(2)	47.050	61,899.00	2,342.33
RCN: Peatnet Globalization of Northern Peatland	(2)	47.050	199,379.00	35,798.42
Multivariate Geospatial Analysis	(2)	47.050	299,953.00	97,096.94
Acquisition of a Vibrating Sample Magnetometer	(2)	47.050	156,960.00	154,253.67
VLIW/Superscalar Support	(2)	47.070	99,812.00	14,334.52
Distributed Interpretation	(2)	47.070	300,000.00	57,619.43
Collaborative Research: Molecular Systematics	(2)	47.074	101,433.00	9,709.77
Collaborative Research-Response of Tropical Ecosystem	(2)	47.074	400,000.00	86,278.89
Mechanisms of Memory Modulation	(2)	47.074	317,647.00	279.26
Do Hurricanes Spur Responses	(2)	47.074	12,149.00	3,579.26
Mass Spectrometer for Genomic and Proteomic Research	(2)	47.074	180,256.00	7,430.43
Reconstructing Early Evolution of Bees	(2)	47.074	112,164.00	8,185.06
Phylogeny of Loranths	(2)	47.074	160,000.00	8,369.37
Linking Dispersal to Landscape Level	(2)	47.074	124,857.00	10,309.66
UMEB: Increasing Diversity	(2)	47.074	59,955.00	10,610.35
Intraspecific Variation in Thlaspi	(2)	47.074	100,000.00	13,452.21
Collaborative Research: Biodiversity Phylogeny	(2)	47.074	102,203.00	14,886.35
Deep Green Plant Phylogenetics	(2)	47.074	427,350.00	25,396.32
Hierarchical consequences of Intraspecific Variation	(2)	47.074	445,000.00	27,758.58
Assembling the liverwort Tree	(2)	47.074	365,856.00	31,138.86
Deep Green Plant Phylogenetics	(2)	47.074	52,350.00	51,823.73
Novel Nuclear Protein-Coding	(2)	47.074	260,000.00	55,203.77
Microbial Diversity and Function	(2)	47.074	341,379.00	101,286.36
PEET: Monographic and Phylogenetic	(2)	47.074	773,600.00	115,664.80
Acquisition of Electron Microscope	(2)	47.074	548,067.00	540,475.16
Pachamacam Project	(2)	47.075	169,347.00	98,266.14
Comparative and Historical Yukatekan Maya	(2)	47.075	101,971.00	27,349.02
US Bangladesh Cooperative Research	(2)	47.075	35,000.00	2,885.23
The Pachamacam Project	(2)	47.075	60,128.00	6,584.34

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U.S. Chile Cooperative Research program	(2) 47.075		49,967.00	7,344.56
Lower Mississippi River	(2) 47.075		45,057.00	11,042.45
Economic Restructuring Womens Work	(2) 47.075		199,970.00	31,574.43
Agricultural Landscape Evolution in an Adaptive Management	(2) 47.075		450,000.00	188,223.56
Theories of Learning and Research	(2) 47.076		67,487.00	12,172.48
Special Program Support	(2) 47.076		49,515.00	16.46
SIU Supporting Youth	47.076		76,836.00	942.05
Toward a Descriptive Science of Learning	(2) 47.076		490,778.00	3,293.31
Diffraction Course	47.076		75,000.00	4,728.75
Environmental and Water Resources	47.076		140,000.00	27,729.18
Reaching Out to Academically Talented	47.076		400,000.00	62,278.53
Innovations in Aquaculture Feeds	(2) 47.076		600,000.00	145,505.21
Paleohistory of the Larsen Ice Shelf	(2) 47.078		185,696.00	28,514.76
Morphotectonic Evolution	(2) 47.079		15,000.00	8,506.73
Planning Meeting Neogene Climate Changes	(2) 47.079		19,991.00	14,842.41
Planning a Russian American Peatland Research Program	(2) 47.079		34,091.00	33,920.31
			13,194,513.00	2,781,930.33
Flow-Thru Grants and Contracts:				
<i>Colorado School of Mines:</i>				
Preparedness to Start an Entry Level Position	(2) 47. Unknown	NA	2,000.00	1,524.72
<i>The Algebra Project, Inc:</i>				
Raising the Floor	(2) 47.076	ESI-0137855	74,788.00	3,903.48
<i>Twin Cities Public Television:</i>				
Dragonfly TV SciGirls	47. Unknown	20213-1-01958	9,000.00	5,170.69
<i>Villanova University:</i>				
RIU- Post-Fire Carbon Balance	(2) 47. DEB-0212333	525538	305,569.00	33,421.62
RIU: Carbon Accumulation	(2) 47. EAR-0223071	525594	99,151.00	12,641.83
<i>Natureserve:</i>				
Coordinated Research on Amphibian Pop Declines	(2) 47. Unknown	RANA 740	52,543.00	32,610.19

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<i>University of Illinois:</i>				
Bits Designed Neuronal Circuits	(2) 47.070	02-292	369,148.00	5,015.08
<i>Arizona State University:</i>				
Emerging Wildlife Disease	(2) 47.074	06-055	150,001.00	531.63
Genome Sequences of 4 Phototrophic Prokaryotes	(2) 47.074	05-520	74,057.00	27,515.32
<i>Institute of Ecosystem Studies in Millbrook:</i>				
Influence of Spatiotemporal Variation	(2) 47.074	2839-200310	52,472.00	1,107.87
<i>Kansas State University:</i>				
Riparian Influences	(2) 47.074	S03066	78,994.00	16,226.11
Intra and Interspecific Effects	(2) 47.074	S05032	39,984.00	19,177.80
Interactive Effects of Disturbance Frequency	(2) 47.074	S05024	138,688.00	65,298.15
<i>University of Wisconsin Madison:</i>				
Interaction of Below & Above Ground Herbivory	(2) 47.074	P656596	24,851.00	25,429.83
<i>University of Notre Dame:</i>				
Inputs of Foliage and Pollen	(2) 47.074	200814	117,683.00	34,692.81
<i>Chicago State University:</i>				
ILSAMP	47.076	53327-HRD-0413000	30,000.00	4,114.75
ILSAMP	47.076	53327-HRD-0413000	5,500.00	5,500.00
ILSAMP	47.076	53326-HRD-000341	35,000.00	19,370.38
			<u>1,659,429.00</u>	<u>313,252.26</u>
Total National Science Foundation			14,853,942.00	3,095,182.59
U.S. DEPARTMENT OF VETERANS' AFFAIRS				
Direct Grants and Contracts:				
EDC Veterans Affairs Services	64.V69DP3339		<u>10,000.00</u>	<u>130.25</u>
Total U.S. Department of Veterans' Affairs			10,000.00	130.25

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ENVIRONMENTAL PROTECTION AGENCY				
USEPA Star Fellowship	66.514		45,971.00	9,482.37
USEPA GRO Graduate Fellowship	66.607		23,357.00	15,440.46
			69,328.00	24,922.83
Flow-Thru Grants and Contracts:				
<i>Wichita State University:</i>				
Golf Course Water Quality	(2) 66. Unknown	NPS2K1-073	34,370.00	(89.22)
<i>Illinois Environmental Protection Agency:</i>				
Intensive Basin Survey Internship	(2) 66. Unknown	WLP-6307	14,907.00	5,242.52
Intensive Basin Survey Internship	(2) 66. Unknown	FW-3334	61,895.00	9,018.79
<i>University of Kansas Center for Research:</i>				
Fluoroquinolone Antibacterials Agents	(2) 66. Unknown	2002-009	114,061.00	6,141.19
			225,233.00	20,313.28
			294,561.00	45,236.11
Total Environmental Protection Agency				
U.S. DEPARTMENT OF ENERGY				
Direct Grants and Contracts:				
First Principles Based Simulation of Hydrogen Interactions	(2) 81.FG02-05ER46231		400,000.00	52,021.22
Value-Added Products from FGD	(2) 81.FG26-04NT42179		49,997.00	30,900.51
Alcohol in E. Coli	(2) 81.FG02-88ER13941		1,775,277.00	97,525.25
Qualifications of Candle Filters	(2) 81.FC26-03-NT41839		1,350,000.00	427,626.15
Immobilization of Heavy Metals	(2) 81.049		766,480.00	35,784.95
Value-Added Products from FGD	(2) 81.057		133,999.00	5,014.66
Investigation of Nevel Alloy	(2) 81.087		50,000.00	2,191.32
			4,525,753.00	651,064.06

