



STATE OF ILLINOIS

OFFICE OF THE AUDITOR GENERAL

MANAGEMENT AUDIT

USER FEES
CHARGED BY
STATE AGENCIES

SUPPLEMENT

VOLUME III: ALL OTHER STATE AGENCIES

APRIL 1993

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AUDITOR GENERAL

INDIVIDUAL AGENCY FEES

SUPPLEMENT *To The Management Audit Of User Fees Charged By State Agencies*

This is the supplement to the management audit of user fees charged by Illinois State agencies. Users of this supplement will find information on fees that has heretofore been unavailable to the public in a single document. The supplement is organized into three separate volumes that contain fee information as follows:

- ▶ Volume one - Elected Constitutional Officers
- ▶ Volume two - Civil Administrative Code Departments
- ▶ Volume three - All Other State Agencies

At the end of this introduction is a list of agencies in each volume. The list contains 71 agencies that charged fees during Fiscal Years 1991-1992. Information about agencies' fees is organized as follows:

- A cover page for each agency summarizes the number of fee rates set by statute, administrative rule, or some other authority; the amount of fee revenue deposited into special funds and the General Revenue Fund; and the portion of cost which the fee was intended to cover.
- Following the cover page is information on all the fees charged by the agency, such as:
 1. Name of fee
 2. Purpose or use of fee
 3. Authority to charge fee
 4. Whether fee was intended to cover cost of program or service
 5. Fiscal Year 1992 fee rate
 6. Fiscal Year 1992 fee revenue
 7. Fund into which fee revenue was deposited
 8. Number who paid fee in Fiscal Year 1992
 9. Whether fee rate was set by statute, administrative rule, or agency policy.

- If the agency administered any fees that were intended to cover the full cost of the program or service that was provided, there is an additional section entitled "Fees Intended to Cover the Full Cost." It contains the following information:

1. Name of fee
2. Authority to charge fee
3. Fiscal Year 1992 fee revenue
4. Fiscal Year 1992 cost of program or service for which fee was charged
5. Items included in cost
6. Whether fee was set to cover the projected costs of the service or program
7. Whether fee was collected before, during, or after the program or service was provided.

A survey questionnaire was used to obtain the above information on each fee. The audit was concerned only with fees charged to citizens and to private organizations that were deposited into the State treasury. Fees deposited into locally held funds were excluded in this audit.

We reviewed statutes and administrative rules to identify fees, check citations, verify the date when the fee was last revised, and determine if the fee was set by statute or rule. Agencies were provided at least two opportunities to review the information about their fees.

Based on the completed survey questionnaires and certain OAG verification checks, fees intended to recover the **full** cost were identified pursuant to Legislative Audit Commission resolution number 96. Additional information about these fees is in the supplement as well as the audit report (see Chapter 4).

During the fieldwork phase of the audit, we sampled certain large fees that were intended to cover full cost and that also generated over \$1 million in revenue in Fiscal Year 1992. Information about them is in Chapter 5 of the audit report.

University fees over \$1 million were excluded from testing because the Auditor General's Office released a program audit in July 1990, entitled "State University Tuition and Fee Policies and Practices." For the same reason, no further data is provided on their fees that were intended to recover full cost.

Elected Constitutional Officers

1. Attorney General
2. Comptroller
3. General Assembly
4. Governor
5. Secretary of State
6. Supreme Court
7. Treasurer

Civil Administrative Code Departments

1. Department of Agriculture
2. Department of Alcoholism and Substance Abuse
3. Department of Central Management Services
4. Department of Children and Family Services
5. Department of Commerce and Community Affairs
6. Department of Conservation
7. Department of Corrections
8. Department of Employment Security
9. Department of Energy and Natural Resources
10. Department of Financial Institutions
11. Department of Human Rights
12. Department of Insurance
13. Department of Labor
14. Department of Mental Health and Developmental Disabilities
15. Department of Mines and Minerals
16. Department of Nuclear Safety
17. Department of Professional Regulation
18. Department of Public Aid
19. Department of Public Health
20. Department of Rehabilitation Services
21. Department of Revenue
22. Department of Revenue (Gaming Board)
23. Department of State Police
24. Department of Transportation
25. Department of Veterans' Affairs
26. Department on Aging
27. State Lottery

Other State Agencies

1. Board of Higher Education
2. Capital Development Board
3. Chicago State University
4. Commissioner of Banks and Trust Companies
5. Commissioner of Savings and Residential Finance
6. Court of Claims
7. Eastern Illinois University
8. Environmental Protection Agency
9. Governor's Health and Physical Fitness Council
10. Governors State University
11. Guardianship and Advocacy Commission
12. Historic Preservation Agency
13. Illinois Commerce Commission
14. Illinois Emergency Management Agency
15. Illinois Health Care Cost Containment Council
16. Illinois Housing Development Authority
17. Illinois Mathematics and Science Academy
18. Illinois State Toll Highway Authority
19. Illinois State University
20. Industrial Commission
21. Joint Committee on Administrative Rules
22. Legislative Information System
23. Legislative Reference Bureau
24. Liquor Control Commission
25. Northeastern Illinois University
26. Northern Illinois University
27. Office of the State Fire Marshal
28. Pollution Control Board
29. Prisoner Review Board
30. Racing Board
31. Sangamon State University
32. Southern Illinois University (Carbondale)
33. Southern Illinois University (Edwardsville)
34. State Board of Education
35. State Board of Elections
36. University of Illinois
37. Western Illinois University

BOARD OF HIGHER EDUCATION

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|---------------------|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 0 | \$0 | \$0 | \$0 |
| Rule | 1 | \$267 | \$0 | \$267 |
| Other | 0 | \$0 | \$0 | \$0 |
| TOTAL | 1 | \$267 | \$0 | \$267 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> |
|-------------|-------------|-------------|-------------|--------------|---------------------------------|
| | | | | | |

BOARD OF HIGHER EDUCATION

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--|---|---------------------------------|--|----------------------------|----------------------|
| Duplication Fees | To partially offset cost of photocopy or audio tape duplication for document requests under Illinois Freedom of Information Act. | IRS 1991, ch. 116, par. 206(a) 2 IAC, ch. 3, sec. 5051. Appendix A | Some | \$.25 per page \$.50 per microfiche copy \$1.00 per computer printout - paper \$5.00 per audio tape minute | \$267 \$0 \$0 \$0 | General Revenue Fund |
| | Number who paid this fee in Fiscal Year 1992: 1 | | | Fee rate set by rule and has been in effect since September 4, 1984. | | |

CAPITAL DEVELOPMENT BOARD

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|---------------------|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 0 | \$0 | \$0 | \$0 |
| Rule | 0 | \$0 | \$0 | \$0 |
| Other | 2 | \$0 | \$2,648,404 | \$2,648,404 |
| TOTAL | 2 | \$0 | \$2,648,404 | \$2,648,404 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> |
|-------------|-------------|-------------|-------------|--------------|---------------------------------|
| 1 | 0 | 0 | 1 | 0 | 2 |

CAPITAL DEVELOPMENT BOARD

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Included to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---------------------------------|--|---|-------------------------------------|---|--------------------------------|--|
| Construction Administration Fee | A fee assessed on contracts awarded to supplement general revenue funds which support the administration of the construction program. | IRS 1991, ch. 127, par. 779.02a | No | For construction contracts the fee is 1.5% of the estimated contract cost. For architects and engineers the fee is 1.5% of the basic service portion of the contract. (The 1.5% fee rate is set by CDB) | \$2,641,819 | Capital Development Board Revolving Fund |
| Freedom of Information Fees | Number who paid this fee in Fiscal Year 1992: 998 Fees charged for copies of public records provided to requestors in accordance with the Freedom of Information Act. | IRS 1991, ch. 116, par. 201 et.seq 2 IAC, ch. VII, sec. 1651.520 - 1651.APP.B. | Yes | Varies by type of duplication. \$.25 per page for paper copy from paper original \$.50 per page for paper copy from microfiche original \$.50 per page for computer printout \$1.00 per sheet for diazo copy of microfiche original | \$6,585 | Capital Development Board Revolving Fund |
| | Number who paid this fee in Fiscal Year 1992: 263 | | | Fee rate set by the Board and has been in effect since July 1984. | | |

IRS = Illinois Revised Statutes IAC = Illinois Administrative Code

CAPITAL DEVELOPMENT BOARD

FEEES INTENDED TO COVER FULL COST

Total fees: 2

Fees intended to cover full cost: 1

This section contains the following information on fees intended to cover the full cost:

1. Fiscal Year 1992 cost of the service or program associated with the fee.
2. Items included in cost, such as fixed, administrative, or direct cost.
3. Whether agency-set fees covered projected service/program costs.
4. Whether the fee was collected before, during, or after services were provided.

| CAPITAL DEVELOPMENT BOARD | | | | | | |
|---------------------------|-----------|---------------------------------|------|---------------------------|---|----------------------------|
| Fee Name | Authority | FISCAL YEAR 1992 Fee Revenue | Cost | What did cost include? | Was fee set to cover projected service/program costs? | When was fee collected? |

| | | | | | | |
|-----------------------------|---|---------|---------|---------------------------------|-----|---------------------------|
| Freedom of Information Fees | IRS 1991, ch. 116, par. 201 2 IAC, ch. VII, sec. 1651.520 - 1651.APP.B. | \$6,585 | \$6,616 | Fixed and administrative costs. | Yes | Before (In most cases) |
|-----------------------------|---|---------|---------|---------------------------------|-----|---------------------------|

CHICAGO STATE UNIVERSITY

| <u>Fee Rate Set By</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | | <u>TOTAL FY92</u> <u>Fee Revenue</u> |
|------------------------|------------------------------------|-----------------------------|---------------------|---|
| | <u>Number of Fees</u> | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 0 | \$0 | \$0 | \$0 |
| Rule | 1 | 0 | 0 | 0 |
| Other | 3 | 0 | 10,594,869 | 10,594,869 |
| TOTAL | 4 | \$0 | \$10,594,869 | \$10,594,869 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> 1 | <u>Most</u> 0 | <u>Some</u> 0 | <u>None</u> 3 | <u>Other</u> 0 | Total Number |
|------------------|------------------|------------------|------------------|-------------------|---------------------|
| | | | | | <u>Of Fees</u> 4 |
| | | | | | |

CHICAGO STATE UNIVERSITY

| <i>Name of Fee</i> | <i>Purpose/Use</i> | <i>Authority</i> | <i>Intended to Cover Costs?</i> | <i>FY 1992 Fee Rate</i> | <i>FY 1992 Fee Revenue</i> | <i>Fund</i> |
|--------------------------------|---|-------------------------------|---------------------------------|---|----------------------------|--------------------------|
| Tuition | Offset costs Number who paid this fee in Fiscal Year 1992 : 17,500 duplicate | IRS 1991, ch. 144, par. 1001A | No | Varies | \$8,991,525 | Chicago State University |
| Mandatory Fees | Offset costs Number who paid this fee in Fiscal Year 1992 : 17,500 duplicate | IRS 1991, ch. 144, par. 1001A | No | Varies | \$1,380,344 | Chicago State University |
| Nonmandatory Fees | Offset costs Number who paid this fee in Fiscal Year 1992 : 17,500 duplicate | IRS 1991, ch. 144, par. 1001A | No | Varies | \$223,000 | Chicago State University |
| Freedom of Information Act Fee | For copies of public records. Number who paid this fee in Fiscal Year 1992 : 0 | 2 IAC, ch. I, sec. 5025.150 | Yes | Not to exceed \$.25/page \$1/certification | Not available | Not available |

COMMISSIONER OF BANKS AND TRUST COMPANIES

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|---------------------|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 12 | \$0 | \$13,093,890 | \$13,093,890 |
| Rule | 1 | 0 | 174,733 | 174,733 |
| Other | 48 | 0 | 2,374,321 * | 2,374,321 |
| TOTAL | 61 | \$0 | \$15,642,944 | \$15,642,944 |

* Revenues for 3 fees are included in this column, although the fee rates were set by statute.

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> |
|-------------|-------------|-------------|-------------|--------------|---------------------------------|
| 51 | 3 | 1 | 6 | 0 | 61 |

COMMISSIONER OF BANKS AND TRUST COMPANIES

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|

FY92 fee revenue for the following 8 fees totaled \$57,220. (1.)

| | | | | | | |
|--|--|--|-----|---|------|-----------------------------|
| Application for Certificate of Authority for a Foreign Corporate Fiduciary | To cover the cost incurred by the agency to process an application for certificate of authority for a foreign corporate fiduciary. | IRS 1991, ch. 17, par. 1554-5(b) | Yes | \$750 per application | (1.) | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : not available | | | Fee rate was set by agency and has been in effect since (not available). | | |
| Application for Approval to Establish a Corporate Fiduciary Subsidiary | To cover the cost incurred by the agency to process an application for approval to establish a corporate fiduciary subsidiary. | IRS 1991, ch. 17, par. 1555-10(a) and par. 1555-2(c) | Yes | \$500 per application plus cost of examination of subsidiary, if required | (1.) | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : not available | | | Fee rate was set by agency and has been in effect since (not available). | | |

COMMISSIONER OF BANKS AND TRUST COMPANIES

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--|---|-----------------------------------|---------------------------------|--|----------------------------|-----------------------------|
| Application for Approval to Establish a Corporate Fiduciary Branch | To cover the cost incurred by the agency to process an application for approval to establish a corporate fiduciary branch. Number who paid this fee in Fiscal Year 1992 : not available | IRS 1991, ch. 17, par. 1555-10(a) | Yes | \$500 per application | (1.) | Bank and Trust Company Fund |
| Certified Copy of Certificate of Authority or a Certificate of Good Standing | To cover the cost incurred by the agency to prepare and issue a certified copy of a certificate of authority or a certificate of good standing. Number who paid this fee in Fiscal Year 1992 : not available | IRS 1991, ch. 17, par. 1555-10(a) | Yes | \$50 | (1.) | Bank and Trust Company Fund |
| Application for Trust Powers for a State-Chartered Bank, Savings and Loan Association, or Savings Bank | To cover the cost incurred by the agency to process an application for trust powers. Number who paid this fee in Fiscal Year 1992 : not available | IRS 1991, ch. 17, par. 1555-10(a) | Yes | \$1,500 per application plus the cost of any examination and investigation | (1.) | Bank and Trust Company Fund |

COMMISSIONER OF BANKS AND TRUST COMPANIES

| <i>Name of Fee</i> | <i>Purpose/Use</i> | <i>Authority</i> | <i>Intended to Cover Costs?</i> | <i>FY 1992 Fee Rate</i> | <i>FY 1992 Fee Revenue</i> | <i>Fund</i> |
|---|---|-----------------------------------|---------------------------------|--|----------------------------|-----------------------------|
| Application to Establish an Illinois Trust Company | To cover the cost incurred by the agency to process an application to establish an Illinois trust company. | IRS 1991, ch. 17, par. 1555-10(a) | Yes | \$5,000 per application plus the cost of any examination and investigation | (1.) | Bank and Trust Company Fund |
| Annual Report Filing Fee Foreign Corporate Fiduciary | Number who paid this fee in Fiscal Year 1992 : not available | | | Fee rate was set by agency and has been in effect since (not available). | | |
| Annual Report Filing Fee Foreign Corporate Fiduciary | To cover the cost incurred by the agency in administering the Corporate Fiduciary Act. | IRS 1991, ch. 17, par. 1555-10(a) | Yes | \$100 | (1.) | Bank and Trust Company Fund |
| Change of Control in Ownership of an Illinois Trust Company | Number who paid this fee in Fiscal Year 1992 : not available | | | Fee rate was set by agency and has been in effect since (not available). | | |
| Change of Control in Ownership of an Illinois Trust Company | To cover the cost incurred by the agency to process and investigate a change in ownership of an Illinois trust company. | IRS 1991, ch. 17, par. 1555-10(a) | Yes | \$750 plus the cost of any investigation | (1.) | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : not available | | | Fee rate was set by agency and has been in effect since (not available). | | |

