

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPLIANCE EXAMINATION

For the Two Years Ended June 30, 2011

Performed as Special Assistant Auditors
for the Auditor General, State of Illinois

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPLIANCE EXAMINATION

For the Two Years Ended June 30, 2011

TABLE OF CONTENTS

	<u>Pages</u>
Agency Officials	1
Management Assertion Letter	2
Compliance Report	
Summary	3 - 5
Accountant's Reports	
Independent Accountants' Report on State Compliance, on Internal Control Over Compliance, and on Supplementary Information for State Compliance Purposes	6 - 8
Independent Auditors' Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with <i>Government Auditing Standards</i>	9 - 10
Schedule of Findings	
Current Findings – State Compliance	11 - 21
Prior Findings Not Repeated	22 - 23
Financial Statement Report	
The Agency's financial statement report for the year ended June 30, 2011, which includes the report of independent auditors, basic financial statements and notes, supplementary information, and the independent auditor's report on internal control over financial reporting and on compliance and other matters based on an audit of basic financial statements performed in accordance with <i>Government Auditing Standards</i> has been issued separately.	
Supplementary Information for State Compliance Purposes	
Summary	24 - 25
Fiscal Schedules and Analysis	
Schedule of Expenditures of Federal Awards – Cash Basis	
Year Ended June 30, 2011	26
Year Ended June 30, 2010	27
Notes to the Schedule of Expenditures of Federal Awards – Cash Basis	28 - 29
Schedule of Appropriations, Expenditures and Lapsed Balances – Operating Group	
By Fund	
Fiscal Year 2011	30 - 32
Fiscal Year 2010	33 - 34
Schedule of Appropriations, Expenditures and Lapsed Balances – Total by Fund	
Fiscal Year 2011	35 - 37
Fiscal Year 2010	38 - 39
Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances	40 - 56
Schedule of Expenditures by Major Object Code	57 - 58

Fiscal Schedules and Analysis – Continued

Comparative Schedule of Receipts, Disbursements, and Fund Balances (Cash Basis) – Locally Held Funds	59 - 60
Schedule of Changes in State Property	
Year Ended June 30, 2011	61 - 62
Year Ended June 30, 2010	63 - 64
Comparative Schedule of Cash Receipts – By Department and Source	65 - 66
Reconciliation Schedule of Cash Receipts by Department, Source and Fund to Deposits Remitted to the State Comptroller	
Year Ended June 30, 2011	67 - 75
Year Ended June 30, 2010	76 - 84
Analysis of Significant Variations in Expenditures	85 - 89
Analysis of Significant Variations in Receipts	90 - 92
Analysis of Significant Lapse Period Spending	
Year Ended June 30, 2011	93 - 96
Year Ended June 30, 2010	97 - 100
Analysis of Cash Receipts (Unaudited)	101 - 102
Analysis of Accounts Receivable	103
Analysis of Operations	
Office Functions and Planning Program	104
Analysis of Personal Services	105
Accounting Revenue Sales Analysis as Reported by Vehicle Services and Drivers Services (Unaudited)	106 - 109
Service Efforts and Accomplishments (Unaudited)	110 - 112

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

AGENCY OFFICIALS

Secretary of State	Honorable Jesse White
Deputy Secretary of State and Chief of Staff	Thomas N. Benigno
Chief Auditor	Nancy Bowyer
General Counsel	Irene Lyons
Inspector General	Jim Burns
Accounting Revenue, Director	Carl Forn
Chief Deputy Director – Budget and Fiscal Management	Amanda Trimmer

Secretary of State offices are located throughout the State of Illinois. Secretary of State financial records are processed and maintained at the Howlett Building, Springfield, Illinois 62756. Executive offices of the Secretary of State are located at Room 213, Capitol Building, Springfield, Illinois, 62756 and Suite 5-400, 100 W. Randolph, Chicago, Illinois 60601.



OFFICE OF THE SECRETARY OF STATE

JESSE WHITE • Secretary of State

MANAGEMENT ASSERTION LETTER

May 15, 2012

Sikich LLP
132 S. Water Street, Suite 300
Decatur, Illinois 62525

Ladies and Gentlemen:

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grants that could have a material effect on the operations of the Illinois Office of the Secretary of State (Office). We are responsible for and we have established and maintained an effective system of, internal controls over compliance requirements. We have performed an evaluation of the Office's compliance with the following assertions during the two-year period ended June 30, 2011. Based on this evaluation, we assert that during the years ended June 30, 2010 and June 30, 2011, the Office has materially complied with the assertions below.

- A. The Office has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Office has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Office has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the Office are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the Office on behalf of the State or held in trust by the Office have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Yours very truly,

Illinois Office of the Secretary of State

Jesse White, Secretary of State

Irene Lyons, Legal Counsel

Amanda Trimmer, Chief Deputy

Director - Budget and Fiscal Management

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
For the Two Years Ended June 30, 2011

COMPLIANCE REPORT

SUMMARY

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

ACCOUNTANTS' REPORTS

The Independent Accountants' Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

SUMMARY OF FINDINGS

<u>Number of Findings</u>	<u>Current Report</u>	<u>Prior Report</u>
Findings	9	8
Repeated findings	3	4
Prior recommendations implemented or not repeated	5	2

SCHEDULE OF FINDINGS

<u>Item No.</u>	<u>Page</u>	<u>Description</u>	<u>Finding Type</u>
FINDINGS (<i>GOVERNMENT AUDITING STANDARDS</i>)			
No matters were reported			
FINDINGS (<i>STATE COMPLIANCE</i>)			
11-1	11	Inadequate control of cash at Drivers License Facilities	Significant Deficiency and Noncompliance
11-2	13	Inadequate review of quarterly property reports	Significant Deficiency and Noncompliance
11-3	14	Noncompliance with the Uniform Commercial Code	Significant Deficiency and Noncompliance
11-4	15	Inadequate change fund procedures	Significant Deficiency and Noncompliance

FINDINGS (STATE COMPLIANCE) - Continued

<u>Item No.</u>	<u>Page</u>	<u>Description</u>	<u>Finding Type</u>
11-5	16	Representative not appointed to task force	Significant Deficiency and Noncompliance
11-6	17	Noncompliance with the State Employment Records Act	Significant Deficiency and Noncompliance
11-7	18	Insufficient physical security of computer resources	Significant Deficiency and Noncompliance
11-8	19	Inadequate procedures for disposal of confidential information	Significant Deficiency and Noncompliance
11-9	21	Failure to comply with information technology systems development standards	Significant Deficiency and Noncompliance

PRIOR FINDINGS NOT REPEATED

<u>Item No.</u>	<u>Page</u>	<u>Description</u>
A	22	Lack of financial reporting review procedures
B	22	Inadequate controls over reporting of accounts receivable
C	22	Noncompliance with State Officials and Employees Ethics Act
D	22	Year end carryover of postage
E	23	Late reporting to the Office of the Comptroller

EXIT CONFERENCE

The findings and recommendations appearing in this report were discussed with Office personnel at an exit conference on May 15, 2012. Responses to the recommendations were provided by Joseph McDonald in correspondence dated May 15, 2012.

Attending the exit conference were:

OFFICE OF THE SECRETARY OF STATE

Terri Coombes	Deputy Chief of Staff
Nancy Bowyer	Chief Auditor
Amanda Trimmer	Chief Deputy Director – Budget & Fiscal Management
Stell Mallios	Deputy Director - Internal Audit
Joseph McDonald	Accountant - Budget & Fiscal Management

OFFICE OF THE AUDITOR GENERAL

Gayla Rudd
Joseph Gudgel

Audit Manager
Audit Manager

SIKICH LLP

Thomas Leach
Meredith Angel

Partner
Senior Accountant



132 South Water Street, Suite 300, P.O. Box 1460 Decatur, IL 62525-1460

**INDEPENDENT ACCOUNTANTS' REPORT ON STATE COMPLIANCE,
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES**

Honorable William G. Holland
Auditor General
State of Illinois

Compliance

As Special Assistant Auditors for the Auditor General, we have examined the State of Illinois, Office of the Secretary of State's compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the two years ended June 30, 2011. The management of the State of Illinois, Office of the Secretary of State is responsible for compliance with these requirements. Our responsibility is to express an opinion on the State of Illinois, Office of the Secretary of State's compliance based on our examination.

- A. The State of Illinois, Office of the Secretary of State has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, Office of the Secretary of State has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The State of Illinois, Office of the Secretary of State has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the State of Illinois, Office of the Secretary of State are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the State of Illinois, Office of the Secretary of State on behalf of the State or held in trust by the State of Illinois, Office of the Secretary of State have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

We conducted our examination in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the State of Illinois, Office of the Secretary of State's compliance with those requirements listed in the first paragraph of this report and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the State of Illinois, Office of the Secretary of State's compliance with specified requirements.

In our opinion, the State of Illinois, Office of the Secretary of State complied, in all material respects, with the compliance requirements listed in the first paragraph of this report during the two years ended June 30, 2011. However, the results of our procedures disclosed instances of noncompliance with those requirements, which are required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of findings as findings 11-1 through 11-9.

Internal Control

Management of the State of Illinois, Office of the Secretary of State is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the State of Illinois, Office of the Secretary of State's internal control over compliance with the requirements listed in the first paragraph of this report as a basis for designing our examination procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State of Illinois, Office of the Secretary of State's internal control over compliance.

A deficiency in an entity's internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with the requirements listed in the first paragraph of this report on a timely basis. *A material weakness in an entity's internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance such that there is a reasonable possibility that material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above. However, we identified certain deficiencies in internal control over compliance that we considered to be significant deficiencies as described in the accompanying schedule of findings as items 11-1 through 11-9. *A significant deficiency in an entity's internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

As required by the Audit Guide, immaterial findings excluded from this report have been reported in a separate letter to your office.

The State of Illinois, Office of the Secretary of State's responses to the findings identified in our examination are described in the accompanying schedule of findings. We did not examine the State of Illinois, Office of the Secretary of State's responses and, accordingly, we express no opinion on the responses.

Supplementary Information for State Compliance Purposes

As Special Assistant Auditors for the Auditor General, we have audited the financial statements of the governmental activities, each major fund, and the aggregate remaining fund information of the State of Illinois, Office of the Secretary of State as of and for the year ended June 30, 2011, which collectively comprise the State of Illinois, Office of the Secretary of State's basic financial statements, and have issued our report thereon dated May 15, 2012. The accompanying supplementary information, as listed in the table of contents as Supplementary Information for State Compliance Purposes, is presented for purposes of additional analysis and is not a required part of the basic financial statements of the State of Illinois, Office of the Secretary of State. The 2011 Supplementary Information for State Compliance Purposes, except for that portion marked "unaudited" on which we express no opinion, has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, is fairly stated in all material respects in relation to the basic financial statements for the year ended June 30, 2011 taken as a whole.

We have also previously audited, in accordance with auditing standards generally accepted in the United States, the State of Illinois, Office of the Secretary of State's basic financial statements for the years ended June 30, 2010, and June 30, 2009. In our reports dated February 9, 2011, and April 19, 2010, we expressed unqualified opinions on the respective financial statements of the governmental activities, each major fund, and the aggregate remaining fund information. In our opinion, the 2010 and 2009 Supplementary Information for State Compliance Purposes, except for the portion marked "unaudited," is fairly stated in all material respects in relation to the basic financial statements for the years ended June 30, 2010, and June 30, 2009, taken as a whole.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, and Office management and is not intended to be and should not be used by anyone other than these specified parties.



Decatur, Illinois
May 15, 2012



132 South Water Street, Suite 300 • Decatur, IL 62523

INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING
AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL
STATEMENTS
PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

Honorable William G. Holland
Auditor General
State of Illinois

As Special Assistant Auditors for the Auditor General, we have audited the financial statements of the governmental activities, each major fund, and the aggregate remaining fund information of the State of Illinois, Office of the Secretary of State, as of and for the year ended June 30, 2011, which collectively comprise State of Illinois, Office of the Secretary of State's basic financial statements and have issued our report thereon dated May 15, 2012. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Internal Control Over Financial Reporting

Management of the State of Illinois, Office of the Secretary of State, is responsible for establishing and maintaining effective internal control over financial reporting. In planning and performing our audit, we considered the State of Illinois, Office of the Secretary of State's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the State of Illinois, Office of the Secretary of State's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the State of Illinois, Office of the Secretary of State's internal control over financial reporting.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis.

A material weakness is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in the internal control over financial reporting that might be deficiencies, significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined above.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the State of Illinois, Office of the Secretary of State's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, and agency management, and is not intended to be and should not be used by anyone other than these specified parties.

A handwritten signature in black ink that reads "Sikich LLP". The signature is written in a cursive, flowing style.

Decatur, Illinois
May 15, 2012

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF FINDINGS – STATE COMPLIANCE
Two Years Ended June 30, 2011

11-1. **FINDING** (Inadequate control of cash at Drivers License Facilities)

The Office of the Secretary of State (Office) permitted multiple employees to have access to the same cash drawers at the Drivers License Facilities (facilities).

During our fieldwork, we visited 6 of the Office's 138 facilities. At 4 of the facilities visited, we noted that multiple employees could access the same cash drawer.

Office personnel indicated the excessive access to the cash drawers is a byproduct of the emphasis placed on prompt service and minimal customer wait time. Some of the smaller facilities have only two employees working, and one employee may be required to attend to the customers while the other employee administers a driver's exam. By permitting employees to access more than one cash drawer, the Office is reducing the wait time that could occur when employees leave their terminals for any reason, thus permitting another employee to continue processing at the terminal without switching out the cash drawers and restarting the terminal. We noted in our prior examination that the Office was in the process of installing new technology equipment to strengthen controls at these facilities. During the current examination, we noted during our visits to facilities that the hardware was in place, however, Office personnel stated that related software was being tested and had not yet become operational.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires all State agencies to establish and maintain internal controls to safeguard assets against loss, unauthorized use, and misappropriation. Good business practice dictates that the Office maintain adequate control over the receipts processed at the facilities, which includes restricting the access to the cash drawers. A substantial portion of receipts is collected at the Drivers License Facilities.

Although we did not identify specific cases where receipts were stolen, failure to restrict access to the cash drawers produces an environment where the potential for loss from fraud or theft is enhanced and hinders the resolutions of potential discrepancies between facility sales and the related receipts. (Finding Code No. 11-1, 09-4, 07-4, 05-4)

RECOMMENDATION

We recommend the Secretary of State ensure that each employee utilizes an individual cash drawer and not be permitted to access the drawer of other employees until such time as alternative controls are achieved through the new technology equipment that the Office has obtained.

AGENCY RESPONSE

The Office accepts this finding. The Office has three categories of facilities; Large (Level III), Medium (Level II with 10 or more employees) and Small (Level I with less than 10 employees). It is this third category where the issues described above exist due to the fact there are not enough employees available at these facilities to be able to achieve appropriate segregation of duties.

As noted in the finding, the Office is in the process of using available technology to gain appropriate control over our receipts processing at these facilities. Currently the Office is installing security cameras

isolated on the receipts drawers of all our facilities. This will allow for more accountability and transparency with the receipts process. The Office will continue to review our processes and make improvements whenever fiscally and physically possible.

11-2. **FINDING** (Inadequate review of quarterly property reports)

The Office of the Secretary of State (Office) submitted inaccurate quarterly reports of State property to the Comptroller during fiscal years 2010 and 2011.

The Office timely filed its Agency Report of State Property (form C-15) with the Comptroller. However, we noted various errors on the C-15 reports that were not identified until our review. The report for the quarter ended December 31, 2010 understated Office assets by \$19,969. The following quarterly C-15's included errors as well, and the report for the quarter ended March 31, 2011 overstated Office property by approximately \$622,000. After we discussed these errors with Office personnel, C-15 corrections and revisions were prepared by the Office prior to its completion of year end GAAP reporting to the Comptroller.

Statewide Accounting Management System (SAMS) procedure 29.20.10 establishes procedures for the quarterly submission of the Agency Report of State Property (form C-15) to the Comptroller which reports all property transactions for the quarter. The Fiscal Control and Internal Auditing Act (Act) (30 ILCS 10/3001) requires State agencies to establish and maintain a system of internal fiscal and administrative controls, which shall provide assurance that revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources. A supervisory review of fiscal reporting to ensure the accuracy thereof is an integral part of good internal control procedures.

Office personnel stated that the errors noted above were an oversight, and that the reporting to the Comptroller was not reviewed prior to submission.

Failure to submit accurate reports does not provide meaningful information to the Comptroller, and also could lead to errors in the Office's annual financial reporting. (Finding Code No. 11-2)

RECOMMENDATION

We recommend the Office implement procedures to ensure the accuracy of its quarterly property reporting to the Comptroller.

AGENCY RESPONSE

The Office has accepted and corrected this finding. The Office has already implemented the auditors recommendation of performing a supervisory review of the quarterly property reports submitted to the Comptroller to ensure the accuracy of the reports.

11-3. **FINDING** (Noncompliance with the Uniform Commercial Code)

The Office of the Secretary of State (Office) did not prepare or submit an annual report required by the Uniform Commercial Code (Code).

The Office has been designated as a “filing office” in which to file a financing statement to perfect a security interest (810 ILCS 5/9-501). The requirements of the Code (810 ILCS 5/9-527) state the Office shall report annually to the Governor and Legislature on the operation of the filing-office. The report must contain a statement of the extent to which the filing-office rules are not in harmony with the rules of filing offices in other jurisdictions as well as the extent to which the filing-office rules are not in harmony with the most recent version of the Model Rules promulgated by the International Association of Corporate Administrators, or any successor organization, and the reasons for the variations. The Office did not submit the required annual report in either fiscal year 2011 or 2010.

Office personnel stated they were previously unaware of this annual reporting requirement.

Failure to submit an annual report on the operations of the Office’s filing office is noncompliance with State law, and denies the Governor and Legislature information prescribed in the Code. (Finding Code No. 11-3)

RECOMMENDATION

We recommend the Office prepare and submit an annual report on the operations of its filing-office as required by the Uniform Commercial Code.

AGENCY RESPONSE

The Office has accepted and corrected this finding. The Office has prepared a UCC Annual Report for FY2011. This report will be filed with the Governor’s Office, the Secretary of the Senate, and the Clerk of the House of Representatives no later than May 7, 2012. Starting with FY2012 and every year thereafter, the UCC filing office will forward a UCC Annual Report to the aforementioned recipients within 45 days after the conclusion of the fiscal year.

11-4. **FINDING** (Inadequate change fund procedures)

The Office of the Secretary of State (Office) did not maintain an accurate listing of custodians of its change funds, and not all safes containing change funds were kept locked at all times.

During our testing of the Office's change funds, we noted the following:

- We obtained a control listing of Office change funds. 29 of the 323 (8.98%) employees listed as a change fund custodian were no longer employed by the Office or were at a different facility (no longer the custodian of the change fund.)
 - In addition, custodian changes were not reported timely to the Comptroller. On average, it took 199 days to file the Comptroller's, "Change of Custodianship or Location Petty Cash or Change Fund"(C-85) form to report that a change in custodianship had occurred.
 - The Office also did not update their change fund listing in a timely manner; on average, it took 75 days to update their listing once a change was reported on the C-85 form.
- During our fieldwork, we visited 6 of the Office's 138 Drivers Facilities (facilities). At two of the facilities, we noted that the safes used to store the change funds and daily work were left unlocked during business hours.

When a change in custodian occurs (i.e. an employee's termination, transfer of employment, leave of absence, or death), both the former and successor custodian should promptly complete form C-85, and the Office should provide such copy to the Comptroller, as required by SAMS Procedure 09.10.40. The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires all State agencies to establish and maintain internal controls to safeguard assets against loss, unauthorized use, and misappropriation. Good internal control procedures also require that internal control listings of change funds be kept current. In addition, access to change funds should be secured at all times.

Failure to maintain adequate procedures to timely update custodial information and secure change funds could lead to misappropriation of State funds. (Finding Code No. 11-4)

RECOMMENDATION

We recommend the Office of the Secretary of State implement procedures to ensure timely information concerning the custodians of change funds and that change funds be maintained in a locked safe at all times.

AGENCY RESPONSE

The Office accepts this finding. The Office has identified facilities where safes are inoperable and are in the process of replacing those with safes in proper working condition. The various departments within the Office affected by this finding are implementing procedures to better facilitate the timely exchange of information concerning changes to the custodians of change funds through improved communication techniques and use of proper chain of command.

11-5. **FINDING** (Representative not appointed to task force)

The Office of the Secretary of State (Office) did not appoint a representative to serve on a task force as required by the Civil Administrative Code of Illinois (Code).

The Civil Administrative Code of Illinois (20 ILCS 2310/2310-186) required that the Department of Public Health, in collaboration with the State Police, establish a task force to examine the process used by State and local governmental agencies to conduct criminal history record checks as a condition of employment or approval to render provider services to such an agency. The task force was required to and did provide a plan to revise the criminal history record check to the General Assembly by January 1, 2011. The task force was to include representatives from State and local agencies that require an applicant to undergo a fingerprint - based criminal history record check pursuant to State or federal law or agencies that are contemplating such a requirement, including a representative from the Office of the Secretary of State. However, the Office did not appoint a representative to serve on the task force.

Office personnel indicated that it was an oversight that a representative was not appointed to serve on the task force.

The Office's failure to appoint a representative to the task force represents noncompliance with the Code, and denied the task force the input of the Office in its goal of making recommendations to the General Assembly to create a more centralized and coordinated process for conducting criminal history record checks in order to reduce duplication of effort and make better use of resources and more efficient use of taxpayer dollars, while ensuring the privacy and accuracy of the records. (Finding Code No. 11-5)

RECOMMENDATION

We recommend the Office of the Secretary of State ensure representatives are appointed to task forces as required and that procedures exist to timely identify such mandated duties.

AGENCY RESPONSE

The Office partially accepts this finding. The Office maintains that it was willing to participate in this task force. Representatives from the State Police and the Department of Public Health did not notify the Office that a task force had been established nor was the Office notified of meeting times and places. Going forward, the Office will take a proactive role by identifying all legislation that requires the Secretary to participate in and/or designate members of task forces or similar organizations and making first contact when and where necessary.

11-6. **FINDING** (Noncompliance with the State Employment Records Act)

The Office of the Secretary of State (Office) did not submit its 2010 Agency Workforce Report to the Office of Governor.

Although the Office timely filed the fiscal year 2009 and fiscal year 2010 Agency Workforce Reports with its Index Department and timely filed the fiscal year 2009 Agency Workforce Report with the Office of the Governor, the Office did not file its fiscal year 2010 Agency Workforce Report with the Office of the Governor.

The State Employment Records Act (Act) (5 ILCS 410/20) requires State agencies to annually report to the Office of the Secretary of State Index Department and the Office of the Governor various employment statistical information to provide State officials and administrators with the information to help guide efforts to achieve a more diversified workforce. The Act requires that each agency shall file this report by January 1 each year.

Office personnel stated that the failure to file the report was an oversight.

The data contained in the Agency Workforce Report includes information concerning the agency's hiring practices relating to minorities, women, and the physically disabled and the filing of the report is important to the State's efforts in achieving a diversified workforce. (Finding Code No. 11-6)

RECOMMENDATION

We recommend the Office implement procedures to ensure the Agency Workforce Report is prepared and submitted annually to the Office of the Governor as required by the State Employment Records Act.

AGENCY RESPONSE

The Office accepts this finding. The Office properly and timely completed the report, but inadvertently failed to file the report with the Office of the Governor. The Office will ensure the Agency Workforce Report is submitted to both the Office of the Governor and the Secretary of State Index Department on a timely basis in the future.

11-7. **FINDING** (Insufficient physical security of computer resources)

The Office of the Secretary of State (Office) continued to have physical security weaknesses over its computer systems.

The Office relies on several critical and confidential applications, including the Electronic Registration and Title; Pick-A-Plate; and Safe Driver Renewal systems, for meeting its statutory requirements.

During our review, we noted that 121 persons were authorized access to the Office's main computer facility at its Dirksen Parkway location. This access capability allows these individuals physical access to the Office's mainframe computer, control consoles, servers, and output devices. Some of these individuals did not have operations responsibilities; however, they maintained office space within the computer room.

Generally accepted information technology guidance endorses the development of well-designed and managed controls to protect physical facilities and computer equipment. Access to buildings and computer resources should be justified, authorized, logged and monitored.

Office personnel stated that as space required for the computer equipment decreased, the excess space became utilized for other purposes.

Without adequate physical security, the risk of unauthorized access, misuse or physical damage is increased to a more than acceptable level. (Finding Code No. 11-7, 09-6, 07-6)

RECOMMENDATION

We recommend the Office continue assessing physical security over its computer operations and assure physical access to computer equipment and data resources is adequately secured. Access to the Office's computer room and server areas should be restricted to operational personnel requiring access for fulfilling their routine job requirements.