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Flow-Thru Grants and Contracts:				
<i>University of Illinois:</i>				
Laboratory Assessment	(2) 81.DE-FC26-03NT41994	04-229	99,608.00	7,227.67
Gas Release & Storage Properties <i>West Virginia University:</i>	(2) 81.089	205-05060-04 A5507-IS	58,006.00	20,685.64
Development of Coal Combustion Products	(2) 81.DE-FC26-98FT40028	98-166	113,880.00	10,577.70
Boron Transport from Coal	(2) 81.DE-FC26-98FT40028	98-166	136,795.00	24,541.77
CBRC Administration <i>Advanced Resources International:</i>	(2) 81.DE-FC26-98FT40028	98-166	338,938.00	32,888.45
International ECB/Sequestration Consortium <i>GE Energy and Environmental Research Corp:</i>	(2) 81.DE-FC26-00NT40924	NA	75,000.00	20,818.31
Fuel-Flexible Gasification <i>Illinois Department of Commerce and Economic Opportunity:</i>	(2) 81.DE-FC26-00FT40974	63078	240,000.00	33,623.04
Regional Energy Program <i>University of California-Berkeley:</i>	(2) 81. Unknown	04-32404	70,000.00	37,881.87
Uranium Immobilization <i>Montana State University:</i>	(2) 81.049	SA4167-32401PG	163,670.00	62,423.96
Immobilization of Radionuclides <i>Montana State University:</i>	(2) 81.049	SA-4825-32397PG	138,931.00	78,822.06
Pulsed Laser Deposition of CO & FE Based Fuel <i>UT-Battelle, LLC:</i>	(2) 81.087	G106-06-Z285	24,500.00	5,445.89
Distributed Computational Monitoring	(2) 81.DE-AC05-00OR22725	640006042	50,362.00	8,977.60
			<u>1,509,690.00</u>	<u>343,913.96</u>
Total U.S. Department of Energy			6,035,443.00	994,978.02

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U.S. INFORMATION AGENCY				
Direct Grants and Contracts:				
NGO Training-Russia	82.010		267,656.00	(139.30)
Total U.S. Information Agency			267,656.00	(139.30)
U.S. DEPARTMENT OF EDUCATION				
Direct Grants and Contracts:				
RCEP Region V	84. Unknown		7,356.72	6,580.08
Core National Probability Sample	(2) 84.98-CO-0053		1,891,046.00	(8,087.85)
Regional Network Conference	84.43-3 47-0333-0		66,154.00	1,590.70
Wilna-wino-Vilnius: The National Transformations	(2) 84.019		35,700.00	182.00
Fulbright Hayes Afro-Cuban Healing	(2) 84.022		12,118.00	773.00
Planning Grant	(2) 84.031		28,700.00	768.38
Student Support Service Program	(4) 84.042		1,029,649.00	53,673.41
Student Support Service Program	(4) 84.042		535,973.00	141,063.07
Upward Bound	(4) 84.047		365,408.00	(4,052.76)
Upward Bound	(4) 84.047		1,490,864.00	374,607.96
Rehabilitation Long Term Training	84.129		271,671.00	67,726.83
Rehabilitation Long Term Training	84.129		300,000.00	122,871.10
Rehabilitation Long Term Training	84.129		1,934,112.00	153,564.85
Core Institute Social Marketing	84.183		56,892.00	3.21
Training for All Teachers	84.195		718,422.00	201,809.00
Saluki Kids Academy	84.215		297,600.00	180,936.23
SIUC McNair Program	84.217		880,000.00	223,349.74
RCEP-Regional V	(4) 84.264		2,779,827.00	143,699.04
RCEP-Regional V	84.264		1,102,000.00	432,283.62
			13,803,492.72	2,093,341.61

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Financial Assistance:				
Supplemental Educational Opportunity Grant-FY05	(1) 84.007		721,377.00	(3,199.25)
Supplemental Educational Opportunity Grant-FY06	(1) 84.007		710,227.00	857,586.50
Cooperative Work Study Program Pell Grant Program-FY04	(1) 84.033		2,174,996.00	2,154,548.76
Pell Grant Program-FY98	(1) 84.063		16,761,550.00	(2,249.00)
Pell Grant Program-FY02	(1) 84.063		11,880,968.00	(1,838.00)
Pell Grant Program-FY01	(1) 84.063		14,843,761.00	(1,177.00)
Pell Grant Program-FY05	(1) 84.063		13,335,724.00	(600.00)
Pell Grant Program-FY06	(1) 84.063		15,826,455.00	30,228.00
			<u>14,956,320.00</u>	<u>14,964,007.00</u>
			91,211,378.00	17,997,307.01
Flow-Thru Grants and Contracts:				
<i>Hamilton-Jefferson ROE#25:</i>				
Area 6 Illinois Mathematics Corporation for Public Broadcasting:				
Ready to Learn Station Payment	84. Unknown	NA	72,020.90	4,403.86
<i>Southern Illinois University Edwardsville:</i>				
Southern Regional Professional Development Center	84.000	6-23772	111,596.00	8,011.41
<i>Illinois Community College Board:</i>				
Adult Basic Education	84.002	NA	87,457.00	(1,808.77)
Adult Basic Education	84.002	NA	79,556.00	235.11
Adult Basic Education	84.002	NA	81,969.00	82,026.40
Strengthening Community College	84.048	CTESIU2004	50,000.00	(829.24)
Post Secondary Career and Technical Education	84.048	CTESIU05	39,150.00	(299.98)
Post Secondary Career and Technical Education	84.048	CTESIU06	33,848.00	33,846.10
Strengthening Community College	(2) 84.048	CTESIU2005	50,000.00	39,916.25
Strengthening Community College	(2) 84.048	CTESIU2005	50,000.00	39,916.25

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<i>Illinois State Board of Education:</i>				
Grant to Meet the Special Educational Needs	84.011	4340-01	37,085.00	19,999.90
Grant to Meet the Special Educational Needs	84.011	4340-01	49,194.00	17,665.39
State Leadership CTE	84.048	02-4720	475,000.00	38.17
Illinois Student Information System	84.048	00-4720	260,000.00	130.80
Illinois Nontraditional Training and Employment	84.048	04-4720	75,000.00	1,034.71
Illinois State Curriculum Center	84.048	02-4720	400,000.00	2,992.51
Illinois Nontraditional Training and Employment	(2) 84.048	4720-04	75,000.00	73,474.04
Illinois Student Information System	(2) 84.048	4720-00	260,000.00	257,723.40
State Leadership CTE	(2) 84.048	4720-02	475,000.00	413,380.97
Development Diagnostic Center	84.181	NA	68,250.00	(633.79)
Development Diagnostic Center	84.181	NA	91,000.00	218.01
Development Diagnostic Center	84.181	NA	60,000.00	1,621.55
<i>Illinois Department of Human Services:</i>				
EDC Third Party Agreement	84.126	40C5001651	989,658.00	(6,236.69)
EDC Third Party Agreement	84.126	40C6001651	989,658.00	544.72
EDC Third Party Agreement	84.126	240C2001651	989,658.00	2,124.71
EDC Third Party Agreement	84.126	10C6001651	875,000.00	867,064.39
Early Intervention Development Diagnostic Center	84.181	NA	60,000.00	48.27
<i>Illinois Board of Higher Education:</i>				
Southern Illinois Teacher Quality	84.367	NA	320,000.00	137,077.93
			<u>7,224,349.90</u>	<u>1,998,847.07</u>
Total U.S. Department of Education			112,239,220.62	22,089,495.69