COMMISSIONER OF BANKS AND TRUST COMPANIES

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|

FY92 fee revenue for the following 2 fees totaled \$12,458,424. (2.)

| | | | | | | |
|--------------------------------|---|--|------|---|------|-----------------------------|
| Call Report Fee | To cover the cost incurred by the agency in administering the Illinois Banking Act. | IRS 1991, ch. 17, par. 359(3)(a) | Most | Variable per bank assets | (2.) | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : 786 | | | Fee rate was set by statute and has been in effect since (not available). | | |
| Bank for Savings and Loan Fees | To cover the cost incurred by the agency to examine and supervise a Bank for Savings and Loans. | IRS 1991, ch. 17, par. 3425(5), par. 3427, par. 3430(1)(d), par. 3430(6)(c), and 3448(3) | Yes | Variable per bank assets | (2.) | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : 1 | | | Fee rate was set by statute and has been in effect since (not available). | | |

COMMISSIONER OF BANKS AND TRUST COMPANIES

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|

FY92 fee revenue for the following 32 fees totaled \$364,280. (3.)

| | | | | | | |
|---|---|--------------------------------|-----|---|------|-----------------------------|
| *Foreign Bank Representative Office License Application Fee | To cover the cost incurred by the agency to process a request for a foreign bank representative office license. | IRS 1991, ch. 17, par. 2854(a) | Yes | \$200 (Not to exceed \$300 per statute) | (3.) | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : not available | | | Fee rate was set by statute and has been in effect since (not available). | | |
| *Voluntary Dissolution | To cover the cost incurred by the agency to process a voluntary dissolution. | IRS 1991, ch. 17, par. 3471 | Yes | \$2,000 (2%-first \$5,000; 1%-in excess of \$5,000 - per statute) | (3.) | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : not available | | | Fee rate was set by statute and has been in effect since (not available). | | |
| *Voluntary Dissolution of a "Less Active" Bank | To cover the cost incurred by the agency to process a voluntary dissolution of a "less active" bank. | IRS 1991, ch. 17, par. 383 | Yes | \$500 (2%-first \$5,000; 1%-in excess of \$5,000 - per statute) | (3.) | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : not available | | | Fee rate was set by statute and has been in effect since (not available). | | |

COMMISSIONER OF BANKS AND TRUST COMPANIES

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|

| | | | | | | |
|---|---|----------------------------------|-----|-------|------|-----------------------------|
| Application to Establish and Operate a Proprietary Network and Similar Facility | To cover the cost incurred by the agency to process an application to establish and operate a proprietary network and similar facility. | 38 IAC, ch. II, sec. 310.810 (d) | Yes | \$375 | (3.) | Bank and Trust Company Fund |
|---|---|----------------------------------|-----|-------|------|-----------------------------|

Number who paid this fee in Fiscal Year 1992 : not available

Fee rate was set by agency and has been in effect since October 1988.

| | | | | | | |
|---|--|----------------------------------|-----|-------|------|-----------------------------|
| Notice of Intent to Establish an Off Premises Automatic Teller Machine(s) | To cover the cost incurred by the agency to process a notice of intent to establish an off premises automatic teller machine(s). | 38 IAC, ch. II, sec. 310.410 (f) | Yes | \$300 | (3.) | Bank and Trust Company Fund |
|---|--|----------------------------------|-----|-------|------|-----------------------------|

Number who paid this fee in Fiscal Year 1992 : not available

Fee rate was set by agency and has been in effect since October 1988.

| | | | | | | |
|--|---|----------------------------------|-----|---|------|-----------------------------|
| Notice of Intent to Serve a Point of Sale Terminal | To cover the cost incurred by the agency to process a notice of intent to serve a point of sale terminal. | 38 IAC, ch. II, sec. 310.710 (e) | Yes | \$300 for establisher's initial filing and \$30 for each subsequent filing for the same establisher | (3.) | Bank and Trust Company Fund |
|--|---|----------------------------------|-----|---|------|-----------------------------|

Number who paid this fee in Fiscal Year 1992 : not available

Fee rate was set by agency and has been in effect since October 1988.

COMMISSIONER OF BANKS AND TRUST COMPANIES

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---|---|--|---------------------------------|--|----------------------------|-----------------------------|
| Certification of Charter | To cover the cost incurred by the agency to process and issue a certification of charter. | IRS 1991, ch. 17, par. 359(3)(e) | Yes | \$50 plus \$5 per amendment | (3.) | Bank and Trust Company Fund |
| Application to Establish and Operate a Funds Transfer Corporation and Transmission Facility | Number who paid this fee in Fiscal Year 1992 : not available | | | Fee rate was set by agency and has been in effect since (not available). | | |
| | To cover the cost incurred by the agency to process an application to establish and operate a funds transfer corporation and transmission facility. | 38 IAC, ch. II, sec. 310.610 (d) | Yes | \$750 per application | (3.) | Bank and Trust Company Fund |
| Certificate of Good Standing - Foreign Banking Office | Number who paid this fee in Fiscal Year 1992 : not available | | | Fee rate was set by agency and has been in effect since (not available). | | |
| | To cover the cost incurred by the agency to process and issue a certificate of good standing. | IRS 1991, ch. 17, par. 359(3)(e) and par. 2724 | Yes | \$50 | (3.) | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : not available | | | Fee rate was set by agency and has been in effect since (not available). | | |

COMMISSIONER OF BANKS AND TRUST COMPANIES

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Included to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|

Copies of Documents on File with the Commissioner

To cover the cost incurred by the agency to prepare and issue copies of documents on file with the Commissioner.

IRS 1991, ch. 17, par. 359(3)(e)

Yes

\$.25 per page (No charge if less than \$10)

(3.)

Bank and Trust Company Fund

Number who paid this fee in Fiscal Year 1992 : not available

Fee rate was set by agency and has been in effect since (not available).

Certificate of Good Standing

To cover the cost incurred by the agency to process and issue a certificate of good standing.

IRS 1991, ch. 17, par. 359(3)(e)

Yes

\$50

(3.)

Bank and Trust Company Fund

Number who paid this fee in Fiscal Year 1992 : not available

Fee rate was set by agency and has been in effect since (not available).

Application to Purchase Treasury Stock

To cover the cost incurred by the agency to process an application for the purchase of treasury stock.

IBP, article 1, sec. 1.02

Yes

\$500

(3.)

Bank and Trust Company Fund

Number who paid this fee in Fiscal Year 1992 : not available

Fee rate was set by agency and has been in effect since July 1991.

COMMISSIONER OF BANKS AND TRUST COMPANIES

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---|--|---------------------------|---------------------------------|--|----------------------------|-----------------------------|
| Application to Use Word "Bank", "Banker", or "Banking" in Connection with a Business Not Engaged in Banking | To cover the cost incurred by the agency for an application to use the word "bank", "banker", or "banking" in a non-banking business. | IBP, article 1, sec. 1.02 | Yes | \$50 per application | (3.) | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : not available | | | Fee rate was set by agency and has been in effect since July 1991. | | |
| Notice of Intent to Establish a Bank Branch | To cover the cost incurred by the agency to process a notice of intent to establish a branch bank. | IBP, article 1, sec. 1.02 | Yes | \$500 | (3.) | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : not available | | | Fee rate was set by agency and has been in effect since July 1991. | | |
| Notice of Intent to Establish a Bank Subsidiary or Acquire Stock in a Corporation Pursuant to Section 5(12) of the Illinois Banking Act | To cover the cost incurred by the agency to process a notice of intent to establish a bank subsidiary or acquire stock in a corporation. | IBP, article 1, sec. 1.02 | Yes | \$500 | (3.) | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : not available | | | Fee rate was set by agency and has been in effect since July 1991. | | |

COMMISSIONER OF BANKS AND TRUST COMPANIES

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---|--|---------------------------|---------------------------------|--|----------------------------|--------------------------------|
| Charter Amendments : Relocation of a "Less Active" Bank | To cover the cost incurred by the agency to process and investigate a charter amendment. Relocation of a "less active" bank. | IBP, article 1, sec. 1.02 | Yes | \$2,500 plus actual cost of investigation | (3.) | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : not available | | | Fee rate was set by agency and has been in effect since July 1991. | | |
| Charter Amendments: Reverse Stock Split | To cover the cost incurred by the agency to process a charter amendment, reverse stock split. | IBP, article 1, sec. 1.02 | Yes | \$1,000 | (3.) | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : not available | | | Fee rate was set by agency and has been in effect since July 1991. | | |
| Other Charter Amendments | To cover the cost incurred by the agency to process other charter amendments. | IBP, article 1, sec. 1.02 | Yes | \$200 plus actual cost of investigation | (3.) | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : not available | | | Fee rate was set by agency and has been in effect since July 1991. | | |

COMMISSIONER OF BANKS AND TRUST COMPANIES

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---|---|---------------------------|---------------------------------|-------------------------|----------------------------|-----------------------------|
| Illinois Banking Act and Related Law Biennial Publication | To cover the cost incurred by the agency to compile, print, and issue the Illinois Banking Act and related law biennial publication. | IBP, article 1, sec. 1.08 | Yes | \$25 per copy | (3.) | Bank and Trust Company Fund |
| Agency Policy and Rules Manual | Number who paid this fee in Fiscal Year 1992 : not available To cover the cost incurred by the agency to compile, print, and issue the Agency Policy and Rules Manual. | IBP, article 1, sec. 1.08 | Yes | \$25 per copy | (3.) | Bank and Trust Company Fund |
| Computer Generated Listing of Banks | Number who paid this fee in Fiscal Year 1992 : not available To cover the cost incurred by the agency to prepare and issue a computer generated listing of banks. | IBP, article 1, sec. 1.08 | Yes | \$25 per report | (3.) | Bank and Trust Company Fund |

COMMISSIONER OF BANKS AND TRUST COMPANIES

| <i>Name of Fee</i> | <i>Purpose/Use</i> | <i>Authority</i> | <i>Intended to Cover Costs?</i> | <i>FY 1992 Fee Rate</i> | <i>FY 1992 Fee Revenue</i> | <i>Fund</i> |
|--|--|-----------------------------|---------------------------------|--|----------------------------|-----------------------------|
| Certificate of Authority Amendment - Foreign Banking Office | To cover the cost incurred by the agency to process and issue a certificate of authority amendment. | IRS 1991, ch. 17, par. 2724 | Yes | \$200 per applicant | (3.) | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : not available | | | Fee rate was set by agency and has been in effect since (not available). | | |
| Application for Certificate of Authority to Establish a Foreign Banking Office | To cover the cost incurred by the agency to process an application for a certificate of authority to establish a foreign banking office. | IRS 1991, ch. 17, par. 2724 | Yes | \$5,000 | (3.) | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : not available | | | Fee rate was set by agency and has been in effect since (not available). | | |
| Change of Control in Ownership of Banks and Holding Companies | To cover the cost incurred by the agency to process and investigate a change of control in ownership. | IBP, article 1, sec. 1.02 | Yes | \$750 plus actual cost of investigation | (3.) | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : not available | | | Fee rate was set by agency and has been in effect since July 1991. | | |

COMMISSIONER OF BANKS AND TRUST COMPANIES

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--|---|---------------------------|---------------------------------|--|----------------------------|-----------------------------|
| Application to Reduce the Number of Bank Directors | To cover the cost incurred by the agency to process an application to reduce the number of bank of directors. | IBP, article 1, sec. 1.02 | Yes | \$200 per application | (3.) | Bank and Trust Company Fund |
| Application to Purchase the Assets and Assume the Liabilities of, Merge with, or Act as Paying Agent for the Payment of Deposit Insurance for an Eligible Depository Institution | Number who paid this fee in Fiscal Year 1992 : not available | | | Fee rate was set by agency and has been in effect since July 1991. | | |
| Application to Purchase the Assets and Assume the Liabilities of, Merge with, or Act as Paying Agent for the Payment of Deposit Insurance for an Eligible Depository Institution | To cover the cost incurred by the agency to process an application to purchase and assume, merge with, or act as paying agent for an eligible depository institution. | IBP, article 1, sec. 1.02 | Yes | \$500 per bank applicant | (3.) | Bank and Trust Company Fund |
| Application for Permit to Organize an Interim Bank, Issuance of a Charter, and Issuance of a Certificate of Merger | Number who paid this fee in Fiscal Year 1992 : not available | | | Fee rate was set by agency and has been in effect since July 1991. | | |
| Application for Permit to Organize an Interim Bank, Issuance of a Charter, and Issuance of a Certificate of Merger | To cover the cost incurred by the agency to process an application for the permit to organize an interim bank, issue a charter, and issue a certificate of merger. | IBP, article 1, sec. 1.02 | Yes | \$2,500 per applicant | (3.) | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : not available | | | Fee rate was set by agency and has been in effect since July 1991. | | |

COMMISSIONER OF BANKS AND TRUST COMPANIES

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---|---|--|---------------------------------|--|----------------------------|-----------------------------|
| Application for a De Novo Charter | To cover the cost incurred by the agency to process and investigate an application to establish a bank. | IBP, article 1, sec. 1.02 | Yes | \$7,500 per application plus actual cost of investigation | (3.) | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : not available | | | Fee rate was set by agency and has been in effect since July 1991. | | |
| Regulatory Report Service (News Releases) | To cover the cost incurred by the agency to process a request for the preparation and issuance of the Regulatory Report Service. | IBP, article 1, sec. 1.08 | Yes | \$60 per year | (3.) | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : not available | | | Fee rate was set by agency and has been in effect since July 1991. | | |
| Certification of Certificate of Authority, License, and Amendments - Foreign Banking Office | To cover the cost incurred by the agency to process and issue a certification of certificate of authority, license, and amendments. | IRS 1991, ch. 17, par. 359(3)(e) and par. 2724 | Yes | \$50 plus \$5 per amendment | (3.) | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : not available | | | Fee rate was set by agency and has been in effect since (not available). | | |

COMMISSIONER OF BANKS AND TRUST COMPANIES

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Included in Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--------------------|------------------|-------------------------------------|-----------------------------|--------------------------------|-------------|
|--------------------|--------------------|------------------|-------------------------------------|-----------------------------|--------------------------------|-------------|

| | | | | | | |
|--|---|----------------------------------|-----|-------------------|------|-----------------------------|
| Examination Fee - Funds Transfer Corporations and Transmissions Facilities | To cover cost of an examination, including administrative personnel (electronic data processing), travel expenses, clerical personnel, and supplies to be consumed. | 38 IAC, ch. II, sec. 310.620 (b) | Yes | \$500 per man-day | (3.) | Bank and Trust Company Fund |
|--|---|----------------------------------|-----|-------------------|------|-----------------------------|

Number who paid this fee in Fiscal Year 1992 : not available

Fee rate was set by agency and has been in effect since October 1988.

| | | | | | | |
|--|--|----------------------------------|-----|-------------------|------|-----------------------------|
| Examination of Proprietary Networks and Similar Facilities Fee | To cover cost of exam including administrative personnel (electronic data processing), travel expenses, clerical personnel, and supplies to be consumed. | 38 IAC, ch. II, sec. 310.820 (b) | Yes | \$500 per man-day | (3.) | Bank and Trust Company Fund |
|--|--|----------------------------------|-----|-------------------|------|-----------------------------|

Number who paid this fee in Fiscal Year 1992 : not available

Fee rate was set by agency and has been in effect since October 1988.

| | | | | | | |
|-------------------------------------|---|---|-----|---|-----|-----------------------------|
| Merger of an Illinois Trust Company | To cover the cost incurred by the agency to process the request for and the examination of a merger of an Illinois trust company. | IRS 1991, ch. 17, par. 337(1)(d) and par. 337(6)(c) | Yes | \$1,500 per merger per institution plus the cost of any examination | \$0 | Bank and Trust Company Fund |
|-------------------------------------|---|---|-----|---|-----|-----------------------------|

Number who paid this fee in Fiscal Year 1992 : not available

Fee rate was set by agency and has been in effect since (not available).