AGENCY RESPONSE

The Office accepts this finding. The number of employees with access to the room has been reduced from a high of over 400 people just a few years ago to the 121 noted above. The Office will continue to review the list of persons with access to the computer room and remove access rights where possible. In addition, the Office will review reports to understand who is accessing the computer room and when, in order to identify any potential breach of protocol and further understand who truly needs access codes to the room.

The Office accepts that it is not an ideal situation that non-operational personnel have offices within the computer room, but it is cost prohibitive at this time to make the required changes to the design of the room and the office space is needed for personnel. The non-operational staff located within the computer room is limited to System Administrators who already have our highest system security clearance and Information Technology Help Desk personnel who have daily interaction with the operators located in the computer room.

11-8. **FINDING** (Inadequate procedures for disposal of confidential information)

The Secretary of State (Office) had not assured adequate Office-wide procedures existed for disposal of confidential information.

Although the Office had established policies relating to the security of confidential information, the Office failed to establish and implement Office-wide procedures for adequately disposing of confidential information. Since the prior review, the Office had made improvements such as restricting access to additional areas within the Vehicle Services Department. However, we found informal procedures existed for shredding confidential documentation and confidential information was not always secured prior to disposal. While performing walkthroughs at the Office we noted the following:

- At least 12 boxes of vehicle applications, vehicle titles, and processed International Registration Plan (IRP) forms containing personal and confidential information were found in hallways accessible to the public. Per Office personnel, boxes containing these materials were routinely placed in hallways to expedite the pickup and return of the materials for processing and scanning by a vendor.
- Rooms containing an incinerator and an industrial shredder used for disposing confidential information were not secured. Within the rooms we found several pallets of boxes containing personal and confidential information such as death certificates, tax return information, and driver's license information.
- Lockable bins were not always used to store personal and confidential information prior to disposal.

Confidential and personal identifiable information collected and maintained by the Office should be adequately secured at all times. As such, it is the Office's responsibility to ensure adequate procedures for safeguarding all confidential information have been established, effectively communicated to all personnel, and continually enforced. Inherent within this responsibility is the requirement of adequate disposition of all confidential information that is no longer needed.

Office management stated they believed the security concerns were corrected by restricting access to areas within the Vehicle Services Department and the placement of some bins in certain areas for the disposal of confidential information.

Failure to protect and timely dispose of confidential information can lead to such information being compromised. (Finding Code No. 11-8, 09-7)

RECOMMENDATION

We recommend the Office assure all confidential information is adequately safeguarded and promptly disposed when no longer needed. Office-wide procedures for properly disposing confidential information should be established. Once established, the Office should effectively communicate the procedures to all Office personnel, and enforce compliance with its procedures ensuring all confidential information is kept secured until no longer needed, and then properly disposed.

In addition, we recommend the Office perform a comprehensive risk assessment to identify all confidential information in electronic and hardcopy form and ensure it is adequately safeguarded.

AGENCY RESPONSE

The Office accepts this finding. The Office will perform a risk assessment as recommended. The Office will continue to review and improve its policies and procedures for the disposition of confidential information. In areas where it is difficult to change operations to physically secure confidential information, the Office will consider other security measures such as video surveillance or other reasonable methods. Communication of our policies and procedures will remain a priority of this Office.

11-9. **FINDING** (Failure to comply with information technology systems development standards)

The Office did not comply with its development standards and procedures, including obtaining required signoffs, before placing its new Office-wide Payroll System, Paycheque, into production.

The newly developed Paycheque System is a critical system used to process payroll for the Office's approximate 4,360 employees. The Paycheque system, developed over a period of 24 months and approximately 4000 hours, cost approximately \$450,000 to develop and was placed in user testing in March 2011, and subsequently into production in June 2011. The Office's IT Standards and Procedures Systems Development Methodology uses a document called a Project Implementation Request (PIR) to control and document the development of computer applications through the various phases of the systems development process. The PIR states that "Audit controls and standards for Information Systems Services require USER department sign off for new or revised programs placed into production. The sign off signifies requirements have been met and test results are satisfactory for the program listed herein."

During our review, we found:

- The PIR had not been signed off. Formal user acceptance signoff triggers several subsequent events within the development process; however, these events were not completed whereas formal user acceptance had not been obtained. In addition, the Office's change control procedures require all signoffs on a project development be obtained prior to moving the development into production. The Paycheque system was implemented into production in June 2011; however, no formal signoffs on the system had yet been obtained.
- The project had not been reviewed by Internal Audit as required by the Office's development standards;
- A post-implementation review had not been performed as required.
- Required system documentation was not completed. For example, user manuals for the data entry and system administration were not complete.

Office management stated a tight deadline to complete the Paycheque System contributed to the development process not being completely adhered.

The lack of a formal acceptance from users on critical system development projects could result in systems that do not meet intended objectives. The development process could be inefficient or delayed if Office standards are not complied. (Finding Code No. 11-9)

RECOMMENDATION

We recommend the Office adhere to its standards and procedures manual. All system developments should be adequately documented and appropriately approved prior to being implemented.

AGENCY RESPONSE

The Office accepts this finding. Office management and internal audit were involved in the development of the Paycheque system. Verbal approvals were given to proceed throughout the development of the system. The Office failed to follow up these verbal approvals with the required documentation. In the future, the Office will adhere to its standards and procedures manual regarding documentation of systems developed as required.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

PRIOR FINDINGS NOT REPEATED

For the Two Years Ended June 30, 2011

A **FINDING** (Lack of financial reporting review procedures)

The Office of the Secretary of State (Office) did not have adequate review procedures in place to ensure the Office's annual financial statements were accurately prepared.

During our financial audit for the year ended June 30, 2010, our sample testing indicated the Office implemented procedures in its financial statement preparation process to ensure its financial statements were internally reviewed for accuracy. (Finding Code No. 09-1)

B **FINDING** (Inadequate controls over reporting of accounts receivable)

The Office of the Secretary of State (Office) did not have adequate internal controls to ensure accounts receivable were accurately reported, and the Office also lacked effective methods of estimating the uncollectible portion of its accounts receivable.

During our financial audit for the year ended June 30, 2010, our sample testing indicated the Office implemented procedures to improve internal controls over the reporting of accounts receivable. Our review of the Office's estimate of uncollectible receivables indicated that the Office had developed an reasonable method of estimating uncollectible receivables. (Finding Code No. 09-2)

C **FINDING** (Noncompliance with State Officials and Employees Ethics Act)

The Office of the Secretary of State (Office) did not require its employees to timely attest to the accuracy of their attendance records in compliance with the State Officials and Employees Ethics Act (Act).

During our compliance examination for the two years ended June 30, 2011, in our testing we noted that the Office made changes to their time entry system. The Office now enters employees' time on a positive basis and each employee is required to timely attest to their attendance records providing a better control to ensure discrepancies are identified on a timely basis. (Finding Code No. 09-3, 07-2, 05-1)

D **FINDING** (Year end carryover of postage)

The Office of the Secretary of State (Office) purchased postage at the end of fiscal years 2008 and 2009 in excess of reasonably expected usage for the beginning of the next year.

Office personnel performed an analysis to determine appropriate postage levels based on expected needs and delays in receipt of postage warrants once processed for payment. (Finding Code No. 09-5, 07-5, 05-5, 03-6)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

PRIOR FINDINGS NOT REPEATED (CONTINUED)

For the Two Years Ended June 30, 2011

E FINDING (Late reporting to Office of the Comptroller)

The Office of the Secretary of State (Office) did not timely submit all required reports to the Office of the Comptroller (Comptroller).

For the year ended June 30, 2011, the Office submitted its year-end generally accepted accounting principles (GAAP) financial reporting packages to the Comptroller in accordance with timeframes established by the Comptroller, or in some instances, by extended due dates as granted by the Comptroller. (Finding Code No. 09-8)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

SUMMARY

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

- Fiscal Schedules and Analysis:

- Schedule of Expenditures of Federal Awards – Cash Basis

- Year Ended June 30, 2011

- Year Ended June 30, 2010

- Notes to the Schedule of Expenditures of Federal Awards – Cash Basis

- Schedule of Appropriations, Expenditures and Lapsed Balances – Operating Group by Fund

- Fiscal Year 2011

- Fiscal Year 2010

- Schedule of Appropriations, Expenditures and Lapsed Balances – Total by Fund

- Fiscal Year 2011

- Fiscal Year 2010

- Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances

- Schedule of Expenditures by Major Object Code

- Comparative Schedule of Receipts, Disbursements, and Fund Balances (Cash Basis) –

- Locally Held Funds

- Schedule of Changes in State Property

- Year Ended June 30, 2011

- Year Ended June 30, 2010

- Comparative Schedule of Cash Receipts – By Department and Source

- Reconciliation Schedule of Cash Receipts by Department, Source and Fund to Deposits

- Remitted to the State Comptroller

- Year Ended June 30, 2011

- Year Ended June 30, 2010

- Analysis of Significant Variations in Expenditures

- Analysis of Significant Variations in Receipts

- Analysis of Significant Lapse Period Spending

- Year Ended June 30, 2011

- Year Ended June 30, 2010

- Analysis of Cash Receipts (Unaudited)

- Analysis of Accounts Receivable

SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

SUMMARY - CONTINUED

- Analysis of Operations

 - Office Functions and Planning Program

 - Analysis of Personal Services

 - Accounting Revenue Sales Analysis as Reported by Vehicle Services and Drivers Services
(Unaudited)

 - Service Efforts and Accomplishments (Unaudited)

The accountants' report that covers the Supplementary Information for State Compliance Purposes presented in the Compliance Report Section states that it has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in the auditors' opinion, except for that portion marked "unaudited," on which they express no opinion, it is fairly stated in all material respects in relation to the basic financial statements taken as a whole.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CASH BASIS

Year Ended June 30, 2011
(Expressed in Thousands)

<u>Federal Grantor/Pass-Through Grantor /Program Title</u>	<u>CFDA Number</u>	<u>Federal Expenditures</u>	<u>Amount Provided to Subrecipients</u>
U.S. Department of Transportation:			
Direct Program:			
Commercial Driver's License Program Improvement Grant (CDL Testing, Covert Ops)	20.232	\$ 302	\$ -
Commercial Vehicle Information Systems and Networks (CVISN)	20.237	36	-
Commercial Driver's License Information Systems (CDLIS) Modernization Program	20.238	143	-
Institute of Museum and Library Services:			
Direct Program:			
Grants to States (State Library Program)	45.310	4,936	4,181
Laura Bush 21st Century Librarian Program (Librarians for the 21st Century)	45.313	134	36
Pass-Through Arts-Midwest			
Promotion of the Arts Grants to Organizations and Individuals (The Big Read)	45.024	14	14
National Archives and Records:			
Direct Program:			
National Historical Publications and Records Grant (State and National Archival Partnership (SNAP) Grant)	89.003	41	21
U.S. Department of Homeland Security:			
Direct Program:			
Driver's License Security Grant Program	97.089	530	-
Pass-Through Illinois Emergency Management Agency			
Homeland Security Grant Program	97.067	<u>151</u>	<u>-</u>
Total Expenditure of Federal Awards		<u>\$ 6,287</u>	<u>\$ 4,252</u>

See accompanying notes to this schedule.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CASH BASIS

Year Ended June 30, 2010
(Expressed in Thousands)

<u>Federal Grantor/Pass-Through Grantor /Program Title</u>	<u>CFDA Number</u>	<u>Federal Expenditures</u>	<u>Amount Provided to Subrecipients</u>
U.S. Department of Transportation:			
Direct Program:			
Commercial Driver's License State Program (CDL Testing, Covert Ops)	20.232	\$ 154	\$ -
Institute of Museum and Library Services:			
Direct Program:			
Grants to States (State Library Program)	45.310	6,458	5,223
Librarians for the 21st Century	45.313	146	-
Pass-Through Arts-Midwest			
Promotion of the Arts Grants (The Big Read)	45.024	2	-
National Archives and Records Administration:			
Direct Program:			
National Historical Publications and Records Grants (State and National Archival Partnership (SNAP) Grant)	89.003	31	-
U.S. Department of Homeland Security:			
Direct Program:			
Driver's License Security Grant Program	97.089	<u>587</u>	<u>-</u>
Total Expenditure of Federal Awards		<u>\$ 7,378</u>	<u>\$ 5,223</u>

See accompanying notes to this schedule.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS –
CASH BASIS

Years Ended June 30, 2011 and 2010

1. GENERAL

The accompanying Schedule of Expenditures of Federal Awards – Cash Basis presents the Federal award programs of the Office of the Secretary of State. The Schedule of Expenditures of Federal Awards – Cash Basis includes the expenditure of awards received directly from Federal agencies and awards passed through other State and local agencies.

The Schedule of Expenditures of Federal Awards – Cash Basis was prepared for State compliance purposes only. A separate single audit of the Office of the Secretary of State was not conducted. A separate single audit of the entire State of Illinois (which includes the Office of the Secretary of State) was performed and released under separate cover.

2. BASIS OF ACCOUNTING

The Schedule of Expenditures of Federal Awards – Cash Basis has been prepared in accordance with the cash basis of accounting, which is a comprehensive basis of accounting other than accounting principles generally accepted in the United States of America.

3. INDIRECT COSTS

The Office does not claim indirect cost reimbursements for any of its federal award programs. Consequently, the Office does not have an indirect cost rate established for allocating indirect costs to federal award programs.

4. DESCRIPTION OF SIGNIFICANT FEDERAL AWARD PROGRAMS

The following is a brief description of the significant programs included in the Schedule of Expenditures of Federal Awards – Cash Basis:

A. U.S. Department of Transportation

Commercial Driver's License State Program CFDA #20.232 – The purpose of this program is to help or prevent truck and bus accidents, fatalities, and injuries by requiring drivers to have a single commercial motor vehicle license and by disqualifying drivers who operate commercial motor vehicles in an unsafe manner. The Office uses this grant to purchase, install and maintain automated testing machines for commercial driver license applicants.

Commercial Driver's License Information Systems Modernization Program CFDA #20.238 – This program provides funding for the purpose of improving the CDLIS Central Site data quality, enhancing the systems information exchange with the State and preparing for the integration of the medical certificate requirement.

B. Institute of Museum and Library Services

Grants to States (State Library Program) CFDA #45.310 – This program primarily provides funding for the purpose of consolidating Federal library services programs. Funding is designed to promote access to learning and information resources in all types of libraries for individuals of all ages; to promote library services that provide all users access to information through State, regional, and international electronic networks; to provide linkages among and between libraries; to promote targeted library services to people of diverse geographic, cultural and socioeconomic backgrounds, to individuals with disabilities, and to people with limited functional literacy or information skills.

Laura Bush 21st Century Librarian Program CFDA #45.313 – This program primarily provides funding for the purpose of expanding librarians' leadership abilities to use participatory technology to effectively engage their libraries' constituents. The primary goals of the program are to help library staff understand and respond to user needs through the application of participatory technology tools and the creation of an easy-to-replicate model program.

C. U.S. Department of Homeland Security

Driver's License Security Grant Program CFDA #97.089 – This program primarily provides funding for the purpose of facilitating the State's ability to improve the security and integrity of our driver's license and identification card issuance processes to include IT systems, facilities, source document verification and the required security protection's of an individual's personal identification information. These improvements are needed to strengthen the verification process consistent with the federal REAL ID Act.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2011 - OPERATING GROUP BY FUND
FOURTEEN MONTHS ENDED AUGUST 31, 2011

	Appropriations (Net after Transfers)	Expenditures Through 6/30/2011	Lapse Period Expenditures 7/01/10-8/31/11 (Approximate)	Total Expenditures (Approximate)	Reappropriated Balances	Lapsed Balances (Approximate)
<u>Public Acts 96-0956; 96-0957</u>						
<u>APPROPRIATED FUNDS</u>						
Executive Group						
General Revenue Fund - 001	\$ 260,276,600	\$ 239,637,219	\$ 19,285,222	\$ 258,922,441	\$ -	\$ 1,354,159
Total Executive Group	260,276,600	239,637,219	19,285,222	258,922,441	-	1,354,159
General Administrative Group						
Road Fund - 011	2,284,200	2,182,723	100,790	2,283,513	-	687
Motor Fuel Tax Fund - 012	1,300,000	1,300,000	-	1,300,000	-	-
Live & Learn Fund - 026	21,090,000	19,648,527	699,428	20,347,955	-	742,045
Lobbyist Registration Fund - 044	886,900	850,110	23,770	873,880	-	13,020
Capital Development Fund - 141	1,818,350	441,164	33,455	474,619	1,343,731	-
Registered Limited Liability Partnership Fund - 167	145,500	98,564	-	98,564	-	46,936
Securities Audit & Enforcement Fund - 362	12,425,300	6,865,605	-	6,865,605	-	5,559,695
Department of Business Services Special Operations Fund - 363	7,244,800	4,235,617	4,563	4,240,180	-	3,004,620
Secretary of State Special Services Fund - 483	29,250,000	20,652,210	833,104	21,485,314	-	7,764,686
Build Illinois Bond Fund - 971	50,000,000	-	-	-	50,000,000	-
Other Appropriated Funds	10,846,000	5,753,643	536,831	6,290,474	-	4,555,526
Total General Administrative Group	137,291,050	62,028,163	2,231,941	64,260,104	51,343,731	21,687,215

(CONTINUED)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2011 - OPERATING GROUP BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2011

	Appropriations (Net after Transfers)	Expenditures Through 6/30/2011	Lapse Period Expenditures 7/01/10-8/31/11 (Approximate)	Total Expenditures (Approximate)	Reappropriated Balances	Lapsed Balances (Approximate)
Motor Vehicle Group						
CDLIS/AAMVAnet Trust Fund - 109	\$ 1,063,800	\$ 640,500	\$ 54,526	\$ 695,026	\$ -	\$ 368,774
Secretary of State Federal Projects Fund - 176	700,000	88,519	276	88,795	-	611,205
Secretary of State Special License Plate Fund - 185	6,950,000	3,906,299	977,916	4,884,215	-	2,065,785
Motor Vehicle Review Board Fund - 323	355,700	208,316	3,658	211,974	-	143,726
BAIID Permit Fund - 453	3,000,000	762,207	-	762,207	-	2,237,793
SOS Identity Security & Theft Prevention Fund - 48C	15,000,000	3,241,183	515,672	3,756,855	-	11,243,145
Motor Vehicle License Plate Fund - 622	16,000,000	9,194,365	989,744	10,184,109	-	5,815,891
Secretary of State DUI Admin - 732	2,500,000	1,571,006	65,616	1,636,622	-	863,378
Vehicle Inspection Fund - 963	3,772,300	2,728,829	89,445	2,818,274	-	954,026
Other Appropriated Funds	1,010,000	400,174	208,475	608,649	-	401,351
Total Motor Vehicle Group	50,351,800	22,741,398	2,905,328	25,646,726	-	24,705,074
TOTAL APPROPRIATED FUNDS	\$ 447,919,450	324,406,780	24,422,491	348,829,271	\$ 51,343,731	\$ 47,746,448

(CONTINUED)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2011 - OPERATING GROUP BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2011

	Appropriations (Net after Transfers)	Expenditures Through 6/30/2011	Lapse Period Expenditures 7/01/10-8/31/11 (Approximate)	Total Expenditures (Approximate)	Reappropriated Balances	Lapsed Balances (Approximate)
NON-APPROPRIATED FUNDS						
General Administrative Group						
Interagency Grant Fund - 295	\$	1,445,832	\$ 25,235	\$ 1,471,067		
Corporate Franchise Tax Refund - 380		1,136,784	-	1,136,784		
Total General Administrative Group		2,582,616	25,235	2,607,851		
Motor Vehicle Group						
Interagency Grant Fund - 295		1,241,872	-	1,241,872		
Safety Responsibility Fund - 436		553,053	-	553,053		
Secretary of State International Registration Plan Fund - 89		94,420,908	34,348	94,455,256		
Total Motor Vehicle Group		96,215,833	34,348	96,250,181		
TOTAL NON-APPROPRIATED FUNDS		98,798,449	59,583	98,858,032		
GRAND TOTAL ALL FUNDS	\$	423,205,229	\$ 24,482,074	\$ 447,687,303		

Note: The above information was taken directly from the records of the State Comptroller, which have been reconciled to those of the Secretary of State. Approximate lapse period expenditures do not include interest payments approved and submitted to the State Comptroller for payment after August.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2010 - OPERATING GROUP BY FUND
EIGHTEEN MONTHS ENDED DECEMBER 31, 2010

	Appropriations (Net after Transfers)	Expenditures Through 6/30/2010	Lapse Period Expenditures 7/01 - 12/31/10	Total Expenditures	Reappropriated Balances	Lapsed Balances
Public Acts 96-0042, 96-0046, 96-0819						
APPROPRIATED FUNDS						
Executive Group						
General Revenue Fund - 001	\$ 260,276,600	\$ 239,532,753	\$ 17,138,599	\$ 256,671,352	\$ -	\$ 3,605,248
Road Fund - 011	1,680,600	-	-	-	-	1,680,600
Total Executive Group	261,957,200	239,532,753	17,138,599	256,671,352	-	5,285,848
General Administrative Group						
Road Fund - 011	2,900,000	-	-	-	-	2,900,000
Motor Fuel Tax Fund - 012	1,300,000	1,300,000	-	1,300,000	-	-
Lobbyist Registration Fund - 044	844,300	393,116	4,664	397,780	-	446,520
Registered Limited Liability Partnership Fund - 167	142,000	93,732	-	93,732	-	48,268
Securities Audit & Enforcement Fund - 362	13,425,300	5,247,472	74,481	5,321,953	-	8,103,347
Department of Business Services Special Operations Fund - 363	6,637,400	4,496,373	150,223	4,646,596	-	1,990,804
Secretary of State Special Services Fund - 483	29,129,300	16,067,638	305,749	16,373,387	-	12,755,913
Build Illinois Bond Fund - 971	50,000,000	-	-	-	50,000,000	-
Other Appropriated Funds	33,471,000	28,032,953	1,396,515	29,429,468	1,243,350	2,798,182
Total General Administrative Group	137,849,300	55,631,284	1,931,632	57,562,916	51,243,350	29,043,034

(CONTINUED)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2010 - OPERATING GROUP BY FUND - CONTINUED
EIGHTEEN MONTHS ENDED DECEMBER 31, 2010

	Appropriations (Net after Transfers)	Expenditures Through 6/30/2010	Lapse Period Expenditures 7/01 - 12/31/10	Total Expenditures	Reappropriated Balances	Lapsed Balances
Motor Vehicle Group						
Road Fund - 011	\$ 125,919,400	\$ -	\$ -	\$ -	\$ -	\$ 125,919,400
CDLIS/AAMV Anet Trust Fund - 109	1,063,800	571,642	121,075	692,717	-	371,083
Secretary of State Federal Projects Fund - 176	200,000	30,200	46,185	76,385	-	123,615
Secretary of State Special License Plate Fund - 185	7,022,800	3,520,173	62,631	3,582,804	-	3,439,996
Motor Vehicle Review Board Fund - 323	322,100	237,645	3,474	241,119	-	80,981
SOS Identity Security & Theft Prevention Fund - 480	15,000,000	1,082,504	302,766	1,385,270	-	13,614,730
Motor Vehicle License Plate Fund - 622	17,000,000	7,680,999	1,443,233	9,124,232	-	7,875,768
Vehicle Inspection Fund - 963	3,772,300	2,821,393	97,325	2,918,718	-	853,582
Other Appropriated Funds	6,010,000	2,546,374	241,993	2,788,367	-	3,221,633
Total Motor Vehicle Group	176,310,400	18,490,930	2,318,682	20,809,612	-	155,500,788
TOTAL APPROPRIATED FUNDS	\$ 576,116,900	313,654,967	21,388,913	335,043,880	\$ 51,243,350	\$ 189,829,670
NON-APPROPRIATED FUNDS						
General Administrative Group						
Interagency Grant Fund - 295		645,450	-	645,450		
Securities Audit & Enforcement Fund - 362		65,326	3,674	69,000		
Corporate Franchise Tax Refund - 380		64,707	-	64,707		
Total General Administrative Group		775,483	3,674	779,157		
Motor Vehicle Group						
Interagency Grant Fund - 295		1,229,883	53,343	1,283,226		
Safety Responsibility Fund - 436		719,128	-	719,128		
Secretary of State International Registration Plan Fund - 890		101,057,187	140,795	101,197,982		
Total Motor Vehicle Group		103,006,198	194,138	103,200,336		
TOTAL NON-APPROPRIATED FUNDS		103,781,681	197,812	103,979,493		
GRAND TOTAL ALL FUNDS	\$ 417,436,648	\$ 417,436,648	\$ 21,586,725	\$ 439,023,373		