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NATIONAL ARCHIVES AND RECORDS ADMINISTRATION				
Direct Grants and Contracts:				
Papers of Ulysses S Grant-Volume 30	89.003		10,000.00	218.78
Papers of Ulysses S Grant-Volume 27	89.003		10,000.00	10,000.00
Papers of Ulysses S Grant-Volume 28	89.003		10,000.00	10,000.00
			30,000.00	20,218.78
Total National Archives and Records Administration				
DELTA REGIONAL AUTHORITY				
Direct Grants and Contracts:				
Southern Illinois Institute for Value-Added Agriculture	90.200		138,629.00	370.90
			138,629.00	370.90
Total Delta Regional Authority				
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES				
Direct Grants and Contracts:				
Agricultural Finance Research	(2) 93. Unknown		14,104.00	99.72
Assessing Youth Development and Mental Health	(2) 93.0009867819		10,220.00	(590.62)
Health Education and Curriculum	(2) 93.0000264436		21,287.00	14,711.22
Endocrine Modulation by Hormones	(2) 93.113		846,000.00	82,770.60
Peripheral and Central Mechanisms	(2) 93.173		859,930.00	206,117.00
Synaptic Processing in the Gustatory Brainstem	(2) 93.173		619,147.00	260,388.72
Endogenous Modulation	(2) 93.173		716,190.97	274,733.74
Coding in Auditory Neurons	(2) 93.173		1,448,373.97	276,093.55
Ginseng and Breast Cancer	(2) 93.213		393,250.00	135,495.21
Sleep Patterns	(2) 93.233		1,186,189.00	37,748.94

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Executive Function Development	(2) 93.242		173,400.00	70,722.29
Executive Function Development	(2) 93.242		643,500.00	137,339.21
Supplement-PTE Infant	(2) 93.279		87,230.00	43,500.15
NIDA 4	(2) 93.279		808,980.00	204,320.72
Prenatal Tobacco Exposure	(2) 93.279		1,072,500.00	223,009.04
Attention Bias and Affect	(2) 93.279		1,064,099.00	469,901.59
Analgesic Regimens for Study	(2) 93.306		830,830.00	327,279.97
SIU Rural Caregiver Telehealth Intervention	(2) 93.361		824,939.00	188,391.23
Behavioral and Physiologic Pathobiology	(2) 93.389		357,832.00	193,092.94
Anti-Cancer Ginsenosides	(2) 93.395		156,275.00	14,420.42
UBC9 as a Novel Target	(2) 93.395		640,624.00	260,842.76
Contribution of DNA Photoproducts	(2) 93.395		214,500.00	30,397.43
Transforming Growth Factor Action	(2) 93.396		373,152.30	75,198.96
Tumor Suppressor Activities	(2) 93.396		109,633.00	14,479.34
Tumor Suppressor Activities	(2) 93.396		109,633.00	18,595.75
Development of Dynamic Isoelectric Focusing	(2) 93.396		120,038.00	21,443.77
NUDR: A Potential Tumor Suppressor	(2) 93.396		1,170,300.00	233,714.06
Head Start	(3) 93.600		2,916,098.00	77.66
Head Start	(3) 93.600		3,223,681.00	11,584.20
Head Start	(3) 93.600		2,892,754.00	29,600.14
Head Start	(3) 93.600		35,006.00	31,684.84
Head Start	(3) 93.600		3,014,784.00	3,132,926.77
Med Prep/Partnership Program	93.822		400,590.00	29,080.14
Med Prep/Partnership Program	93.822		410,693.00	143,304.12
Cerebrovascular Innervation	(2) 93.837		1,037,820.00	139,573.04
Understanding Chemokine Synergism	(2) 93.847		214,500.00	56,263.57
Vanilloid Receptors in Diabetic	(2) 93.847		514,800.00	317,761.42
Critical Research Issues	(2) 93.853		16,000.00	16,000.00
Neuroprotection in Parkinson-Burns	(2) 93.853		224,436.00	22,979.66
Endogenous Activators of Vanilloid	(2) 93.853		782,050.00	32,874.80
Effects of Vitamin B3	(2) 93.853		291,370.00	62,791.78

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Synaptic vs Nonsynaptic AMPA Receptors	(2) 93.853		696,800.00	132,642.82
Murine Sleep During Infections	(2) 93.853		330,688.00	472,544.56
Benzodiazepine Modulation	(2) 93.854		495,505.00	(1,146.90)
Recovery of Function and Vagus Nerve Stimulation	(2) 93.854		845,349.00	248,401.25
RNA Splicing in Archea	(2) 93.859		216,750.00	33,097.09
Chromatic Repression	(2) 93.859		659,742.00	236,598.61
Chromatic Remodeling	(2) 93.862		1,311,519.00	105,298.43
Molecular Regulation and Role of Placenta	(2) 93.865		222,530.00	7,539.07
Opioid Peptide Gene Expression	(2) 93.865		131,980.00	68.57
Working Memory and Brain Morphology	(2) 93.865		141,320.00	58,863.47
Opioid-Dopamine Interactions	(2) 93.865		506,416.00	167,496.79
Conceptus-Dependent Effects on the Endometrium	(2) 93.865		424,806.00	212,281.50
Prolactin Feedback	(2) 93.865		676,499.00	224,772.05
Auditory Plasticity in the Aged Mouse	(2) 93.866		126,378.00	66,339.62
Interaction of Caloric Restriction	(2) 93.866		1,251,786.39	150,653.37
Age-Dependent Responses of Neurons	(2) 93.866		1,197,147.00	405,714.52
FCM Residency Training in Primary Care	93.884		150,747.26	153,744.37
FCM Residency Training in Primary Care	93.884		187,447.50	1,571.84
Residency Training in Family Medicine	93.884		85,687.65	3,079.64
SIUC Cancer Institute	93.887		1,525,203.00	360,866.35
Illinois Delta Network	93.912		819,882.00	155,448.08
Illinois Delta Network	93.912		1,180,464.00	322,090.79
Regional Telehealth Project	93.912		1,687,680.00	362,639.17
			45,719,066.04	11,721,324.91
Financial Assistance:				
Exceptional Financial Needs Scholarship	(1) 93.820		48,684.00	2.00
Scholarships for Disadvantaged Students	(1) 93.925		77,684.00	67,682.00
			126,368.00	67,684.00

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Flow-Thru Grants and Contracts:				
<i>Development Technologies, Inc:</i>				
Center for Disorders and Developmental Learning	(2) 93.Unknown	NA	19,009.53	(160.27)
<i>Cleveland Medical Devices:</i>				
Seizure Analysis and Prediction System	(2) 93.Unknown	NA	20,000.00	509.01
<i>Fluid Measurement Technologies, Inc:</i>				
A New Universal Chromatography Detector	(2) 93.Unknown	NA	33,002.00	9,677.10
Fluid Measurement Technologies	(2) 93.Unknown	NA	125,828.00	70,556.37
<i>Illinois Department of Public Health:</i>				
Alzheimers Disease Demonstration	93.051	23280310	19,924.00	1,151.57
Alzheimers Disease Demonstration	93.051	5300390	20,090.00	15,666.81
Illinois Breast and Cervical	93.283	66100003	13,400.00	2,210.34
Live Free/Tobacco Free	(2) 93.283	53281113	165,000.00	4,043.47
Education and Training of PHLS	93.283	77001001	118,865.00	92,229.41
Live Free/Tobacco Free	93.283	63281113	305,000.00	309,777.75
Grants for Public Health Programs	93.283	54303032	1,374.09	1,374.09
Bioterrorism Preparedness	93.283	57001039	9,980.00	9,980.00
HOPWA	93.917	45780541	100,400.00	(1,653.87)
Downstate Illinois Care Consortium	93.917	25780458	785,772.00	(40.00)
Downstate Illinois Care Consortium	93.917	35780479	737,327.99	11.59
Downstate Illinois Care Consortium	93.917	45780551	679,379.00	93.32
CHIC	93.917	55780409	750,000.00	572,740.42
Downstate Illinois Care Consortium	93.917	55780363	786,682.00	605,607.74
Downstate Illinois Care Consortium	(2) 93.917	65780417	786,682.00	172,273.88
Genetic Counseling	93.994	NA	46,100.00	13.12
Genetic Counseling	93.994	NA	42,077.00	162.22
Genetic Counseling	93.994	53780248	-	(95.89)
Dental Mouthguard Grant Program	93.994	63481115	1,000.00	370.67
Dental Sealant Grant Program	93.994	63481115	1,250.00	1,705.54
Genetic Counseling	93.994	NA	55,000.00	114.01