COMMISSIONER OF BANKS AND TRUST COMPANIES

Name of Fee Purpose/Use Authority Intended to Cover Costs? FY 1992 Fee Rate FY 1992 Fee Revenue Fund

| | | | | | | |
|--|---|---|-----|---|----------|-----------------------------|
| Corporate Fiduciaries - Civil Penalties | To penalize persons or corporate fiduciaries for violation of rule, order, Commissioner's action, or the Act. | IRS 1991, ch. 17, par. 1555-1(g) | No | Up to \$10,000 per occurrence | \$0 | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : 0 | | | Fee rate was set by agency and has been in effect since (not available). | | |
| Fine - Late Filing | Not available | IRS 1991, ch. 17, par. 1555-9(d) | No | \$100 per day | \$0 | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : 0 | | | Fee rate was set by statute and has been in effect since (not available). | | |
| Conversion of an Illinois Trust Company or a National Bank into a State Bank | To cover the cost incurred by the agency to examine and process information for a conversion into a State bank. | IRS 1991, ch. 17, par. 332(5), par. 334, and par. 337(6)(c) | Yes | \$5,000 plus actual cost of examination | \$25,730 | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : 2 | | | Fee rate was set by agency and has been in effect since (not available). | | |
| Merger | To cover the cost incurred by the agency to examine and process information for the merger into a State bank. | IRS 1991, ch. 17, par. 329(1)(d) and par. 334 | Yes | \$1,500 per merging bank or trust company plus actual cost of examination | \$44,959 | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : 5 | | | Fee rate was set by agency and has been in effect since (not available). | | |

COMMISSIONER OF BANKS AND TRUST COMPANIES

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---|--|------------------------------------|---------------------------------|---|----------------------------|-----------------------------|
| Monitoring Fee | To cover the cost incurred by the agency in the event monitoring of a bank is deemed necessary. Number who paid this fee in Fiscal Year 1992 : 3 | IRS 1991, ch. 17, par. 359(3)(a-1) | Yes | Actual cost of examination | \$12,545 | Bank and Trust Company Fund |
| | | | | Fee rate was set by statute and has been in effect since (not available). | | |
| Second Examination Fee | To cover the cost incurred by the agency in the event a second examination is necessary during the same year. Number who paid this fee in Fiscal Year 1992 : 0 | IRS 1991, ch. 17, par. 359(3)(a) | Yes | Actual cost of examination | \$0 | Bank and Trust Company Fund |
| | | | | Fee rate was set by statute and has been in effect since (not available). | | |
| Application for Interstate Acquisition of an Illinois Bank or Illinois Bank Holding Company | To cover the cost incurred by the agency to process an application for the acquisition of an Illinois bank or Illinois holding company. Number who paid this fee in Fiscal Year 1992 : 10 | IRS 1991, ch. 17, par. 2510.01(f) | Yes | \$5,000 per application plus actual cost incurred in any hearing | \$50,000 | Bank and Trust Company Fund |
| | | | | Fee rate was set by agency and has been in effect since (not available). | | |

COMMISSIONER OF BANKS AND TRUST COMPANIES

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------------------------|--|--|---------------------------------|--|----------------------------|-----------------------------|
| Cash Flow Reserve | To assure adequate funds are available in the Bank and Trust Company Fund. | IRS 1991, ch. 17, par. 359(3)(d-1) | No | 5% of Call Report Fee | \$622,921 | Bank and Trust Company Fund |
| Corporate Fiduciary Regulatory Fee | Number who paid this fee in Fiscal Year 1992 : not available To cover the cost incurred by the agency in administering the Corporate Fiduciary Act. | IRS 1991, ch. 17, par. 1555-2(c) and par. 1555-10(a) | Most | \$500 per man-day Fee rate was set by statute and has been in effect since December 1990. | \$1,109,267 | Bank and Trust Company Fund |
| Corporate Fiduciary Receivership Fee | Number who paid this fee in Fiscal Year 1992 : 324 To provide for the expenses of the agency associated with the receivership of a Corporate Fiduciary. | IRS 1991, ch. 17, par. 1555-10(b) 38 IAC, ch. II, sec. 397.30, sec. 397.40, and sec. 397.50 | Yes | \$500 per man-day (Varies per rule) Fee rate was set by agency and has been in effect since December 1990. | \$174,733 | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : 324 | | | Fee rate was set by rule and has been in effect since December 1990. | | |

COMMISSIONER OF BANKS AND TRUST COMPANIES

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---|--|--------------------------------------|---------------------------------|---|----------------------------|-----------------------------|
| EDP Fee | To cover the cost incurred by the agency to examine the performance of electronic data processing services of banks for which the services are provided. Number who paid this fee in Fiscal Year 1992 : 759 | IRS 1991, ch. 17, par. 359(3)(e-2) | Most | Variable per bank assets | \$540,026 | Bank and Trust Company Fund |
| Contingent Fee | To adjust call report fee so that total revenues equal total expenses. Number who paid this fee in Fiscal Year 1992 : 786 | IRS 1991, ch. 17, par. 359(3)(b) | Some | Variable per bank assets | \$88,344 | Bank and Trust Company Fund |
| Annual Filing with the Credit Information Program | To cover the cost incurred by the agency in administering the Credit Information Program. Number who paid this fee in Fiscal Year 1992 : not available | IRS 1991, ch. 17, par. 359(12)(a)(b) | Yes | Variable per number of cards, retail charge agreements, or retail installment contracts | \$94,495 | Bank and Trust Company Fund |

COMMISSIONER OF BANKS AND TRUST COMPANIES

| <i>Name of Fee</i> | <i>Purpose/Use</i> | <i>Authority</i> | <i>Intended to Cover Costs?</i> | <i>FY 1992 Fee Rate</i> | <i>FY 1992 Fee Revenue</i> | <i>Fund</i> |
|---|--|--|---------------------------------|---|----------------------------|-----------------------------|
| Fines - Late Filing | To penalize banks for late filing of quarterly call report. | IRS 1991, ch. 17, par. 359(9) and par. 358 | No | Up to \$100 for first occurrence; up to \$200 for second occurrence | \$0 | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : not available | | | Fee rate was set by statute and has been in effect since (not available). | | |
| Annual Non-Reciprocal License Fee for a Foreign Banking Corporation | To charge foreign banking corporations whose countries do not provide reciprocal licensing authority to Illinois state and national banks. | IRS 1991, ch. 17, par. 2710 | No | \$50,000 annually | \$0 | General Revenue Fund |
| | Number who paid this fee in Fiscal Year 1992 : 0 | | | Fee rate was set by statute and has been in effect since (not available). | | |
| Fee to Accompany Reports Filed by a Foreign Bank (There are no required filings.) | To cover the cost incurred by the agency to process reports. | IRS 1991, ch. 17, par. 2855(b) | Yes | Fee determined by the Commissioner. | \$0 | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : 0 | | | Fee rate was set by agency and has been in effect since (not available). | | |
| Fee for Merger Procedure - Resulting Bank for Savings Associations | For examination expenses. | IRS 1991, ch. 17, par. 3422(d) | Yes | Actual cost of examination | \$0 | Bank and Trust Company Fund |
| | Number who paid this fee in Fiscal Year 1992 : 0 | | | Fee rate was set by statute and has been in effect since (not available). | | |

COMMISSIONER OF BANKS AND TRUST COMPANIES

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---|--|-----------------------------|---------------------------------|-------------------------|----------------------------|-----------------------------|
| Fines Under Electronic Fund Transmission Facility Act | To penalize a funds transfer corporation, transmission facility, proprietary network, or a similar facility for violating the Electronic Fund Transfer Transmission Facility Act, or any rule or regulation promulgated pursuant to this Act, or any other laws or regulations of the State. | IRS 1991, ch. 17, par. 1355 | No | Set by Commissioner. | \$0 | Bank and Trust Company Fund |

Number who paid this fee in Fiscal Year 1992 : 0

Fee rate was set by agency and has been in effect since (not available).

COMMISSIONER OF BANKS AND TRUST COMPANIES
FEEES INTENDED TO COVER FULL COST

| | |
|-----------------------|---|
| <i>Total fees: 61</i> | <i>Fees intended to cover full cost: 51</i> |
|-----------------------|---|

This section contains the following information on fees intended to cover the full cost:

1. Fiscal Year 1992 cost of the service or program associated with the fee.
2. Items included in cost, such as fixed, administrative, or direct cost.
3. Whether agency-set fees covered projected service/program costs.
4. Whether the fee was collected before, during, or after services were provided.

COMMISSIONER OF BANKS AND TRUST COMPANIES

| Fee Name | Authority | FISCAL YEAR 1992 | Fee Revenue | Cost | What did cost include? | Was fee set to cover projected service/program costs? | When was fee collected? |
|--|--|------------------|-------------|------|---|---|-------------------------|
| Application for Certificate of Authority for a Foreign Corporate Fiduciary | IRS 1991, ch. 17, par. 1554-5(b) | (1.) | (1.) | (1.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Application for Approval to Establish a Corporate Fiduciary Subsidiary | IRS 1991, ch. 17, par. 1555-10(a) and par. 1555-2(c) | (1.) | (1.) | (1.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Application for Approval to Establish a Corporate Fiduciary Branch | IRS 1991, ch. 17, par. 1555-10(a) | (1.) | (1.) | (1.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Certified Copy of Certificate of Authority or a Certificate of Good Standing | IRS 1991, ch. 17, par. 1555-10(a) | (1.) | (1.) | (1.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Application for Trust Powers for a State-Chartered Bank, Savings and Loan Association, or Savings Bank | IRS 1991, ch. 17, par. 1555-10(a) | (1.) | (1.) | (1.) | Direct and indirect costs and administrative overhead | Yes | Before |

(1.) \$57,220 - includes 8 fees

(2.) \$364,280 - includes 32 fees

IRS = Illinois Revised Statutes IAC = Illinois Administrative Code IBP = Illinois Banking Policy

COMMISSIONER OF BANKS AND TRUST COMPANIES

| Fee Name | Authority | Fee Revenue | FISCAL YEAR 1992 | Cost | What did cost include? | Was fee act to cover projected services/program costs? | When was fee collected? |
|--|---|-------------|------------------|----------|---|--|-------------------------|
| Application to Establish an Illinois Trust Company | IRS 1991, ch. 17, par. 1555-10(e) | (1.) | (1.) | (1.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Annual Report Filing Fee Foreign Corporate Fiduciary | IRS 1991, ch. 17, par. 1555-10(e) | (1.) | (1.) | (1.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Change of Control in Ownership of an Illinois Trust Company | IRS 1991, ch. 17, par. 1555-10(e) | (1.) | (1.) | (1.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Merger of an Illinois Trust Company | IRS 1991, ch. 17, par. 337(1)(d) and par. 337(6)(c) | \$0 | \$0 | \$0 | Direct and indirect costs and administrative overhead | Yes | Before |
| Conversion of an Illinois Trust Company or a National Bank into a State Bank | IRS 1991, ch. 17, par. 332(5), par. 334, and par. 337(6)(c) | \$25,730 | \$25,730 | \$25,730 | Direct and indirect costs and administrative overhead | Yes | Before |
| Merger | IRS 1991, ch. 17, par. 329(1)(d) and par. 334 | \$44,959 | \$44,959 | \$44,959 | Direct and indirect costs and administrative overhead | Yes | Before |
| Monitoring Fee | IRS 1991, ch. 17, par. 359(3)(a-1) | \$12,545 | \$12,545 | \$12,545 | Direct and indirect costs and administrative overhead | Yes | After |
| Second Examination Fee | IRS 1991, ch. 17, par. 359(3)(a) | \$0 | \$0 | \$0 | Direct and indirect costs and administrative overhead | Yes | After |

COMMISSIONER OF BANKS AND TRUST COMPANIES

| Fee Name | Authority | Fiscal Year 1992 Fee Revenue | Cost | What did cost include? | Was fee set to cover projected service/program costs? | When was fee collected? |
|---|--|------------------------------|-----------|---|---|-------------------------|
| Application for Interstate Acquisition of an Illinois Bank or Illinois Bank Holding Company | IRS 1991, ch. 17, par. 2510.01(f) | \$50,000 | \$50,000 | Direct and indirect costs and administrative overhead | Yes | Before |
| Corporate Fiduciary Receivership Fee | IRS 1991, ch. 17, par. 1555-10(b) 38 IAC, ch. II, sec. 397.30, sec. 397.40, and sec. 397.50 | \$174,733 | \$174,733 | Direct and indirect costs and administrative overhead | Yes | Before |
| Bank for Savings and Loan Fees | IRS 1991, ch. 17, par. 3425(5), par. 3427, par. 3430(1)(d), par. 3430(6)(c), and 3448(3) | \$35,398 | \$35,398 | Direct and indirect costs and administrative overhead | Yes | Before |
| Annual Filing with the Credit Information Program | IRS 1991, ch. 17, par. 359(12)(a)(b) | \$94,495 | \$94,495 | Direct and indirect costs and administrative overhead | Yes | Before |
| Application to Establish and Operate a Proprietary Network and Similar Facility | 38 IAC, ch. II, sec. 310.810(d) | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Notice of Intent to Establish an Off Premises Automatic Teller Machine(s) | 38 IAC, ch. II, sec. 310.410(f) | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |

COMMISSIONER OF BANKS AND TRUST COMPANIES

| Fee Name | Authority | FISCAL YEAR 1992 Fee Revenue | Cost | What did cost include? | Was fee set to cover projected service/program costs? | When was fee collected? |
|--|---|---------------------------------|------|---|---|----------------------------|
| Notice of Intent to Serve a Point of Sale Terminal | 38 IAC, ch. II, sec. 310.710(e) | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Certification of Charter | IRS 1991, ch. 17, par. 359(3)(e) | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Application to Establish and Operate a Funds Transfer Corporation and Transmission Facility | 38 IAC, ch. II, sec. 310.610(d) | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Foreign Bank Representative Office License Application Fee | IRS 1991, ch. 17, par. 2854(a) | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Certificate of Good Standing - Foreign Banking Office | IRS 1991, ch. 17, par. 359(3)(e) and par. 2724 | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Copies of Documents on File with the Commissioner | IRS 1991, ch. 17, par. 359(3)(e) | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Certificate of Good Standing | IRS 1991, ch. 17, par. 359(3)(e) | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Application to Purchase Treasury Stock | IBP, article 1, sec. 1.02 | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |

COMMISSIONER OF BANKS AND TRUST COMPANIES

| Fee Name | Authority | Fiscal Year 1992 | Fee Revenue | Cost | What did cost include? | Was fee set to cover projected service/program costs? | When was fee collected? |
|---|---------------------------|------------------|-------------|------|---|---|-------------------------|
| Application to Use Word "Bank", "Banker", or "Banking" in Connection with a Business Not Engaged in Banking | IBP, article 1, sec. 1.02 | (2.) | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Notice of Intent to Establish a Bank Branch | IBP, article 1, sec. 1.02 | (2.) | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Notice of Intent to Establish a Bank Subsidiary or Acquire Stock in a Corporation Pursuant to Section 5(12) of the Illinois Banking Act | IBP, article 1, sec. 1.02 | (2.) | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Charter Amendments: Relocation of a "Less Active" Bank | IBP, article 1, sec. 1.02 | (2.) | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Charter Amendments: Reverse Stock Split | IBP, article 1, sec. 1.02 | (2.) | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Other Charter Amendments | IBP, article 1, sec. 1.02 | (2.) | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |

COMMISSIONER OF BANKS AND TRUST COMPANIES

| <i>Fee Name</i> | <i>Authority</i> | <i>Fee Revenue</i> | <i>FISCAL YEAR 1992</i> | <i>Cost</i> | <i>What did cost include?</i> | <i>Was fee set to cover projected service/program costs?</i> | <i>When was fee collected?</i> |
|--|-----------------------------|--------------------|-------------------------|-------------|---|--|--------------------------------|
| Voluntary Dissolution | IRS 1991, ch. 17, par. 3471 | (2.) | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Voluntary Dissolution of a "Less Active" Bank | IRS 1991, ch. 17, par. 383 | (2.) | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Illinois Banking Act and Related Law Biennial Publication | IBP, article 1, sec. 1.08 | (2.) | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Agency Policy and Rules Manual | IBP, article 1, sec. 1.08 | (2.) | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Computer Generated Listing of Banks | IBP, article 1, sec. 1.08 | (2.) | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Certificate of Authority Amendment - Foreign Banking Office | IRS 1991, ch. 17, par. 2724 | (2.) | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Application for Certificate of Authority to Establish a Foreign Banking Office | IRS 1991, ch. 17, par. 2724 | (2.) | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Change of Control in Ownership of Banks and Holding Companies | IBP, article 1, sec. 1.02 | (2.) | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |

COMMISSIONER OF BANKS AND TRUST COMPANIES

| <i>Fee Name</i> | <i>Authority</i> | <i>Fee Revenue</i> | <i>Cost</i> | <i>What did cost include?</i> | <i>Was fee set to cover projected services/program costs?</i> | <i>When was fee collected?</i> |
|--|--|--------------------|-------------|---|---|--------------------------------|
| Application to Reduce the Number of Bank Directors | IBP, article 1, sec. 1.02 | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Application to Purchase the Assets and Assume the Liabilities of, Merge with, or Act as Paying Agent for the Payment of Deposit Insurance for an Eligible Depository Institution | IBP, article 1, sec. 1.02 | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Application for Permit to Organize an Interim Bank, Issuance of a Charter, and Issuance of a Certificate of Merger | IBP, article 1, sec. 1.02 | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Application for a De Novo Charter | IBP, article 1, sec. 1.02 | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Regulatory Report Service (News Releases) | IBP, article 1, sec. 1.08 | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |
| Certification of Certificate of Authority, License, and Amendments - Foreign Banking Office | IRS 1991, ch. 17, par. 359(3)(e) and par. 2724 | (2.) | (2.) | Direct and indirect costs and administrative overhead | Yes | Before |

COMMISSIONER OF BANKS AND TRUST COMPANIES

| Fee Name | Authority | FISCAL YEAR 1992 | Cost | What did cost include? | Was fee set to cover projected service/program costs? | When was fee collected? |
|----------|-----------|------------------|------|------------------------|---|-------------------------|
|----------|-----------|------------------|------|------------------------|---|-------------------------|

Fee to Accompany Reports Filed by a Foreign Bank IRS 1991, ch. 17, par. 2855(b) \$0 Yes

Fee for Merger Procedure - Resulting Bank for Savings Associations IRS 1991, ch. 17, par. 3422(d) \$0 Yes

Examination Fee - Funds Transfer Corporations and Transmissions Facilities 38 IAC, ch. II, sec. 310.620(b) (2.) Direct and indirect costs and administrative overhead Yes After

Examination of Proprietary Networks and Similar Facilities Fee 38 IAC, ch. II, sec. 310.820(b) (2.) Direct and indirect costs and administrative overhead Yes After

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|---------------------|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 7 | \$0 | \$6,567 | \$6,567 |
| Rule | 50 | \$0 | \$2,430,266 | \$2,430,266 |
| Other | 0 | \$0 | \$0 | \$0 |
| TOTAL | 57 | \$0 | \$2,436,833 | \$2,436,833 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> |
|-------------|-------------|-------------|-------------|--------------|---------------------------------|
| | | | | | |

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

| | | | | | | |
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|
| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|

SAVINGS AND LOAN ASSOCIATION FEES

| | | | | | | |
|-------------------------------|---|----------------------------------|----|--|-----------|---|
| Merger | Examination of each merging association or eligible insured bank. | 38 IAC, ch. III, sec. 400.110(c) | No | \$1,000 paid at time of filing. | \$0 | Savings and Residential Finance Regulatory Fund |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | Fee rate set by rule and has been in effect since May 26, 1989. | | |
| Bulk Sale of Assets | Issuance of certificate of authorization for sale. | 38 IAC, ch. III, sec. 400.110(d) | No | \$1,000 paid at time of filing. | \$0 | Savings and Residential Finance Regulatory Fund |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | Fee rate set by rule and has been in effect since May 26, 1989. | | |
| Conversion to Federal Charter | Approval to convert if plan is equitable and protects the rights of all persons affected. | 38 IAC, ch. III, sec. 400.110(b) | No | The last total annual Supervisory Fee calculated and assessed against the association. | \$119,000 | Savings and Residential Finance Regulatory Fund |
| | Number who paid this fee in Fiscal Year 1992: 4 | | | Fee rate set by rule and has been in effect since May 26, 1989. | | |

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--|---|----------------------------------|---------------------------------|---|----------------------------|---|
| Permit to Organize | Issuance of a permit to organize a Savings and Loan Association. | 38 IAC, ch. III, sec. 400.110(a) | No | \$1,000 paid at time of filing. | \$0 | Savings and Residential Finance Regulatory Fund |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | Fee rate set by rule and has been in effect since May 26, 1989. | | |
| Amendment to Articles of Incorporation | Authorization to issue Permanent Reserve Shares. | 38 IAC, ch. III, sec. 400.110(e) | No | \$1,000 paid at time of filing. | \$0 | Savings and Residential Finance Regulatory Fund |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | Fee rate set by rule and has been in effect since May 26, 1989. | | |
| Appeals to the Savings and Loan Board | A deposit to cover cost of service and witness fees, the payment of legal witness fees, and mileage to the witness when served with subpoena. | 38 IAC, ch. III, sec. 400.110(f) | Yes | \$500; \$100 for each additional party to the appeal and its pro rata share of all expenses incurred in the appeal. | \$0 | Savings and Residential Finance Regulatory Fund |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | Fee rate set by rule and has been in effect since May 26, 1989. | | |

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Included to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--|--|----------------------------------|-------------------------------------|---|--------------------------------|---|
| Hearing/Oral Argument | Filing fee for application requesting a hearing or oral argument. | 38 IAC, ch. III, sec. 400.110(g) | Yes | \$500 paid at time of filing. | \$0 | Savings and Residential Finance Regulatory Fund |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | Fee rate set by rule and has been in effect since May 26, 1989. | | |
| Hearing Fee | To cover costs of hearing. | 38 IAC, ch. III, sec. 400.1790 | Yes | Each party to the hearing shall pay its pro rata share of expenses. | \$0 | Savings and Residential Finance Regulatory Fund |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | Fee rate set by rule and has been in effect since May 26, 1989. | | |
| Application for Subsidiary Acquisition | Application to determine if the acquisition is consistent with the interest of maintaining a sound financial system. | 38 IAC, ch. III, sec. 400.110(h) | No | \$250 paid at time of filing. | \$0 | Savings and Residential Finance Regulatory Fund |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | Fee rate set by rule and has been in effect since May 26, 1989. | | |

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|----------------------------------|---|----------------------------------|---------------------------------|---|----------------------------|---|
| Photocopies and Duplication Fees | Photocopies and duplication of documents. | 38 IAC, ch. III, sec. 400.110(i) | Yes | \$.25 per page | \$275 | Savings and Residential Finance Regulatory Fund |
| | Photocopies | | | \$25 per page | | |
| | Charge to photocopy Savings and Loan Act. | | | \$25 | | |
| | Charge to photocopy Rules. | | | \$25 | | |
| | Charge to photocopy Annual Report. | | | \$25 | | |
| | Charge to copy Mailing Labels. | | | \$35 | | |
| | Number who paid this fee in Fiscal Year 1992: 8 (est.) | | | Fee rate set by rule and has been in effect since May 26, 1989. | | |
| Examination Fees | Eighteen month examination of the affairs of every association. | 38 IAC, ch. III, sec. 400.130(a) | Yes | \$55 per examination hour. | \$191,168 | Savings and Residential Finance Regulatory Fund |
| | Number who paid this fee in Fiscal Year 1992: 32 | | | Fee rate set by rule and has been in effect since March 17, 1992. | | |

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---|---|--|---------------------------------|---|----------------------------|---|
| Examination Fees - Out-of-State Travel | To cover the occurrence of any out-of-state travel associated with conducting the examination. | 38 IAC, ch. III, sec. 400.130(b) | Yes | \$55 per examination hour plus travel, lodging, and per diem for out-of-state travel. Travel billed at \$55 per hour. | \$0 | Savings and Residential Finance Regulatory Fund |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | Fee rate set by rule and has been in effect since March 17, 1992. | | |
| Supervisory and Adjusted Supervisory Fees | Charges necessary to cover the cost of Savings and Loan supervision under the 1985 Illinois Savings and Loan Act. | 38 IAC, ch. III, secs. 400.141(a), (b), 400.142(a) | Yes | \$450 plus a variable fee based on total assets. | \$701,847 | Savings and Residential Finance Regulatory Fund |
| | Approval of relocations and establishment of a branch office. | | | \$450 for each approved branch or facility office. | | |
| | Adjustment to supervisory fee. (see fee rate column) | | | Difference between total assets filed for the reporting period on which the supervisory fee was based and total assets filed for the reporting period in which the quarterly payments are made. | | |
| | Number who paid this fee in Fiscal Year 1992: 62 | | | Fee rate set by rule and has been in effect since March 17, 1992. | | |

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

| | | | | | | |
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|
| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|

SAVINGS AND LOAN HOLDING COMPANY FEES

| | | | | | | |
|------------------|---|-----------------------------------|----|----------------------------|-----|---|
| Registration Fee | Charges to register Savings and Loan Holding Companies so they may carry out their purpose. | 38 IAC, ch. III, sec. 400.2500(e) | No | \$1,000 at time of filing. | \$0 | Savings and Residential Finance Regulatory Fund |
|------------------|---|-----------------------------------|----|----------------------------|-----|---|

Number who paid this fee in Fiscal Year 1992: 0

Fee rate set by rule and has been in effect since May 26, 1989.

Conversion to Federal Charter

Approval of conversion if plan is equitable and protects the rights of all persons affected.

| | | | | | | |
|--|--|-----------------------------------|----|----------------------------|-----|---|
| | | 38 IAC, ch. III, sec. 400.2500(b) | No | \$2,500 at time of filing. | \$0 | Savings and Residential Finance Regulatory Fund |
|--|--|-----------------------------------|----|----------------------------|-----|---|

Number who paid this fee in Fiscal Year 1992: 0

Fee rate set by rule and has been in effect since May 26, 1989.

Appeals to the Savings and Loan Board

A deposit to cover the cost of service and witness fees and the payment of legal witness fees and the mileage to the witness when served with subpoena.

| | | | | | | |
|--|--|-----------------------------------|----|---|-----|---|
| | | 38 IAC, ch. III, sec. 400.2500(c) | No | \$500; \$100 for each additional party to the appeal and its pro rata share of all expenses incurred in the appeal. | \$0 | Savings and Residential Finance Regulatory Fund |
|--|--|-----------------------------------|----|---|-----|---|

Number who paid this fee in Fiscal Year 1992: 0

Fee rate set by rule and has been in effect since May 26, 1989.

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--|---|-----------------------------------|---------------------------------|--|----------------------------|---|
| Hearing/Oral Argument | To cover the same fees and mileage and in the same manner as prescribed by law in judicial proceedings in civil cases in circuit courts. | 38 IAC, ch. III, sec. 400.2500(d) | No | \$500 paid at time of filing. Each party to the hearing shall pay its pro rata share of expenses incurred. | \$0 | Savings and Residential Finance Regulatory Fund |
| Application for Subsidiary Acquisition Fee | Number who paid this fee in Fiscal Year 1992: 0 Application to determine if the acquisition is consistent with the interest of maintaining a sound financial system. | 38 IAC, ch. III, sec. 400.2500(e) | No | Fee rate set by rule and has been in effect since May 26, 1989. | \$0 | Savings and Residential Finance Regulatory Fund |
| Examination Fees | Examination of the affairs of any holding company and subsidiary thereof. Number who paid this fee in Fiscal Year 1992: 0 | 38 IAC, ch. III, sec. 400.2520 | No | Fee rate set by rule and has been in effect since May 26, 1989. \$29 per examination hour | \$0 | Savings and Residential Finance Regulatory Fund |

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intra- to</u> | <u>Cover Costs?</u> | <u>FY 1992</u> | <u>Fee Rate</u> | <u>Fee Revenue</u> | <u>Fund</u> |
|---|---|-----------------------------------|------------------|---------------------|----------------|---|--------------------|---|
| Examination Fees - Out-of-State Travel | To cover the occurrence of any out-of-state travel associated with conducting the examination. | 38 IAC, ch. III, sec. 400.2520 | No | No | FY 1992 | \$29 per examination hour plus travel, lodging, and per diem for out-of-state travel. Travel billed at \$29 per hour. | \$0 | Savings and Residential Finance Regulatory Fund |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | | | Fee rate set by rule and has been in effect since May 26, 1989. | | |
| Supervisory Fees | Charges necessary to cover cost of Savings and Loan Holding Companies supervision under The 1985 Illinois Savings and Loan Act. | 38 IAC, ch. III, sec. 400.2510(a) | No | No | | \$5 per million dollars of consolidated assets. | \$0 | Savings and Residential Finance Regulatory Fund |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | | | Fee rate set by rule and has been in effect since May 26, 1989. | | |
| Registration Fee | Foreign Savings and Loan Association application for admission to do business in Illinois. | IRS 1991, ch. 17, par. 3302B-6 | No | No | | \$750 | \$0 | Savings and Residential Finance Regulatory Fund |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | | | Fee rate set by statute and has been in effect since May 26, 1989. | | |

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--|---|--------------------------------|---------------------------------|-------------------------|----------------------------|---|
| Certificate of Authority/ Renewal Fee | Foreign Savings and Loan Association fee for certificate of Authority and Renewal of Certification. | IRS 1991, ch. 17, par. 3302B-6 | No | \$200 | \$800 | Savings and Residential Finance Regulatory Fund |
| | Number who paid this fee in Fiscal Year 1992: 4 | | | | | |
| Business Offense Fee | Fee for noncompliance with the provisions of the Savings and Loan Act of 1985. | IRS 1991, ch. 17, par. 3302B-7 | Yes | | \$0 | Savings and Residential Finance Regulatory Fund |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | | | |

Fee rate set by statute and has been in effect since May 26, 1989.