Note: The above information was taken directly from the records of the State Comptroller, which have been reconciled to those of the Secretary of State.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2011 - TOTAL BY FUND
FOURTEEN MONTHS ENDED AUGUST 31, 2011

	Appropriations (Net after Transfers)	Expenditures Through 6/30/2011	Lapse Period Expenditures 7/01/10-8/31/11 (Approximate)	Total Expenditures (Approximate)	Reappropriated Balances	Lapsed Balances (Approximate)
	\$	\$	\$	\$	\$	\$
General Revenue Fund - 001	260,276,600	239,637,219	19,285,222	258,922,441	-	1,354,159
Road Fund - 011	2,284,200	2,182,723	100,790	2,283,513	-	687
Motor Fuel Tax Fund - 012	1,300,000	1,300,000	-	1,300,000	-	-
Live & Learn Fund - 026	21,090,000	19,648,527	699,428	20,347,955	-	742,045
Lobbyist Registration Fund - 044	886,900	850,110	23,770	873,880	-	13,020
Accessible Electronic Information Service Fund - 10€	77,000	70,299	-	70,299	-	6,701
CDLIS/AAMVAnet Trust Fund - 105	1,063,800	640,500	54,526	695,026	-	368,774
Capital Development Fund - 141	1,818,350	441,164	33,455	474,619	1,343,731	-
Registered Limited Liability Partnership Fund - 167	145,500	98,564	-	98,564	-	46,936
SOS Federal Projects Fund - 176	700,000	88,519	276	88,795	-	611,205
Secretary of State Special License Plate Fund - 185	7,000,000	3,956,299	977,916	4,934,215	-	2,065,785
Securities Investor Education Fund - 292	2,333,500	155,337	3,359	158,696	-	2,174,804
Motor Vehicle Review Board Fund - 323	355,700	208,316	3,658	211,974	-	143,726
Securities Audit & Enforcement Fund - 362	12,425,300	6,865,605	-	6,865,605	-	5,559,695
Department of Business Services Special Operations Fund -363	7,244,800	4,235,617	4,563	4,240,180	-	3,004,620
Secretary of State Evidence Fund - 374	5,000	4,782	128	4,910	-	90
Alternative Fuels Fund - 422	225,000	164,991	-	164,991	-	60,009
Indigent BAIID Fund - 451	500,000	165,788	55,353	221,141	-	278,859
MDDP Administration Fund - 453	3,000,000	762,207	-	762,207	-	2,237,793
Rotary Club Fund - 454	5,000	5,000	-	5,000	-	-
Ovarian Cancer Awareness Fund - 459	5,000	5,000	-	5,000	-	-
Illinois Professional Golfers Association Junior Golf - 46:	25,000	25,000	-	25,000	-	-
Boy Scout and Girl Scout Fund - 464	5,000	3,975	-	3,975	-	1,025
Agriculture in the Classroom Fund - 466	50,000	50,000	-	50,000	-	-
Sheet Metal Workers Intn'l Fund - 468	3,000	-	-	-	-	3,000

(CONTINUED)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2011 - TOTAL BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2011

	Appropriations (Net after Transfers)	Expenditures Through 6/30/2011	Lapse Period Expenditures 7/01/10-8/31/11 (Approximate)	Total Expenditures (Approximate)	Reappropriated Balances	Lapsed Balances (Approximate)
Library Services Fund - 470	\$ 7,000,000	\$ 4,637,606	\$ 500,583	\$ 5,138,189	-	\$ 1,861,811
State Library Fund - 471	12,000	4,873	-	4,873	-	7,127
SOS Identity Security & Theft Prevention Fund - 48C	15,000,000	3,241,183	515,672	3,756,855	-	11,243,145
Secretary of State Special Services Fund - 483	29,250,000	20,652,210	833,104	21,485,314	-	7,764,686
Support Our Troops - 496	5,000	-	-	-	-	5,000
Master Mason Fund - 508	50,000	50,000	-	50,000	-	-
Illinois Pan Hellenic Trust Fund - 584	50,000	-	28,304	28,304	-	21,696
Park District Youth Program Fund - 585	25,000	25,000	-	25,000	-	-
Illinois Route 66 Heritage Project Fund - 594	100,000	100,000	-	100,000	-	-
Police Memorial Committee Fund - 598	200,000	200,000	-	200,000	-	-
Mammogram Fund - 599	130,000	130,000	-	130,000	-	-
Motor Vehicle License Plate Fund - 622	16,000,000	9,194,365	989,744	10,184,109	-	5,815,891
Illinois Police Association Fund - 655	20,000	20,000	-	20,000	-	-
Organ Donor Awareness Fund - 716	100,000	100,000	-	100,000	-	-
Secretary of State DUI Administration Fund - 732	2,500,000	1,571,006	65,616	1,636,622	-	863,378
Chicago and Northeast Illinois District Council Of Carpenters Fund - 75c	500	-	-	-	-	500
Secretary of State Police DUI Fund - 758	30,000	-	-	-	-	30,000
Secretary of State Police Services Fund - 759	250,000	64,613	152,994	217,607	-	32,393
U.S. Marine Corps Scholarship Fund - 760	60,000	60,000	-	60,000	-	-
State Parking Facility Maintenance Fund - 782	40,000	-	-	-	-	40,000
Secretary of State Grant Fund - 948	500,000	61,553	4,585	66,138	-	433,862
Vehicle Inspection Fund - 963	3,772,300	2,728,829	89,445	2,818,274	-	954,026
Build Illinois Bond Fund - 971	50,000,000	-	-	-	50,000,000	-
TOTAL APPROPRIATED FUNDS	\$ 447,919,450	\$ 324,406,780	\$ 24,422,491	\$ 348,829,271	\$ 51,343,731	\$ 47,746,448

(CONTINUED)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2011 - TOTAL BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2011

	Appropriations (Net after Transfers)	Expenditures Through 6/30/2011	Lapse Period Expenditures 7/01/10-8/31/11 (Approximate)	Total Expenditures (Approximate)	Reappropriated Balances	Lapsed Balances (Approximate)
NON-APPROPRIATED FUNDS						
Interagency Grant Fund - 295	\$ 2,687,704	\$	25,235	\$ 2,712,939		
Corporate Franchise Tax Refund - 380	1,136,784	-	-	1,136,784		
Safety Responsibility Fund - 436	553,053	-	-	553,053		
Secretary of State International Registration Plan Fund - 89	94,420,908	34,348		94,455,256		
TOTAL NON-APPROPRIATED FUNDS	<u>98,798,449</u>		<u>59,583</u>	<u>98,858,032</u>		
GRAND TOTAL	<u>\$ 423,205,229</u>	<u>\$ 24,482,074</u>	<u>\$</u>	<u>447,687,303</u>		

Note: The above information was taken directly from the records of the State Comptroller, which have been reconciled to those of the Secretary of State. Approximate lapse period expenditures do not include interest payments approved and submitted to the State Comptroller for payment after August.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2010 - TOTAL BY FUND
EIGHTEEN MONTHS ENDED DECEMBER 31, 2010

	Appropriations (Net after Transfers)	Expenditures Through 06/30/10	Lapse Period Expenditures 7/01 - 12/31/10	Total Expenditures	Reappropriated Balances	Lapsed Balances
Public Acts 096-0042; 96-0046; 96-0819						
APPROPRIATED FUNDS						
General Revenue Fund - 001	\$ 260,276,600	\$ 239,532,753	\$ 17,138,599	\$ 256,671,352	\$ -	\$ 3,605,248
Road Fund - 011	130,500,000	-	-	-	-	130,500,000
Motor Fuel Tax Fund - 012	1,300,000	1,300,000	-	1,300,000	-	-
Live & Learn Fund - 026	21,090,000	19,893,532	761,088	20,654,620	-	435,380
Lobbyist Registration Fund - 044	844,300	393,116	4,664	397,780	-	446,520
Accessible Electronic Information Service Fund - 106	77,000	72,388	-	72,388	-	4,612
CDLIS/AAMVAnet Trust Fund - 105	1,063,800	571,642	121,075	692,717	-	371,083
Capital Development Fund - 141	1,575,000	331,650	-	331,650	1,243,350	-
Registered Limited Liability Partnership Fund - 167	142,000	93,732	-	93,732	-	48,268
SOS Federal Projects Fund - 176	200,000	30,200	-	76,385	-	123,615
Secretary of State Special License Plate Fund - 185	7,072,800	3,570,173	46,185	76,385	-	123,615
Securities Investor Education Fund - 292	2,333,500	986,053	60,237	3,630,410	-	3,442,390
Motor Vehicle Review Board Fund - 323	322,100	237,645	3,474	1,002,921	-	1,330,579
Securities Audit & Enforcement Fund - 362	13,425,300	5,247,472	74,481	241,119	-	80,981
Department of Business Services Special Operations Fund - 363	6,637,400	4,496,373	150,223	5,321,953	-	8,103,347
Secretary of State Evidence Fund - 374	5,000	4,939	-	4,646,596	-	1,990,804
Alternative Fuels Fund - 422	225,000	160,000	-	4,939	-	61
Indigent BAIID Fund - 451	500,000	129,564	1,390	161,390	-	63,610
MDDP Administration Fund - 453	3,000,000	710,386	55,952	185,516	-	314,484
Illinois Professional Golfers Association Junior Golf - 465	10,000	10,000	-	733,459	-	2,266,541
Boy Scout and Girl Scout Fund - 464	3,000	-	-	10,000	-	-
Agriculture in the Classroom Fund - 466	50,000	50,000	-	-	-	3,000
Library Services Fund - 470	7,000,000	5,953,368	496,475	50,000	-	-
				6,449,843	-	550,157

(CONTINUED)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2010 - TOTAL BY FUND - CONTINUED
EIGHTEEN MONTHS ENDED DECEMBER 31, 2010

	Appropriations (Net after Transfers)	Expenditures Through 06/30/10	Lapse Period Expenditures 7/01 - 12/31/10	Total Expenditures	Reappropriated Balances	Lapsed Balances
State Library Fund - 471	\$ 12,000	\$ 9,430	\$ 820	\$ 10,250	\$ -	\$ 1,750
SOS Identity Security & Theft Prevention Fund - 48C	15,000,000	1,082,504	302,766	1,385,270	-	13,614,730
Secretary of State Special Services Fund - 483	29,129,300	16,067,638	305,749	16,373,387	-	12,755,913
Support Our Troops - 496	5,000	-	-	-	-	5,000
Master Mason Fund - 508	50,000	50,000	-	50,000	-	-
Illinois Pan Hellenic Trust Fund - 584	45,000	-	29,774	29,774	-	15,226
Park District Youth Program Fund - 585	25,000	25,000	-	25,000	-	-
Illinois Route 66 Heritage Project Fund - 594	100,000	100,000	-	100,000	-	-
Police Memorial Committee Fund - 598	125,000	125,000	-	125,000	-	-
Mammogram Fund - 599	120,000	120,000	-	120,000	-	-
Motor Vehicle License Plate Fund - 622	17,000,000	7,680,999	1,443,233	9,124,232	-	7,875,768
Organ Donor Awareness Fund - 716	200,000	136,457	30,831	167,288	-	32,712
Secretary of State DUI Administration Fund - 732	2,000,000	1,463,412	70,751	1,534,163	-	465,837
Chicago and Northeast Illinois District Council Of Carpenters Fund - 75c	500	-	-	-	-	500
Secretary of State Police DUI Fund - 758	30,000	-	-	-	-	30,000
Secretary of State Police Services Fund - 759	250,000	78,073	90,827	168,900	-	81,100
U.S. Marine Corps Scholarship Fund - 760	60,000	60,000	-	60,000	-	-
State Parking Facility Maintenance Fund - 782	40,000	-	40,000	40,000	-	-
Secretary of State Grant Fund - 948	500,000	60,075	23,053	83,128	-	416,872
Vehicle Inspection Fund - 963	3,772,300	2,821,393	97,325	2,918,718	-	853,582
Build Illinois Bond Fund - 971	50,000,000	-	-	-	50,000,000	-
TOTAL APPROPRIATED FUNDS	\$ 576,116,900	313,654,967	21,388,913	335,043,880	\$ 51,243,350	\$ 189,829,670
NON-APPROPRIATED FUNDS						
Interagency Grant Fund - 295		1,875,333	53,343	1,928,676		
Securities Audit & Enforcement Fund - 362		65,326	3,674	69,000		
Corporate Franchise Tax Refund - 380		64,707	-	64,707		
Safety Responsibility Fund - 43c		719,128	-	719,128		
Secretary of State International Registration Plan Fund - 89c		101,057,187	140,795	101,197,982		
TOTAL NON-APPROPRIATED FUNDS		103,781,681	197,812	103,979,493		
GRAND TOTAL		\$ 417,436,648	\$ 21,586,725	\$ 439,023,373		

Note: The above information was taken directly from the records of the State Comptroller, which have been reconciled to those of the Secretary of State.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES

	Fiscal Year		
	2011	2010	2009
	P.A. 96-0956, 96-0957	P.A. 96-0042, 96-0046, 96-0819	P.A. 95-0734, 95-1001, 95-1030, 96-0004
EXECUTIVE GROUP:			
General Revenue Fund - 001:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 7,481,701
Expenditures:			
Personal Services:			
Regular Positions	-	-	5,242,231
Employee Retirement Contributions	-	-	54,642
Extra Help	-	-	46,866
State Retirement Fund Contributions	-	-	1,115,537
Social Security Contributions	-	-	384,966
Contractual Services	-	-	330,610
Travel	-	-	38,802
Printing	-	-	5,896
Commodities	-	-	25,750
Equipment	-	-	4,850
Telecommunications	-	-	109,891
Total Expenditures	-	-	7,360,041
Lapsed Balances	\$ -	\$ -	\$ 121,660
General Revenue Fund - 001:			
Appropriations (Net After Transfers)	\$ 260,276,600	\$ 260,276,600	\$ -
Expenditures:			
Personal Services for Bargaining	-	56,182,022	-
Social Security for Bargaining	-	3,796,008	-
Personal Services for Non-Bargaining	-	18,861,296	-
Social Security for Non-Bargaining	-	1,434,098	-
Operational Expenses	243,287,581	31,274,149	-
Operational, awards, grants, and permanent improvements	15,634,860	15,611,758	-
Previously appropriated from Road Fund	-	129,512,021	-
Total Expenditures	258,922,441	256,671,352	-
Lapsed Balances	\$ 1,354,159	\$ 3,605,248	\$ -
Road Fund - 011:			
Appropriations (Net After Transfers)	\$ -	\$ 1,680,600	\$ 1,845,400
Expenditures:			
Employee Retirement Contributions	-	-	1,824,899
Lapsed Balances	\$ -	\$ 1,680,600	\$ 20,501
EXECUTIVE GROUP TOTALS:			
Appropriations (Net After Transfers)	\$ 260,276,600	\$ 261,957,200	\$ 9,327,101
Total Expenditures	258,922,441	256,671,352	9,184,940
Lapsed Balances	\$ 1,354,159	\$ 5,285,848	\$ 142,161

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2011 P.A. 96-0956, 96-0957	2010 P.A. 96-0042, 96-0046, 96- 0819	2009 P.A. 95-0734, 95-1001, 95- 1030, 96-0004
GENERAL ADMINISTRATIVE GROUP:			
General Revenue Fund - 001:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 78,221,313
Expenditures:			
Personal Services:			
Regular Positions	-	-	48,212,797
Extra Help	-	-	1,035,340
State Retirement Fund Contributions	-	-	10,386,749
Social Security Contributions	-	-	3,700,191
Contractual Services	-	-	11,568,781
Travel	-	-	118,020
Commodities	-	-	1,183,193
Printing	-	-	198,131
Equipment	-	-	752,272
Telecommunications	-	-	305,558
Operation of Auto Equipment	-	-	306,254
Refund of Fees and Taxes	-	-	6,970
	-	-	77,774,256
Lapsed Balances	\$ -	\$ -	\$ 447,057
 General Revenue Fund - 001:			
Establishment grants, annual per capita and area grants, and equalization grants pursuant to Section 8 of the Illinois Library System Act:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 16,668,400
Expenditures	-	-	16,668,289
Lapsed Balances	\$ -	\$ -	\$ 111
 Annual library technology:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 644,900
Expenditures	-	-	644,900
Lapsed Balances	\$ -	\$ -	\$ -

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2011 P.A. 96-0956, 96-0957	2010 P.A. 96-0042, 96-0046, 96- 0819	2009 P.A. 95-0734, 95-1001, 95- 1030, 96-0004
Library services for the blind and physically handicapped:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 2,427,200
Expenditures	-	-	2,421,467
Lapsed Balances	\$ -	\$ -	\$ 5,733
Tuition and fees for Illinois Regional Archival Depository system interns:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 45,000
Expenditures	-	-	44,832
Lapsed Balances	\$ -	\$ -	\$ 168
Literacy:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 4,650,000
Expenditures	-	-	4,649,981
Lapsed Balances	\$ -	\$ -	\$ 19
Annual per capita - school district:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 375,000
Expenditures	-	-	374,928
Lapsed Balances	\$ -	\$ -	\$ 72
Chicago Public Library:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 1,700,000
Expenditures	-	-	1,700,000
Lapsed Balances	\$ -	\$ -	\$ -
Severns Summer Family Literacy:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 250,000
Expenditures	-	-	245,199
Lapsed Balances	\$ -	\$ -	\$ 4,801
Project Next Generation Program:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 325,000
Expenditures	-	-	325,000
Lapsed Balances	\$ -	\$ -	\$ -
Constitutional Convention:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 4,000,000
Expenditures	-	-	2,365,416
Lapsed Balances	\$ -	\$ -	\$ 1,634,584

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2011 P.A. 96-0956, 96-0957	2010 P.A. 96-0042, 96-0046, 96- 0819	2009 P.A. 95-0734, 95-1001, 95- 1030, 96-0004
General Revenue Fund - 001 Totals:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 109,306,813
Expenditures	-	-	107,214,268
Lapsed Balances	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 2,092,545</u>
Road Fund - 011:			
Appropriations (Net After Transfers)	\$ 2,284,200	\$ 2,900,000	\$ 3,074,200
Expenditures:			
Contractual Services	-	-	800,000
Refund of Fees and Taxes	2,283,513	-	2,273,008
Total Expenditures	<u>2,283,513</u>	<u>-</u>	<u>3,073,008</u>
Lapsed Balances	<u>\$ 687</u>	<u>\$ 2,900,000</u>	<u>\$ 1,192</u>
Motor Fuel Tax Fund - 012:			
Appropriations (Net After Transfers)	\$ 1,300,000	\$ 1,300,000	\$ 1,200,000
Expenditures:			
Contractual Services	1,300,000	1,300,000	1,200,000
Lapsed Balances	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Lobbyist Registration Administration Fund - 044:			
Appropriations (Net After Transfers)	\$ 586,900	\$ 644,300	\$ 617,600
Expenditures:			
Personal Services:			
Regular Positions	327,437	203,791	262,489
Employee Retirement Contributions	6,561	4,077	5,255
Group Insurance	75,591	57,853	58,403
State Retirement Fund Contributions	91,818	43,102	55,311
Social Security Contributions	25,804	17,027	22,058
Contractual Services	40,006	49,815	61,269
Travel	1,086	2,126	4,057
Commodities	750	1,698	3,455
Printing	2,500	-	11,825
Telecommunications	3,413	3,396	15,768
Total Expenditures	<u>574,966</u>	<u>382,885</u>	<u>499,890</u>
Lapsed Balances	<u>\$ 11,934</u>	<u>\$ 261,415</u>	<u>\$ 117,710</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2011 P.A. 96-0956, 96-0957	2010 P.A. 96-0042, 96-0046, 96- 0819	2009 P.A. 95-0734, 95-1001, 95- 1030, 96-0004
Lobbyist Registration Administration Fund - 044:			
Administering the Lobbyist Registration Act and the Secretary of State Act per PA 96-0555:			
Appropriations (Net After Transfers)	\$ 300,000	\$ 200,000	\$ -
Expenditures	298,914	14,895	-
Lapsed Balances	\$ 1,086	\$ 185,105	\$ -
Lobbyist Registration Administration Fund - 044 Totals:			
Appropriations (Net After Transfers)	\$ 886,900	\$ 844,300	\$ 617,600
Expenditures	873,880	397,780	499,890
Lapsed Balances	\$ 13,020	\$ 446,520	\$ 117,710
Registered Limited Liability Partnership Fund - 167:			
Appropriations (Net After Transfers)	\$ 145,500	\$ 142,000	\$ 141,600
Expenditures:			
Personal Services:			
Regular Positions	60,400	56,543	76,889
Employee Retirement Contributions	1,208	1,007	1,538
Group Insurance	14,406	14,563	24,159
State Retirement Fund Contributions	16,912	16,045	16,185
Social Security Contributions	4,541	4,194	5,676
Contractual Services	496	480	461
Commodities	601	900	900
Telecommunications	-	-	523
Total Expenditures	98,564	93,732	126,331
Lapsed Balances	\$ 46,936	\$ 48,268	\$ 15,269

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2011 P.A. 96-0956, 96-0957	2010 P.A. 96-0042, 96-0046, 96- 0819	2009 P.A. 95-0734, 95-1001, 95- 1030, 96-0004
Securities Audit and Enforcement Fund - 362:			
Appropriations (Net After Transfers)	\$ 12,425,300	\$ 13,425,300	\$ 10,568,500
Expenditures:			
Personal Services:			
Regular Positions	3,404,022	2,436,255	2,353,353
Employee Retirement Contributions	70,545	48,843	47,822
Extra Help	7,615	2,360	12,008
Group Insurance	768,553	540,736	543,021
State Retirement Fund Contributions	955,921	692,787	498,282
Social Security Contributions	240,376	176,963	172,533
Contractual Services	1,183,498	1,185,038	1,179,670
Travel	11,951	12,434	9,915
Commodities	7,746	8,694	10,039
Printing	-	1,737	7,500
Equipment	853	-	104,818
Telecommunications	39,525	41,107	67,193
Operation of Auto Equipment	175,000	174,999	110,651
Total Expenditures	6,865,605	5,321,953	5,116,805
Lapsed Balances	\$ 5,559,695	\$ 8,103,347	\$ 5,451,695
Department of Business Services			
Special Operations Fund - 363:			
Appropriations (Net After Transfers)	\$ 7,244,800	\$ 6,637,400	\$ 5,681,000
Expenditures:			
Personal Services:			
Regular Positions	1,704,990	1,798,453	2,077,863
Employee Retirement Contributions	34,032	36,234	41,411
Extra Help	-	12,164	19,415
Group Insurance	420,997	424,774	516,572
State Retirement Fund Contributions	477,813	514,141	447,567
Social Security Contributions	125,836	133,719	155,453
Contractual Services	1,296,680	1,532,652	1,335,560
Travel	2,354	6,011	4,022
Commodities	6,781	9,382	21,180
Printing	36,738	39,812	27,544
Equipment	-	5,000	635
Telecommunications	48,959	49,255	51,642
Operation of Auto Equipment	85,000	84,999	62,518
Total Expenditures	4,240,180	4,646,596	4,761,382
Lapsed Balances	\$ 3,004,620	\$ 1,990,804	\$ 919,618