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<i>University of Texas:</i>				
Using Batterer Psychological Profiles	(2) 93.136	1R49CE000606-01/0005051A	33,654.00	16,285.11
Effects of Microbicides	(2) 93.866	02-020	673,875.18	91.91
<i>Neuromatrix:</i>				
Rural EMS Analysis	(2) 93.241	1000A	10,000.00	1,586.87
<i>University of Wisconsin-Milwaukee:</i>				
Clinical Significance of Alcohol Treatment Research	(2) 93.273	K069453	11,622.00	7,633.77
<i>University of Nebraska:</i>				
Prenatal Tobacco Exposure	(2) 93.279	24-1201-0008-002	117,400.00	116,747.68
<i>American College of Surgeons Oncology Group:</i>				
ACOSOG Z0360	(2) 93.395	478	8,000.00	23.22
<i>Duke University Medical Center:</i>				
American College of Surgeons Oncology Group	(2) 93.395	7U10CA76001-4/7U10CA86004-02	115,500.00	2,752.67
<i>National Childhood Cancer Foundation:</i>				
Children's Oncology Group Chair's Grant	(2) 93.395	11417	42,975.00	12,638.06
<i>Illinois Department of Human Services:</i>				
IWA Southern 7 Project	93.558	21X526200	248,000.00	26,219.33
Project 12-Ways	93.667	10C5001651	638,935.00	18,398.24
Project 12-Ways	93.667	21X4058DF1	638,935.00	44,488.00
Project 12-Ways	93.667	21X4058DF1	638,935.00	44,488.00
Project 12-Ways	93.667	10C6001651	678,935.00	681,628.84
Employability Development	93.667	106001646	55,756.00	55,748.34
Dental Sealant Grant Program	93.994	53481054	15,750.00	5,964.33
Mobile Clinic Planning Grant	93.994	10C5001651	100,000.00	101,733.49
<i>National Youth Sports Program Fund:</i>				
Saluki Kids Academy National Youth Sports Program	93.570	05:1121	50,000.00	48,027.96
<i>Illinois State Board of Education:</i>				
CTEI CTE Curriculum Instruction	93.586	05-3220	150,000.00	(1,988.71)
CTEI CTE Curriculum Instruction	(2) 93.586	06-3220	150,000.00	132,389.10

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<i>Egyptian Area Agency on Aging:</i>				
Legal Services to Older Persons	93.633	NA	49,114.00	(5,383.76)
<i>Illinois Department of Children and Family Services:</i>				
Clinical Practice Retraining	93.658	NA	-	(79.57)
Clinical Practice Retraining	93.658	NA	308,063.00	(25.07)
Clinical Practice Retraining	93.658	2905459019	382,398.00	310.16
Foster Parent Training	93.658	2198269012	305,240.00	0.04
Foster Parent Training	93.658	2198269015	164,544.80	246.76
Foster Parent Training	93.658	2198269016	182,657.62	167,685.32
CMRN-St Germain	93.917	977369015	265,548.00	22,882.82
<i>Illinois Department of Public Aid:</i>				
Pancreas Transplantation	93.778	NA	125,925.00	(114.66)
Apollipoprotein E Genotype in Children	(2) 93.778	NA	9,827.00	8,948.77
D-Methionine Protection	(2) 93.778	NA	50,000.00	15,009.05
Brief Epileptic Seizures	(2) 93.778	NA	50,006.00	21,480.45
Vitamin E Analogue in Breast Cancer	(2) 93.778	NA	25,000.00	22,755.55
Model for Preeclampsia	(2) 93.778	NA	50,000.00	23,422.82
ER-Mediated Gene Expression	(2) 93.778	NA	49,952.00	24,328.78
Genetically-Based Epileptogenic Mechanisms	(2) 93.778	NA	39,886.00	38,975.24
Molecular Regulation and Role of placenta	(2) 93.778	NA	50,000.00	50,172.73
Does GH Accelerate Aging?	(2) 93.778	NA	50,000.00	50,255.37
Nuclear Factor Kappa B	(2) 93.778	NA	44,137.00	54,729.89
Fatty Acid Synthase as Target for Cancer	(2) 93.778	NA	56,192.00	57,548.36
Noiceptors in Diabetic Neuropathy	(2) 93.778	DK03015	30,000.00	18,211.17
Mechanisms of Action of Estrogen	(2) 93.778	PA03053	35,000.00	12,088.00
Physical Activity and Biomarkers	(2) 93.778	E2003-29	46,640.00	22.28
Evaluation of Dnase	(2) 93.778	E2003-31	58,500.00	12,611.93
Weight Loss on Bronchial	(2) 93.778	NA	25,000.00	8,954.77
Home Anticoagulation	(2) 93.778	NA	35,000.00	-
Integrative Neurology	(2) 93.778	E2004-28	40,000.00	35,492.44
Aging and Acoustic Coding	(2) 93.778	E2004-30	46,400.00	(4,166.89)

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY, CARBONDALE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the year ended June 30, 2006

<u>Federal Grantor/Pass Through Grantor/Program Title</u>	<u>Federal CFDA/Grant/ Contract Number</u>	<u>Flow-Thru Grantor's Number</u>	<u>Program or Award Amount</u>	<u>Disbursements/ Expenditures</u>
Aging and Oxidative Stress	(2) 93.778	E2004-29	40,000.00	8,995.13
D-Met Rescue	(2) 93.778	E2004-35	50,000.00	(7.35)
Bioassay for Auto Antibodies	(2) 93.778	903064	25,000.00	(105.98)
Molecular Regulation	(2) 93.778	NA	25,000.00	(144.70)
EAM	(2) 93.778	NA	50,000.00	-
Nature of the Stria	(2) 93.778	NA	50,000.00	4,786.14
Estrogen Effects	(2) 93.778	NA	50,089.00	-
EAM Cancer Institute	(2) 93.778	NA	2,104,665.00	1,510,284.74
<i>Oregon Health Sciences University:</i>				
Initial Events in Gonococcal Infection	(2) 93.856	NA	18,709.00	(19,945.34)
<i>Mayo Clinic Foundation:</i>				
Smart Study	(2) 93.856	U01-A146362.01	22,087.00	9,366.27
<i>Chicago Women's Interagency:</i>				
Chicago Interagency Women's HIV Study	(2) 93.856	002458	89,998.00	25,041.49
<i>University of California-San Francisco:</i>				
Bacterial SIt and Innate Immunity	(2) 93.856	NCIRE2005-927-A	152,961.00	190,575.47
<i>University of Iowa:</i>				
Global Network for Research	(2) 93.865	4000528916	49,221.00	19,189.63
<i>University of California-San Diego:</i>				
Idebenone in Patients with Probably Alzheimer's Disease	(2) 93.866	CV2619	144,000.00	1,740.35
Vitamin E and Deonpezil	(2) 93.866	01-01-11	145,500.00	2,787.08
<i>Research Foundation for Mental Hygiene, Inc:</i>				
Vitamin E in Aging Persons	(2) 93.866	002458	151,320.00	5,732.10
<i>California Pacific Medical Center:</i>				
Insulin Signaling Gene Expression	(2) 93.866	2803204-S018	527,732.00	355,318.95
			<u>16,272,727.21</u>	<u>5,933,151.34</u>
Total U.S. Department of Health and Human Services			62,118,161.25	17,722,160.25
TOTAL FEDERAL ASSISTANCE			<u>\$ 221,573,682.17</u>	<u>\$ 51,922,652.93</u>

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

For the year ended June 30, 2006

	<u>Federal</u>	<u>Flow-Thru</u>	<u>Program or</u>	<u>FY'06</u>
	<u>CFDA/Grant</u>	<u>Grantor's Number</u>	<u>Award</u>	<u>Disbursements/</u>
	<u>Contract Number</u>	<u>Grantor's Number</u>	<u>Amount</u>	<u>Expenditures</u>
U.S. DEPARTMENT OF EDUCATION				
Direct Grants and Contracts:				
Student Support Services 01/05-USDE	(4)	PO42A011010	\$ 1,121,240	\$ 52,119
			<u>1,121,240</u>	<u>52,119</u>
Educational Talent Search 03/06-USDE	(4)	PO44A030172	1,001,044	262,165
			<u>1,001,044</u>	<u>262,165</u>
Upward Bound EC 03/06-USDE	(4)	P047A030483	2,332,594	557,730
Upward Bound-BEV 03/06-USDE	(4)	PO7A030225	1,186,608	293,231
			<u>3,519,202</u>	<u>850,960</u>
Upward Bound Math & Science 03/06-USDE	(4)	PO7M030192	1,022,972	243,437
			<u>1,022,972</u>	<u>243,437</u>
GEAR-UP 99/05			1,440,000	33,306
Child Care Access			204,964	7,525
			<u>1,644,964</u>	<u>40,832</u>
Plugging into L.I.T.E.S.			1,182,403	42,725
			<u>1,182,403</u>	<u>42,725</u>
Financial Assistance:				
Federal Work Study '06	(1)	P033A051286	778,651	279,990
Federal Work Study '05	(1)	P033A041286	825,163	5,823
			<u>1,603,814</u>	<u>285,812</u>