Fee rate set by statute and has been in effect since May 26, 1989.

Fee rate set by statute and has been in effect since May 26, 1989.

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

| <i>Name of Fee</i> | <i>Purpose/Use</i> | <i>Authority</i> | <i>Intended to Cover Costs?</i> | <i>FY 1992 Fee Rate</i> | <i>FY 1992 Fee Revenue</i> | <i>Fund</i> |
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|

RESIDENTIAL MORTGAGE LICENSE FEES

| | | | | | | |
|----------------------------|---|----------------------------------|-----|---------|-----------|---|
| License Investigation Fees | Application for a new Residential Mortgage License. Non-refundable Investigation Fee. | 38 IAC, ch. III, sec. 450.210(a) | Yes | \$1,000 | \$116,000 | Savings and Residential Finance Regulatory Fund |
|----------------------------|---|----------------------------------|-----|---------|-----------|---|

Number who paid this fee in Fiscal Year 1992: 116
 Fee rate set by rule and has been in effect since May 28, 1991.

| | | | | | | |
|-------------------------------------|--|----------------------------------|-----|-------|-----------|---|
| License Investigation Fee - Renewal | Application for a renewal of a Residential Mortgage License. Non-refundable Investigation Fee. | 38 IAC, ch. III, sec. 450.210(b) | Yes | \$600 | \$240,000 | Savings and Residential Finance Regulatory Fund |
|-------------------------------------|--|----------------------------------|-----|-------|-----------|---|

Number who paid this fee in Fiscal Year 1992: 400
 Fee rate set by rule and has been in effect since May 28, 1991.

| | | | | | | |
|--------------|--|----------------------------------|-----|----------|----------|---|
| License Fees | Application for a new Illinois Residential Mortgage License. | 38 IAC, ch. III, sec. 450.220(a) | Yes | \$69,000 | \$69,000 | Savings and Residential Finance Regulatory Fund |
|--------------|--|----------------------------------|-----|----------|----------|---|

Number who paid this fee in Fiscal Year 1992: 116
 Fee rate set by rule and has been in effect since May 28, 1991.

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--|--|----------------------------------|---------------------------------|---|----------------------------|---|
| License Fees - Renewal | Application to renew an Illinois Residential Mortgage License. | 38 IAC, ch. III, sec. 450.220(b) | Yes | \$1,000 | \$370,000 | Savings and Residential Finance Regulatory Fund |
| | Number who paid this fee in Fiscal Year 1992: 400 | | | Fee rate set by rule and has been in effect since May 28, 1991. | | |
| Amended License Fees - Corporate Changes | An amended license application filed to reflect a change of ownership or control. | 38 IAC, ch. III, sec. 450.230 | No | \$500 | \$2,000 | Savings and Residential Finance Regulatory Fund |
| | Number who paid this fee in Fiscal Year 1992: 4 | | | Fee rate set by rule and has been in effect since October 20, 1989. | | |
| Amended License Fees | An amended license application filed to reflect a change of name or address, or a change of officers or directors. | 38 IAC, ch. III, sec. 450.230 | No | \$50 | \$7,700 | Savings and Residential Finance Regulatory Fund |
| | Number who paid this fee in Fiscal year 1992: 169 | | | Fee rate set by rule and has been in effect since October 20, 1989. | | |

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|

| | | | | | | |
|---------------------------------|---|-------------------------------|----|---------------------------|------|---|
| Duplicate Original License Fees | Request for duplicate original license. | 38 IAC, ch. III, sec. 450.240 | No | \$50 per duplicate issued | \$50 | Savings and Residential Finance Regulatory Fund |
|---------------------------------|---|-------------------------------|----|---------------------------|------|---|

Number who paid this fee in Fiscal Year 1992: 1

Fee rate set by rule and has been in effect since May 10, 1988.

Examination Fees

| | | | | | |
|---|----------------------------------|-----|------------------------|-----------|---|
| The Commissioner shall examine, at least every 24 months, the affairs of every licensee under the Residential Mortgage License Act of 1987 for compliance with the Act. | 38 IAC, ch. III, sec. 450.250(a) | Yes | \$400 per examiner day | \$566,008 | Savings and Residential Finance Regulatory Fund |
|---|----------------------------------|-----|------------------------|-----------|---|

Travel associated with above examination.

Expenses incurred in the performance of duties.

Number who paid this fee in Fiscal Year 1992: 250

Fee rate set by rule and has been in effect since May 28, 1991.

Additional Full-Service Office Fees

| | | | | | |
|--|----------------------------------|----|---------------------------------|----------|---|
| Filing of Notice of Intent to establish an additional full-service office. | 38 IAC, ch. III, sec. 450.260(a) | No | \$150 for each Notice of Intent | \$26,810 | Savings and Residential Finance Regulatory Fund |
|--|----------------------------------|----|---------------------------------|----------|---|

Number who paid this fee in Fiscal Year 1992: 300

Fee rate set by rule and has been in effect since May 28, 1991.

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--|-------------------------------------|-------------------------------------|--|--------------------------------|---|
| Hearing Fee | Filing fee for application requesting a hearing. Number who paid this fee in Fiscal Year 1992: 0 | 38 IAC, ch. III, sec. 450.270 | No | \$500 per party requesting a hearing | \$500 | Savings and Residential Finance Regulatory Fund |
| Hearing Fees | To cover costs of hearing. | 38 IAC, ch. III, sec. 450.1790 | Yes | Fee rate set by rule and has been in effect since October 20, 1989. Pro rata share of expenses, including but not limited to, the hearing officer, witness and mileage fees, transcript, and such other incidental costs. | \$0 | Savings and Residential Finance Regulatory Fund |
| Late Fees | Number who paid this fee in Fiscal Year 1992: 0 Thirty days after the proper renewal date of a license, a late fee shall be charged. Number who paid this fee in Fiscal Year 1992: 6 | IRS 1991, ch. 17, par. 2322-6(b)(1) | Yes | Fee rate set by rule and has been in effect since October 20, 1989. \$500, and \$1000 each month thereafter, until the license is renewed or expired. Fee rate set by statute and has been in effect since: Not Available. | \$5,767 | Savings and Residential Finance Regulatory Fund |

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Included to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|---|-------------------------------------|-------------------------------------|--|--------------------------------|---|
| Fines | Violation/business offense of Residential Mortgage License Act of 1987. | IRS 1991, ch. 17, par. 2321-3(e) | No | Fine of an amount not to exceed \$5,000. | \$0 | Savings and Residential Finance Regulatory Fund |
| | Disciplinary actions for violation of the Residential Mortgage License Act of 1987. | IRS 1991, ch. 17, par. 2324-5(h)(5) | | Fine not to exceed \$10,000 for each count of separate offense. | | |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | Fee rate set by statute and has been in effect since: Not Available. | | |

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|

SAVINGS BANK

| | | | | | | |
|--------------------|---|------------------------------------|----|--|-----|---|
| Permit to Organize | Issuance of a permit to organize. | 38 IAC, ch. VIII, sec. 1075.100(a) | No | \$1,000 paid at time of filing. | \$0 | Savings and Residential Finance Regulatory Fund |
| Merger | Number who paid this fee in Fiscal Year 1992: 0 Examination of the affairs of the merging depository institutions and their affiliates and subsidiaries. | 38 IAC, ch. VIII, sec. 1075.100(b) | No | Fee rate set by rule and has been in effect since January 25, 1991. \$1,000 paid at time of filing. | \$0 | Savings and Residential Finance Regulatory Fund |
| Sale of Assets | Number who paid this fee in Fiscal Year 1992: 0 Issuance of certificate of authorization for sale. | 38 IAC, ch. VIII, sec. 1075.100(c) | No | Fee rate set by rule and has been in effect since January 25, 1991. \$1,000 paid at time of filing. | \$0 | Savings and Residential Finance Regulatory Fund |

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--|--|------------------------------------|---------------------------------|--|----------------------------|---|
| Amendment to Articles of Incorporation | Certificate of approval of amendment to the Articles of Incorporation. Number who paid this fee in Fiscal Year 1992: 0 | 38 IAC, ch. VIII, sec. 1075.100(d) | No | \$1,000 paid at time of filing. | \$0 | Savings and Residential Finance Regulatory Fund |
| Conversion to Federal Charter | Approval to convert if plan is equitable and protects the rights of all persons affected. Number who paid this fee in Fiscal Year 1992: 0 | 38 IAC, ch. VIII, sec. 1075.100(e) | No | Last total annual Supervisory Fee calculated and assessed. | \$0 | Savings and Residential Finance Regulatory Fund |
| Hearing/Oral Argument | Filing fee for application requesting a hearing or oral argument. Number who paid this fee in Fiscal Year 1992: 0 | 38 IAC, ch. VIII, sec. 1075.100(f) | No | Fee rate set by rule and has been in effect since January 25, 1991. | \$0 | Savings and Residential Finance Regulatory Fund |
| Hearing Fee | To cover costs of hearing. Number who paid this fee in Fiscal Year 1992: 0 | 38 IAC, ch. VIII, sec. 1075.1045 | No | Each party to the hearing shall pay its pro rata share of expenses. Fee rate set by rule and has been in effect since January 25, 1991. | \$0 | Savings and Residential Finance Regulatory Fund |

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|----------------------------------|--|------------------------------------|---------------------------------|---|----------------------------|---|
| Application for Subsidiary | Application to determine if the acquisition is consistent with the interest of maintaining a sound financial system. | 38 IAC, ch. VIII, sec. 1075.100(g) | No | \$250 paid at time of filing. | \$0 | Savings and Residential Finance Regulatory Fund |
| Photocopies and Duplication Fees | Number who paid this fee in Fiscal Year 1992: 0 | | | Fee rate set by rule and has been in effect since January 25, 1991. | | |
| | Photocopies | 38 IAC, ch. VIII, sec. 1075.100(h) | Yes | \$.25 per page | \$0 | Savings and Residential Finance Regulatory Fund |
| | Charge to photocopy Savings Bank Act. | | | \$25 | | |
| | Charge to photocopy Rules. | | | \$25 | | |
| | Charge to photocopy Annual Report. | | | \$25 | | |
| | Charge to photocopy Mailing Labels. | | | \$35 | | |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | Fee rate set by rule and has been in effect since January 25, 1991. | | |

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Included to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--|---------------------------------|-------------------------------------|-----------------------------|--------------------------------|--|
| Examination Fees | Charge for time expended to examine any savings bank or service corporation. | 38 IAC, ch. VIII, sec. 1075.120 | Yes | \$55 per examination hour | \$7,508 | Savings and Residential Finance Regulatory Fund |

To cover the occurrence of any out-of-state travel associated with conducting the examination.

\$55 per examination hour plus travel, lodging, and per diem shall be assessed for out-of-state travel. Travel billed at \$55 per hour.

Number who paid this fee in Fiscal Year 1992: 2

Fee rate set by rule and has been in effect since January 28, 1991.

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---|---|------------------------------------|---------------------------------|---|----------------------------|---|
| Supervisory and Adjusted Supervisory Fees | Charges necessary to cover cost of Savings Bank supervision under the Savings Bank Act. | 38 IAC, ch. VIII, sec. 1075.130(a) | Yes | \$450 plus a variable fee based on total assets shown on the financial report for the calendar year ended December 31. | \$12,400 | Savings and Residential Finance Regulatory Fund |
| | Approval of relocations or establishment of a branch office. | | | \$450 for each approved branch or facility office. | | |
| | Adjustment to supervisory fee. | 38 IAC, ch. VIII, sec. 1075.140 | | Difference between total assets filed for the reporting period on which the supervisory fee was based and total assets filed for the reporting period in which the quarterly payments are made. | | |
| | Number who paid this fee in Fiscal Year 1992: 12 | | | Fee rate set by rule and has been in effect since January 28, 1991. | | |

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Included to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--------------------|------------------|-------------------------------------|-----------------------------|--------------------------------|-------------|
|--------------------|--------------------|------------------|-------------------------------------|-----------------------------|--------------------------------|-------------|

SAVINGS BANK HOLDING COMPANY FEES

| | | | | | | |
|-----------------------|---|-------------------------------------|----|--|-----|---|
| Registration Fee | Charges to register Savings Bank Holding Companies so they may carry out their purpose. | 38 IAC, ch. VIII, sec. 1075.1305(a) | No | \$1,000 paid at time of filing. | \$0 | Savings and Residential Finance Regulatory Fund |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | Fee rate set by rule and has been in effect since January 28, 1991. | | |
| Conversion of Charter | Approval to convert if plan is equitable and protects the rights of all persons affected. | 38 IAC, ch. VIII, sec. 1075.1305(b) | No | \$2,500 paid at time of filing. | \$0 | Savings and Residential Finance Regulatory Fund |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | Fee rate set by rule and has been in effect since January 28, 1991. | | |
| Hearing/Oral Argument | Filing fee for application requesting a hearing or oral argument. | 38 IAC, ch. VIII, sec. 1075.1305(c) | No | \$500 paid at time of filing. Each party to the hearing shall pay its pro rata share of all expenses incurred. | \$0 | Savings and Residential Finance Regulatory Fund |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | Fee rate set by rule and has been in effect since January 28, 1991. | | |

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--|--|-------------------------------------|---------------------------------|--|----------------------------|---|
| Application for Subsidiary Acquisition Fee | Application to determine if the acquisition is consistent with the interest of maintaining a sound financial system. | 38 IAC, ch. VIII, sec. 1075.1305(d) | No | \$250 paid at time of filing. | \$0 | Savings and Residential Finance Regulatory Fund |
| Supervisory Fees | Number who paid this fee in Fiscal Year 1992: 0 Charges necessary to cover the cost of Savings Bank Holding Company supervision under the Savings Bank Act. | 38 IAC, ch. VIII, sec. 1075.1310(e) | No | Fee rate set by rule and has been in effect since January 28, 1991. \$5 per million dollars of consolidated assets. | \$0 | Savings and Residential Finance Regulatory Fund |
| Examination Fees | Time spent to examine any savings bank or service corporation. Number who paid this fee in Fiscal Year 1992: 0 | 38 IAC, ch. VIII, sec. 1075.1315 | No | Fee rate set by rule and has been in effect since January 28, 1991. \$29 per examination hour. | \$0 | Savings and Residential Finance Regulatory Fund |

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Included to</u> | <u>FY 1992</u> | <u>Fee Revenue</u> | <u>Fund</u> |
|---|--|----------------------------------|--------------------|---|--------------------|---|
| Examination Fees - Out-of-State Travel | To cover any out-of-state travel associated with conducting the examination. | 38 IAC, ch. VIII, sec. 1075.1315 | No | \$29 per examination hour plus travel, lodging, and per diem. Travel billed at \$29 per hour. | \$0 | Savings and Residential Finance Regulatory Fund |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | Fee rate set by rule and has been in effect since January 28, 1991. | | |
| Civil Penalties | Civil penalty for each violation of any provision or rule promulgated in accordance with the Savings Bank Act. | IRS 1991, ch. 17, par. 7311-6(1) | No | \$10,000 | \$0 | Savings and Residential Finance Regulatory Fund |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | Fee rate set by statute and has been in effect since January 28, 1991. | | |
| Civil Penalties | Penalties for failure to comply with reporting requirements. | IRS 1991, ch. 17, par. 7311-6(2) | No | First failure to comply: \$100 Second and subsequent failures to comply: \$200 | \$0 | Savings and Residential Finance Regulatory Fund |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | Fee rate set by statute and has been in effect since January 28, 1991. | | |

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE
FEES INTENDED TO COVER FULL COST

Total fees: 57

Fees intended to cover full cost: 18

This section contains the following information on fees intended to cover the full cost:

1. Fiscal Year 1992 cost of the service or program associated with the fee.
2. Items included in cost, such as fixed, administrative, or direct cost.
3. Whether agency-set fees covered projected service/program costs.
4. Whether the fee was collected before, during, or after services were provided.