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2011 P.A. 96-0956, 96-0957	2010 P.A. 96-0042, 96-0046, 96- 0819	2009 P.A. 95-0734, 95-1001, 95- 1030, 96-0004
Secretary of State Special Services Fund - 483:			
Appropriations (Net After Transfers)	\$ 29,250,000	\$ 29,129,300	\$ 28,648,200
Expenditures:			
Electronic Data Processing	8,267,653	6,876,929	8,386,774
Office Automation & Technology	9,871,517	6,414,822	9,671,107
Promote & Improve Interlibrary Cooperation	225,337	204,781	221,495
Library Technology	1,597,548	1,578,670	1,574,047
Support & Expansion of Family Literacy	1,300,000	1,298,185	1,299,696
CDLIS Modernization Grant	153,374	-	-
CDL Improvement Grant	69,885	-	-
Total Expenditures	<u>21,485,314</u>	<u>16,373,387</u>	<u>21,153,119</u>
Lapsed Balances	<u>\$ 7,764,686</u>	<u>\$ 12,755,913</u>	<u>\$ 7,495,081</u>
FY09 Budget Relief Fund - 678			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 11,300,000
Expenditures	-	-	11,300,000
Lapsed Balances	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Live and Learn Fund - 026:			
Annual per capita grants to school:			
Appropriations (Net After Transfers)	\$ 1,145,000	\$ 1,145,000	\$ 1,025,000
Expenditures	1,144,589	1,144,682	1,024,565
Lapsed Balances	<u>\$ 411</u>	<u>\$ 318</u>	<u>\$ 435</u>
Local library per capita:			
Appropriations (Net After Transfers)	\$ 16,004,200	\$ 16,004,200	\$ 16,004,200
Expenditures	16,004,172	16,003,047	16,003,545
Lapsed Balances	<u>\$ 28</u>	<u>\$ 1,153</u>	<u>\$ 655</u>
System automation grants:			
Appropriations (Net After Transfers)	\$ 274,000	\$ 274,000	\$ 274,000
Expenditures	69,013	233,045	268,746
Lapsed Balances	<u>\$ 204,987</u>	<u>\$ 40,955</u>	<u>\$ 5,254</u>
Research and reference:			
Appropriations (Net After Transfers)	\$ 306,000	\$ 306,000	\$ -
Expenditures	306,000	231,711	-
Lapsed Balances	<u>\$ -</u>	<u>\$ 74,289</u>	<u>\$ -</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2011 P.A. 96-0956, 96-0957	2010 P.A. 96-0042, 96-0046, 96- 0819	2009 P.A. 95-0734, 95-1001, 95- 1030, 96-0004
Blind and physically handicapped:			
Appropriations (Net After Transfers)	\$ 300,000	\$ 300,000	\$ 300,000
Expenditures	287,247	299,349	299,702
Lapsed Balances	\$ 12,753	\$ 651	\$ 298
Construction:			
Appropriations (Net After Transfers)	\$ 810,800	\$ 810,800	\$ 620,800
Expenditures	810,800	810,800	620,800
Lapsed Balances	\$ -	\$ -	\$ -
Family literacy:			
Appropriations (Net After Transfers)	\$ 500,000	\$ 500,000	\$ 500,000
Expenditures	500,000	496,800	499,222
Lapsed Balances	\$ -	\$ 3,200	\$ 778
Organ donor:			
Appropriations (Net After Transfers)	\$ 1,750,000	\$ 1,750,000	\$ 1,750,000
Expenditures	1,226,134	1,435,186	1,442,539
Lapsed Balances	\$ 523,866	\$ 314,814	\$ 307,461
Live and Learn Fund - 026 Totals:			
Appropriations (Net After Transfers)	\$ 21,090,000	\$ 21,090,000	\$ 20,474,000
Expenditures	20,347,955	20,654,620	20,159,119
Lapsed Balances	\$ 742,045	\$ 435,380	\$ 314,881
Accessible Electronic Information Service Fund - 106:			
Appropriations (Net After Transfers)	\$ 77,000	\$ 77,000	\$ 77,000
Expenditures	70,299	72,388	72,083
Lapsed Balances	\$ 6,701	\$ 4,612	\$ 4,917
Capital Development Fund - 141:			
Rehabilitation of Various Facilities:			
Appropriations (Net After Transfers)	\$ 1,818,350	\$ 1,575,000	\$ 1,000,000
Expenditures	474,619	331,650	-
Reappropriations	1,343,731	1,243,350	1,000,000
Lapsed Balances	\$ -	\$ -	\$ -

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2011 P.A. 96-0956, 96-0957	2010 P.A. 96-0042, 96-0046, 96- 0819	2009 P.A. 95-0734, 95-1001, 95- 1030, 96-0004
Capital Development Fund - 141 Totals:			
Appropriations (Net After Transfers)	\$ 1,818,350	\$ 1,575,000	\$ 1,000,000
Expenditures	474,619	331,650	-
Reappropriations	1,343,731	1,243,350	1,000,000
Lapsed Balances	\$ 0	\$ 0	\$ -
Secretary of State Special			
License Plate Fund - 185:			
Illinois Veterans Home Libraries:			
Appropriations (Net After Transfers)	\$ 50,000	\$ 50,000	\$ 50,000
Expenditures	50,000	47,606	50,000
Lapsed Balances	\$ -	\$ 2,394	\$ -
Securities Investors' Education Fund - 292:			
Appropriations (Net After Transfers)	\$ 2,333,500	\$ 2,333,500	\$ 1,333,500
Expenditures	158,696	1,002,921	834,945
Lapsed Balances	\$ 2,174,804	\$ 1,330,579	\$ 498,555
Rotary Club - 454:			
Appropriations (Net After Transfers)	\$ 5,000	\$ -	\$ -
Expenditures	5,000	-	-
Lapsed Balances	\$ -	\$ -	\$ -
Ovarian Cancer Awareness - 459:			
Appropriations (Net After Transfers)	\$ 5,000	\$ -	\$ -
Expenditures	5,000	-	-
Lapsed Balances	\$ -	\$ -	\$ -
IL Professional Golfers Assn. Foundation- 463:			
Appropriations (Net After Transfers)	\$ 25,000	\$ 10,000	\$ -
Expenditures	25,000	10,000	-
Lapsed Balances	\$ -	\$ -	\$ -
Boy Scouts and Girl Scouts - 464:			
Appropriations (Net After Transfers)	\$ 5,000	\$ 3,000	\$ 10,000
Expenditures	3,975	-	-
Lapsed Balances	\$ 1,025	\$ 3,000	\$ 10,000
Agriculture In The Classroom - 466:			
Appropriations (Net After Transfers)	\$ 50,000	\$ 50,000	\$ 10,000
Expenditures	50,000	50,000	10,000
Lapsed Balances	\$ -	\$ -	\$ -

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2011 P.A. 96-0956, 96-0957	2010 P.A. 96-0042, 96-0046, 96- 0819	2009 P.A. 95-0734, 95-1001, 95- 1030, 96-0004
Sheet Metal Workers - 468:			
Appropriations (Net After Transfers)	\$ 3,000	\$ -	\$ -
Expenditures	-	-	-
Lapsed Balances	\$ 3,000	\$ -	\$ -
Library Services Fund - 470:			
Secretary of State for library services pursuant to the Federal Library Services and Construction Act, P.L. 84-597, as amended, Title I:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 1,000,000
Expenditures	-	-	-
Lapsed Balances	\$ -	\$ -	\$ 1,000,000
Secretary of State for library services pursuant to the Federal Library Services and Construction Act, P.L. 84-594 and P.L. 104-208, as amended, Title IA-0000:			
Appropriations (Net After Transfers)	\$ 7,000,000	\$ 7,000,000	\$ 7,000,000
Expenditures	5,138,189	6,449,844	6,218,984
Lapsed Balances	\$ 1,861,811	\$ 550,156	\$ 781,016
Library Services Fund - 470 Totals:			
Appropriations (Net After Transfers)	\$ 7,000,000	\$ 7,000,000	\$ 8,000,000
Expenditures	5,138,189	6,449,844	6,218,984
Lapsed Balances	\$ 1,861,811	\$ 550,156	\$ 1,781,016
State Library Fund - 471:			
Appropriations (Net After Transfers)	\$ 12,000	\$ 12,000	\$ 12,000
Expenditures	4,873	10,250	2,499
Lapsed Balances	\$ 7,127	\$ 1,750	\$ 9,501
Franchise Tax & License Fee Fund - 490			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 500,000
Expenditures	-	-	428,809
Lapsed Balances	\$ -	\$ -	\$ 71,191
Support our Troops - 496:			
Appropriations (Net After Transfers)	\$ 5,000	\$ 5,000	\$ -
Expenditures	-	-	-
Lapsed Balances	\$ 5,000	\$ 5,000	\$ -

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2011 P.A. 96-0956, 96-0957	2010 P.A. 96-0042, 96-0046, 96- 0819	2009 P.A. 95-0734, 95-1001, 95- 1030, 96-0004
Master Mason Fund - 508:			
Masonic Foundation Grants:			
Appropriations (Net After Transfers)	\$ 50,000	\$ 50,000	\$ 40,000
Expenditures	50,000	50,000	40,000
Lapsed Balances	\$ -	\$ -	\$ -
Illinois Pan Hellenic Trust Fund - 584:			
Appropriations (Net After Transfers)	\$ 50,000	\$ 45,000	\$ 30,000
Expenditures	28,304	29,774	22,150
Lapsed Balances	\$ 21,696	\$ 15,226	\$ 7,850
Park District Youth Program Fund - 585:			
Appropriations (Net After Transfers)	\$ 25,000	\$ 25,000	\$ 15,000
Expenditures	25,000	25,000	15,000
Lapsed Balances	\$ -	\$ -	\$ -
Illinois Route 66 Heritage Project Fund - 594:			
Appropriations (Net After Transfers)	\$ 100,000	\$ 100,000	\$ 145,000
Expenditures	100,000	100,000	145,000
Lapsed Balances	\$ -	\$ -	\$ -
Police Memorial Committee Fund - 598:			
Appropriations (Net After Transfers)	\$ 200,000	\$ 125,000	\$ 80,000
Expenditures	200,000	125,000	80,000
Lapsed Balances	\$ -	\$ -	\$ -
Mammogram Fund - 599:			
Susan G. Komen Foundation:			
Appropriations (Net After Transfers)	\$ 130,000	\$ 120,000	\$ 100,000
Expenditures	130,000	120,000	100,000
Lapsed Balances	\$ -	\$ -	\$ -
IL Police Association Fund - 655:			
Appropriations (Net After Transfers)	\$ 20,000	\$ -	\$ -
Expenditures	20,000	-	-
Lapsed Balances	\$ -	\$ -	\$ -
Organ Donor Awareness Fund - 716:			
Appropriations (Net After Transfers)	\$ 100,000	\$ 200,000	\$ 125,000
Expenditures	100,000	167,287	125,000
Lapsed Balances	\$ -	\$ 32,713	\$ -

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2011 P.A. 96-0956, 96-0957	2010 P.A. 96-0042, 96-0046, 96- 0819	2009 P.A. 95-0734, 95-1001, 95- 1030, 96-0004
Chicago and Northeastern Illinois			
District Council Fund - 756:			
Appropriations (Net After Transfers)	\$ 500	\$ 500	\$ 500
Expenditures	-	-	-
Lapsed Balances	<u>\$ 500</u>	<u>\$ 500</u>	<u>\$ 500</u>
U.S. Marine Corps Scholarship Fund - 760:			
Appropriations (Net After Transfers)	\$ 60,000	\$ 60,000	\$ 40,000
Expenditures	60,000	60,000	40,000
Lapsed Balances	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
State Park Facility Maintenance Fund - 782:			
Appropriations (Net After Transfers)	\$ 40,000	\$ 40,000	\$ 40,000
Expenditures	-	40,000	-
Lapsed Balances	<u>\$ 40,000</u>	<u>\$ -</u>	<u>\$ 40,000</u>
Secretary of State Grant Fund - 948:			
Appropriations (Net After Transfers)	\$ 500,000	\$ 500,000	\$ 700,000
Expenditures	66,138	83,128	298,212
Lapsed Balances	<u>\$ 433,862</u>	<u>\$ 416,872</u>	<u>\$ 401,788</u>
Build IL Bond Fund - 971:			
Appropriations (Net After Transfers)	\$ 50,000,000	\$ 50,000,000	\$ -
Expenditures	-	-	-
Reappropriations	50,000,000	50,000,000	-
Lapsed Balances	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
GENERAL ADMINISTRATIVE			
GROUP TOTALS:			
Appropriations (Net After Transfers)	\$ 137,291,050	\$ 137,849,300	\$ 203,319,913
Expenditures	64,260,104	57,562,916	183,086,604
Reappropriations	51,343,731	51,243,350	1,000,000
Lapsed Balances	<u>\$ 21,687,215</u>	<u>\$ 29,043,034</u>	<u>\$ 19,233,309</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2011 P.A. 96-0956, 96-0957	2010 P.A. 96-0042, 96-0046, 96- 0819	2009 P.A. 95-0734, 95-1001, 95- 1030, 96-0004
MOTOR VEHICLE GROUP:			
General Revenue Fund - 001:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 29,676,428
Expenditures:			
Personal Services:			
Regular Positions	-	-	12,687,660
Extra Help	-	-	43,964
State Retirement Fund Contributions	-	-	6,913,989
Social Security Contributions	-	-	565,317
Contractual Services	-	-	4,129,850
Travel	-	-	171,086
Commodities	-	-	133,475
Printing	-	-	450,624
Equipment	-	-	264,570
Telecommunications	-	-	1,100,335
Operation of Auto Equipment	-	-	473,927
Security Measures Capitol	-	-	2,470,412
	-	-	29,405,209
Lapsed Balances	\$ -	\$ -	\$ 271,219
 Road Fund - 011:			
Appropriations (Net After Transfers)	\$ -	\$ 125,919,400	\$ 125,580,400
Expenditures:			
Personal Services:			
Regular Positions	-	-	86,652,497
Extra Help	-	-	6,808,393
State Retirement Fund Contributions	-	-	15,449,247
Social Security Contributions	-	-	6,825,655
Contractual Services	-	-	9,015,637
Travel	-	-	2,468
Commodities	-	-	575,136
Printing	-	-	103,288
Equipment	-	-	102,845
Telecommunications	-	-	19,472
Operation of Auto Equipment	-	-	-
	-	-	-
Total Expenditures	-	-	125,554,638
Lapsed Balances	\$ -	\$ 125,919,400	\$ 25,762

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2011 P.A. 96-0956, 96-0957	2010 P.A. 96-0042, 96-0046, 96- 0819	2009 P.A. 95-0734, 95-1001, 95- 1030, 96-0004
CDLIS/AAMVA Net Trust Fund - 109:			
Appropriations (Net After Transfers)	\$ 1,063,800	\$ 1,063,800	\$ 1,063,800
Expenditures:			
Contractual Services	695,026	692,717	683,828
Total Expenditures	695,026	692,717	683,828
Lapsed Balances	\$ 368,774	\$ 371,083	\$ 379,972
Secretary of State Federal Projects Fund - 176:			
Appropriations (Net After Transfers)	\$ 700,000	\$ 200,000	\$ 500,000
Expenditures	88,795	76,385	12,404
Lapsed Balances	\$ 611,205	\$ 123,615	\$ 487,596
Secretary of State Special License Plate Fund - 185:			
Appropriations (Net After Transfers)	\$ 6,950,000	\$ 7,022,800	\$ 7,624,300
Expenditures:			
Personal Services			
Regular Positions	469,825	294,381	559,446
Employee Retirement Contributions	9,398	5,888	11,180
Group Insurance	158,531	97,010	192,978
State Retirement Fund Contributions	131,515	83,541	117,768
Social Security Contributions	40,458	25,723	41,094
Contractual Services	696,147	673,443	606,796
Travel	9,988	9,999	7,193
Commodities	2,000,000	2,000,000	2,000,000
Printing	1,068,357	129,655	1,228,061
Telecommunications	299,996	263,164	207,000
Total Expenditures	4,884,215	3,582,804	4,971,516
Lapsed Balances	\$ 2,065,785	\$ 3,439,996	\$ 2,652,784

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2011 P.A. 96-0956, 96-0957	2010 P.A. 96-0042, 96-0046, 96- 0819	2009 P.A. 95-0734, 95-1001, 95- 1030, 96-0004
Motor Vehicle Review Board Fund - 323:			
Appropriations (Net After Transfers)	\$ 355,700	\$ 322,100	\$ 574,300
Expenditures:			
Personal Services:			
Regular Positions	126,510	140,140	186,995
Employee Retirement Contributions	2,531	2,635	3,555
Group Insurance	7,203	7,450	16,106
State Retirement Fund Contributions	35,426	39,768	59,193
Social Security Contributions	9,595	10,593	14,152
Contractual Services	28,441	39,936	41,042
Commodities	492	-	800
Printing	1,307	-	3,698
Telecommunications	469	597	489
	211,974	241,119	326,030
Lapsed Balances	\$ 143,726	\$ 80,981	\$ 248,270
Vehicle Inspection Fund - 963:			
Appropriations (Net After Transfers)	\$ 3,772,300	\$ 3,772,300	\$ 3,770,500
Expenditures:			
Personal Services:			
Regular Positions	932,923	1,013,650	1,341,329
Employee Retirement Contributions	19,316	20,697	26,931
Extra Help	40,053	30,213	46,674
Group Insurance	336,863	335,112	450,581
State Retirement Fund Contributions	272,397	296,317	292,327
Social Security Contributions	79,780	87,713	117,544
Contractual Services	1,044,445	1,086,535	1,050,506
Travel	-	-	2,353
Commodities	25,000	24,513	19,500
Printing	-	-	50,000
Equipment	37,844	-	141,359
Telecommunications	29,653	23,968	20,700
	2,818,274	2,918,718	3,559,804
Lapsed Balances	\$ 954,026	\$ 853,582	\$ 210,696
Secretary of State Evidence Fund - 374:			
Appropriations (Net After Transfers)	\$ 5,000	\$ 5,000	\$ 10,000
Expenditures	4,910	4,939	7,407
Lapsed Balances	\$ 90	\$ 61	\$ 2,593

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2011 P.A. 96-0956, 96-0957	2010 P.A. 96-0042, 96-0046, 96- 0819	2009 P.A. 95-0734, 95-1001, 95- 1030, 96-0004
Alternate Fuels Fund - 422:			
Administering Alternate Fuels Act:			
Appropriations (Net After Transfers)	\$ 225,000	\$ 225,000	\$ 225,000
Expenditures	164,991	161,390	224,966
Lapsed Balances	\$ 60,009	\$ 63,610	\$ 34
Indigent BAIID Fund - 451:			
Appropriations (Net After Transfers)	\$ 500,000	\$ 500,000	\$ 500,000
Expenditures	221,141	185,516	-
Lapsed Balances	\$ 278,859	\$ 314,484	\$ 500,000
Monitoring Device Driving Permit Fund - 453:			
Appropriations (Net After Transfers)	\$ 3,000,000	\$ 3,000,000	\$ 2,000,000
Expenditures	762,207	733,459	3,404
Lapsed Balances	\$ 2,237,793	\$ 2,266,541	\$ 1,996,596
Security & Theft Prevention Fund - 480:			
Appropriations (Net After Transfers)	\$ 15,000,000	\$ 15,000,000	\$ 12,400,000
Expenditures	3,756,855	1,385,270	2,584,033
Lapsed Balances	\$ 11,243,145	\$ 13,614,730	\$ 9,815,967
Motor Vehicle License Plate Fund - 622:			
New or replacement license plates:			
Appropriations (Net After Transfers)	\$ 16,000,000	\$ 17,000,000	\$ 17,000,000
Expenditures	10,184,109	9,124,232	12,367,297
Lapsed Balances	\$ 5,815,891	\$ 7,875,768	\$ 4,632,703
Secretary of State DUI Administration Fund - 732:			
Administrative hearings:			
Appropriations (Net After Transfers)	\$ 2,500,000	\$ 2,000,000	\$ 2,500,000
Expenditures	1,636,622	1,534,162	1,556,507
Lapsed Balances	\$ 863,378	\$ 465,838	\$ 943,493
Secretary of State Police DUI Fund - 758:			
Appropriations (Net After Transfers)	\$ 30,000	\$ 30,000	\$ 60,000
Expenditures	-	-	12,900
Lapsed Balances	\$ 30,000	\$ 30,000	\$ 47,100

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2011	2010	2009
	P.A. 96-0956, 96-0957	P.A. 96-0042, 96-0046, 96- 0819	P.A. 95-0734, 95-1001, 95- 1030, 96-0004
Secretary of State Police Services Fund - 759:			
Appropriations (Net After Transfers)	\$ 250,000	\$ 250,000	\$ 100,000
Expenditures	<u>217,607</u>	<u>168,901</u>	<u>46,238</u>
Lapsed Balances	<u>\$ 32,393</u>	<u>\$ 81,099</u>	<u>\$ 53,762</u>
MOTOR VEHICLE GROUP TOTALS:			
Appropriations (Net After Transfers)	\$ 50,351,800	\$ 176,310,400	\$ 203,584,728
Expenditures	<u>25,646,726</u>	<u>20,809,612</u>	<u>181,316,181</u>
Lapsed Balances	<u>\$ 24,705,074</u>	<u>\$ 155,500,788</u>	<u>\$ 22,268,547</u>
GRAND TOTALS - ALL GROUPS:			
Appropriations (Net After Transfers)	\$ 447,919,450	\$ 576,116,900	\$ 416,231,742
Expenditures	<u>348,829,271</u>	<u>335,043,880</u>	<u>373,587,725</u>
Reappropriations	<u>51,343,731</u>	<u>51,243,350</u>	<u>1,000,000</u>
Lapsed Balances	<u>\$ 47,746,448</u>	<u>\$ 189,829,670</u>	<u>\$ 41,644,017</u>

Note: The comparative schedule of net appropriations, expenditures and lapsed balanced does not include the Secretary of State's salary. The Secretary of State's salary is appropriated to the Comptroller's Office for payment and, for fiscal year 2011, 2010 and 2009 totaled \$156,541, respectively.

Note: The fiscal year 2011 expenditures and related lapsed balances do not reflect any interest payments approved for payment by the Office and submitted to the Office of the Comptroller for payment after August.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF EXPENDITURES BY MAJOR OBJECT CODE

	Year ended June 30,				Difference		Difference		
	2011	2010	2009	2011 - 2010		2010 - 2009			
				Dollars	Percent	Dollars	Percent		
Operations:									
Personal services:									
Regular positions	\$ 7,026,107	\$ 80,986,532	\$ 159,653,550	\$ (73,960,425)	(91.32) %	\$ (78,667,018)	(49.27) %	1	
Extra help	47,668	44,736	8,012,662	2,932	6.55 %	(7,967,926)	(99.44) %	2	
Employee retirement contributions	143,591	119,382	2,017,233	24,209	20.28 %	(1,897,851)	(94.08) %	3	
Group insurance	1,782,144	1,462,747	1,801,820	319,397	21.84 %	(339,073)	(18.82) %	4	
State retirement fund contributions	1,981,802	1,700,451	35,352,153	281,351	16.55 %	(33,651,702)	(95.19) %	5	
Social security contributions	526,389	5,686,039	12,004,637	(5,159,650)	(90.74) %	(6,318,598)	(52.63) %	6	
Contractual services	6,284,739	6,560,616	32,004,011	(275,877)	(4.21) %	(25,443,395)	(79.50) %	7	
Travel	25,379	30,570	357,916	(5,191)	(16.98) %	(327,346)	(91.46) %	8	
Printing	1,108,903	171,204	2,086,566	937,699	547.71 %	(1,915,362)	(91.79) %	9	
Commodities	2,041,370	2,045,186	3,973,427	(3,816)	(0.19) %	(1,928,241)	(48.53) %	10	
Equipment	38,697	5,000	1,371,349	33,697	673.94 %	(1,366,349)	(99.64) %	11	
Electronic data processing	8,267,653	6,876,929	8,386,774	1,390,724	20.22 %	(1,509,845)	(18.00) %	12	
Telecommunications	422,016	381,486	1,898,050	40,530	10.62 %	(1,516,564)	(79.90) %	13	
Operation of automotive equipment	259,999	259,999	953,873	-	- %	(693,874)	(72.74) %	14	
Operational expenditures	243,336,170	160,786,170	-	82,550,000	51.34 %	160,786,170	100.00 %	15	
Lobbyist Registration Administration	298,915	14,895	-	284,020	1,906.81 %	14,895	100.00 %	16	
FY09 Budget Relief Fund	-	-	11,300,000	-	- %	(11,300,000)	(100.00) %	17	
Interagency grant fund - non appropriated	2,712,939	1,928,676	1,333,850	784,263	40.66 %	594,826	44.59 %	18	
Secretary of State grant fund	66,137	83,128	298,212	(16,991)	(20.44) %	(215,084)	(72.12) %	19	
Securities investors' education fund	158,696	1,002,921	834,945	(844,225)	(84.18) %	167,976	20.12 %	20	
Alternate fuels fund	164,991	161,390	224,966	3,601	2.23 %	(63,576)	(28.26) %	21	
Indigent BAID	221,141	185,516	-	35,625	19.20 %	185,516	100.00 %	22	
Monitoring Device Driving Permit (MDDP)	762,206	733,459	3,404	28,747	3.92 %	730,055	21,446.97 %	23	
Secretary of State evidence fund	4,910	4,939	7,407	(29)	(0.59) %	(2,468)	(33.32) %	24	
Motor vehicle license plate fund	10,183,885	9,124,232	12,367,297	1,059,653	11.61 %	(3,243,065)	(26.22) %	25	
Secretary of State DUI administration fund	1,636,622	1,534,162	1,556,507	102,460	6.68 %	(22,345)	(1.44) %	26	
Secretary of State police DUI fund	-	-	12,900	-	- %	(12,900)	(100.00) %	27	
Secretary of State police services fund	217,607	168,901	46,238	48,706	28.84 %	122,663	265.29 %	28	
Secretary of State ID security	3,756,855	1,385,270	2,584,033	2,371,585	171.20 %	(1,198,763)	(46.39) %	29	
Secretary of State federal projects fund	88,795	76,385	12,404	12,410	16.25 %	63,981	515.81 %	30	
Constitutional convention	-	-	2,365,416	-	- %	(2,365,416)	(100.00) %	31	
Franchise tax and license fee amnesty admin fund	-	-	428,809	-	- %	(428,809)	(100.00) %	32	

(CONTINUED)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF EXPENDITURES BY MAJOR OBJECT CODE - CONTINUED

	Year ended June 30,			Difference		Difference	
	2011	2010	2009	2011 - 2010	Percent	2010 - 2009	Percent
State library fund	\$ 4,873	\$ 10,250	\$ 2,499	\$ (5,377)	(52.46) %	\$ 7,751	310.16 %
Secretary of State special services fund	10,094,776	6,414,822	9,671,107	3,679,954	57.37 %	(3,256,285)	(33.67) %
	<u>303,665,975</u>	<u>289,945,993</u>	<u>312,924,015</u>	<u>13,719,982</u>	<u>4.73 %</u>	<u>(22,978,022)</u>	<u>(7.34) %</u>
Awards and Grants:							
Awards and grants	24,770,148	26,000,294	30,016,603	(1,230,146)	(4.73) %	(4,016,309)	(13.38) %
Live and learn fund	20,347,955	20,654,620	20,159,119	(306,665)	(1.48) %	495,501	2.46 %
Literacy program	-	-	4,649,981	-	- %	(4,649,981)	(100.00) %
Library service to blind	-	-	2,421,467	-	- %	(2,421,467)	(100.00) %
Lump sums and other purposes:							
Securities Audit & Enforcement Fund - non approp	-	68,999	418,313	(68,999)	(100.00) %	(349,314)	(83.51) %
Capitol Police	-	-	2,470,412	-	- %	(2,470,412)	(100.00) %
International registration	94,455,256	101,197,982	154,320,663	(6,742,726)	(6.66) %	(53,122,681)	(34.42) %
	<u>139,573,359</u>	<u>147,921,895</u>	<u>214,456,558</u>	<u>(8,348,536)</u>	<u>(5.64) %</u>	<u>(66,534,663)</u>	<u>(31.02) %</u>
Permanent Improvements:							
Permanent improvements	474,619	371,650	-	102,969	27.71 %	371,650	100.00 %
	<u>474,619</u>	<u>371,650</u>	<u>-</u>	<u>102,969</u>	<u>27.71 %</u>	<u>371,650</u>	<u>100.00 %</u>
Refunds:							
Refunds	2,283,513	-	2,279,977	2,283,513	100.00 %	(2,279,977)	(100.00) %
Corporate franchise tax refund fund	1,136,784	64,707	353,016	1,072,077	1,656.82 %	(288,309)	(81.67) %
Lump sums and other purposes:							
Safety responsibility	553,053	719,128	795,291	(166,075)	(23.09) %	(76,163)	(9.58) %
	<u>3,973,350</u>	<u>783,835</u>	<u>3,428,284</u>	<u>3,189,515</u>	<u>406.91 %</u>	<u>(2,644,449)</u>	<u>(77.14) %</u>
Total	<u>\$ 447,687,303</u>	<u>\$ 439,023,373</u>	<u>\$ 530,808,857</u>	<u>\$ 8,663,930</u>	<u>1.97 %</u>	<u>\$ (91,785,484)</u>	<u>(17.29) %</u>

Note: Expenditure amounts are vouchers approved for payment and submitted to the State Comptroller for payment.