- (1) Financial Aide
- (2) Research (R3/5/2007)
- (3) Head Start
- (4) Trio Cluster

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the year ended June 30, 2006

	Federal CFDA/Grant <u>Contract Number</u>	Flow-Thru <u>Grantor's Number</u>	Program or Award <u>Amount</u>	FY'06 Disbursements/ <u>Expenditures</u>
U.S. DEPARTMENT OF EDUCATION (Cont'd.)				
Financial Assistance: (Cont'd.)				
Supplemental Education Opportunity Grant FY06	(1)	P007A051286	427,426	495,951
			427,426	495,951
Pell Grant Program - FY 05	(1)	P063P040116	7,813,412	2,731
Pell Grant Program - FY 06	(1)	P063P050116	7,004,141	7,190,758
			14,817,552	7,193,489
Flow-Thru Grants and Contracts:				
<i>Illinois Community College Board</i>				
Index of Need	84.002A	AEL02001/AEL03001/AEL06001	145,500	25,324
SIPDC Professional Development	84.002A	AEL05005/AEL06005	625,700	328,777
			771,200	354,101
<i>Illinois Board of Higher Education</i>				
Hands-on Chemistry 06/07	84.336A	TQE	73,000	5,916
Improving Middle School Teacher Quality 06/07	84.336A	TQE	73,000	675
Hands-on Chemistry 05/06	84.336A	TQE	70,000	55,042
Improving Middle School Teacher Quality 05/06	84.336A	TQE	70,000	52,623
			286,000	114,256
<i>Higher Education Center of St. Louis</i>				
Educational Opportunity Center 04/05	(4)	N/A	151,961	28,989
Educational Opportunity Center 05/06	(4)	N/A	156,283	127,952
			308,244	156,942

- (1) Financial Aide
- (2) Research (R3/5/2007)
- (3) Head Start
- (4) Trio Cluster

STATE OF ILLINOIS
 SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 For the year ended June 30, 2006

	<u>Federal</u>	<u>Flow-Thru</u>	<u>Program or</u>	<u>FY'06</u>
	<u>CFDA/Grant</u>	<u>Grantor's Number</u>	<u>Award</u>	<u>Disbursements/</u>
	<u>Contract Number</u>	<u>Grantor's Number</u>	<u>Amount</u>	<u>Expenditures</u>
U.S. DEPARTMENT OF EDUCATION (Cont'd.)				
Flow-Thru Grants and Contracts: (Cont'd.)				
<i>Illinois State Board of Education</i>				
Early Intervening Services & Responses-ISBE/USDE	(2)	84.027	IDEA4631RC	284,810
				34,855
Math & Science Leadership Initiative		84.366	054936madison cty012005	231,912
				175,949
			231,912	175,949
TOTAL U.S. DEPARTMENT OF EDUCATION				
			28,222,783	10,303,592
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES				
Direct Grants and Contracts:				
Nurse Anesthetist Traineeships		93.124	A22HP00043-06-00	25,568
				25,568
Advanced Education Nursing Traineeship		93.358	A10HP00099-06-00	63,483
				63,483
Evolution of L1sw Retrotransposons-NIH	(2)	93.390	1 R15 GM065846-01	125,742
				29,166
			125,742	29,166

- (1) Financial Aide
- (2) Research (R3/5/2007)
- (3) Head Start
- (4) Trio Cluster

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

For the year ended June 30, 2006

	Federal CFDA/Grant <u>Contract Number</u>	Flow-Thru Grantor's Number <u>Grantor's Number</u>	Program or Award <u>Amount</u>	FY'06 Disbursements/ <u>Expenditures</u>
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES (Cont'd.)				
Direct Grants and Contracts: (Cont'd.)				
Head Start T/TA 04/05	(3)	05CH5228/22	8,683,133	402
Early Head Start-BASE 04/05 - DHHS	(3)	05CH5228/22	1,285,592	1,365
Early Head Start-T/TA 04/05-DHHS	(3)	05CH5228/22	35,013	1,403
Head Start Basic Operating-05/06-DHHS	(3)	05CH5226/23	8,806,272	6,977,650
St. Clair Head Start-T/TA-05/06-DHHS	(3)	05CH5228/23	107,421	84,637
St. Clair Early Head Start-05/06-DHHS	(3)	05CH5228/23	1,298,448	1,022,240
St. Clair Early Head Start T/TA-05/06-DHHS	(3)	05CH5228/23	32,140	20,107
Head Start Basic Operating-06/07-DHHS	(3)	05CH5228/24	6,582,510	1,725,099
St. Clair Head Start-T/TA-06/07-DHHS	(3)	05CH5228/24	107,421	19,449
St. Clair Early Head Start-06/07-DHHS	(3)	05CH5228/24	1,285,464	291,818
St. Clair Early Head Start T/TA-06/07-DHHS	(3)	05CH5228/24	32,461	3,588
			28,255,875	10,147,757
Financial Assistance:				
Scholarship for Disadvantaged Students (SDS) Nursing '2006	(1)	3T08HP04714A0	72,874	62,464
			72,874	62,464
Flow-Thru Grants & Contracts:				
<i>Illinois Department of Children and Family Services</i>				
Project Success 05/06			93,667	
			222,278	202,740
			222,278	202,740

- (1) Financial Aide
- (2) Research (R3/5/2007)
- (3) Head Start
- (4) Trio Cluster

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the year ended June 30, 2006

	Federal CFDA/Grant <u>Contract Number</u>	Flow-Thru Grantor's Number	Program or Award Amount	FY'06 Disbursements/ <u>Expenditures</u>
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES (Cont'd.)				
Flow-Thru Grants & Contracts: (Cont'd.)				
<i>Illinois Department of Children and Family Services (Cont'd.)</i>				
DCFS Training Services 04/05	93.658		194,020	6,644
DCFS Training Services 05/06	93.658		194,021	116,942
			<u>388,041</u>	<u>123,586</u>
<i>St. Louis University</i>				
Geriatric Education Centers 04/05	93.969	5 D31 HP70122-05	10,800	720
			<u>10,800</u>	<u>720</u>
<i>Illinois Department of Public Health</i>				
Vision and Hearing Grant FY05	93.994	0014823044670400	4,500	603
Vision and Hearing Grant FY06	93.994	0014823044670400	4,500	2,609
			<u>9,000</u>	<u>3,212</u>
<i>Southern Illinois University Carbondale</i>				
Live Free Tobacco Free	92.283	23086-7603Z	25,000	1,727
			<u>25,000</u>	<u>1,727</u>
<i>University of Tennessee</i>				
PAH/Metal Exposure and Effects Assessment	(2) 93.115	1 R15 ES013129-01	52,000	11,937
			<u>52,000</u>	<u>11,937</u>
			29,250,661	10,669,593

TOTAL U.S. DEPARTMENT OF HEALTH & HUMAN SERVICES

- (1) Financial Aide
- (2) Research (R3/5/2007)
- (3) Head Start
- (4) Trio Cluster