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

| Fee Name | Authority | FISCAL YEAR 1992 | Fee Revenue | Cost | What did cost include? | Was fee set to cover projected service/program costs? | When was fee collected? |
|----------|-----------|------------------|-------------|------|------------------------|---|-------------------------|
|----------|-----------|------------------|-------------|------|------------------------|---|-------------------------|

SAVINGS AND LOAN ASSOCIATION FEES

| | | | | | | | |
|---------------------------------------|--------------------------------|-----------|---------|---------|--|-----|---|
| Appeals to the Savings and Loan Board | 38 IAC, ch. 3, sec. 400.110(f) | \$0 | \$0 | \$0 | All office expenditures are included.* | Yes | Before |
| Hearing/Oral Argument | 38 IAC, ch. 3, sec. 400.110(g) | \$0 | \$0 | \$0 | All office expenditures are included.* | Yes | Before |
| Hearing Fee | 38 IAC, ch. 3, sec. 400.1790 | \$0 | \$0 | \$0 | All office expenditures are included.* | Yes | Before |
| Photocopies and Duplication Fees | 38 IAC, ch. 3, sec. 400.110(i) | \$275 | Unknown | Unknown | All office expenditures are included.* | Yes | Before |
| Examination Fees | 38 IAC, ch. 3, sec. 400.130(a) | \$191,168 | * | * | All office expenditures are included.* | Yes | After. Fee collected after exam so that we know how many hours to bill the institution. |

* The Commissioner stated the following: This Office does not track costs by individual fees assessed. Rather, fees are assessed at such a level as to ensure that in the aggregate they fund the Office. In FY92, a total of \$2,436,831 was collected in fees and a total of \$2,650,649 was spent. This variance has been corrected by drawing down prior year surpluses and reducing the FY93 appropriation. The variance is less than 10%.

IRS = Illinois Revised Statutes IAC = Illinois Administrative Code

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

| Fee Name | Authority | FISCAL YEAR 1992 Fee Revenue | Cost | What did cost include? | Was fee set to cover projected services/program costs? | When was fee collected? |
|----------|-----------|---------------------------------|------|---------------------------|--|----------------------------|
|----------|-----------|---------------------------------|------|---------------------------|--|----------------------------|

| | | | | | | |
|--|---|-----------|-----|--|-----|--|
| Examination Fees -- Out-Of-State Travel | 38 IAC, ch. 3, sec. 400.130(b) | \$0 | \$0 | All office expenditures are included.* | Yes | After. See explanation above. |
| Supervisory and Adjusted Supervisory Fees | 38 IAC, ch. 3, secs. 400.141(a) & (b), 400.142(a) | \$701,847 | * | All office expenditures are included.* | Yes | During. This amount is collected at quarterly intervals throughout the year. |

SAVINGS AND LOAN HOLDING COMPANY FEES

| | | | | | | |
|----------------------|-----------------------------------|-----|-----|--|--------------------|---|
| Business Offense Fee | IRS 1991, ch. 17, par. 3302B-7 | \$0 | \$0 | All office expenditures are included.* | Fee set by Statute | After. The nature of the fee is such that you wouldn't know what the fee was until after the offense occurred. |
|----------------------|-----------------------------------|-----|-----|--|--------------------|---|

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

| Fee Name | Authority | FISCAL YEAR 1992 Fee Revenue | Cost | What did cost include? | Was fee set to cover projected service/program costs? | When was fee collected? |
|----------|-----------|---------------------------------|------|---------------------------|---|----------------------------|
|----------|-----------|---------------------------------|------|---------------------------|---|----------------------------|

RESIDENTIAL MORTGAGE LICENSE FEES

| | | | | | | |
|-------------------------------------|--------------------------------|-----------|---|--|-----|--|
| License Investigation Fees | 38 IAC, ch. 3, sec. 450.210(a) | \$116,000 | * | All office expenditures are included.* | Yes | Before |
| License Investigation Fee - Renewal | 38 IAC, ch. 3, sec. 450.210(b) | \$240,000 | * | All office expenditures are included.* | Yes | Before |
| License Fees | 38 IAC, ch. 3, sec. 450.220(a) | \$69,000 | * | All office expenditures are included.* | Yes | After |
| License Fees - Renewal | 38 IAC, ch. 3, sec. 450.220(b) | \$370,000 | * | All office expenditures are included.* | Yes | After. This fee is collected after the investigation is complete but before the new license is issued. |
| Examination Fees | 38 IAC, ch. 3, sec. 450.250(a) | \$566,008 | * | All office expenditures are included.* | Yes | After. The number of hours to be billed aren't known until the exam is complete. |

COMMISSIONER OF SAVINGS AND RESIDENTIAL FINANCE

| Fee Name | Authority | FISCAL YEAR 1992 Fee Revenue | Cost | What did cost include? | Was fee set to cover projected service/program costs? | When was fee collected? |
|--|---|---------------------------------|------|---|---|---|
| Hearing Fees | 38 IAC, ch. 3, sec. 450.1790 | \$0 | \$0 | All office expenditures are included. * | Yes | Before |
| Late Fees | IRS 1991, ch. 17, par. 2322-6(b)(1) | \$5,767 | * | All office expenditures are included. * | Fee set by Statute | After. Nature of the fee is such that the fee isn't known until the late period ends. |
| <u>SAVINGS BANK</u> | | | | | | |
| Photocopies and Duplication Fees | 38 IAC, ch. 8, sec. 1075.100(h) | \$0 | \$0 | All office expenditures are included. * | Yes | Before |
| Examination Fees | 38 IAC, ch. 8, sec. 1075.120 | \$7,508 | * | All office expenditures are included. * | Yes | After. Hours to be billed aren't known until the exam is completed. |
| Supervisory and Adjusted Supervisory Fees | 38 IAC, ch. 8, secs. 1075.130(a), 1075.140 | \$12,400 | * | All office expenditures are included. * | Yes | During. This amount is collected throughout the year on a quarterly basis. |

COURT OF CLAIMS

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|---------------------|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 2 | \$9,543 | \$0 | \$9,543 |
| Rule | 0 | \$0 | \$0 | \$0 |
| Other | 0 | \$0 | \$0 | \$0 |
| TOTAL | 2 | \$9,543 | \$0 | \$9,543 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> |
|-------------|-------------|-------------|-------------|--------------|---------------------------------|
| 1 | 0 | 1 | 0 | 0 | 2 |

COURT OF CLAIMS

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---------------------------------|---|--|---------------------------------|---|----------------------------|----------------------|
| Filing Fee | To offset costs incurred by the State. (Fees are deposited into the State Treasury and are not used by the agency.) | IRS 1991, ch. 37, par. 439.21 74 IAC, ch. 6, sec. 790.270 | Some | \$15 per \$50 - \$1,000 amount of claims; \$35 for over \$1,000 amount of claims. | \$9,030 | General Revenue Fund |
| | Number who paid this fee in Fiscal Year 1992: 252 | | | Fee rate set by statute and has been in effect since September 26, 1983. | | |
| Freedom of Information Act Fees | Fees charged for copies of public records provided to requestors in accordance with the Freedom of Information Act. | IRS 1991, ch. 116, par. 206 (a) | Yes | | \$513 | General Revenue Fund |
| | Number who paid this fee in Fiscal Year 1992: 31 | | | Fee rate set by statute and has been in effect since 1984. | | |

| COURT OF CLAIMS | |
|--|--|
| FEEES INTENDED TO COVER FULL COST | |
| <i>Total fees: 2</i> | <i>Fees intended to cover full cost: 1</i> |

This section contains the following information on fees intended to cover the full cost:

1. Fiscal Year 1992 cost of the service or program associated with the fee.
2. Items included in cost, such as fixed, administrative, or direct cost.
3. Whether agency-set fees covered projected service/program costs.
4. Whether the fee was collected before, during, or after services were provided.

COURT OF CLAIMS

| Fee Name | Authority | FISCAL YEAR 1992 Fee Revenue | Cost | What did cost include? | Was fee set to cover projected service/program costs? | When was fee collected? |
|----------|-----------|---------------------------------|------|---------------------------|---|----------------------------|
|----------|-----------|---------------------------------|------|---------------------------|---|----------------------------|

| | | | | | | |
|---------------------------------|---------------------------------|-------|---------------------|--|----|---|
| Freedom of Information Act Fees | IRS 1991, ch. 116, par. 206 (a) | \$513 | \$2 per page (est.) | The cost includes: \$1.65 labor, \$0.95/page copier cost, \$.29 postage, \$.05 paper and envelope cost, and electricity is unknown. | No | Fees are collected prior to sending copies. |
|---------------------------------|---------------------------------|-------|---------------------|--|----|---|

EASTERN ILLINOIS UNIVERSITY

| <u>Fee Rate Set By</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|------------------------------------|---------------------|---------------------|-----------------------------------|
| | <u>General Revenue Fund</u> | <u>Special Fund</u> | | |
| Statute | \$0 | \$0 | \$0 | \$0 |
| Rule | \$0 | \$0 | \$0 | \$0 |
| Other | \$0 | \$16,697,012 | \$16,697,012 | \$16,697,012 |
| TOTAL | \$0 | \$16,697,012 | \$16,697,012 | \$16,697,012 |

Number of Fees

0
0
11
11

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> |
|-------------|-------------|-------------|-------------|--------------|---------------------------------|
| 0 | 0 | 9 | 2 | 0 | 11 |

EASTERN ILLINOIS UNIVERSITY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---------------------|---|---------------------------|---------------------------------|-------------------------|----------------------------|--|
| Return Check Fine | Fine established for all returned checks. | Internal Governing Policy | Some | \$15 per check | \$7,199 | Board of Governors Income Fund Eastern Illinois University |
| Late Registration | Charge for students registering late. | Internal Governing Policy | Some | \$25 per person | \$50,072 | Board of Governors Income Fund Eastern Illinois University |
| Installment Charges | To provide for installment payments for students paying tuition and fees. | Internal Governing Policy | No | \$20 per semester | \$27,247 | Board of Governors Income Fund Eastern Illinois University |

Fee rate set by Internal Governing Policy and has been in effect since: Not Available.

Fee rate set by Internal Governing Policy and has been in effect since August 1991.

Fee rate set by Internal Governing Policy and has been in effect since April 17, 1991.

EASTERN ILLINOIS UNIVERSITY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|

| | | | | | | |
|-----------------------|--|---------------------------|------|--------------------|----------|--|
| Duplicate Transcripts | Charge for transcripts requested after receipt of original transcript request. | Internal Governing Policy | Some | \$2 per transcript | \$51,618 | Board of Governors Income Fund Eastern Illinois University |
|-----------------------|--|---------------------------|------|--------------------|----------|--|

Number who paid this fee in Fiscal Year 1992: 25,809

Fee rate set by Internal Governing Policy and has been in effect since: Not Available.

| | | | | | | |
|---------------|---|---------------------------|----|---------------|----------|--|
| Library Fines | Charge for late return of library material. | Internal Governing Policy | No | Not Available | \$27,052 | Board of Governors Income Fund Eastern Illinois University |
|---------------|---|---------------------------|----|---------------|----------|--|

Number who paid this fee in Fiscal Year 1992: Not Available

Fee rate set by Internal Governing Policy and has been in effect since: Not Available.

| | | | | | | |
|-----------|---|---------------------------|------|---------------------|---------|--|
| Item Lost | Charge at end of semester for lost or mutilated library material. | Internal Governing Policy | Some | \$ Various per item | \$4,212 | Board of Governors Income Fund Eastern Illinois University |
|-----------|---|---------------------------|------|---------------------|---------|--|

Number who paid this fee in Fiscal Year 1992: Not Available

Fee rate set by Internal Governing Policy and has been in effect since: Not Available.

EASTERN ILLINOIS UNIVERSITY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--|---------------------------|---------------------------------|---|----------------------------|--|
| ID Card Fee | Charge to new students for photo ID. | Internal Governing Policy | Some | \$2 per person | \$8,286 | Board of Governors Income Fund Eastern Illinois University |
| | Number who paid this fee in Fiscal Year 1992: 4,143 | | | Fee rate set by Internal Governing Policy and has been in effect since: Not Available. | | |
| Graduation Fee | Fee charged for degree candidates applying for graduation. | Internal Governing Policy | Some | \$15 per person | \$42,966 | Board of Governors Income Fund Eastern Illinois University |
| | Number who paid this fee in Fiscal Year 1992: 2,823 | | | Fee rate set by Internal Governing Policy and has been in effect since: Not Available. | | |
| Proficiency Exam | Qualify for semester credit for specific course offering. | Internal Governing Policy | Some | \$10 per semester hour | \$820 | Board of Governors Income Fund Eastern Illinois University |
| | Number who paid this fee in Fiscal Year 1992: 82 | | | Fee rate set by Internal Governing Policy and has been in effect since: Not Available. | | |

EASTERN ILLINOIS UNIVERSITY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Govern?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--------------------|------------------|----------------------------|-------------------------|----------------------------|-------------|
|--------------------|--------------------|------------------|----------------------------|-------------------------|----------------------------|-------------|

| | | | | | | |
|---------|----------------------|---------------------------------|------|--------------------|--------------|--|
| Tuition | Fee for instruction. | Board of Governors Universities | Some | \$924 per semester | \$16,277,365 | Board of Governors Income Fund Eastern Illinois University |
|---------|----------------------|---------------------------------|------|--------------------|--------------|--|

Number who paid this fee in Fiscal Year 1992: 11,324

Fee rate set by Board of Governors Universities and has been in effect since May 16, 1991.

| | | | | | | |
|-----------------|--|---------------------------|------|----------------------|-----------|--|
| Application Fee | Charge for applying for admission to University. | Internal Governing Policy | Some | \$25 per application | \$200,175 | Board of Governors Income Fund Eastern Illinois University |
|-----------------|--|---------------------------|------|----------------------|-----------|--|

Number who paid this fee in Fiscal Year 1992: 8,007

Fee rate set by Internal Governing Policy and has been in effect since July 1, 1989.