Fiscal year 2011 expenditures do not include interest payments approved and submitted to the State Comptroller for payment after August.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS,
AND FUND BALANCES (CASH BASIS) - LOCALLY HELD FUNDS

Years Ended June 30, 2011 and 2010
(Expressed in thousands)

	<u>2011</u>	<u>2010</u>
<u>Go-Back Fund - 1110</u>		
Balance, beginning of year	\$ -	\$ -
Receipts and additions		
License, fees or registration	147	174
Disbursements and deletions		
Refunds	<u>147</u>	<u>174</u>
Balance, end of year	<u>\$ -</u>	<u>\$ -</u>

The Go-Back Fund consists of an account maintained at Bank One.

Special Advance Fund - 1198

Balance, beginning of year	\$ 5	\$ 9
Receipts and additions		
Operating transfers in	8	7
Disbursements and deletions		
General government	<u>4</u>	<u>11</u>
Balance, end of year	<u>\$ 9</u>	<u>\$ 5</u>

The Special Advance Fund consists of funds on deposit with three banks throughout the State of Illinois.

(CONTINUED)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS,
AND FUND BALANCES (CASH BASIS) - LOCALLY HELD FUNDS - CONTINUED

Years Ended June 30, 2011 and 2010
(Expressed in thousands)

	<u>2011</u>	<u>2010</u>
<u>Safe-Keeping Fund - 1344</u>		
Balance, beginning of year	\$ 1,004	\$ 1,365
Receipts and additions		
License, fees or registrations	823	862
Disbursements and deletions		
Refunds	<u>862</u>	<u>1,223</u>
Balance, end of year	<u>\$ 965</u>	<u>\$ 1,004</u>
The Safe-Keeping Fund consists of surety bonds and certificates of deposit held by the State Treasurer		
<u>Antique Vehicle Show - 1390</u>		
Balance, beginning of year	\$ 9	\$ 13
Receipts and additions		
License, fees or registrations	8	8
Disbursements and deletions		
General government	<u>10</u>	<u>12</u>
Balance, end of year	<u>\$ 7</u>	<u>\$ 9</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF CHANGES IN STATE PROPERTY

Year Ended June 30, 2011

	Land and Land Improvements	Buildings and Building Improvements	Equipment	Capital Leases	Total
PROPERTY AND EQUIPMENT, July 1, 2010	\$ 7,085,931	\$ 423,792,249	\$ 74,776,592	\$ -	\$ 505,654,772
ADDITIONS					
Purchases	-	277,058	2,250,278	-	2,527,336
Library books	-	-	195,639	-	195,639
Previously omitted from inventory	-	-	446,357	-	446,357
Transfer from Capital Development Board and other agencies	1,316	3,640,953	141,048	-	3,783,317
Adjustments	-	-	511,434	-	511,434
Total additions	1,316	3,918,011	3,544,756	-	7,464,083

(Continued)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF CHANGES IN STATE PROPERTY - CONTINUED

Year Ended June 30, 2011

	Land and Land Improvements	Buildings and Building Improvements	Equipment	Capital Leases	Total
DELETIONS					
Cost of equipment traded-in	\$ -	\$ -	\$ 1,400	\$ -	\$ 1,400
Transfers to Department of Central Management Services	-	-	2,147,100	-	2,147,100
Transfers to other agencies	-	-	350,842	-	350,842
Scrapped items	-	-	22,676	-	22,676
Non-inventory items	-	-	17,794	-	17,794
Other deletions	-	246,040	71,458	-	317,498
Total deletions	-	246,040	2,611,270	-	2,857,310
PROPERTY AND EQUIPMENT, June 30, 2011	\$ 7,087,247	\$ 427,464,220	\$ 75,710,078	\$ -	\$ 510,261,545

Note: This schedule was prepared from Secretary of State records and was reconciled to property reports submitted to the State Comptroller. This summary schedule was prepared using State property records required by the Illinois Administrative Code. The capitalization policy in the Code is different than the capitalization policy established by the Office of the Comptroller for financial reporting in accordance with generally accepted accounting principles.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF CHANGES IN STATE PROPERTY

Year Ended June 30, 2010

	Land and Land Improvements	Buildings and Building Improvements	Equipment	Capital Leases	Total
PROPERTY AND EQUIPMENT, July 1, 2009	\$ 7,076,987	\$ 418,115,017	\$ 74,313,496	\$ -	\$ 499,505,500
ADDITIONS					
Purchases	-	1,221,279	3,357,402	-	4,578,681
Library books	-	-	223,105	-	223,105
Previously omitted from inventory	-	-	216,210	-	216,210
Transfer from Capital Development Board and other agencies	8,944	4,528,654	311,651	-	4,849,249
Adjustments	-	-	331,333	-	331,333
Total additions	8,944	5,749,933	4,439,701	-	10,198,578

(Continued)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF CHANGES IN STATE PROPERTY - CONTINUED

Year Ended June 30, 2010

	Land and Land Improvements	Buildings and Building Improvements	Equipment	Capital Leases	Total
DELETIONS					
Cost of equipment traded-in	\$ -	\$ -	\$ 470,525	\$ -	\$ 470,525
Transfers to Department of Central Management Services	-	-	3,152,385	-	3,152,385
Transfers to other agencies	-	-	243,078	-	243,078
Scrapped items	-	-	941	-	941
Adjustments	-	-	1,068	-	1,068
Other deletions	-	72,701	108,608	-	181,309
Total deletions	-	72,701	3,976,605	-	4,049,306
PROPERTY AND EQUIPMENT, June 30, 2010	\$ 7,085,931	\$ 423,792,249	\$ 74,776,592	\$ -	\$ 505,654,772

Note: This schedule was prepared from Secretary of State records and was reconciled to property reports submitted to the State Comptroller. This summary schedule was prepared using State property records required by the Illinois Administrative Code. The capitalization policy in the Code is different than the capitalization policy established by the Office of the Comptroller for financial reporting in accordance with generally accepted accounting principles.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF CASH RECEIPTS - BY DEPARTMENT AND SOURCE

<u>Department and Source</u>	<u>2011</u>		<u>2010</u>		<u>2009</u>
Business services					
Corporation taxes and fees	\$ 226,477,636		\$ 231,826,010		\$ 220,150,616
Uniform Limited Partnership	1,923,985	(1)	1,437,655		1,568,654
Uniform Commercial Code	3,195,086		3,005,127		3,097,480
Limited Liability Co. Act	55,920,251		52,645,235		50,174,331
Limited Liability Partnership	491,857		458,577		478,975
Securities					
Forfeited or seized property	11,153		7,000	(2)	533,248
License fees or registration	53,453,326		56,607,017		51,440,688
Library					
Copy fees and book dues	6,980		8,703		8,909
Federal government	4,655,537	(3)	6,982,535		6,618,953
Monetary Gift or Bequests	1,455		14,595		-
Lost or damaged books	1,255		-		-
Archives					
Copy and recording fees	12,240		8,007		14,484
National Archives	-		-		13,015
Index					
License fees or registration	2,706,236	(4)	767,044	(4)	1,934,133
Subscriptions of publication sales	580		2,864	(5)	21,339
Lobbyist registration fines	91,650	(6)	38,350		36,200
Physical services					
Parking fees	7,830		7,490		8,990
All departments					
Miscellaneous	26,404	(7)	71,889		59,098
Employee reimbursements	5,633		7,987		8,038
Check Write Off/Go Back	5,476		4,562		6,810
Other state agencies	1,000,000	(8)	-	(8)	26,379
Federal government	239,347	(9)	103,380	(9)	-
Private organizations or individuals	360,951	(10)	801,161	(10)	-
Unclaimed assets	42,060	(11)	538,484		592,361
Driver Services					
Operators' license and related fees	99,621,460	(12)	85,674,940	(12)	65,983,020
Sale of individual driving record	30,646,716		31,901,922		32,593,926

(CONTINUED)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF CASH RECEIPTS - BY DEPARTMENT AND SOURCE - CONTINUED

<u>Department and Source</u>	<u>2011</u>		<u>2010</u>		<u>2009</u>
Automotive dealer fees	\$ 4,431,860		\$ 4,486,158		\$ 4,706,867
Reinstate operators license	11,214,330		10,559,835		11,702,150
Sale of vehicle or driver data	916,404	(13)	1,109,338	(13)	963,442
Standard Illinois identification card	9,391,864		10,514,660		10,005,012
Federal government	501,103	(14)	1,202,663	(14)	-
Installation fees - Indigent BAID	248,233	(15)	170,481	(15)	-
Private organizations or individuals	660,025		626,763	(16)	823,324
Vehicle Services					
Commercial distribution fee	39,827,557		40,805,104		47,485,373
Short term trip permits	791,376	(17)	657,784	(17)	960,888
Personalized license plates	7,704,395		7,948,998		8,329,103
Certificate of Title	194,711,106	(18)	145,519,367	(18)	120,972,664
Misplaced license plates or titles	90,500		-		-
Delinquent vehicle registration renewal	7,823,260		7,755,320		8,563,780
IRP audit fees	-		125		-
License fees or registration	1,482,357,454		1,390,390,049		1,386,678,538
SOS Police					
License fees or registration	677,396		653,544	(19)	377,049
Motor Vehicle Theft Prevention Council	1,067,004	(20)	1,269,102		1,358,999
Fines, penalties or violations	21,468		32,630		29,872
Other Illinois State agencies and prior period adjustments	243,167	(21)	98,470	(21)	61,757
Total cash receipts per Comptroller	<u>\$ 2,243,583,606</u>		<u>\$ 2,096,720,925</u>		<u>\$ 2,038,388,465</u>

Note: The Secretary of State's explanations for significant variance in cash receipts are documented in the Analysis of Significant Variations in Receipts later in this report.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
Year Ended June 30, 2011

Department and Source	Multiple Department Sourced Funds		Vehicle Services Sourced Funds		Drivers Services Sourced Funds		Business Services Sourced Funds		Other Department Sourced Funds		All Funds Summary	
	\$		\$		\$		\$		\$		\$	
Business Services												
Corporation taxes and fees	211,824,827	\$	-	\$	-	\$	14,652,809	\$	-	-	\$	226,477,636
Uniform Limited Partnership	1,923,985		-		-		-		-			1,923,985
Uniform Commercial Code	3,195,086		-		-		-		-			3,195,086
Limited Liability Co. Act	55,920,251		-		-		-		-			55,920,251
Limited Liability Partnership	-		-		-		491,857		-			491,857
Securities												
License fees or registration	37,476,103		-		-		-		15,977,223			53,453,326
Forfeited or seized property	-		-		-		-		11,153			11,153
Library												
Copy fees and book dues	335		-		-		-		6,645			6,980
Federal government	-		-		-		-		4,655,537			4,655,537
Monetary gifts or bequests	-		-		-		-		1,455			1,455
Lost or damaged books	-		-		-		-		1,255			1,255
Archives												
Copy and recording fees	12,240		-		-		-		-			12,240
Index												
License fees or registration	618,986		-		-		-		2,087,250			2,706,236
Subscriptions of publication sales	-		-		-		-		580			580
Lobbyist registration fines	-		-		-		-		91,650			91,650
Physical Services												
Use fees and dues	-		-		-		-		7,830			7,830
Driver Services												
Operators' license and related fees	76,545,008		-		-		23,076,452		-			99,621,460
Sale of individual driving record	30,646,716		-		-		-		-			30,646,716
Automotive dealer fees	4,167,414		-		-		264,446		-			4,431,860
Reinstate operators license	9,159,260		-		-		2,055,070		-			11,214,330
Sale of vehicle or driver data	916,404		-		-		-		-			916,404
Standard IL ID card	9,391,864		-		-		-		-			9,391,864
Federal government	-		-		-		501,103		-			501,103
Installation fees-Indigent BAIID	-		-		-		248,233		-			248,233

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
Year Ended June 30, 2011

Department and Source	Multiple Department Sourced Funds		Vehicle Services Sourced Funds		Drivers Services Sourced Funds		Business Services Sourced Funds		Other Department Sourced Funds		All Funds Summary
	\$	- \$	\$	-	\$	- \$	\$	-	\$	-	
Private organizations or individuals											
Vehicle Services											
Commercial distribution fee	39,827,557	-	-	-	660,025	-	-	-	-	-	\$ 660,025
Short term trip permits	791,376	-	-	-	-	-	-	-	-	-	39,827,557
Personalized license plates	3,852,197	-	3,852,198	-	-	-	-	-	-	-	791,376
Certificate of title	178,539,422	-	16,171,684	-	-	-	-	-	-	-	7,704,395
Misplaced license plates or titles	-	-	90,500	-	-	-	-	-	-	-	194,711,106
Delinquent vehicle register renewal	7,823,260	-	-	-	-	-	-	-	-	-	90,500
License fees or registration	914,594,471	-	567,762,983	-	-	-	-	-	-	-	7,823,260
SOS Police											1,482,357,454
License fees or registration	316,945	-	-	-	-	-	-	-	360,451	-	677,396
Motor Vehicle Theft Prevention Council	1,067,004	-	-	-	-	-	-	-	-	-	1,067,004
Fines, penalties or violations	-	-	-	-	-	-	-	-	21,468	-	21,468
All departments											
Miscellaneous	26,404	-	-	-	-	-	-	-	-	-	26,404
Employee reimbursements	5,633	-	-	-	-	-	-	-	-	-	5,633
Check Write Off/GO Back	5,476	-	-	-	-	-	-	-	-	-	5,476
Federal government	239,347	-	-	-	-	-	-	-	-	-	239,347
Other state agencies	1,000,000	-	-	-	-	-	-	-	-	-	1,000,000
Private organizations or individuals	360,951	-	-	-	-	-	-	-	-	-	360,951
Unclaimed assets	42,060	-	-	-	-	-	-	-	-	-	42,060
Prior period adjustments	186,927	-	1,530	-	-	-	-	-	54,710	-	243,167
Total cash receipts per Comptroller	1,590,477,509	-	587,878,895	-	26,805,329	-	15,144,666	-	23,277,207	-	2,243,583,606
Receipts in transit to State Treasurer											
June 30, 2010	60,075,153	-	-	-	-	-	-	-	-	-	60,075,153
June 30, 2011	(58,783,889)	-	-	-	-	-	-	-	-	-	(58,783,889)
Receipts in transit to State Comptroller											
June 30, 2010	(76,055,482)	-	(74,793,164)	-	(2,394,315)	-	(476,241)	-	(214,438)	-	(153,933,640)
June 30, 2011	86,335,194	-	74,089,327	-	2,467,380	-	573,862	-	212,627	-	163,678,390
Prior period and other adjustments	(186,927)	-	(1,530)	-	-	-	-	-	(54,711)	-	(243,167)
Total Agency Receipts, fiscal year 2011	\$ 1,601,861,558	\$	\$ 587,173,528	\$	\$ 26,878,394	\$	\$ 15,242,287	\$	\$ 23,220,685	\$	\$ 2,254,376,453

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
Year Ended June 30, 2011
Multiple Department Sourced Funds

Department and Source	General Revenue Fund #0001	Road Fund #0011	SOS Federal Projects Fund #0176	SOS Inter-Agency Grant Fund #0295	SOS Special Services Fund #0483	Capital Projects Fund #694	Protest Fund #401	Total
Business Services								
Corporation taxes and fees	\$ 206,897,222	\$ -	\$ -	\$ -	\$ 4,927,605	\$ -	\$ -	211,824,827
Uniform Limited Partnership	1,923,985	-	-	-	-	-	-	1,923,985
Uniform Commercial Code	1,384,598	-	-	-	1,810,488	-	-	3,195,086
Limited Liability Co. Act	55,920,251	-	-	-	-	-	-	55,920,251
Securities								
License fees or registration	37,476,103	-	-	-	-	-	-	37,476,103
Library								
Copy fees and book dues	335	-	-	-	-	-	-	335
Archives								
Copy and recording fees	12,240	-	-	-	-	-	-	12,240
Index								
License fees or registration	618,836	-	-	-	150	-	-	618,986
Driver Services								
Operators' license and related fees	-	27,497,290	-	-	15,323,358	33,724,360	-	76,545,008
Sale of individual driving record	30,646,716	-	-	-	-	-	-	30,646,716
Automotive dealer fees	4,167,414	-	-	-	-	-	-	4,167,414
Reinstate operators license	9,159,260	-	-	-	-	-	-	9,159,260
Sale of vehicle or driver data	916,404	-	-	-	-	-	-	916,404
Standard IL ID card	9,391,864	-	-	-	-	-	-	9,391,864
Vehicle Services								
Commercial distribution fee	39,827,557	-	-	-	-	-	-	39,827,557
Short term trip permits	791,376	-	-	-	-	-	-	791,376
Personalized license plates	3,852,197	-	-	-	-	-	-	3,852,197
Certificate of title	28,000,718	75,723,924	-	-	-	74,814,780	-	178,539,422
Delinquent vehicle register renewal	7,823,260	-	-	-	-	-	-	7,823,260
IRP audit fees	-	-	-	-	-	-	-	-
License fees or registration	-	729,671,201	-	-	-	184,923,270	-	914,594,471
SOS Police								
License fees or registration	-	316,945	-	-	-	-	-	316,945
Motor Vehicle Theft Prevention Council	-	-	-	1,067,004	-	-	-	1,067,004

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
Year Ended June 30, 2011
Multiple Department Sourced Funds

Department and Source	General Revenue Fund #0001	Road Fund #0011	SOS Federal Projects Fund #0176	SOS Inter-Agency Grant Fund #0295	SOS Special Services Fund #0483	Capital Projects Fund #694	Protest Fund #401	Total
All departments								
Miscellaneous	\$ 26,074	\$ 330	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 26,404
Employee reimbursements	5,023	610	-	-	-	-	-	5,633
Check Write Off/GO Back	5,476	-	-	-	-	-	-	5,476
Federal government	-	-	63,943	-	175,404	-	-	239,347
Other state agencies	-	-	-	1,000,000	-	-	-	1,000,000
Private organizations or individuals	-	-	-	360,951	-	-	-	360,951
Unclaimed assets	42,060	-	-	-	-	-	-	42,060
Prior period adjustments	3,224	-	-	-	183,703	-	-	186,927
Total cash receipts per Comptroller	438,892,193	833,210,300	63,943	2,427,955	22,420,708	293,462,410	-	1,590,477,509
Receipts in transit to State Treasurer								
June 30, 2010	1,787,205	58,287,948	-	-	-	-	-	60,075,153
June 30, 2011	(2,310,755)	(56,473,134)	-	-	-	-	-	(58,783,889)
Receipts in transit to State Comptroller								
June 30, 2010	(7,093,557)	(35,273,252)	-	-	(1,712,253)	(31,976,420)	-	(76,055,482)
June 30, 2011	9,262,988	46,028,073	-	-	1,591,593	29,442,540	10,000	86,335,194
Prior period and other adjustments	(3,224)	-	-	-	(183,703)	-	-	(186,927)
Total Agency Receipts, fiscal year 2011	\$ 440,534,850	\$ 845,779,935	\$ 63,943	\$ 2,427,955	\$ 22,116,345	\$ 290,928,530	\$ 10,000	\$ 1,601,861,558

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
Year Ended June 30, 2011
Vehicle Services Sourced Funds

Fund	Source	Personalized license plates	Certificate of title	Misplaced license plate or stock	License fees or registration	Prior period Adjustments	Total Cash Receipts per Comptroller	Receipts In Transit To Comptroller		Prior period Adjustments	Total Agency Receipts, FY2011
								6/30/10	6/30/11		
State Construction #0902 4-H #0915		\$ -	-	\$ -	\$ 460,988,124	\$ -	\$ 460,988,124	\$ (70,864,133)	\$ 70,123,996	\$ -	\$ 460,247,987
Ducks Unlimited #0918 Park and Conservation #0962		-	-	-	4,450	-	4,450	-	425	-	4,875
		-	-	-	5,725	-	5,725	-	100	-	5,825
		-	5,008,196	-	-	-	5,008,196	(458,948)	474,898	-	5,024,146
		\$ 3,852,198	\$ 16,171,684	\$ 90,500	\$ 567,762,983	\$ 1,530	\$ 587,878,895	\$ (74,793,164)	\$ 74,089,327	\$ (1,530)	\$ 587,173,528

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
Year Ended June 30, 2011
Drivers Services Sourced Funds