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

For the year ended June 30, 2006

	Federal CFDA/Grant <u>Contract Number</u>	Flow-Thru Grantor's Number <u>Grantor's Number</u>	Program or Award <u>Amount</u>	FY'06 Disbursements/ <u>Expenditures</u>
NATIONAL SCIENCE FOUNDATION				
Direct Grants and Contracts:				
Designing Vaccine Formularies	(2)	DMI-0222554	39,522	6,257
Collaborative Research:Pediatric Vaccine -NSF	(2)	DMI-0456945	74,763	16,766
Assay Development for a Biosensor-NSF	(2)	EEC-0538739	213,288	49,656
			<u>327,573</u>	<u>72,680</u>
Spectroscopy of Metal-Containing Diatomic Radicals	(2)	CHE-0213363	175,290	46,749
Fluorinated Building Blocks-	(2)	CHE-0345776	176,000	43,622
NUE: Nanoscale Science and Engineering-NSF	(2)	DMR-0407096	100,000	33,400
Optimal Control Mathematical Models for Cancer	(2)	DMS-0408827	102,015	30,859
RUI: The Metal Alkyne Interaction-N	(2)	CHE-0514745	214,000	28,394
5th Conference on Function Spaces-NSF	(2)	DMS-0535770	20,000	19,885
			<u>787,305</u>	<u>202,909</u>
Determinants of Molecular Recognition-NSF	(2)	MCB-0606700	101,349	23,458
			<u>101,349</u>	<u>23,458</u>
An Undergraduate Robotics Course-NSF 02-095	(2)	DUE-0311434	84,000	4,381
Collaborative Project Gemini XRD -NSF	(2)	DUE-0410642	106,347	21,904
GS/RES Effect of Robotics Programs/NSF	(2)	HRD-0522400	352,586	234,828
			<u>542,933</u>	<u>261,113</u>

- (1) Financial Aide
- (2) Research (R3/5/2007)
- (3) Head Start
- (4) Trio Cluster

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

For the year ended June 30, 2006

	Federal CFDA/ Grant <u>Contract Number</u>	Flow-Thru Grantor's Number <u>Grantor's Number</u>	Program or Award <u>Amount</u>	FY'06 Disbursements/ <u>Expenditures</u>
NATIONAL SCIENCE FOUNDATION (Cont'd)				
Flow-Thru Grants and Contracts:				
<i>Chicago State University</i>				
Increasing the Minority Scientist Pool 05/06-CSU				
Phase III: Increasing Minority Scientist Pool-CSU				
	47.076	HRD-0000341	40,000	22,179
	47.076	HRD -0413000	60,500	10,360
			<u>100,500</u>	<u>32,539</u>
<i>University of Illinois</i>				
Undergraduate Research Assistant-MAEC/NSF				
Virtual Building Retrofit Demonstrations				
Undergraduate Research Assistant Supplement				
	(2) 47.041	EEC-9701785	10,000	4,470
	(2) 47.041	EEC-9701785/CMS-9701785	116,987	17,344
	(2) 47.041	EEC-9701785	15,000	1,550
			<u>141,987</u>	<u>23,364</u>
<i>University of Miami</i>				
Functional Genomics				
	(2) 47.074	OCE-0308777	226,434	54,860
			<u>226,434</u>	<u>54,860</u>
			2,228,081	670,922
TOTAL NATIONAL SCIENCE FOUNDATION				
U.S. ENVIRONMENTAL PROTECTION AGENCY				
Direct Grants and Contracts:				
Frontiers in Risk Application-EPA				
	(2) 66.XXX	R-82905801-0	119,772	1,410
			<u>119,772</u>	<u>1,410</u>
Acute and Developmental Toxicity-EPA				
	(2) 66.509	RD-83284201-0	375,000	175
			<u>375,000</u>	<u>175</u>

- (1) Financial Aide
- (2) Research (R3/5/2007)
- (3) Head Start
- (4) Trio Cluster

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

For the year ended June 30, 2006

	Federal CFDA/Grant <u>Contract Number</u>	Flow-Thru Grantor's Number	Program or Award <u>Amount</u>	FY'06 Disbursements/ <u>Expenditures</u>
U.S. ENVIRONMENTAL PROTECTION AGENCY (Cont'd.)				
<i>University of Illinois</i>				
MTAC for Small Water Systems-Uof I/ US EPA				
	EPA	A7415	91,500	40,810
			<u>91,500</u>	<u>40,810</u>
TOTAL U.S. ENVIRONMENTAL PROTECTION AGENCY			586,272	42,394
U.S. DEPARTMENT OF DEFENSE				
Direct Grants and Contracts:				
Faunal Survey of Lake Wappapello-USACE				
	(2)	DACW43-02-P-1011	144,000	3,732
Flora of Lake Wappapello-USACE				
	(2)	DACW43-02-T-7027	36,000	1,180
Year 2000 Assessment Boltonia Decurrens				
	(2)	DACW25-00-Q-0102	14,950	4,050
			<u>194,950</u>	<u>8,962</u>
Spatially Explicit Assessments-SERDP				
	(2)	W912HQ-06-P-0053	100,000	13,702
			<u>100,000</u>	<u>13,702</u>
TOTAL DEPARTMENT OF DEFENSE			294,950	22,664
U.S. DEPARTMENT OF AGRICULTURE				
Direct Grants and Contracts:				
ARS/Ethanol Research Agreement-USDA				
	10.001	580790-3-149/59-0790-3-149	1,317,604	208,334
			<u>1,317,604</u>	<u>208,334</u>

- (1) Financial Aide
- (2) Research (R3/5/2007)
- (3) Head Start
- (4) Trio Cluster

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the year ended June 30, 2006

	Federal CFDA/Grant <u>Contract Number</u>	Flow-Thru Grantor's Number <u>Grantor's Number</u>	Program or Award <u>Amount</u>	FY'06 Disbursements/ <u>Expenditures</u>
U.S. DEPARTMENT OF AGRICULTURE (Cont'd.)				
Flow-Thru Grants and Contracts:				
<i>Illinois State Board of Education</i>				
Discover Center for Little Scholars - Food Program	10.558	41-057-029P-00	-	1,658
Head Start - Food Service-ISBE	10.558	41-057-029P-00	-	353,220
Project Success-Food Service - ISBE	10.558	41-057-029P-00	-	32,935
ECC Food Program - ISBE	10.558	41-057-029P-00	-	6,244
PALS - Food Service - ISBE	10.558	41-057-029P-00	-	2,909
			<u>396,966</u>	
<i>University of Missouri Columbia</i>				
Illinois Missouri Biotech Alliance	(2)	10.2	2005-05126-06 USDA	10,713
			<u>32,058</u>	<u>10,713</u>
			1,349,662	616,013
TOTAL U.S. DEPARTMENT OF AGRICULTURE				
U.S. DEPARTMENT OF INTERIOR				
Flow-Thru Grants and Contracts:				
<i>Illinois Department of Natural Resources</i>				
Mine Subsidence Project Aide	15.252	N/A	-	1,509
Subsidence Service Agreement-FY06	15.252	N/A	2,000	270
Subsidence Monitoring Response Team 04/05-IDNDR	15.252	AML-GSwA-XXX	89,909	5,777
Subsidence Monitoring Response Team 05/06-IDNDR	(2)	AML-GSwA-XX-XX	92,703	82,019
Mine Map Preservation 01/07	(2)	AML-GSwA-0100E	1,197,170	266,903
			<u>1,381,782</u>	<u>356,477</u>

- (1) Financial Aide
- (2) Research (R3/5/2007)
- (3) Head Start
- (4) Trio Cluster

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

For the year ended June 30, 2006

	Federal CFDA/Grant <u>Contract Number</u>	Flow-Thru Grantor's Number	Program or Award <u>Amount</u>	FY'06 Disbursements/ <u>Expenditures</u>
U.S. DEPARTMENT OF INTERIOR (Cont'd.)				
Flow-Thru Grants and Contracts: (Cont'd.)				
<i>Illinois Department of Natural Resources (Cont'd.)</i>				
Field Study Boltonia Decurrens-Meredosia-IDNR	(2)	N/A	20,000	19,321
			20,000	19,321
<i>University of Georgia</i>				
Effects of Altered Flows-Univ of GA	(2)	RR267-189/9038127	12,433	6,923
			12,433	6,923
<i>Kansas State University</i>				
Ecotoxicity of Neotropical Shorebirds-KSU	(2)	KS-N11A	10,000	1,264
			10,000	1,264
			1,424,215	383,985

TOTAL U.S. DEPARTMENT OF THE INTERIOR

CORPORATION FOR NATIONAL SERVICE

Flow-Thru Grants and Contracts:
Illinois Department of Human Services
Americorps 04/06

94.006	411G4825000/411G4825153	836,441	730,227
		836,441	730,227
		836,441	730,227

TOTAL CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

- (1) Financial Aide
- (2) Research (R3/5/2007)
- (3) Head Start
- (4) Trio Cluster