ENVIRONMENTAL PROTECTION AGENCY

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|---------------------|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 13 | \$0 | \$21,648,108 | \$21,648,108 |
| Rule | 0 | \$0 | \$0 | \$0 |
| Other | 1 | \$0 | \$1,192,711 | \$1,192,711 |
| TOTAL | 14 | \$0 | \$22,840,819 | \$22,840,819 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> |
|-------------|-------------|-------------|-------------|--------------|---------------------------------|
| 1 | 2 | 11 | 0 | 0 | 14 |

ENVIRONMENTAL PROTECTION AGENCY

| | | | | | | |
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|
| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|

EPA DIVISION OF WATER POLLUTION CONTROL

| | | | | | | |
|--|--|------------------------------------|-----|---|-------------|--|
| Community Water Supply Laboratory Testing Fees | To cover the costs of additional testing provided for under the Federal State Drinking Water Act Amendments of 1986 and associated administrative costs. | IRS 1991, ch. 111 1/2, par. 1017.7 | Yes | \$.90 per water service connection Minimum Fee \$108 Maximum Fee \$3,000 | \$1,192,711 | Community Water Supply Laboratory Fund |
|--|--|------------------------------------|-----|---|-------------|--|

Number who paid this fee in Fiscal Year 1992: 1,826

| | | | | | | |
|---|---|------------------------------------|------|--|-----------|---|
| Sewer Construction Permit Application Fee | To augment resources for permit and inspection activities in the Division of Water Pollution Control. | IRS 1991, ch. 111 1/2, par. 1012.2 | Some | Fee rate set by the Community Water Supply Testing Council and has been in effect since January 1992. \$50 - \$1,200 based on design population | \$450,810 | Environmental Protection Permit and Inspection Fund |
|---|---|------------------------------------|------|--|-----------|---|

Number who paid this fee in Fiscal Year 1992: 1,823

| | | | | | | |
|--|---|------------------------------------|------|---|-----------|---|
| Water Main Construction Permit Application Fee | To augment resources for permit and inspection activities in the Division of Water Pollution Control. | IRS 1991, ch. 111 1/2, par. 1022.8 | Some | Fee rate set by statute and has been in effect since January 1991. \$120 - \$600 based on length of water main | \$263,060 | Environmental Protection Permit and Inspection Fund |
|--|---|------------------------------------|------|---|-----------|---|

Number who paid this fee in Fiscal Year 1992: 882

IRS = Illinois Revised Statutes IAC = Illinois Administrative Code

ENVIRONMENTAL PROTECTION AGENCY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|-------------------------------------|--|---------------------------------------|---------------------------------|---|----------------------------|---|
| Water Supply Operator's Certificate | To augment resources for permit and inspection activities in the Division of Water Pollution Control. Number who paid this fee in Fiscal Year 1992: 2,377 | IRS 1991, ch. 111 1/2, par. 1022.8 | Some | \$30 per application \$10 per exam, renewal, etc. | \$33,821 | Environmental Protection Permit and Inspection Fund |
| <u>EPA BUREAU OF LAND</u> | | | | | | |
| Hazardous Waste Fee | 90% of fund used by or under the direction of EPA for remedial, preventive, or corrective action at hazardous waste sites. Also to match federal funds. 10% of fund used for hazardous waste research. Number who paid this fee in Fiscal Year 1992: 13 | IRS 1991, ch. 111 1/2, par. 1022.2(c) | Some | Fee varies depending on the type of facility, volume, or activity performed. | \$2,703,225 | 90% Hazardous Waste Fund 10% Hazardous Waste Research Fund |
| Permit and Inspection Fee | To pay for manifest, permit, and inspection activities. Number who paid this fee in Fiscal Year 1992: 103 | IRS 1991, ch. 111 1/2, par. 1022.8 | Most | Fee rate set by statute and has been in effect since March 1988. Fees vary from \$250 to \$35,000 annually depending on the type of site. Fee rate set by statute and has been in effect since June 1985. | \$233,250 | Environmental Protection Permit and Inspection Fund |

ENVIRONMENTAL PROTECTION AGENCY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Cost?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---|--|---------------------------------------|--------------------------------|---|----------------------------|---|
| Used Tire Management Fund Fees | Tire Management - 44 %, Grants - 39 %, Public Health 13 %, Dept. of Agriculture - 2 %, Pollution Control Bureau - 2 %. | IRS 1991, ch. 111 1/2, par. 1055.3(c) | Some | \$100 per site | \$27,005 | Used Tire Management Fund |
| | Number who paid this fee in Fiscal Year 1992: 195 | | | Fee rate set by statute and has been in effect since January 1992. | | |
| Uniform Hazardous Waste Manifest Fee | To pay for manifest, permit, and inspection activities. | IRS 1991, ch. 111 1/2, par. 1022.2(c) | Some | \$1 per manifest | \$399,274 | Environmental Protection Permit and Inspection Fund |
| | Number who paid this fee in Fiscal Year 1992: 1,956 | | | Maximum fee of \$500 for SNAP-TOP manifests per generator each calendar year. | | |
| Potentially Infectious Medical Waste Manifest Fee | To pay for manifest, permit, and inspection activities. | IRS 1991, ch. 111 1/2, par. 1056.4(c) | Some | \$2 per manifest | \$47,000 | Environmental Protection Permit and Inspection Fund |
| | Number who paid this fee in Fiscal Year 1992: 14 | | | Fee rate set by statute and has been in effect since 1992. | | |

ENVIRONMENTAL PROTECTION AGENCY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--|--|---|---------------------------------|--|----------------------------|---|
| Potentially Infectious Medical Waste Transporters Hauling Permit Application Fee | To pay for manifest, permit, and inspection activities. Number who paid this fee in Fiscal Year 1992: 16 | IRS 1991, ch. 111 1/2, par. 1056.5(a) | Some | \$1,000 annually plus \$250 for each vehicle hauling waste annually Fee rate set by statute and has been in effect since 1991. | \$17,750 | Environmental Protection Permit and Inspection Fund |
| Potentially Infectious Medical Waste Transporter Fee | To pay for manifest, permit, and inspection activities. Number who paid this fee in Fiscal Year 1992: 0 | IRS 1991, ch. 111 1/2, par. 1056.6(a) | Some | \$.015 per pound Fee rate set by statute and has been in effect since July 1992. | New Fee (06/15/92) | Environmental Protection Permit and Inspection Fund |
| Solid Waste Management Fee | Fee shared with the Department of Energy and Natural Resources. EPA funds used to pay for administration of programs and provide financial assistance to units of local government for reduction of non-hazardous waste being permanently disposed in landfills. | IRS 1991, ch. 111 1/2, pars. 1022.14, 1022.15 | Most | Fee varies by the number of cubic yards of waste the facility receives. \$500 annually for 10,000 cubic yards or less \$3,450 annually for 10,000 to 50,000 cubic yards \$11,500 annually for 50,000 to 100,000 cubic yards \$25,000 annually for 100,000 to 150,000 cubic yards | \$15,600,509 | Solid Waste Management Fund |

ENVIRONMENTAL PROTECTION AGENCY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to</u> | <u>FY 1992</u> | <u>FY 1992</u> | <u>Fund</u> |
|--------------------|--------------------|------------------|--------------------|-----------------|--------------------|-------------|
| | | | <u>Cover Costs</u> | <u>Fee Rate</u> | <u>Fee Revenue</u> | |

Solid Waste Management Fees Cont. -

For more than 150,000 cubic yards of waste:

\$.60 per cubic yard or \$.45 if a local government already collects the \$.60

\$1.27 per ton or \$.95 per ton if a local government already collects the \$1.27

Number who paid this fee in Fiscal Year 1992: 112

Fee rate set by statute and has been in effect since October 1989.

Special Waste Hauling Permit Application Fee

Subject to available resources the Agency shall provide and review evaluation services for action at sites where hazardous waste substances or pesticides may be present.

IRS 1991, ch. 111 1/2, par. 1022.2(i)

Some

\$250 per year first application plus \$20 per vehicle

\$99,866

85% Hazardous Waste Research Fund

Number who paid this fee in Fiscal Year 1992: 1,373

Fee rate set by statute and has been in effect since November 1988.

15% EPA Permit and Inspection Fund

ENVIRONMENTAL PROTECTION AGENCY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|

EPA BUREAU OF AIR

Permit and Inspection Fees

Used and intended to cover the cost of permit and inspection fund activities.

Some

\$100 per ton <25 tons per year of allowable emissions

\$1,772,538

Environmental Protection Permit and Inspection Fund

\$600 per ton >25 tons per year of allowable emissions

Number who paid this fee in Fiscal Year 1992: 6,787

Fee rate set by statute and has been in effect since January 1989.

ENVIRONMENTAL PROTECTION AGENCY

FEES INTENDED TO COVER FULL COST

Total fees: 14

Fees intended to cover full cost: 1

This section contains the following information on fees intended to cover the full cost:

1. Fiscal Year 1992 cost of the service or program associated with the fee.
2. Items included in cost, such as fixed, administrative, or direct cost.
3. Whether agency-set fees covered projected service/program costs.
4. Whether the fee was collected before, during, or after services were provided.

ENVIRONMENTAL PROTECTION AGENCY

| Fee Name | Authority | FISCAL YEAR 1992 | Cost | What did cost include? | Was fee set to cover projected service/program costs? | When was fee collected? |
|----------|-----------|------------------|------|------------------------|---|-------------------------|
|----------|-----------|------------------|------|------------------------|---|-------------------------|

EPA DIVISION OF WATER POLLUTION CONTROL

| | | | | | | |
|--|----------------------------------|-------------|-------------|--|----|--------|
| Community Water Supply Laboratory Testing Fees | IRS 1991, ch. 111 1/2, par. 1017 | \$1,192,711 | \$1,086,426 | Direct Costs: Personal Services (\$309,742) Retirement (\$14,684) Social Security (\$23,873) Group Insurance (\$33,595) Contractual (\$253,027) Travel (\$1,386) Commodities (\$178,986) Printing (\$9,120) Equipment (\$257,220) Telecommunications (\$4,793) | No | Before |
|--|----------------------------------|-------------|-------------|--|----|--------|

GOVERNOR'S HEALTH AND PHYSICAL FITNESS COUNCIL

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|---------------------|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 0 | \$0 | \$0 | \$0 |
| Rule | 0 | \$0 | \$0 | \$0 |
| Other | 1 | \$0 | \$100,000 (est.) | \$100,000 |
| TOTAL | 1 | \$0 | \$100,000 | \$100,000 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Total Number Of Fees</u> | |
|-------------|-------------|-------------|-------------|---------------------------------|----------------|
| | | | | <u>Other</u> | <u>Of Fees</u> |
| 0 | 0 | 0 | 1 | 0 | 1 |

GOVERNOR'S HEALTH AND PHYSICAL FITNESS COUNCIL

| <i>Name of Fee</i> | <i>Purpose/Use</i> | <i>Authority</i> | <i>Intended to Cover Costs?</i> | <i>FY 1992 Fee Rate</i> | <i>FY 1992 Fee Revenue (est.)</i> | <i>Fund</i> |
|--------------------|--|---|---------------------------------|-------------------------|-----------------------------------|--------------------------------|
| Application Fee | Assist with expenses incurred by Prairie State Games Program. Applied to General Operating Fund. | IRS 1991, ch. 111 1/2, par. 2703 Steering Committee Decision | No | \$10 - \$15 per person | \$100,000 (est.) | Prairie State Games Grant Fund |
| | Number who paid this fee in Fiscal Year 1992: 8,500 | | | | | |

Fee rate was set by the Steering Committee and has been in effect since March 1992.

GOVERNORS STATE UNIVERSITY

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|---------------------|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 0 | \$0 | \$0 | \$0 |
| Rule | 0 | \$0 | \$0 | \$0 |
| Other | 4 | \$0 | \$76,404 | \$76,404 |
| TOTAL | 4 | \$0 | \$76,404 | \$76,404 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number</u> |
|-------------|-------------|-------------|-------------|--------------|---------------------|
| | | | | | <u>Of Fees</u> |
| 0 | 3 | 1 | 0 | 0 | 4 |

GOVERNORS STATE UNIVERSITY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Included to Cover Comm?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|-------------------------|---|-------------------------------|--------------------------------|---|----------------------------|--|
| Graduation Fee | Subsidize costs related to graduation; including cap and gown, diploma and cover, etc. Number who paid this fee in Fiscal Year 1992: 1,098 | IRS 1991, ch. 144, par. 1001a | Most | \$20.00 per Undergraduate Student \$25.00 per Graduate Student | \$14,590 | Governors State University Income Fund |
| Installment Payment Fee | Offset costs of allowing tuition/fee payments in two installments. Number who paid this fee in Fiscal Year 1992: 1,775 | IRS 1991, ch. 144, par. 1001a | Most | \$20.00 per student | \$35,499 | Governors State University Income Fund |
| Audit Fee | Offset cost of providing option to audit course(s). Number who paid this fee in Fiscal Year 1992: 239 | IRS 1991, ch. 144, par. 1001a | Some | \$50.00 per course | \$4,780 | Governors State University Income Fund |
| Transcript Fee | Offset costs of providing student transcripts. Number who paid this fee in Fiscal Year 1992: 7,178 | IRS 1991, ch. 144, par. 1001a | Most | \$10.00 per First-Time Student | \$21,535 | Governors State University Income Fund |

GUARDIANSHIP AND ADVOCACY COMMISSION

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|---------------------|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 0 | \$0 | \$0 | \$0 |
| Rule | 2 | 0 | 30,010 | 30,010 |
| Other | 0 | 0 | 0 | 0 |
| TOTAL | 2 | \$0 | \$30,010 | \$30,010 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> |
|-------------|-------------|-------------|-------------|--------------|---------------------------------|
| 0 | 0 | 2 | 0 | 0 | 2 |

GUARDIANSHIP AND ADVOCACY COMMISSION

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--|--|--|---------------------------------|---|----------------------------|--------------------------------|
| Office of State Guardian/ Guardianship Services Fee | To evaluate eligible disabled adult ward's financial resources for the purpose of determining whether the eligible ward has the ability to pay for guardianship services received, based on the size of the ward's financial estate. | IRS 1991, ch. 91 1/2, par. 705(i) IRS 1991, ch. 110 1/2, par. 27-1 59 IAC, ch. III, sec. 301 | Some | Sliding scale, based on worth of ward's estate. | \$30,010 | Guardianship and Advocacy Fund |
| | Number who paid this fee in Fiscal Year 1992 : 32 | | | Fee rate was set by amended rules, in effect since November 1990. | | |
| Legal Advocacy Service/ Legal Services Fee | To evaluate eligible LAS client's financial resources for the purpose of determining whether the eligible client has the ability to pay for legal services received. | IRS 1991, ch. 91 1/2, par. 705(i) 59 IAC, ch. III, sec. 350 | Some | Sliding scale, based on client's financial resources | \$0 | Guardianship and Advocacy Fund |
| | Number who paid this fee in Fiscal Year 1992 : 0 | | | Fee rate was set by rule and has been in effect since September 1984. | | |

HISTORIC PRESERVATION AGENCY

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|---------------------|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 9 | \$0 | \$107,194 | \$107,194 |
| Rule | 0 | \$0 | \$0 | \$0 |
| Other | 4 | \$0 | \$41,926 | \$41,926 |
| TOTAL | 13 | \$0 | \$149,120 | \$149,120 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> |
|-------------|-------------|-------------|-------------|--------------|---------------------------------|
| 2 | 0 | 8 | 3 | 0 | 13 |

HISTORIC PRESERVATION AGENCY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---|--|-------------------------------------|---------------------------------|----------------------------------|----------------------------|---------------------------------|
| CAMPING FEES at Lincoln's New Salem and Fort Kaskaskia Historic Sites | Charge for use of campgrounds and facilities. | IRS 1991, ch. 127, par. 2716 (s) | | See Below | \$66,094 | Illinois Historic Sites Fund |
| Class A Sites | | | Some | \$11 | | |
| Class B Electric Sites | | | | \$10 | | |
| Class B Showers Sites | | | | \$8 | | |
| Class C Sites | | | | \$7 | | |
| Class D Sites | | | | \$6 | | |
| Organized (Adult Group) Camping | | | | \$3/person, 10 person Minimum | | |
| Youth Group Camping | | | | \$1/person, 10 person Minimum | | |
| *Camping for Disabled, Disabled Veterans and POW's | | | Some | | | |
| Class A Sites | | | | \$3 (Utility fee) | | |
| Class B Electric Sites | | | | \$3 (Utility fee) | | |
| Class B Showers Sites | | | | No fee | | |
| Class C Sites | | | | No fee | | |
| Class D Sites | | | | No fee | | |
| Organized (Adult Group) Camping | | | | No fee | | |
| Youth Group Camping | | | | No fee | | |