Fund	Source	Operators' license and related fees	Automotive dealer fees	Reinstate operators license	Installation fees- indigent BAIID	Federal government	Private organizations or individuals	Total Cash Receipts per Comptroller	Receipts In Transit		Total Agency Receipts, FY2011
									To Comptroller 6/30/10	6/30/11	
Drivers Education #0031		\$ 11,836,860	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 11,836,860	\$ (1,074,066)	\$ 1,100,831	\$ 11,863,625
CDLIS/AAMVA Net Trust #0109		677,886	-	-	-	-	-	677,886	(54,306)	59,250	682,830
Drunk & Drugged Driving Prevention #0276		-	-	1,957,630	-	-	-	1,957,630	(178,190)	169,720	1,949,160
Family Responsibility #0322		-	-	97,440	-	-	-	97,440	(6,930)	9,870	100,380
Motor Vehicle Review Board #0323		-	264,446	-	-	-	-	264,446	(3,110)	455	261,791
Safety Responsibility #0436		-	-	-	-	-	651,942	651,942	(24,575)	43,250	670,617
Indigent BAIID #0451		-	-	-	248,233	-	-	248,233	(29,949)	1,954	220,238
Monitoring Device Driving Permit #0453		1,693,980	-	-	-	-	-	1,693,980	(150,720)	147,150	1,690,410
Secretary of State ID Security #0480		-	-	-	-	501,103	-	501,103	-	-	501,103
Motor Carrier Safety Inspection #0649		2,260,500	-	-	-	-	-	2,260,500	(181,260)	197,500	2,276,740
Secretary of State DUI Administration #0732		2,066,670	-	-	-	-	-	2,066,670	(157,160)	185,460	2,094,970
Cycle Rider Safety Training #0863		4,540,556	-	-	-	-	-	4,540,556	(534,049)	551,940	4,558,447
Secretary of State's Grant #0948		-	-	-	-	-	8,083	8,083	-	-	8,083
		\$ 23,076,452	\$ 264,446	\$ 2,055,070	\$ 248,233	\$ 501,103	\$ 660,025	\$ 26,805,329	\$ (2,394,315)	\$ 2,467,380	\$ 26,878,394

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
Year Ended June 30, 2011
Business Services Sourced Funds

Fund	Source	Corporation taxes and fees	Limited Liability Partnership	Total Cash Receipts per Comptroller	Receipts In Transit To Comptroller		Total Agency Receipts, FY2011
					6/30/10	6/30/11	
Registered Limited Liability Partnership #0167		\$ -	\$ 491,857	\$ 491,857	\$ (27,450)	\$ 21,359	\$ 485,766
Dept of Business Services Special Operations #0363		11,013,280	-	11,013,280	(359,918)	436,639	11,090,001
Corporate Franchise Tax Refund #0380		3,217,544	-	3,217,544	(77,138)	102,239	3,242,645
Charitable Trust Stabilization #0435		421,985	-	421,985	(11,735)	13,625	423,875
		\$ 14,652,809	\$ 491,857	\$ 15,144,666	\$ (476,241)	\$ 573,862	\$ 15,242,287

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
Year Ended June 30, 2011
Other Department Sourced Funds

Department/Fund	Source	License fees or registration	Fines, penalties and seizures	Use fees and dues	Private organizations or individuals	Federal government	Subscriptions of publications sales	Prior period Adjustments	Total Cash Receipts per Comptroller	Receipts In Transit To Comptroller 6/30/10	Receipts In Transit To Comptroller 6/30/11	Prior period Adjustments	Total Agency Receipts, FY2011
Index	Lobbyist Registration Administration #0044	\$ 2,087,250	\$ 91,650	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,178,900	\$ (900)	\$ 26,400	\$ -	\$ 2,204,400
	General Assembly Computer Equipment Revolving #0155	2,087,250	91,650	-	-	-	580	-	2,179,480	(290)	26,400	-	2,204,690
							580		2,179,480	(1,190)	26,400		2,204,690
Securities	Securities Investors Education #0292	6,250,040	-	-	-	-	-	-	6,250,040	-	3,500	-	6,253,540
	Securities Audit & Enforcement #0362	9,727,183	11,153	-	-	-	-	-	9,738,336	(211,390)	164,050	-	9,690,996
		15,977,223	11,153	-	-	-	-	-	15,988,376	(211,390)	167,550	-	15,944,536
Library	Live and Learn #0026	-	-	-	-	-	-	5,093	5,093	-	-	(5,094)	(1)
	Library Services #0470	-	1,255	6,645	1,455	4,655,537	-	49,617	4,705,154	-	3,410	(49,617)	4,658,947
	State Library #0471	-	1,255	6,645	1,455	4,655,537	-	54,710	4,719,602	-	3,410	(54,711)	4,668,301
Physical Services	General Obligation Bond Retirement and Interest #0101	-	-	6,264	-	-	-	-	6,264	-	-	-	6,264
	State Parking Facility Maintenance #0782	-	-	1,566	-	-	-	-	1,566	-	-	-	1,566
		-	-	7,830	-	-	-	-	7,830	-	-	-	7,830
		-	-	-	-	-	-	-	-	-	-	-	-
Police	Secretary of State Evidence #0374	-	-	-	-	-	-	-	-	-	-	-	-
	Secretary of State Police DUI #0758	-	14,708	-	-	-	-	-	14,708	-	-	-	14,708
	Secretary of State Police Services #0759	360,451	6,760	-	-	-	-	-	367,211	(1,858)	15,267	-	380,620
		360,451	21,468	-	-	-	-	-	381,919	(1,858)	15,267	-	395,328
Total	\$ 18,424,924	\$ 125,526	\$ 14,475	\$ 1,455	\$ 4,655,537	\$ 580	\$ 54,710	\$ 23,277,207	\$ (214,438)	\$ 212,627	\$ (54,711)	\$ 23,220,685	

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
Year Ended June 30, 2010

Department and Source	Multiple Department Sourced Funds		Vehicle Services Sourced Funds		Drivers Services Sourced Funds		Business Services Sourced Funds		Other Department Sourced Funds		All Funds Summary	
	\$		\$		\$		\$		\$		\$	
Business Services												
Corporation taxes and fees	217,589,450						14,236,560					231,826,010
Uniform Limited Partnership	1,437,655											1,437,655
Uniform Commercial Code	3,005,127											3,005,127
Limited Liability Co. Act	52,645,235											52,645,235
Limited Liability Partnership	-						458,577					458,577
Securities												
License fees or registration	35,917,690								20,689,327			56,607,017
Forfeited or seized property	-								7,000			7,000
Library												
Copy fees and book dues	-								8,703			8,703
Federal government	-								6,982,535			6,982,535
Monetary gift or bequests	-								14,595			14,595
Archives												
Copy and recording fees	8,007											8,007
Index												
License fees or registration	723,844								43,200			767,044
Subscriptions of publication sales	-								2,864			2,864
Lobbyist registration fines	-								38,350			38,350
Physical Services												
Use fees and dues	-								7,490			7,490
Driver Services												
Operators' license and related fees	62,873,217					22,801,723						85,674,940
Sale of individual driving record	31,901,922											31,901,922
Automotive dealer fees	4,187,444					298,714						4,486,158
Reinstate operators license	8,736,265					1,823,570						10,559,835
Sale of vehicle or driver data	1,109,338											1,109,338
Standard IL ID card	10,514,660											10,514,660
Federal government												
Installation fees-Indigent BAIID	-					1,202,663						1,202,663
Private organizations or individuals	-					170,481						170,481
	-					626,763						626,763

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
Year Ended June 30, 2010

Department and Source	Multiple Department Sourced Funds		Vehicle Services Sourced Funds		Drivers Services Sourced Funds		Business Services Sourced Funds		Other Department Sourced Funds		All Funds Summary	
	\$		\$		\$		\$		\$		\$	
Vehicle Services												
Commercial distribution fee	40,805,104											40,805,104
Short term trip permits	657,784											657,784
Personalized license plates	3,974,499		3,974,499									7,948,998
Certificate of title	129,845,248		15,674,119									145,519,367
Delinquent vehicle register renewal	7,755,320											7,755,320
IRP audit fees	125											125
License fees or registration	804,491,756		585,898,293									1,390,390,049
SOS Police												
License fees or registration	324,822								328,722			653,544
Motor Vehicle Theft Prevention Council	1,269,102											1,269,102
Fines, penalties or violations									32,630			32,630
All departments												
Miscellaneous	71,889											71,889
Employee reimbursements	7,987											7,987
Check Write Off/GO Back	4,562											4,562
Federal government	103,380											103,380
Private organizations or individuals	801,161											801,161
Unclaimed assets	538,485											538,485
Prior period adjustments	85,645		5,088				40		7,698			98,471
Total cash receipts per Comptroller	1,421,386,722		605,551,998		26,923,914		14,695,177		28,163,113			2,096,720,925
Receipts in transit to State Treasurer												
June 30, 2009	45,657,341											45,657,341
June 30, 2010	(60,075,153)											(60,075,153)
Receipts in transit to State Comptroller												
June 30, 2009	(53,443,781)		(69,351,905)		(2,284,758)		(372,529)		(151,474)			(125,604,447)
June 30, 2010	76,055,482		74,793,164		2,394,315		476,241		214,438			153,933,640
Prior period and other adjustments	(85,645)		(5,088)				(40)		(7,698)			(98,471)
Total Agency Receipts, fiscal year 2010	\$ 1,429,494,967		\$ 610,988,169		\$ 27,033,471		\$ 14,798,849		\$ 28,218,379			\$ 2,110,533,835

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
Year Ended June 30, 2010
Multiple Department Sourced Funds

Department and Source	General Revenue Fund #0001	Road Fund #0011	SOS Federal Projects Fund #0176	SOS Inter-Agency Grant Fund #0295	SOS Special Services Fund #0483	Capital Projects Fund #694	Protest Fund #401	Total
Business Services								
Corporation taxes and fees	\$ 208,097,733	\$ -	\$ -	\$ -	\$ 4,947,795	\$ -	\$ 4,543,922	\$ 217,589,450
Uniform Limited Partnership	1,437,655	-	-	-	-	-	-	1,437,655
Uniform Commercial Code	1,304,523	-	-	-	1,700,604	-	-	3,005,127
Limited Liability Co. Act	52,645,235	-	-	-	-	-	-	52,645,235
Securities								
License fees or registration	35,917,690	-	-	-	-	-	-	35,917,690
Archives								
Copy and recording fees	8,007	-	-	-	-	-	-	8,007
Index								
License fees or registration	723,694	-	-	-	150	-	-	723,844
Driver Services								
Operators' license and related fees	-	28,102,176	-	-	15,950,961	18,820,080	-	62,873,217
Sale of individual driving record	31,901,922	-	-	-	-	-	-	31,901,922
Automotive dealer fees	4,187,444	-	-	-	-	-	-	4,187,444
Reinstatement operators license	8,736,265	-	-	-	-	-	-	8,736,265
Sale of vehicle or driver data	1,109,338	-	-	-	-	-	-	1,109,338
Standard IL ID card	10,514,660	-	-	-	-	-	-	10,514,660
Vehicle Services								
Commercial distribution fee	40,376,034	-	-	-	-	-	429,070	40,805,104
Short term trip permits	657,784	-	-	-	-	-	-	657,784
Personalized license plates	3,974,499	-	-	-	-	-	-	3,974,499
Certificate of title	27,459,219	74,162,239	-	-	-	28,223,790	-	129,845,248
Delinquent vehicle register renewal	7,755,320	-	-	-	-	-	-	7,755,320
IRP audit fees	125	-	-	-	-	-	-	125
License fees or registration	-	733,830,346	-	-	-	70,661,410	-	804,491,756
SOS Police								
License fees or registration	-	324,822	-	-	-	-	-	324,822
Motor Vehicle Theft Prevention Council	-	-	-	1,269,102	-	-	-	1,269,102
All departments								
Miscellaneous	71,838	51	-	-	-	-	-	71,889
Employee reimbursements	5,150	2,837	-	-	-	-	-	7,987
Check Write Off/GO Back	4,562	-	-	-	-	-	-	4,562

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
Year Ended June 30, 2010
Multiple Department Sourced Funds

Department and Source	General Revenue Fund #0001	Road Fund #0011	SOS Federal Projects Fund #0176	SOS Inter-Agency Grant Fund #0295	SOS Special Services Fund #0483	Capital Projects Fund #694	Protest Fund #401	Total
Federal government	\$ -	\$ -	\$ 103,380	\$ -	\$ -	\$ -	\$ -	\$ 103,380
Private organizations or individuals	-	-	-	801,161	-	-	-	801,161
Unclaimed assets	538,485	-	-	-	-	-	-	538,485
Prior period adjustments	27,323	-	-	41,932	16,390	-	-	85,645
Total cash receipts per Comptroller	437,454,505	836,422,471	103,380	2,112,195	22,615,900	117,705,280	4,972,992	1,421,386,722
Receipts in transit to State Treasurer								
June 30, 2009	1,635,985	44,021,356	-	-	-	-	-	45,657,341
June 30, 2010	(1,787,205)	(58,287,948)	-	-	-	-	-	(60,075,153)
Receipts in transit to State Comptroller								
June 30, 2009	(5,342,390)	(46,282,945)	-	-	(1,591,677)	-	(226,769)	(53,443,781)
June 30, 2010	7,093,557	35,273,252	-	-	1,712,253	31,976,420	-	76,055,482
Prior period and other adjustments	(27,323)	-	-	(41,932)	(16,390)	-	-	(85,645)
Total Agency Receipts, fiscal year 2010	\$ 439,027,129	\$ 811,146,186	\$ 103,380	\$ 2,070,263	\$ 22,720,086	\$ 149,681,700	\$ 4,746,223	\$ 1,429,494,967

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
Year Ended June 30, 2010
Vehicle Services Sourced Funds

Fund	Source	Personalized license plates	Certificate of title	License fees or registration	Prior period Adjustments	Total Cash Receipts per Comptroller	Receipts In Transit		Total Agency Receipts, FY2010
							To Comptroller 6/30/09	6/30/10	
State Parks #0040		\$ -	\$ -	\$ 1,079,400	\$ -	\$ 1,079,400	\$ (121,825)	\$ 120,900	\$ -
Korean War Memorial Construction #0164		-	-	60	-	60	-	14	-
Violence Prevention #0184		-	-	455,675	-	455,675	(49,400)	48,550	-
SOS Special License Plate #0185		3,974,499	-	687,502	5,088	4,667,089	(522,857)	535,749	(5,088)
State Police Vehicle #0246		-	-	8,113,733	-	8,113,733	(184,304)	1,031,617	-
Illinois Habitat #0391		-	-	260,300	-	260,300	(35,375)	36,975	-
Common School #0412		-	-	388,448	-	388,448	(32,328)	31,500	-
State College & University Trust #0417		-	-	254,000	-	254,000	(27,050)	29,825	-
University Grant #0418		-	-	73,325	-	73,325	(7,525)	7,950	-
Alternate Fuels #0422		-	-	1,661,400	-	1,661,400	(469,140)	437,180	-
Rotary Club #0454		-	-	2,379	-	2,379	-	339	-
Ovarian Cancer Awareness #0459		-	-	3,463	-	3,463	-	452	-
Illinois Professional Golfers Assoc Jr Golf #0463		-	-	24,689	-	24,689	(2,674)	2,669	-
Boy Scout and Girl Scout #0464		-	-	7,200	-	7,200	(575)	1,025	-
Agriculture in the Classroom #0466		-	-	44,250	-	44,250	(3,575)	4,875	-
Sheet Metal Workers International #0468		-	-	991	-	991	-	86	-
Support Our Troops #0496		-	-	3,225	-	3,225	-	500	-
Wildlife Prairie Park #0504		-	-	21,200	-	21,200	(2,400)	2,375	-
Master Mason #0508		-	-	44,010	-	44,010	(5,783)	5,979	-
Illinois Fire Fighters Memorial #0510		-	-	457,755	-	457,755	(49,968)	56,484	-
Illinois & Michigan Canal #0570		-	-	8,075	-	8,075	(1,125)	1,300	-
Off-Highway Vehicle Trail #0574		-	304,419	-	-	304,419	(28,968)	24,684	-
Illinois Pan Hellenic Trust #0584		-	-	39,875	-	39,875	(4,000)	4,075	-
Park District Youth Program #0585		-	-	24,900	-	24,900	(2,400)	2,900	-
Hospice #0586		-	-	2,285	-	2,285	(253)	332	-
September 11th #0588		-	-	124,500	-	124,500	(12,200)	13,675	-
Illinois Route 66 Heritage Project #0594		-	-	110,325	-	110,325	(9,925)	13,050	-
Police Memorial Committee #0598		-	-	148,060	-	148,060	(13,129)	15,127	-
Mammogram #0599		-	-	126,154	-	126,154	(13,032)	14,569	-
Motor Vehicle License Plate #0622		-	10,464,790	-	-	10,464,790	(905,800)	974,956	-
Special Olympics #0623		-	-	13,935	-	13,935	(3,685)	1,880	-
Illinois Police Association #0655		-	-	-	-	-	-	1,968	-
Organ Donor Awareness #0716		-	-	175,699	-	175,699	(16,968)	18,257	-
Illinois Future Teachers Corps Scholarship #0753		-	-	42,877	-	42,877	(4,455)	4,846	-
Marine Corps Scholarship #0760		-	-	74,532	-	74,532	(7,459)	8,623	-
Pet Overpopulation #0764		-	-	128,850	-	128,850	(11,725)	14,700	-
Illinois EMS Memorial Scholarship and Training #0800		-	-	2,700	-	2,700	-	72	-
International Brotherhood of Teamsters #0803		-	-	2,425	-	2,425	-	25	-

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
Year Ended June 30, 2010
Vehicle Services Sourced Funds

Fund	Source	Personalized license plates	Certificate of title	License fees or registration	Prior period Adjustments	Total Cash Receipts per Comptroller	Receipts In Transit		Total Agency Receipts, FY2010	
							To Comptroller 6/30/09	6/30/10		
United Auto Workers' #0804		\$ -	\$ -	\$ 245	\$ -	\$ 245	\$ -	\$ -	\$ 245	
International Registration Plan #0890		-	-	108,284,368	-	108,284,368	(413,561)	-	107,870,807	
State Construction #0902		-	-	463,005,483	-	463,005,483	(65,935,543)	70,864,133	467,934,073	
Park and Conservation #0962		-	4,904,910	-	-	4,904,910	(452,900)	458,948	4,910,958	
		<u>\$ 3,974,499</u>	<u>\$ 15,674,119</u>	<u>\$ 585,898,293</u>	<u>\$ 5,088</u>	<u>\$ 605,551,998</u>	<u>\$(69,351,905)</u>	<u>\$ 74,793,164</u>	<u>\$ (5,088)</u>	<u>\$ 610,988,169</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
Year Ended June 30, 2010
Drivers Services Sourced Funds

Fund	Source	Operators' license and related fees	Automotive dealer fees	Reinstatement operators license	Installation fees-Indigent BAIID	Federal Government	Private organizations or individuals	Total Cash Receipts per Comptroller	Receipts In Transit		Total Agency Receipts, FY2010
									To Comptroller 6/30/09	6/30/10	
Drivers Education #0031		\$ 11,700,243	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 11,700,243	\$ (1,067,860)	\$ 1,074,066	\$ 11,706,449
CDLIS/AAMVA Net Trust #0109		663,210	-	-	-	-	-	663,210	(54,528)	54,306	662,988
Drunk & Drugged Driving Prevention #0276		-	-	1,783,820	-	-	-	1,783,820	(153,450)	178,190	1,808,560
Family Responsibility #0322		-	-	39,750	-	-	-	39,750	(1,320)	6,930	45,360
Motor Vehicle Review Board #0323		-	298,714	-	-	-	-	298,714	(5,143)	3,110	296,681
Safety Responsibility #0436		-	-	-	-	-	618,413	618,413	(26,850)	24,575	616,138
Indigent BAIID #0451		-	-	-	170,481	-	-	170,481	-	29,949	200,430
Monitoring Device Driving Permit #0453		1,655,534	-	-	-	-	-	1,655,534	(145,776)	150,720	1,660,478
Secretary of State ID Security #0480		2,211,640	-	-	-	1,202,663	-	1,202,663	-	-	1,202,663
Motor Carrier Safety Inspection #0649		15,396	-	-	-	-	-	2,211,640	(181,760)	181,260	2,211,140
Illinois Police Association #0655		-	-	-	-	-	-	15,396	-	-	15,396
Secretary of State DUI Administration #0732		2,074,290	-	-	-	-	-	2,074,290	(179,960)	157,160	2,051,490
Cycle Rider Safety Training #0863		4,481,410	-	-	-	-	-	4,481,410	(468,111)	534,049	4,547,348
Secretary of State's Grant #0948		-	-	-	-	-	8,350	8,350	-	-	8,350
		\$ 22,801,723	\$ 298,714	\$ 1,823,570	\$ 170,481	\$ 1,202,663	\$ 626,763	\$ 26,923,914	\$ (2,284,758)	\$ 2,394,315	\$ 27,033,471

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
Year Ended June 30, 2010
Business Services Sourced Funds

Fund	Source	Corporation taxes and fees	Limited Liability Partnership	Prior period Adjustments	Total Cash Receipts per Comptroller	Receipts In Transit To Comptroller 6/30/09	Receipts In Transit 6/30/10	Prior period Adjustments	Total Agency Receipts, FY2010
Registered Limited Liability Partnership #0167		\$ -	\$ 458,577	\$ -	\$ 458,577	\$ (22,425)	\$ 27,450	\$ -	\$ 463,602
Dept of Business Services Special Operations #0363		10,428,440	-	40	10,428,480	(280,665)	359,918	(40)	10,507,693
Corporate Franchise Tax Refund #0380		3,397,870	-	-	3,397,870	(64,264)	77,138	-	3,410,744
Charitable Trust Stabilization #0435		410,250	-	-	410,250	(5,175)	11,735	-	416,810
		\$ 14,236,560	\$ 458,577	\$ 40	\$ 14,695,177	\$ (372,529)	\$ 476,241	\$ (40)	\$ 14,798,849

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
Year Ended June 30, 2010
Other Department Sourced Funds

Department/Fund	Source	License fees or registration	Fines, penalties and seizures	Use fees and dues	Private organizations or individuals	Federal government	Subscriptions of publications sales	Prior period Adjustments	Total Cash Receipts per Comptroller	Receipts In Transit To Comptroller 6/30/09	Receipts In Transit To Comptroller 6/30/10	Prior period Adjustments	Total Agency Receipts, FY2010
Index													
Lobbyist Registration Administration #0044		\$ 43,200	\$ 38,350	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 81,550	\$ (5,950)	\$ 900	\$ -	\$ 76,500
General Assembly Computer Equipment Revolving #0155		43,200	38,350	-	-	-	2,864	-	2,864	(2,030)	290	-	1,124
									84,414	(7,980)	1,190	-	77,624
Securities													
Securities Investors Education #0292		4,205,128	-	-	-	-	-	-	4,205,128	-	-	-	4,205,128
Securities Audit & Enforcement #0362		16,484,198	7,000	-	-	-	-	160	16,491,358	(141,665)	211,390	(160)	16,560,923
		20,689,327	7,000	-	-	-	-	160	20,696,487	(141,665)	211,390	(160)	20,766,052
Library													
Live and Learn #0026		-	-	-	-	-	-	179	179	-	-	(179)	-
Library Services #0470		-	-	-	-	6,982,535	-	7,359	6,989,894	-	-	(7,359)	6,982,535
State Library #0471		-	-	8,703	14,595	-	-	-	23,298	(738)	-	-	22,560
		-	-	8,703	14,595	6,982,535	-	7,538	7,013,371	(738)	-	(7,538)	7,005,095
Physical Services													
General Obligation Bond Retirement and Interest #0101		-	-	5,992	-	-	-	-	5,992	-	-	-	5,992
State Parking Facility Maintenance #0782		-	-	1,498	-	-	-	-	1,498	-	-	-	1,498
		-	-	7,490	-	-	-	-	7,490	-	-	-	7,490
Police													
Secretary of State Evidence #0374		-	15,553	-	-	-	-	-	15,553	-	-	-	15,553
Secretary of State Police DUI #0758		-	9,824	-	-	-	-	-	9,824	(628)	-	-	9,196
Secretary of State Police Services #0759		328,722	7,253	-	-	-	-	-	335,975	(463)	1,858	-	337,370
		328,722	32,630	-	-	-	-	-	361,352	(1,091)	1,858	-	362,119
Total		\$ 21,061,249	\$ 77,980	\$ 16,193	\$ 14,595	\$ 6,982,535	\$ 2,864	\$ 7,698	\$ 28,163,113	\$ (151,474)	\$ 214,438	\$ (7,698)	\$ 28,218,379

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES

Years ended June 30, 2011 and June 30, 2010

The structure of the Office of the Secretary of State's (Office) General Revenue (GRF) and Road fund appropriations for fiscal years 2010 and 2011 were significantly different than what the Office historically had received dating back to the 1970's. In fiscal year 2009, the Office received an appropriation in the Road fund to pay for operations and refunds of \$130,500,000. The Office did not receive an appropriation in the Road fund for fiscal year 2010 and received an appropriation for refunds only in fiscal year 2011 of approximately \$2.3 million. The GRF appropriation was increased to offset this lost appropriation.