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

For the year ended June 30, 2006

	Federal CFDA/Grant <u>Contract Number</u>	Flow-Thru Grantor's Number <u>Grantor's Number</u>	Program or Award <u>Amount</u>	FY'06 Disbursements/ <u>Expenditures</u>
U.S. SMALL BUSINESS ADMINISTRATION				
Flow-Thru Grants and Contracts:				
<i>Illinois Dept. of Commerce and Community Affairs</i>				
	59.037	04-80126	185,000	5,181
SWI Small Business Development Center-IDCEO			185,000	9,472
Small Business Development Network 04/05-IDCEO	59.037	05-80126	185,000	179,323
Small Business Development Ctr Network FY06-IDCEO	59.037	06-801126	150,000	139,029
SW Illinois Entrepreneurship Center-IDCEO 05/06	59.037	06-051103	705,000	333,005
			705,000	333,005
TOTAL U.S. SMALL BUSINESS ADMINISTRATION				
US DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT				
Direct Grants and Contracts:				
	14.218	CDWS-L-04-117	90,000	38,830
HUD Work Study CDWSP 04-06			90,000	38,830
	14.412	COL971001	1,816,294	84,562
<i>Flow-Thru Grants and Contracts:</i> <i>East St. Louis Housing Authority</i> Campus of Learners 97/06			1,816,294	84,562
			1,906,294	123,392
TOTAL U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT				

- (1) Financial Aide
- (2) Research (R3/5/2007)
- (3) Head Start
- (4) Trio Cluster

**STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**

For the year ended June 30, 2006

	<u>Federal CFDA/Grant Contract Number</u>	<u>Flow-Thru Grantor's Number</u>	<u>Program or Award Amount</u>	<u>FY'06 Disbursements/ Expenditures</u>
U.S. POSTAL SERVICE				
Direct Grants and Contracts:				
S.I.U. Branch Post Office	287140-90-P-0079		-	4,639
			-	4,639
TOTAL U.S. POSTAL SERVICE			-	4,639
NATIONAL ENDOWMENT FOR THE ARTS				
Direct Grants and Contracts:				
Forever Free: Abraham Lincoln's Journey-NEH	(2) 45.164	LI-50032-06	1,000	1,000
			1,000	1,000
Flow-Thru Grants and Contracts:				
<i>Illinois Arts Council</i>				
Summer Showbiz 2005-IAC	45.024	FY05-0309,OPG/THE	4,530	2,690
Youth Arts 04/05-IAC	45.024	FY05-0540PIE/MUL	20,000	5,537
Youth Arts FY06-IAC	45.024	FY06-0334,PIE/MUL	21,000	11,955
Summer Showbiz FY06-IAC	45.024	FY06-0115	5,250	2,705
Arts and Issues 2005-06-IAC	45.024	FY06-0667	10,660	10,280
Illinois Public Broadcasting-IAC 05/06	45.024		42,513	18,363
Summer Youth in the Arts Internship FY06-IAC	45.024	FY06-1962,YOUTH	3,900	2,600
			107,853	54,129

- (1) Financial Aide
- (2) Research (R3/5/2007)
- (3) Head Start
- (4) Trio Cluster

**STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**

For the year ended June 30, 2006

	Federal CFDA/Grant <u>Contract Number</u>	Flow-Thru Grantor's Number <u>Grantor's Number</u>	Program or Award <u>Amount</u>	FY'06 Disbursements/ <u>Expenditures</u>
NATIONAL ENDOWMENT FOR THE ARTS (Cont'd.)				
Flow-Thru Grants and Contracts: (Cont'd.)				
<i>Illinois State Library</i>				
Literacy Education Collection	45.310	LSTA-05-0404-5256	4,000	60
Putting Digital Library Resources in the Hands of Nursing Student	45.310	LSTA-06-5086	104,675	103,396
			108,675	103,456
			217,528	158,585
TOTAL NATIONAL ENDOWMENT FOR THE ARTS				
US DEPARTMENT OF TRANSPORTATION				
Flow-Thru Grants and Contracts:				
<i>Illinois Department of Transportation</i>				
Investigation of Select LRF Design Factors-IDOT	(2)	JN004001	250,000	72,692
			250,000	72,692
			250,000	72,692
TOTAL US DEPARTMENT OF TRANSPORTATION				
US DEPARTMENT OF COMMERCE				
Direct Grants and Contracts:				
The Creation of an Economic Development Administration at SIUE-USDOC	(2)	06-66-05055	317,044	128,602
			317,044	128,602
			317,044	128,602
TOTAL US DEPARTMENT OF COMMERCE				

- (1) Financial Aide
- (2) Research (R3/5/2007)
- (3) Head Start
- (4) Trio Cluster

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

For the year ended June 30, 2006

	Federal CFDA/ Grant <u>Contract Number</u>	Flow-Thru Grantor's Number <u>Grantor's Number</u>	Program or Award <u>Amount</u>	FY'06 Disbursements/ <u>Expenditures</u>
LIBRARY OF CONGRESS				
Flow-Thru Grants and Contracts:				
<i>Education and Research Consortium of the Western Carolinas</i>				
Adventures in the American Mind				
	LOC	00G-LIB-ND001	1,296,425	185,081
			<u>1,296,425</u>	<u>185,081</u>
TOTAL LIBRARY OF CONGRESS				
US DEPARTMENT OF ENERGY				
Direct Grants and Contracts:				
Enhancement of Selenium				
	(2)	DOENERGY DE-FG02-03ER63621	81,951	23,184
			<u>81,951</u>	<u>23,184</u>
Flow-Thru Grants and Contracts:				
<i>National Association of State Energy Officials</i>				
Utilizing NCRPP to Develop a Predictive Model				
	81.119	DE-FC3603GO13026	633,149	31,829
			<u>633,149</u>	<u>31,829</u>
TOTAL US DEPARTMENT OF ENERGY				
CIES - FULBRIGHT				
Collaborative Design of English Language-Fulbright				
Integrating Teaching & Reading in Nepal-CIES				
	(2)	CIES 87480457	18,800	8,527
			<u>11,186</u>	<u>10,994</u>
			29,986	19,521
TOTAL CIES-FULBRIGHT				
(1) Financial Aide				
(2) Research (R3/5/2007)				
(3) Head Start				
(4) Trio Cluster				
			29,986	19,521

STATE OF ILLINOIS
 SOUTHERN ILLINOIS UNIVERSITY EDWARDSVILLE
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 For the year ended June 30, 2006

	Federal CFDA/Grant Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	FY'06 Disbursements/ Expenditures
CORPORATION FOR PUBLIC BROADCASTING				
Flow-Thru Grants and Contracts:				
<i>Illinois Corporation for Public Broadcasting</i>				
	CPB		42,974	14,802
	CPB		<u>22,279</u>	<u>8,536</u>
			65,253	23,338
			65,253	23,338
			\$ 69,695,696	\$ 24,543,257
				<u><u>24,543,257</u></u>

- (1) Financial Aide
- (2) Research (R3/5/2007)
- (3) Head Start
- (4) Trio Cluster

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year ended June 30, 2006

NOTE A - BASIS OF PRESENTATION

The accompanying schedule of expenditures of federal awards includes the federal grant activity of Southern Illinois University (the "University") and is presented on the accrual basis of accounting. The information in this schedule is presented in accordance with the requirements of OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Therefore, some amounts presented in this schedule may differ from amounts presented in, or used in the preparation of, the basic financial statements.