Another major change to the structure of the Office's GRF appropriation was that the majority of the fiscal year 2010 appropriation and all of the fiscal year 2011 appropriation was received in a lump sum format while the historical appropriation was based on major expenditure categories, such as Contractual Services and Travel. The fiscal year 2010 appropriation split the historical category of Personal Services into both a Personal Services appropriation and the more general lump sum appropriation.

The following are explanations of variations in expenditures which exceed \$25,000 and 15% or more as compared to the prior year:

Operations:

1. Personal Services: Regular positions decreased by \$78,667,018 between fiscal years 2009 and 2010 and decreased again by \$73,960,425 between fiscal years 2010 and 2011. The decreases were a result of the change in appropriation structure discussed in the opening paragraphs above.
2. Personal Services: Extra help decreased by \$7,967,926 between fiscal years 2009 and 2010. The decrease was a result of the change in appropriation structure discussed in the opening paragraphs above.
3. Personal Services: Employee retirement contributions decreased by \$1,897,851 between fiscal years 2009 and 2010. The decrease was a result of the change in appropriation structure discussed in the opening paragraphs above.
4. Group Insurance expenditures decreased \$339,073 (19%) between fiscal years 2009 and 2010 and increased by \$319,397 (22%) in fiscal year 2011. This activity is due to a combination of the change in appropriation structure discussed above and a reallocation of resources.
5. State retirement fund contributions expenditures decreased \$33,651,702 (95%) between fiscal years 2009 and 2010 and increased by \$281,351 in fiscal year 2011. This activity is due to a combination of the change in appropriation structure discussed above and a reallocation of resources.

6. Social Security contributions decreased by \$6,318,598 between fiscal years 2009 and 2010 and decreased again by \$5,159,650 between fiscal years 2010 and 2011. The decreases were a result of the change in appropriation structure discussed in the opening paragraphs above.
7. Contractual Services decreased by \$25,443,395 between fiscal years 2009 and 2010. The decrease was a result of the change in appropriation structure discussed in the opening paragraphs above.
8. Travel decreased by \$327,346 between fiscal years 2009 and 2010. The decrease was a result of the change in appropriation structure discussed in the opening paragraphs above.
9. Printing expenditures decreased \$1,915,362 (92%) between fiscal years 2009 and 2010 and then increased \$937,699 (548%) in fiscal year 2011. This activity is due to a combination of the change in appropriation structure discussed above and a reallocation of resources.
10. Commodities expenditures decreased by \$1,928,241 between fiscal years 2009 and 2010. The decrease was a result of the change in appropriation structure discussed in the opening paragraphs above.
11. Equipment expenditures decreased by \$1,366,349 (nearly 100%) between fiscal years 2009 and 2010 and then increased by \$33,697 (674%) in fiscal year 2011. The decrease in fiscal year 2010 was a result of the change in appropriation structure discussed in the opening paragraphs above. The increase in fiscal year 2011 was due to a one-time purchase of printers for use in the Office's various facilities throughout the State.
12. Electronic Data Processing expenditures decreased \$1,509,845 (18%) between fiscal years 2009 and 2010 then increased \$1,390,724 (20%) in fiscal year 2011. This activity was a result of the Office reallocating resources in fiscal year 2010 which resulted in a one-time drop in information technology related expenditures.
13. Telecommunications decreased by \$1,516,564 between fiscal years 2009 and 2010. The decrease was a result of the change in appropriation structure discussed in the opening paragraphs above.
14. Operation of Automotive Equipment decreased by \$693,874 between fiscal years 2009 and 2010. The decrease was a result of the change in appropriation structure discussed in the opening paragraphs above.
15. Operational Expenditures increased by \$160,786,170 between fiscal years 2009 and 2010 and increased again by \$82,550,000 between fiscal years 2010 and 2011. The increases were a result of the change in appropriation structure discussed in the opening paragraphs above.
16. Lobbyist Registration Administration lump sum expenditures increased \$284,020 between fiscal years 2010 and 2011. \$220,000 of the increase was due to legal fees related to a lawsuit against the State regarding an increase in lobbyist registration fees. The remainder of the increase was due to a change in the appropriation structure of the fund where a lump sum appropriation was given to pay for the administration of the Lobbyist Registration program mostly in the form of personnel related expenditures.

17. FY09 Budget Relief Fund expenditures decreased from \$11,300,000 to \$0 between fiscal years 2009 and 2010. The Office received a one-time appropriation in fiscal year 2009 from the FY09 Budget Relief Fund. The appropriations in fiscal year 2009 were expended primarily for personnel related costs and for general operations of the Office.
18. Interagency Grant Fund – non appropriated expenditures increased \$594,826 (45%) between fiscal years 2009 and 2010 and increased again by \$784,263 (41%) between fiscal years 2010 and 2011. The first increase was due to a grant from the Bill & Melinda Gates Foundation for the enhancement of public access computing throughout Illinois to help build long-term capacity to support the free-use of computers and Internet access in public libraries. The grant was a two year grant which the Office spent \$645,450 in fiscal year 2010. In fiscal year 2011 that amount decreased to \$498,669. The second increase was due to a grant from the Department of Commerce and Economic Opportunity in fiscal year 2011 to target computer training through Illinois public libraries for low income individuals located in Illinois. The Office expended \$973,000 in fiscal year 2011 for this grant.
19. Secretary of State Grant Fund expenditures decreased \$215,084 (72%) between fiscal years 2009 and 2010. This fund depends on funding made available from non-governmental sources for specified programs. Expenditures decreased in fiscal year 2010 as the funds were no longer available.
20. Securities Investors' Education Fund expenditures increased \$167,976 (20%) between fiscal years 2009 and 2010 and decreased \$844,225 (84%) between fiscal years 2010 and 2011. In fiscal year 2010 the Office reallocated personnel resulting in personnel related expenditures decreasing by \$579,950. Expenditures related to a media advertising campaign saw an increase in fiscal year 2010 of \$755,242. There were no media related expenditures in fiscal year 2011 which resulted in that years' decrease.
21. Alternate Fuels Fund expenditures decreased \$63,576 between fiscal years 2009 and 2010. The difference was due to a \$60,000 electricity expenditure in fiscal year 2009 that was reduced to \$0 in fiscal year 2010.
22. Indigent BAIID expenditures increased from \$0 to \$185,516 between fiscal years 2009 and 2010. Expenditures increased again between fiscal years 2010 and 2011 by \$35,625. The Indigent BAIID fund collects fees from vendors on contract with the Office to install Breath Alcohol Ignition Interlock Devices (BAIID) as ordered by a judge. Expenditures in the fund reimburse these vendors for the installation and monitoring of individuals deemed indigent by a judge. Fiscal year 2010 was the first year of the program with fiscal year 2011 being the first full year of activity.
23. Monitoring Device Driving Permit (MDDP) expenditures increased from \$3,404 to \$733,459 between fiscal years 2009 and 2010. Fiscal year 2010 was the first full year of this program. The MDDP fund appropriations were expended primarily for personnel related and other costs associated with the administration of the MDDP program.
24. Motor Vehicle License Plate Fund expenditures decreased by \$3,243,065 between fiscal years 2009 and 2010. The majority of the decrease was related to the reallocation of personnel which resulted in a decrease of \$2,639,040 in personnel and related expenditures, and a decrease in

telecommunications expenditures of \$239,785, both of which were a result of a reallocation of resources.

25. Secretary of State Police Services Fund expenditures increased \$122,663 (265%) between fiscal years 2009 and 2010 and increased again in fiscal year 2011 by \$48,706. The Police Services Fund received a new revenue source in fiscal year 2010 resulting in an increased appropriation. The Office purchased replacement vehicles for the Secretary of State Police Department in both fiscal years 2010 and 2011 which caused the increased expenditures in those years.
26. Secretary of State ID Security Fund expenditures decreased \$1,198,763 (46%) between fiscal years 2009 and 2010 and increased \$2,371,585 (171%) in fiscal year 2011. This fund's purpose is to improve the State's Identification Security measures. Expenditures in the fund have been made to improve the equipment and systems used to ensure the Office is using the most up-to-date technology in order to ensure the highest standards for Identification Security are being used to protect the citizens of the State. The projects this fund has paid for between fiscal years 2009 and 2011 are bigger projects that take a long time to initiate and complete. These types of projects vary greatly in size and expense from year to year.
27. Secretary of State Federal Projects Fund expenditures increased \$63,981 (516%) between fiscal years 2009 and 2010. The Office made expenditures of \$56,913 in fiscal year 2010 related to a first time grant from the National Historical Publication and Records Commission (NHPRC) for a State and National Archival Partnership (SNAP) grant.
28. Constitutional Convention expenditures decreased from \$2,365,416 to \$0 between fiscal years 2009 and 2010. The Office is responsible for the dissemination of information related to a ballot question put to the voters of Illinois once every 20 years.
29. Franchise Tax and License Fee Amnesty Administration Fund expenditures decreased from \$428,809 to \$0 between 2009 and 2010. The Office was responsible for the administration of a one-time opportunity for delinquent corporations to pay their outstanding taxes without penalty. The program ended in fiscal year 2009.
30. Secretary of State Special Services Fund expenditures decreased \$3,256,285 (34%) between fiscal years 2009 and 2010 then increased \$3,679,954 (57%) in fiscal year 2011. This activity was a result of the Office reallocating resources in fiscal year 2010 which resulted in a one-time drop in information technology related expenditures from this fund.

Awards and Grants:

31. Literacy Program expenditures decreased from \$4,649,981 to \$0 between fiscal years 2009 and 2010. This was a result of the Office's awards and grants appropriation being reduced by approximately \$10,000,000 from the fiscal year 2010 budget as compared to the fiscal year 2009 budget.
32. Library Service to Blind expenditures decreased from \$2,421,467 to \$0 between fiscal years 2009 and 2010. This was a result in the Office's awards and grants appropriation being cut by

approximately \$10,000,000 from the fiscal year 2010 budget as compared to the fiscal year 2009 budget.

33. Securities Audit & Enforcement Fund (non-appropriated) expenditures decreased from \$418,313 in fiscal year 2009 to \$68,999 in fiscal year 2010 and \$0 in fiscal year 2011. The expenditure amounts were due to two securities fraud cases that resulted in the Office seizing assets and returning funds to victims of the fraud. The bulk of the victims were paid in fiscal year 2008 and fiscal year 2009 with a smaller number paid in fiscal year 2010 with no victims being paid in fiscal year 2011.
34. Lump Sums and Other Purposes: Capitol Police expenditures decreased from \$2,470,412 to \$0 between fiscal years 2009 and 2010. The decrease was due to the Capitol Police appropriation being included in the lump sum operational expenditure appropriation as discussed at the beginning of this schedule.
35. International Registration Plan Fund expenditures decreased \$53,122,681 (34%) between fiscal years 2009 and 2010. The decrease was due to the Office participating in a clearinghouse program with other US states which reduces the exchange of payments between states that owe each other IRP moneys.

Permanent Improvements

36. Permanent Improvements expenditures increased from \$0 to \$371,650 and \$474,619 between fiscal years 2009 and 2011. The Office's fiscal year 2009 appropriation for Permanent Improvements was removed from the Office's budget as part of an amendatory veto by the Governor. The appropriation was included in the Office's fiscal years 2010 and 2011 budgets. Annual expenditures will vary from year to year due to the nature of the projects and the time it takes to complete.

Refunds

37. The Office expended \$2,279,977 in fiscal year 2009 and \$2,283,513 in fiscal year 2011 for Refunds while expending \$0 for Refunds in fiscal year 2010. The decrease in expenditures in fiscal year 2010 was due to the fiscal year 2010 Refunds being included in the GRF lump sum appropriation (which is reported as "Operational expenditures" in the Schedule of Expenditures by Major Object Code). The refunds in fiscal year 2009 and fiscal year 2011 were paid from the Road Fund and received a separate line item appropriation.
38. Corporate franchise tax refunds decreased by \$288,309 or 82% between fiscal years 2009 and 2010 then increased by \$1,072,077 in fiscal year 2011. The Office issues refunds upon request when corporations pay more franchise taxes than required. These refunds are dependent on refund requests and have a tendency to fluctuate from year to year. In fiscal year 2011 two companies received refunds totaling \$907,058, approximately 85% of the total refunds paid.
39. Lump Sums and Other Purposes: Safety Responsibility refunds decreased \$166,075 between fiscal years 2010 and 2011. The Office collects a security deposit from uninsured individuals involved in accidents as required by the Illinois Safety and Family Financial Responsibility Law (625 ILCS 5/7). Per this statute, the Office is responsible to refund payments when certain events take place. These refunds are dependent on the legal process, and have a tendency to fluctuate from year to year.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS

The following are explanations of variations in receipts that exceed \$25,000 and 15% or more as compared to the prior year.

Business Services

1. Uniform Limited Partnership (ULP): The increase in ULP receipts of \$486,330 or 34% in fiscal year 2011 was due to a clerical error as the Office miscoded the receipt account code for deposits that should have been coded to Limited Liability Corporation receipt account code. Both of these account codes are deposited into the General Revenue Fund, so there was no financial impact to the coding error.

Securities

2. Forfeited or seized property: These receipts are related to securities fraud violations and investigations. Due to this source, the year to year collection of these receipts is inconsistent and unpredictable. The fiscal year 2010 receipts were actually a carryover from a fiscal year 2009 investigation; no new cases involving forfeiture of property were handled in fiscal year 2010 or fiscal year 2011.

Library

3. Federal government: The decrease in Federal government receipts of \$2,326,998 or 33% in fiscal year 2011 was due to the timing of getting sub grants in place for the State Library Grant Program in fiscal year 2011 compared to fiscal year 2010. The federal award amounts were similar year over year and the federal fiscal year is the determining factor in spending/receiving these federal grant funds.

Index

4. License fees or registration: Due to a lawsuit filed in fiscal year 2010 concerning the amount of lobbyist registration fees, the Office was enjoined from collecting fees until resolution. The resolution to the suit came during fiscal year 2011, so most of the fiscal year 2010 fees and all of the fiscal year 2011 fees were collected in fiscal year 2011.
5. Subscription of publication sales: These receipts are related to the sale of the Illinois Administrative Code (Admin Code) on CD-Rom. The Admin Code has been made available on the internet so the demand for CD-Rom sale was greatly diminished in fiscal year 2010 and no sales were recorded during fiscal year 2011.
6. Lobbyist registration fines: Fines are an inconsistent and unpredictable receipt source. Amounts collected may vary widely from year to year.

All Departments

7. Miscellaneous: These receipts are sourced from things like jury duty reimbursements, vending machine sales and telephone reimbursements. Amounts collected may vary widely from year to year.
8. Other state agencies: The Office received a grant from the Illinois Department of Commerce and Economic Opportunity to Eliminate the Digital Divide through grants to community libraries. This was a one time grant in fiscal year 2011 for \$1,000,000.
9. Federal government: The Office received grants from the US Department of Transportation to update CDL technology and systems beginning in fiscal year 2010. The Office did more work on the grants in fiscal year 2011 than fiscal year 2010, so the reimbursements increased.
10. Private organizations or individuals: In fiscal year 2010, the Office received a one time grant from the Gates Foundation to assist community libraries in expanding their computer capabilities. The grant began in fiscal year 2010 where the Office received \$801,161 and continued in fiscal year 2011 when an additional \$360,951 was received for the program.
11. Unclaimed assets: These receipts are from the Treasurer's office and vary widely from year to year.

Driver Services

12. Operators' license and related fees: These fees were increased by the General Assembly effective January 1, 2010 (midway through fiscal year 2010) resulting in an increase of fees collected in fiscal year 2010 over fiscal year 2009 of \$19,691,920 (30%) and an additional increase in fiscal year 2011 of \$13,946,520 (16%).
13. Sale of vehicle or driver data: These receipts are sourced from the sale of computer data sets, such as driver lists to counties for jury duty summons and registration data from municipalities that have municipal registration requirements. These fees increased in fiscal year 2010 by \$145,896 (15%) and decreased by \$192,934 (17%) in fiscal year 2011. The demand for these data lists are driven by the needs of outside entities which the Office cannot predict or track the cause of the fluctuation in these fees.
14. Federal government: The Office has been awarded grants from the U.S. Department of Homeland Security for the purpose of increasing the security of State issued identification each year beginning in fiscal year 2009. The timing of receipts of these grants is dependent on several factors, including but not limited to securing contracts with vendors and purchasing equipment. As these projects are complicated and time consuming, receipts for these expense reimbursements in the first year of the program was \$1,202,663 in fiscal year 2010 and decreased to \$501,103 in fiscal year 2011.
15. Installation fees – Indigent BAIID: The Breath Alcohol Ignition Interlock Device (BAIID) program became effective at the beginning of fiscal year 2010. Part of this program requires vendors that install the BAIID devices to collect a fee so the State can provide devices for individuals who are determined to be indigent. \$170,481 was collected in fiscal year 2010, the first year of the program. That amount increased in fiscal year 2011 to \$248,233 as the program has grown in the second year.

16. Private organizations or individuals: These amounts are collected as security from individuals getting in accidents related to driving under the influence of alcohol or drugs. As DUI related accidents have decreased significantly in the State, receipts into this fund have also decreased as evidenced by the \$196,561 (24%) decrease in fiscal year 2010 receipts over fiscal year 2009.

Vehicle Services

17. Short Term Trip Permits: These fees are collected from the sale of 7 day permits in lieu of a full year registration of a vehicle. These fees decreased in fiscal year 2010 by \$303,104 (32%) and increased in fiscal year 2011 by \$133,592 (20%). The sales of these permits are driven by the needs of the public and the Office cannot predict or track the cause of fluctuation in these fees.
18. Certificate of Title: These fees were increased by the General Assembly effective January 1, 2010 (midway through fiscal year 2010) resulting in an increase of fees collected in fiscal year 2010 over fiscal year 2009 of \$24,546,703 (20%) and an additional increase in fiscal year 2011 of \$49,191,739 (34%).

SOS Police

19. License fees or registration: In fiscal year 2010 the General Assembly created a registration fee the Office began charging municipalities for the purchase of unmarked police vehicles effective for the first time.
20. Motor Vehicle Theft Prevention Council: These are grant receipts from the Motor Vehicle Theft Prevention Council (Council). The \$202,098 (16%) decrease in fiscal year 2011 in these program receipts are due to the timing of reimbursement requests of the Office from the Council.
21. Other Illinois State agencies and prior period adjustments: Prior period adjustments are 1) the refund of an expended appropriation from a prior year and 2) the correction of revenues that were posted to the wrong fund in a prior year. The correction of revenues net effect for the current period will be zero because each adjustment will have a source and a destination fund. The remainder is prior year refunds.

The Office has over 40 operational appropriated funds and over \$449 million in annual appropriations. The volume of vouchers processed and the large sum of funds expended each year typically result in the fluctuation of prior year refunds reported.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
Year Ended June 30, 2011

	Expenditures July 1 to August 31	Total Expenditures	% of Total Expenditures
--	--	-----------------------	----------------------------

The State of Illinois, Office of the Secretary of State's explanations of lapse period expenditures which exceed \$10,000 and 20% of total fiscal year expenditures are detailed below:

GENERAL REVENUE FUND - 001

EXECUTIVE GROUP

EQUIPMENT	\$ 1,708,266	\$ 2,633,042	64.88%
-----------	--------------	--------------	--------

Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included a mail inserter machine, automated testing systems and replacement vehicles.

EDP	2,145,235	2,151,237	99.72%
-----	-----------	-----------	--------

Significant lapse period expenditures included an enterprise server upgrade, purchase of new servers and other infrastructure upgrades.

TELECOMMUNICATIONS	545,824	2,328,568	23.44%
--------------------	---------	-----------	--------

The Office constantly monitors cash balances and available appropriation balances throughout the year. In the second half of the year, monthly data line service payments were reallocated to GRF to meet the Office's available resources.

LUMP SUMS	147,812	214,862	68.79%
-----------	---------	---------	--------

These payments represent Prompt Payment Interest. Prompt Payment Interest vouchers are processed as they are due. Most of the Office's vouchers were not paid until late in the year, so a high percentage of these payments were made during the lapse period.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
Year Ended June 30, 2011

	Expenditures July 1 to August 31	Total Expenditures	% of Total Expenditures
PERMANENT IMPROVEMENTS	\$ 823,691	\$ 969,598	84.95%

Due to the time requirements from the beginning of the approval process, through the letting of a bid and start and completion of work, many projects are not completed until late in the fiscal year and some may even continue into the next fiscal year, causing extensive expenditures in the lapse period. There were three major projects - repainting the three Chicago facilities, installing a new humidification system in the State Library and replacing the HVAC system in the Howlett Cafeteria that made up the majority of these lapse period expenditures.

LIVE & LEARN FUND - 026

GENERAL ADMINISTRATIVE GROUP

CONTRACTUAL SERVICES	354,775	764,413	46.41%
----------------------	---------	---------	--------

Significant lapse period expenditures related to the production of a commercial and the related media campaign for organ donor awareness.

SECRETARY OF STATE SPECIAL LICENSE PLATE FUND - 185

MOTOR VEHICLE GROUP

PRINTING	984,233	1,068,357	92.13%
----------	---------	-----------	--------

Significant lapse period expenditures were for the printing of temporary registration permits.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
Year Ended June 30, 2011

	Expenditures July 1 to August 31	Total Expenditures	% of Total Expenditures
DEPARTMENT OF BUSINESS SERVICES SPECIAL OPERATIONS FUND - 363			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
PRINTING	\$ 13,131	\$ 36,738	35.74%

Significant lapse period expenditures were for the printing of envelopes for use of the Department of Business Services.

SECRETARY OF STATE ID SECURITY - 480

MOTOR VEHICLE GROUP

CONTRACTUAL SERVICES	513,896	1,659,892	30.96%
----------------------	---------	-----------	--------

Significant lapse period expenditures included payment for the 3rd phase of work on the biometric fingerprint and authorization project.

SECRETARY OF STATE SPECIAL SERVICES FUND - 483

GENERAL ADMINISTRATIVE GROUP

EQUIPMENT	98,901	99,125	99.77%
-----------	--------	--------	--------

Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included laptops, multimedia projectors and camcorders as part of the purchase plan of a grant from the U.S. Small Business Administration.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
Year Ended June 30, 2011

	Expenditures July 1 to August 31	Total Expenditures	% of Total Expenditures
EDP	\$ 225,213	\$ 922,389	24.42%

The Office purchased a Cisco ironport webfilter late in the fiscal year along with supplies to put the server in place. A major purchase of cables and accessories was also made after a bid was awarded late in the year.

MOTOR VEHICLE LICENSE PLATE - 622

MOTOR VEHICLE GROUP

EQUIPMENT	14,164	58,201	24.34%
-----------	--------	--------	--------

Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included an upgrade to the Office's mail sorting machine.

TELECOMMUNICATIONS	69,981	139,605	50.13%
--------------------	--------	---------	--------

The Office constantly monitors cash balances and available appropriation balances throughout the year. In the last few months of the year, monthly telephone line service payments for the replating division were reallocated to the Motor Vehicle License Plate Fund to meet the Office's available resources.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
Year Ended June 30, 2010

	Expenditures July 1 to December 31	Total Expenditures	% of Total Expenditures
--	--	-----------------------	----------------------------

The State of Illinois, Office of the Secretary of State's explanations of lapse period expenditures which exceed \$10,000 and 20% of total fiscal year expenditures are detailed below:

GENERAL REVENUE FUND - 001

EXECUTIVE GROUP

PRINTING	\$ 481,316	\$ 1,627,844	29.57%
----------	------------	--------------	--------

There were significant printing projects that occurred late in the fiscal year, including Illinois Session Laws and Pressure Seal Renewal Stickers. The Office also utilizes bulk purchasing of paper to get better overall pricing, and inventory levels late in the year required a truckload order of paper.

EQUIPMENT	742,264	1,333,711	55.65%
-----------	---------	-----------	--------

Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included 21 replacement vehicles, postage machines and a microfilm scanner.

EDP	467,571	809,945	57.73%
-----	---------	---------	--------

Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included scanners, printers, memory upgrades and IBM P7 servers.

TELECOMMUNICATIONS	590,653	2,382,678	24.79%
--------------------	---------	-----------	--------

The Office constantly monitors cash balances and available appropriation balances throughout the year. In the second half of the year, monthly data line service payments were reallocated to GRF to meet the Office's available resources.

LUMP SUMS	412,600	532,434	77.49%
-----------	---------	---------	--------

These payments represent Prompt Payment Interest. Prompt Payment Interest vouchers are processed as they are due. Most of the Office's vouchers were not paid until late in the year, so a high percentage of these payments were made during the lapse period.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
Year Ended June 30, 2010

	Expenditures July 1 to December 31	Total Expenditures	% of Total Expenditures
PERMANENT IMPROVEMENTS	\$ 1,104,442	\$ 1,113,745	99.16%

Due to the time requirements from the beginning of the approval process, through the letting of a bid and start and completion of work, many projects are not completed until late in the fiscal year and some may even continue into the next fiscal year, causing extensive expenditures in the lapse period. There were three major projects - replacing and repairing the roof and brick piers of the Capitol complex visitors center, replacing the roof of the State Library and replacing the mechanical louvers and dampers at the three Chicago facilities.

LIVE & LEARN FUND - 026

GENERAL ADMINISTRATIVE GROUP

CONTRACTUAL SERVICES	730,632	1,067,298	68.46%
----------------------	---------	-----------	--------

Significant lapse period expenditures included payments for a media campaign for organ donor awareness.

SECRETARY OF STATE FEDERAL PROJECTS FUND - 176

MOTOR VEHICLE GROUP

AWARDS & GRANTS	43,237	47,018	91.96%
-----------------	--------	--------	--------

These were grant payments for the State and National Archivist Partnership grant. This was the first year of the grant and the Office had to develop the parameters for awarding these grants which resulted in the grant payments being processed in the lapse period.

INDIGENT BAIID FUND - 451

MOTOR VEHICLE GROUP

CONTRACTUAL SERVICES	53,715	183,278	29.31%
----------------------	--------	---------	--------

The Office reimburses vendors authorized to install BAIID devices in vehicles of DUI offenders determined by a judge to be indigent. These payments are made quarterly based on information gathered by the Office. The lapse expenditures shown here are represent the 4th quarter reimbursement to these vendors.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
Year Ended June 30, 2010

	Expenditures July 1 to December 31	Total Expenditures	% of Total Expenditures
--	--	-----------------------	----------------------------

SECRETARY OF STATE ID SECURITY - 480

GENERAL ADMINISTRATIVE GROUP

CONTRACTUAL SERVICES	\$ 301,858	\$ 505,193	59.75%
----------------------	------------	------------	--------

Significant lapse period spending included payment for the 1st phase of work on the biometric fingerprint and authorization project.

PAN HELLENIC TRUST FUND - 584

GENERAL ADMINISTRATIVE GROUP

AWARDS & GRANTS	29,774	29,774	100.00%
-----------------	--------	--------	---------

Grant money is based on the annual percentage distribution of license plates sold and resolution was not finalized until late in the fiscal year, which caused expenditures to be paid in lapse period.

MOTOR VEHICLE LICENSE PLATE - 622

MOTOR VEHICLE GROUP

COMMODITIES	1,273,229	3,203,129	39.75%
-------------	-----------	-----------	--------

Significant lapse period expenditures included \$1,225,000 for the purchase of license plates. The Office uses the Special License Plate Fund until the appropriation is exhausted, then utilizes this fund for the remainder of the year.

SECRETARY OF STATE POLICE SERVICES FUND - 759

MOTOR VEHICLE GROUP

EQUIPMENT	79,393	111,801	71.01%
-----------	--------	---------	--------

Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included replacement vehicles purchased for SOS police.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
Year Ended June 30, 2010

	Expenditures July 1 to December 31	Total Expenditures	% of Total Expenditures
--	--	-----------------------	----------------------------

STATE PARKING FACILITY MAINTENANCE FUND - 782

GENERAL ADMINISTRATIVE GROUP

PERMANENT IMPROVEMENTS	\$ 40,000	\$ 40,000	100.00%
------------------------	-----------	-----------	---------

Due to the time requirements from the beginning of the approval process, through the letting of a bid and start and completion of work, many projects are not completed until late in the fiscal year and some may even continue into the next fiscal year, causing extensive expenditures in the lapse period. These expenditures represent payments for the repavement of the dock at the SOS Dirksen facility and drainage work at the AA lot at the Capitol complex.

SECRETARY OF STATE'S GRANT FUND - 948

GENERAL ADMINISTRATIVE GROUP

OPERATION OF AUTOMOTIVE EQUIPMENT	20,417	20,417	100.00%
-----------------------------------	--------	--------	---------

Significant lapse period expenditures included the purchase of bulk fuel used by the Office's vehicle pool.

VEHICLE INSPECTION FUND - 963

MOTOR VEHICLE GROUP

COMMODITIES	16,733	24,513	68.26%
-------------	--------	--------	--------

Significant lapse period expenditures included a bulk purchase of printer toner for the Office's satellite facilities throughout the state.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF CASH RECEIPTS
(UNAUDITED)

Department of Business Services

The second largest cash collecting department in the Office of the Secretary of State is the Department of Business Services, whose primary responsibility is administration of the Business Corporation Act. A total of 375,344 domestic and foreign business corporations filed returns under the Act, reporting information which permits the Department to calculate the franchise tax, the largest source of receipts for this Department.

Franchise taxes and licenses fees are collected annually from each corporation. In addition, franchise taxes are payable to the Office upon stock issuances and on increases in paid-in capital. As a result, franchise tax collections fluctuate from year to year.

Other collections by the Department of Business Services include filing fees required with applications for articles of incorporation, certificates of authority of foreign corporations, articles of amendments, articles of merger or consolidation, certificates of withdrawal, reservations of name and filing fees collected from approximately 87,000 not-for-profit corporations.

The following table indicates the collections in the General Revenue Fund by the Department of Business Services for corporation taxes and fees in each of the last five fiscal years and the number of business corporations registered in Illinois in each of the last five calendar years:

Year ended June 30	Receipts Amount	Number of Registered Corporations			
		Year ended December 31	Total	Domestic	Foreign
2011	\$ 206,897,222	2010	375,344	342,968	32,376
2010	208,097,733	2009	378,720	346,128	32,592
2009	201,348,666	2008	385,948	352,881	33,067
2008	225,168,145	2007	382,413	349,458	32,955
2007	193,289,774	2006	374,096	341,303	32,793

The Uniform Commercial Code Division of the Department of Business Services collects fees through its administration of records involving security interests and commercial transactions as directed by the Uniform Commercial Code. A summary of the collections in the General Revenue Fund for the last five years follows:

Year ended June 30	Amount
2011	\$ 1,384,598
2010	1,304,523
2009	1,348,048
2008	1,421,634
2007	1,412,970

Securities Department

The Securities Department administers the Illinois Securities Law of 1953 which relates to:

1. Registration of securities in the State of Illinois before public offering or sale.
2. Registration of securities dealers and salespersons.
3. Registration of investment advisers and investment adviser representatives.

Registration of securities for sale in the State and the related receipts fluctuate depending upon general economic and stock market conditions. A summary of collections in the General Revenue Fund during each of the five fiscal years ended June 30 and the value of debt and equity securities in each of the five years ended December 31 follows:

<u>Receipts</u>		<u>Equity Securities Registered</u>	
<u>Year ended</u>		<u>Year ended</u>	
<u>June 30</u>	<u>Amount</u>	<u>June 30</u>	<u>Amount</u>
2011	\$ 37,476,103	2011	\$2,094,523,486,335
2010	35,917,690	2010	87,658,434,715
2009	38,435,398	2009	70,645,219,873
2008	37,347,058	2008	86,621,314,543
2007	36,013,498	2007	126,918,643,636

Index Department

The Index Department collects fees for the registration of notaries public, issuance of certified copies, judges' fees, and photostats. A summary of receipts in the General Revenue Fund for the last five fiscal years follows:

<u>Year ended</u>	<u>Amount</u>
<u>June 30</u>	
2011	\$ 618,836
2010	723,694
2009	1,359,320
2008	1,367,263
2007	1,286,230

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF ACCOUNTS RECEIVABLE

June 30, 2011 and 2010
(Amounts Expressed in Thousands)

The Office's receivable balances consist of amounts due from the federal government for grant programs, corporate franchise taxes receivable, and various fees due the Office (and also includes amounts owed to the Office for checks returned for same.)

Accounts receivable balances at June 30, 2011 and 2010 are summarized as follows:

	2011	2010
Taxes receivable	\$ 2,052	\$ 2,544
Due from federal government	1,280	358
Other receivables	6,849	7,078
	\$10,181	\$ 9,980

An aging schedule of the Office's accounts receivable at June 30, 2011 is presented below:

<u>Fund</u>	<u>Current</u>	<u>1 to 30 Days</u>	<u>31 to 90 Days</u>	<u>91 to 180 Days</u>	<u>181 to 365 Days</u>	<u>Over 365 Days</u>	<u>Total</u>
General Revenue	\$ 1,847	\$ 50	\$ 85	\$ 68	\$ 97	\$ 568	\$ 2,715
Road	2,385	289	296	193	260	1,930	5,353
Drivers' Education	-	2	1	1	1	5	10
Lobbyist Registration	-	-	-	-	8	-	8
Secretary of State Federal Projects	6	-	-	-	-	-	6
Securities Audit & Enforcement	-	-	-	4	-	527	531
Indigent BAID	18	-	-	-	-	-	18
Library Service	592	-	-	-	-	16	608
State Library	-	-	-	-	-	1	1
Secretary of State Special Services	48	-	-	-	-	-	48
Secretary of State ID Security	634	-	-	-	-	-	634
Secretary of State IRP	-	-	-	-	-	15	15
State Library Trust	-	-	-	-	-	4	4
State Construction Account	1,401	170	174	113	152	1,134	3,144
Total	\$ 7,144	\$ 511	\$ 556	\$ 379	\$ 518	\$ 4,200	\$ 13,095
Accrued interest receivable – all funds							8
Allowance for uncollectible accounts							(2,922)
Net Receivable							\$ 10,181

The Office utilizes internal collection techniques, the Comptroller Offset System, the Attorney General and private collection agencies to collect unpaid receivables.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

OFFICE FUNCTIONS AND PLANNING PROGRAM

Years ended June 30, 2011 and 2010

Jesse White, Illinois' 37th Secretary of State, assumed the office on January 11, 1999. The principal office of the Office is in the State Capitol, 213 Capitol Building, Springfield, Illinois 62756. The Secretary also maintains administrative offices in Chicago, as well as facilities throughout the State for drivers' licenses and motor vehicle related services.

The diverse responsibilities of this Office include the registering and titling of motor vehicles; issuing drivers' licenses; scheduling and conducting formal and informal hearings on driving privileges and registration matters; administering Safety and Financial Responsibility laws; maintaining a vast data processing system which also assists law enforcement with immediate driver and motor vehicle information; and maintaining a Department of Police to enforce compliance with the provisions of the Illinois Vehicle Code, investigate code violations and maintain security at the Capitol Complex area in Springfield.

Other responsibilities include issuing corporate charters and certificates; registering dealers, brokers, agents, solicitors and investment advisors for securities; regulating the issuance of securities and enforcement of the Illinois Securities Law; retaining Uniform Commercial Code filings reflecting security interests of creditors financing businesses on the basis of secured transactions and maintains the organ donation registry to match donors with recipient needs.

The Office is responsible for filing a significant number of legal and statutory documents, including Public Acts passed by the General Assembly, gubernatorial and amendatory vetoes, as well as registering trademarks, copyrights, notaries public and lobbyists. The Secretary serves as the Illinois State Librarian, State Archivist, and Ex-officio Clerk of the Court of Claims. The Office publishes the Rules of the Road, the Handbook of Illinois Government, the Illinois Blue Book, the Illinois Administrative Code, as well as other general educational materials for public distribution.

The Secretary of State has many departments that administer the various functions and duties prescribed by law and carry out the aforementioned responsibilities. The diversity of these responsibilities necessitates a comprehensive planning program.

The Office has a planning program, in which individual department goals are developed and updated at least annually. Upper management oversees the individual departments and is ultimately responsible for establishing Office-wide goals that will be accomplished if the individual department goals are met. The Office's plans consider resources, alternative approaches, and the feasibility of implementing programs pursuant to the plan and time schedule. External considerations include the needs of people of Illinois, legislative mandates and coordination with goals and objectives of other agencies for providing similar services.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF PERSONAL SERVICES

The following schedules show the average number of Illinois Office of the Secretary of State employees for the years ended June 30, 2011, 2010, and 2009, and the expenditures from the personal service accounts for those fiscal years. Extra help consists of student workers, intermittent employees and other temporary help.

	Average Number of Employees For the Year Ended June 30					
	2011		2010		2009	
	Regular Positions	Extra Help	Regular Positions	Extra Help	Regular Positions	Extra Help
Operating Groups:						
Executive group	3,348	339	3,543	388	84	3
General administrative group	135	1	3	1	1,085	58
Motor vehicle group	67	3	21	1	2,422	376
Total average number of employees	<u>3,550</u>	<u>343</u>	<u>3,567</u>	<u>390</u>	<u>3,591</u>	<u>437</u>
	Expenditures Year Ended June 30 (Including lapse period expenditures)					
	2011		2010		2009	
Operating Groups:						
Executive group	\$ 182,243,954		\$ 179,540,278		\$ 5,289,098	
General administrative group	9,663,563		6,994,168		64,534,311	
Motor vehicle group	3,389,828		3,351,274		113,297,194	
Total expenditures	<u>\$ 195,297,345</u>		<u>\$ 189,885,720</u>		<u>\$ 183,120,602</u>	
Detail object code:						
Regular positions	\$ 7,026,107		\$ 79,815,543		\$ 165,043,545	
Extra help	47,668		1,082,425		8,032,991	
Lump sum	188,223,570		108,987,753		10,044,066	
Total expenditures	<u>\$ 195,297,345</u>		<u>\$ 189,885,721</u>		<u>\$ 183,120,602</u>	
Average salary per regular position	<u>\$ 55,000</u>		<u>\$ 52,931</u>		<u>\$ 48,757</u>	

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ACCOUNTING REVENUE SALES ANALYSIS AS REPORTED
BY VEHICLE SERVICES AND DRIVERS SERVICES
(Unaudited)

	Year ended June 30,		
	2011	2010	2009
Vehicle Services - vehicle registration and related fees			
Passenger car licenses	\$ 685,274,001	\$ 648,015,678	\$ 609,035,098
Taxi and livery licenses	1,434,813	1,407,981	1,443,198
Motorcycle licenses	84,750	82,607	93,118
Dealers - license and certificates of authority	1,614,260	1,585,390	1,617,677
M and U plates	38,637	47,942	67,422
<u>Trucks</u>			
Flat rate licenses	196,319,576	195,180,107	197,997,682
Mileage licenses	7,957,215	8,192,691	7,684,368
Mileage tax - current and prior	644,118	439,181	648,081
Conservation licenses	146,888	151,768	164,456
Other (Truckster and Farm)	79,250	90,000	93,500
International reciprocity plates	161,938,197	164,933,425	212,534,730
<u>Trailers</u>			
Regular licenses	29,165,227	29,161,744	28,945,564
Mileage licenses	254,460	232,820	253,835
Farm licenses	1,127,322	282,113	1,018,528
<u>Installments</u>			
Flat rate licenses	5,358,543	5,917,248	6,470,903
Bus licenses	36,880	264,890	39,270
Recreational vehicle and trailer licenses	5,720,555	5,909,047	5,903,851
Transfers	8,962,302	8,899,235	8,866,653
IRP - Transfers	229,455	274,680	257,070
Farm trailers and trucks	24,558,739	23,855,674	22,144,761

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ACCOUNTING REVENUE SALES ANALYSIS AS REPORTED
BY VEHICLE SERVICES AND DRIVERS SERVICES
(Unaudited)

	Year ended June 30,		
	2011	2010	2009
Reclass			
Regular and calendar	\$ 2,234,266	\$ 2,515,993	\$ 3,403,120
Titles	164,341,054	160,633,597	164,555,266
Other - misc. account, trip permits, etc.	<u>352,886,042</u>	<u>261,963,469</u>	<u>165,406,503</u>
 Total motor vehicles sales	 1,650,406,550	 1,520,037,280	 1,438,644,654
 Total drivers' services - sales and related fees	 <u>161,094,406</u>	 <u>151,636,532</u>	 <u>131,237,965</u>
 Total vehicle, drivers' and related fees sales	 <u>\$ 1,811,500,956</u>	 <u>\$ 1,671,673,812</u>	 <u>\$ 1,569,882,619</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ACCOUNTING REVENUE SALES ANALYSIS AS REPORTED
BY VEHICLE SERVICES AND DRIVERS SERVICES
VOLUME OF TRANSACTIONS (Unaudited)

	Year ended June 30,		
	2011	2010	2009
Vehicle Services - vehicle registration and related fees			
Passenger car licenses	11,038,470	9,483,095	7,970,614
Taxi and livery licenses	20,317	19,991	20,477
Motorcycle licenses	1,864	1,781	2,025
Dealers - license and certificates of authority	72,543	72,207	76,246
M and U plates	4,447	5,306	7,533
<u>Trucks</u>			
Flat rate licenses	1,496,060	1,517,566	1,525,758
Mileage licenses	12,112	12,757	12,345
Mileage tax - current and prior	486	458	593
Conservation licenses	301	311	337
Other (Truckster and Farm)	324	370	378
International reciprocity plates	170,859	175,064	80,613
<u>Trailers</u>			
Regular licenses	725,460	731,099	724,237
Mileage licenses	668	628	694
Farm licenses	8,345	21,701	7,958
<u>Installments</u>			
Flat rate licenses	10,722	11,354	13,414
Bus licenses	3,709	26,651	3,955
Recreational vehicle and trailer licenses	123,351	127,592	127,134
Transfers	596,924	592,976	589,992
IRP - Transfers	15,297	18,312	17,138
Farm trailers and trucks	30,121	30,257	29,244
Prorate Excess	-	-	-

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ACCOUNTING REVENUE SALES ANALYSIS AS REPORTED
BY VEHICLE SERVICES AND DRIVERS SERVICES
VOLUME OF TRANSACTIONS (Unaudited)

	Year ended June 30,		
	2011	2010	2009
Reclass			
Regular and calendar	28,712	28,053	30,560
Titles	2,879,605	3,062,081	2,953,443
Other - misc. account, trip permits, etc.	<u>22,238,471</u>	<u>17,352,894</u>	<u>4,478,375</u>
 Total motor vehicles sales	 39,479,168	 33,292,504	 18,673,063
 (1) Total drivers' services - sales and related fees	 <u>17,451,491</u>	 <u>17,471,381</u>	 <u>16,686,025</u>
 Total vehicle, drivers' and related fees sales	 <u><u>56,930,659</u></u>	 <u><u>50,763,885</u></u>	 <u><u>35,359,088</u></u>

(1) Note: Includes 5,057,041, 5,334,430, and 5,412,686 drivers' histories transactions for fiscal years 2011, 2010 and 2009, respectively. Also includes 3,322,761, 3,284,598 and 3,320,510 drivers' license transactions for fiscal years 2011, 2010 and 2009, respectively.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SERVICE EFFORTS AND ACCOMPLISHMENTS
(UNAUDITED)

The second largest constitutional office in the State of Illinois – the Office of the Secretary of State - is visited by more Illinois residents than any other State Government agency. The Office generates approximately \$2 billion each year, with about \$500 million a year for the state highway construction fund.

Secure Drivers License and Facilities

In 2010 Secretary White's office redesigned and enhanced the security features of the driver's license and identification card as part of an ongoing effort to combat fraud and identity theft. The new design incorporated multiple layers of security including kinetic movement and color shifting designs, a UV feature, and a laser feature, which cannot be duplicated utilizing standard techniques. In 2011, Secretary White continues to make changes regarding security but is focusing on the driver's license facilities and making changes to enhance the security in the buildings to protect the devices used by the Office that provide the security features introduced in 2010 thus protecting the integrity of the driver's license and identification card documents.

Electronic Titles and Registrations

Automobile dealers across Illinois continue to be able to directly access the state database and order new license plates and vehicle registrations for new automobile owners at the time of purchase. The Electronic Registration and Title (ERT) Program allows new vehicle owners to immediately purchase and display their Illinois license plates at the time they take possession of a vehicle rather than waiting 30-60 days for paperwork to be completed and license plates to be mailed to them following the purchase. As more dealerships make use of this convenient process, application numbers continue to rise. In 2011, approximately 800,000 applications for title and 1.6 million vehicle registration renewals were processed.

Safe Driver Renewal Upgrade

In 2011, the Illinois Secretary of State upgraded the Safe Driver Renewal Program. Previously, applicants would use this service and subsequently receive an expiration sticker that they would place over the old expiration date on their existing driver's license. The upgrade allows applicants to receive an entirely new Illinois driver's license with a new expiration within 15 business days of making application. Approximately 300,000 motorists take advantage of this program annually.

First-Person Consent Organ/Tissue Donor Registry

Illinois' First Person Consent Organ/Tissue Donor Registry continues to be one of the most successful in the nation. The registry makes the organ donation decision of the Illinois driver's license or identification card holder legally binding and removes the decision on whether to donate a loved one's organs from the family. Because the registry does not allow minors to join until they turn 18 years of age, the program has begun annually targeting those individuals who attain the age of majority to get their consent to join the registry through a mail in application process.

Fighting Drunk Driving in Illinois

In 2008, Illinois became one of the first states to incorporate the use of the Breath Alcohol Ignition Interlock Device (BAIID) on the vehicles of first-time DUI offenders. Under this law, Illinois has seen the use of the BAIID triple in use. Since Illinois adopted this new statutory change, 31 other states have joined the fight and made BAIID a requirement for first time DUI offenders. Because of Illinois' great

efforts to combat drunk driving, fatalities have decreased almost 38% since 1999. In 2011, the national organization of Mothers Against Drunk Driving gave Illinois the highest rating possible—five stars—for its efforts.

Advisory Committee on Traffic Safety

Following the success of the Teen Driver Safety and Distracted Driving Task Forces, in 2011 Secretary White established through a legislation resolution the Advisory Council on Traffic Safety. This council is comprised of leaders of the traffic safety community, including Secretary White, legislators, the National Highway Traffic Safety Administration, the Northwestern School for Public Safety, the IL Department of Transportation, the IL State Police, and the National Safety Council, will hold public hearings and discuss current traffic safety issues and ways to reduce crashes and fatalities on Illinois' roadways. The first meeting of the council is set for January of 2012.

Traffic Safety Outreach

The Secretary of State is a leading advocate for traffic safety in the State of Illinois. The Office continues to publish numerous books, brochures, videos and public service announcements on a wide variety of subjects including but not limited to DUI, distracted driving, and child passenger safety. Many of the videos and public service announcements have won national awards for excellence. In addition to the publications, the Office also offers a Speaker's Bureau that provides speakers to schools and civic organizations. The Secretary of State's Speakers Bureau provides over 1,000 presentations annually to tens of thousands of adults and children on a variety of topics including Bike and Pedestrian Safety, School Bus Safety, Child Passenger Safety, Teen DUI and Adult DUI.

Expansion of Business Services On-Line Services

The Secretary of State's Business Services Office continues to expand their on-line capabilities and offer the current business owner and prospective business owner quick and efficient service through on-line transactions. The Office offers the following types of transactions: (1) Uniform Commercial Code filings, (2) Certificates of Good Standing for Corporations, Limited Liability Companies and Not For Profit Organizations, (3) Annual Report filings for Not For Profit Corporations and for profit corporations, (4) Annual Report filings for Limited Liability Corporations, (5) filing of Articles of Incorporation to establish both not for profit and for profit corporations, (6) filing of Articles of Organization for Limited Liability Companies (7) Certificate of Existence for Limited Partnerships and Limited Liability Partnerships and (8) Articles of Amendment (name change only) for Corporations and Limited Liability Companies. The on-line services also offer customers the opportunity to search for a particular business name and perform trademark searches.

State Library Outreach through Grants

One of the primary statutory responsibilities of the State Library is to distribute monetary grants to libraries and literacy agencies for the continuing education, development and enhancement of library and literacy services to the people of Illinois. Despite tight financial concerns, in 2011 the State Library expended nearly \$4.7 million in library grants and \$5 million in literacy grants for a variety of purposes, including per capita grants to local and school libraries for general purposes.

Protecting Investors

Secretary White's Securities Department continues to assist defrauded investors by pursuing cases, seizing assets and helping victims in their attempts to recover investment losses. In addition, the Department conducts free investor education seminars throughout the state and offers free classroom instructions on financial literacy topics. The Department's website www.avoidthescam.net reports on recent developments, offers information for investors, and contains an investor complaint form that can be used by victims of investment scams.

Emergency Contact Database

In 2009, the Secretary of State established the Emergency Contact Database (ECD) that allowed any person with an Illinois driver's license or identification card to enter their emergency contact information through a convenient, online method. In 2010, the ECD was expanded to allow those individuals to also include pertinent medical and disability information along with their emergency contacts. With limited resources to advertise the program, the Secretary of State has seen over 175,000 people take advantage of this unique program.