NOTE B - STUDENT LOAN PROGRAMS ADMINISTERED BY THE UNIVERSITY

The University administered the following federal loan programs during the year ended June 30, 2006:

	<u>Carbondale</u>	<u>Edwardsville</u>	<u>Total</u>
Perkins Loan Program CFDA #84.038			
Outstanding balance, July 1, 2005	\$ 15,539,256	\$ 2,128,547	\$ 17,667,803
Additions:			
Interest income	202,047	42,581	244,628
Decrease in provision for notes receivable	161,081	51,275	212,356
Contributions:			
U.S. Government	-	-	-
University - General funds	-	-	-
Total contributions	-	-	-
Total additions	363,128	93,856	456,984
Deductions:			
Loans canceled or written-off, net	321,852	19,853	341,705
Administrative charges	197,338	118,180	315,518
Increase in provision for notes receivable	-	-	-
Total deductions	519,190	138,033	657,223
Outstanding balance, June 30, 2006	<u>\$ 15,383,194</u>	<u>\$ 2,084,370</u>	<u>\$ 17,467,564</u>

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year ended June 30, 2006

NOTE B - STUDENT LOAN PROGRAMS ADMINISTERED BY THE UNIVERSITY
(Continued)

	<u>Carbondale</u>	<u>Edwardsville</u>	<u>Total</u>
Nursing Student Loan Program CFDA #93.364			
Outstanding balance, July 1, 2005	\$ -	\$ (16,644)	\$ (16,644)
Additions:			
Interest income	-	36	36
Total additions	-	36	36
Deductions:			
Loans canceled or written off, net	-	(348)	(348)
Refund to grantor	-	-	-
Total deductions	-	(348)	(348)
Outstanding balance, June 30, 2006	<u>\$ -</u>	<u>\$ (16,260)</u>	<u>\$ (16,260)</u>
Health Professionals Loan Program CFDA #93.342			
Outstanding balance, July 1, 2005	\$ 1,674,284	\$ 238,653	\$ 1,912,937
Additions:			
Contributions	-	22,478	22,478
Interest income	50,608	1,498	52,106
Decrease in provision for notes receivable	22,044	-	22,044
Other	360	-	360
Total additions	73,012	23,976	96,988
Deductions:			
Loans canceled or written off (net)	-	21,427	21,427
Refunds to grantor	226,364	-	226,364
Increase in provision for notes receivable	-	-	-
Contractual services - Primary Care Loan	-	-	-
Total deductions	<u>226,364</u>	<u>21,427</u>	<u>247,791</u>
Outstanding balance, June 30, 2006	<u>\$ 1,520,932</u>	<u>\$ 241,202</u>	<u>\$ 1,762,134</u>

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year ended June 30, 2006

NOTE B - STUDENT LOAN PROGRAMS ADMINISTERED BY THE UNIVERSITY
(Continued)

	<u>Carbondale</u>	<u>Edwardsville</u>	<u>Total</u>
Disadvantaged Student Loan Program			
CFDA #93.342			
Outstanding balance, July 1, 2005	\$ 181,297	\$ 724	\$ 182,021
Additions:			
Interest income	2,224	18	2,242
Other	<u>16</u>	<u>-</u>	<u>16</u>
Total additions	2,240	18	2,258
Deductions:			
Refund to grantor	-	-	-
Increase in provision for notes receivable	2,088	-	2,088
Nonmandatory Transfer-Out	<u>-</u>	<u>-</u>	<u>-</u>
Total deductions	<u>2,088</u>	<u>-</u>	<u>2,088</u>
Outstanding balance, June 30, 2006	<u>\$ 181,449</u>	<u>\$ 742</u>	<u>\$ 182,191</u>

The above deductions include disbursements and expenditures such as loans to students and administrative expenditures.

NOTE C - FEDERAL DIRECT STUDENT LOAN PROGRAM CFDA #84.268:

During the fiscal year ended June 30, 2006, the University processed the following amounts of non-cash federal awards in the form of loans under the Federal Direct Student Loan Program (which includes Stafford Loans, Parents' Loans for Undergraduate Students, and Supplemental Loans for Students):

	<u>Carbondale</u>	<u>Edwardsville</u>	<u>Total</u>
Year ended June 30, 2006	<u>\$ 76,978,390</u>	<u>\$ 47,958,269</u>	<u>\$ 124,936,659</u>

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year ended June 30, 2006

NOTE D - MAJOR PROGRAMS

The following federal program expenditures comprise major program expenditures under OMB Circular A-133 for the year ended June 30, 2006. (Major programs are indicated in the Schedule of Expenditures of Federal Awards by (1), (2), (3) or (4)).

	<u>Carbondale</u>	<u>Edwardsville</u>	<u>Total</u>
(1) Student Financial Aid Cluster	\$ 18,064,991	\$ 8,037,717	\$ 26,102,708
(2) Research and Development Cluster	20,044,756	1,362,204	21,406,960
(3) Head Start Program	3,205,874	10,147,757	13,353,631
(4) TRIO Cluster	<u>788,641</u>	<u>1,565,623</u>	<u>2,354,264</u>
 Total major program expenditures	 42,104,262	 21,113,301	 63,217,563
 Other program expenditures	 <u>9,818,390</u>	 <u>3,429,956</u>	 <u>13,248,346</u>
 Total federal expenditures	 <u>\$ 51,922,652</u>	 <u>\$ 24,543,257</u>	 <u>\$ 76,465,909</u>

As part of the Student Financial Aid Program, Southern Illinois University administers loan proceeds under the Perkins Loan Program (as described in Note B) and the Federal Direct Student Loan Program (as described in Note C).

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year ended June 30, 2006

NOTE E - SUBRECIPIENTS

Of the federal expenditures presented in the Schedule of Expenditures of Federal Awards, the University provided federal awards to subrecipients as follows:

<u>Program Title</u>	<u>Federal CFDA Number</u>	<u>Amount Provided to Subrecipients</u>
Carbondale:		
Higher Education Challenge Grants	10.217	\$ 10,762
Grants for Agricultural Research, Special Research Grants	10.200	95,332
Grants for Agricultural Research	10.206	61,479
Wildlife Restoration	15.611	13,652
Educational Exchange		
Fulbright American Studies Institutes	19.418	37,039
Social, Behavioral, and Economic Sciences	47.075	89,608
Incentives Grant - WIA	17.267	123,906
U.S. Department of Energy	81.DE-FC26-98FT40028	17,199
U.S. Department of Energy	81.FC26-03-NT41839	158,316
U.S. Department of Defense	12.CE-PO-DACW42-02-0018	84,497
Improving Teacher Quality State Grants	84.367	<u>90,615</u>
Subrecipients - Carbondale		<u>\$ 782,405</u>

<u>Program Title</u>	<u>Federal CFDA Number</u>	<u>Amount Provided to Subrecipients</u>
Edwardsville:		
Education and Human Resources	17.267	\$ 123,906
Head Start	93.600	553,278
Americorps & Retired/Senior Volunteer Program	94.006	<u>146,347</u>
Subrecipients - Edwardsville		<u>\$ 823,531</u>

**STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year ended June 30, 2006**

NOTE F - NON-CASH ASSISTANCE AND FEDERAL INSURANCE

During the year ended June 30, 2006, the University received no non-cash assistance, except as disclosed in Note C. Also, there was no federally funded insurance in effect during the year ended June 30, 2006.

NOTE G - RATIOS OF FEDERAL EXPENDITURES TO TOTAL UNIVERSITY EXPENDITURES

	<u>Carbondale</u>		<u>Edwardsville</u>		<u>Total</u>	
	<u>Amount</u>	<u>Percent</u>	<u>Amount</u>	<u>Percent</u>	<u>Amount</u>	<u>Percent</u>
Total federal awards	\$ 51,922,652		\$ 24,565,735		\$ 76,488,387	
Loans	<u>-</u>		<u>(22,478)</u>		<u>(22,478)</u>	
Federal expenditures	\$ 51,922,652	9.42%	\$ 24,543,257	11.18%	\$ 76,465,909	9.92%
Nonfederal funds*	<u>499,228,480</u>	<u>90.58</u>	<u>194,953,773</u>	<u>88.82</u>	<u>694,182,253</u>	<u>90.08</u>
	<u>\$ 551,151,132</u>	<u>100.00%</u>	<u>\$ 219,497,030</u>	<u>100.00%</u>	<u>\$ 770,648,162</u>	<u>100.00%</u>

* Nonfederal funds are calculated by taking "Total Operating Expenses" for the University on the Statement of Revenues, Expenses, and Changes in Net Assets and deducting the amounts relating to Federal expenditures.

Breakout of Major and Nonmajor Programs

	<u>Carbondale</u>		<u>Edwardsville</u>		<u>Total</u>	
	<u>Amount</u>	<u>Percent</u>	<u>Amount</u>	<u>Percent</u>	<u>Amount</u>	<u>Percent</u>
Major programs	\$ 42,104,262	81.09%	\$ 21,113,301	86.02%	\$ 63,217,563	82.67%
Nonmajor programs	<u>9,818,390</u>	<u>18.91</u>	<u>3,429,956</u>	<u>13.98</u>	<u>13,248,346</u>	<u>17.33</u>
Total federal expenditures	<u>\$ 51,922,652</u>	<u>100.00%</u>	<u>\$ 24,543,257</u>	<u>100.00%</u>	<u>\$ 76,465,909</u>	<u>100.00%</u>