* Special camping rates reductions apply to Illinois residents only.
IRS = Illinois Revised Statutes IAC = Illinois Administrative Code

HISTORIC PRESERVATION AGENCY

FY 1992
Fee Revenue Fund

Intended to FY 1992
Cover Costs? Fee Rate

Authority

Purpose/Use

Name of Fee

| Name of Fee | Purpose/Use | Authority | Intended to FY 1992 Cover Costs? Fee Rate | FY 1992 Fee Revenue Fund |
|-------------|--|-----------|--|-----------------------------|
| | *Camping for ages 65 and older (Monday thru Thursday) | | Some | |
| | Class A Sites | | \$3 (Utility fee) | |
| | Class B Electric Sites | | \$3 (Utility fee) | |
| | Class B Showers Sites | | No fee | |
| | Class C Sites | | No fee | |
| | Class D Sites | | No fee | |
| | Organized (Adult Group) Camping | | No fee | |
| | *Camping for ages 65 and older (Friday thru Sunday) | | Some | |
| | Class A Sites | | \$11 | |
| | Class B Electric Sites | | \$10 | |
| | Class B Showers Sites | | \$8 | |
| | Class C Sites | | \$7 | |
| | Class D Sites | | \$6 | |
| | Organized (Adult Group) Camping | | \$3/person | |
| | *Camping for ages 62-64 (Monday thru Thursday) | | Some | |
| | Class A Sites | | \$7 | |
| | Class B Electric Sites | | \$6.50 | |
| | Class B Showers Sites | | \$4 | |
| | Class C Sites | | \$3.50 | |
| | Class D Sites | | \$3 | |
| | Organized (Adult Group) Camping | | \$1.50/person | |

HISTORIC PRESERVATION AGENCY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to</u> | <u>FY 1992</u> | <u>FY 1992</u> | <u>Fund</u> |
|--------------------|--------------------|------------------|---------------------|-----------------|--------------------|-------------|
| | | | <u>Cover Costs?</u> | <u>Fee Rate</u> | <u>Fee Revenue</u> | |

| | | | | | | |
|---|------|--|--|------------|--|--|
| *Camping for ages 62-64 (Friday thru Sunday) | Some | | | | | |
| Class A Sites | | | | \$11 | | |
| Class B Electric Sites | | | | \$10 | | |
| Class B Showers Sites | | | | \$8 | | |
| Class C Showers Sites | | | | \$7 | | |
| Class D Sites | | | | \$6 | | |
| Organized (Adult Group) Camping | | | | \$3/person | | |

Number who paid this fee in
Fiscal Year 1992: Not Available

Fee rate set by statute
and has been in effect
since May 1992.

HISTORIC PRESERVATION AGENCY

| | | | | | | |
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|
| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|

PRINTING FEES

ILLINOIS HISTORY
MAGAZINE Subscription

Fee to offset printing and mailing expenses of the ILLINOIS HISTORY MAGAZINE.

Act of Illinois State Historical Society (co-publisher).

Some

\$8 per year/subscription
Teachers: free
Students: \$1

\$4,766

All fees are deposited with the Illinois State Historical Society, a not for profit support organization.

Number who paid this fee in Fiscal Year 1992: 1,056

Fee rate set by policy and has been in effect since July 1992.

HISTORIC ILLINOIS
Subscription

Fee to offset printing, postage, mailing, promotion, and graphics of the HISTORIC ILLINOIS, HISTORIC ILLINOIS CALENDAR, and ILLINOIS PRESERVATION SERIES.

Department Policy

Some

HISTORIC ILLINOIS:
One year subscription: \$10
Two year subscription: \$17
Single copies: \$1.25
Single copies of ten or more: \$1

\$37,160

Illinois Historic Sites Fund

HISTORIC ILLINOIS CALENDAR:
Single copies: \$5
Ten or more: \$3

HISTORIC ILLINOIS Subscribers: Free

ILLINOIS PRESERVATION SERIES:

Single copies: \$1.25
Ten or more: \$1

HISTORIC ILLINOIS Subscribers: Free

Number who paid this fee in Fiscal Year 1992: 4,000

Fee rate set by policy and has been in effect since April 1991.

HISTORIC PRESERVATION AGENCY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|

| | | | | | | |
|---------|---|------------------------------|----|-----------------------|-----|------------------------------|
| Penalty | Any violation of Section 3 of the Archaeological and Paleontological Resources Protection Act not involving the disturbance of human skeletal remains is a Class A misdemeanor and the violator will be subject to imprisonment and a fine. | IRS 1991, ch. 127 par. 133c5 | No | Not to exceed \$5,000 | \$0 | Illinois Historic Sites Fund |
|---------|---|------------------------------|----|-----------------------|-----|------------------------------|

Number who paid this fee in Fiscal Year 1992: 0
 Fee rate set by statute and has been in effect since January 1990.

| | | | | | | |
|---------|---|-------------------------------|----|------------------------|-----|------------------------------|
| Penalty | Any person who willfully violates any provision of the Illinois Historic Preservation Act or any rule or order prescribed or issued under the Act shall be liable to a civil penalty. | IRS 1991, ch. 127 par. 133d12 | No | Not to exceed \$10,000 | \$0 | Illinois Historic Sites Fund |
|---------|---|-------------------------------|----|------------------------|-----|------------------------------|

Number who paid this fee in Fiscal Year 1992: Not Available
 Fee rate set by statute and has been in effect since: Not Available.

HISTORIC PRESERVATION AGENCY

| | | | | | | |
|--------------------|--------------------|------------------|-------------------------------------|-----------------------------|--------------------------------|-------------|
| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Included to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--------------------|------------------|-------------------------------------|-----------------------------|--------------------------------|-------------|

| | | | | | | |
|------------------------|--|-----------------------------|-----|-------------|----------|-----------------------------------|
| Microphotographing Fee | Any person or organization, upon payment of a fee shall be supplied with any prints requested to be made from the negatives of the microphotographs. | IRS 1991, ch. 128 par. 16.1 | Yes | Actual cost | \$41,100 | Illinois State Historical Society |
|------------------------|--|-----------------------------|-----|-------------|----------|-----------------------------------|

| | |
|---|--|
| Number who paid this fee in Fiscal Year 1992: 2,055 | Fee rate set by statute and has been in effect since 1986. |
|---|--|

| | | | | | | |
|--------------------|---|------------------------------|----|---------------|-----|------------------------------|
| Exploration Permit | Permit issued for exploration or excavation of archeological and paleontological resources. | IRS 1991, ch. 127 par. 133c6 | No | Not Available | \$0 | Illinois Historic Sites Fund |
|--------------------|---|------------------------------|----|---------------|-----|------------------------------|

| | |
|--|---|
| Number who paid this fee in Fiscal Year 1992: Not Available. | Fee rate set by regulation and has been in effect since: Not Available. |
|--|---|

| | | | | | | |
|--------------------------------|---|---------------------------------|-----|-----------------|-----|--|
| Freedom of Information Act Fee | Fees charged for copies of public records provided to requestors in accordance with the Freedom of Information Act. | IRS 1991, ch. 116, par. 206 (a) | Yes | \$.20 per page | \$0 | Illinois Historic Sites Fund OR General Revenue Fund |
|--------------------------------|---|---------------------------------|-----|-----------------|-----|--|

| | |
|---|---|
| Number who paid this fee in Fiscal Year 1992: 0 | Fee rate set by regulation and has been in effect since 1984. |
|---|---|

| |
|---|
| General Revenue Fund depending on source covering copying expenses. |
|---|

HISTORIC PRESERVATION AGENCY

FEES INTENDED TO COVER FULL COST

Total fees: 13

Fees intended to cover full cost: 2

- This section contains the following information on fees intended to cover the full cost:
1. Fiscal Year 1992 cost of the service or program associated with the fee.
 2. Items included in cost, such as fixed, administrative, or direct cost.
 3. Whether agency-set fees covered projected service/program costs.
 4. Whether the fee was collected before, during, or after services were provided.

HISTORIC PRESERVATION AGENCY

| Fee Name | Authority | FISCAL YEAR 1992 Fee Revenue | Cost | What did cost include? | Was fee set to cover projected service/program costs? | When was fee collected? |
|-----------------------------------|------------------------------------|---------------------------------|---------------|---------------------------|---|---|
| Microphotographing Fee | IRS 1991, ch. 128 par. 16.1 | \$41,100 | \$46,500 | Salaries and supplies | No | Some pay when ordering; standing orders are billed after. |
| Freedom of Information Act Fee | IRS 1991, ch. 116, par. 206 (a) | \$0 | Not Available | Not Available | Not Available | Not Available |

ILLINOIS COMMERCE COMMISSION

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | FEE REVENUES DEPOSITED INTO | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|-----------------------------|---------------------|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 4 | \$0 | \$310,266 | \$310,266 |
| Rule | *2 | \$0 | \$7,081,588 | \$7,081,588 |
| Other | 0 | \$0 | \$0 | \$0 |
| TOTAL | 6 | \$0 | \$7,391,854 | \$7,391,854 |

* Part set by statute as well as rule.

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> |
|-------------|-------------|-------------|-------------|--------------|---------------------------------|
| 1 | 5 | 0 | 0 | 0 | 6 |

ILLINOIS COMMERCE COMMISSION

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Commodity</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---------------------------|--|-----------------------------------|------------------------------------|-------------------------|------------------------------------|---------------------|
| Copying Fee | Fee charged to pay the cost of making copies of public utility file documents. Number who paid this fee in Fiscal Year 1992: N/A | IRS 1991, ch. 111 2/3, par. 2-201 | Most | \$.25 per folio | \$74,748 | Public Utility Fund |
| Certificates Fee | Fee charged to pay the cost of providing certificate orders with seal affixed. Number who paid this fee in Fiscal Year 1992: N/A | IRS 1991, ch. 111 2/3, par. 2-201 | Most | \$1 per certificate | (Included in copying fee revenue.) | Public Utility Fund |
| Annual Reports Fee | Charge for certifying each copy of the Commission's annual report made by a public utility. Number who paid this fee in Fiscal Year 1992: N/A | IRS 1991, ch. 111 2/3, par. 2-201 | Most | \$1 per certificate | (Included in copying fee revenue.) | Public Utility Fund |

ILLINOIS COMMERCE COMMISSION

*Imputed to
Cover Costs? Fee Rate*

FY 1992

Fee Revenue

Fund

Name of Fee *Purpose/Use* *Authority* *Imputed to* *FY 1992* *Fee Revenue* *Fund*

Security Authorizations Fee Fee charged to support Commission activities related to review and authorization of security transactions and other related activities. IRS 1991, ch. 111 2/3, par. 6-108 Yes \$.10 for every \$100 of the par or stated value of stocks, and \$.20 for every \$100 of the principal amount of bonds, notes or other evidences of indebtedness authorized by the Commission. \$235,518 Public Utility Fund

Number who paid this fee in Fiscal Year 1992: (see footnote 1)

Franchise/Franchise Renewal Fees/Ordering Fees

Fees charged to support the Commission's activities to authorize inter- and intra-state motor carriers to operate in Illinois. IRS 1991, ch. 95 1/2, par. 18e-150 92 IAC, ch. III, sec. 1205.10 Most Varies \$5,090,858 Transportation Regulatory Fund

Number who paid this fee in Fiscal Year 1992: (see footnote 2)

Filing/Late-Filing Fees

Fee charged to support the Commission's activities related to authorization for motor carriers to operate in Illinois. IRS 1991, ch. 95 1/2, par. 18e-150 92 IAC, ch. III, sec. 1205.20 Most \$100 - \$600 \$1,990,730 Transportation Regulatory Fund

Number who paid this fee in Fiscal Year 1992: (see footnote 2)

Fee rate set by statute/rule and has been in effect since 1987.

- (1) There were 25 orders issued in accordance with this section.
- (2) Inter & intra-state motor carriers pay such fees. There are approximately 30,000 inter-state motor carriers and approximately 6,000 intra-state motor carriers.

ILLINOIS COMMERCE COMMISSION

FEES INTENDED TO COVER FULL COST

Total fees: 6

Fees intended to cover full cost: 1

This section contains the following information on fees intended to cover the full cost:

1. Fiscal Year 1992 cost of the service or program associated with the fee.
2. Items included in cost, such as fixed, administrative, or direct cost.
3. Whether agency-set fees covered projected service/program costs.
4. Whether the fee was collected before, during, or after services were provided.

ILLINOIS COMMERCE COMMISSION

| <i>Fee Name</i> | <i>Authority</i> | <i>FISCAL YEAR 1992</i> | <i>Cost</i> | <i>What did cost include?</i> | <i>Was fee set to cover projected service/program costs?</i> | <i>When was fee collected?</i> |
|-----------------------------|----------------------------------|-------------------------|---------------------|---|---|--|
| Security Authorizations Fee | IRS 1991, ch. 111 2/3, par. 6-10 | \$235,518 | \$185,000 (approx.) | This figure includes about three people with associated fringe benefits and other administrative overhead such as court reporting, printing, travel, etc. | No, this fee is not set according to the number of hours or associated costs but by the value of each security authorization. The greater the requested security authorization, the higher the total fee; similar to a sales tax. | After the staff completes their review and the Commission issues the order authorizing the company to issue stocks, bonds, notes, etc. |

ILLINOIS EMERGENCY MANAGEMENT AGENCY

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|---------------------|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 0 | \$0 | \$0 | \$0 |
| Rule | 0 | \$0 | \$0 | \$0 |
| Other | 1 | \$145 | \$0 | \$145 |
| TOTAL | 1 | \$145 | \$0 | \$145 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> |
|-------------|-------------|-------------|-------------|--------------|---------------------------------|
| 0 | 0 | 1 | 0 | 0 | 1 |

ILLINOIS EMERGENCY MANAGEMENT AGENCY

| <i>Name of Fee</i> | <i>Purpose/Use</i> | <i>Authority</i> | <i>Intended to Cover Costs?</i> | <i>FY 1992 Fee Rate</i> | <i>FY 1992 Fee Revenue</i> | <i>Fund</i> |
|----------------------------|---|---|---------------------------------|-------------------------|----------------------------|----------------------|
| Freedom of Information Fee | Fees charged for copies of public records provided to requestors in accordance with the Freedom of Information Act. | IRS 1991, ch. 116, par. 201 et. seq. IEMA Policy and Procedures Manual | Some | \$.25 per page | \$145 | General Revenue Fund |
| | Number who paid this fee in Fiscal Year 1992: 5 | | | | | |

Fee rate set by the IEMA and has been in effect since November 1989.

ILLINOIS HEALTH CARE COST CONTAINMENT COUNCIL

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|---------------------|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 1 | \$0 | \$87,510 | \$87,510 |
| Rule | 0 | \$0 | \$0 | \$0 |
| Other | 2 | \$0 | \$0 | \$0 |
| TOTAL | 3 | \$0 | \$87,510 | \$87,510 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| | <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> |
|--|-------------|-------------|-------------|-------------|--------------|---------------------------------|
| | 3 | 0 | 0 | 0 | 0 | 3 |

ILLINOIS HEALTH CARE COST CONTAINMENT COUNCIL

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|-------------------------------------|--|--|---------------------------------|---|----------------------------|----------------------|
| Special Studies Fee | Fee for special studies and analyses of data collected. Number who paid this fee in Fiscal Year 1992: 40 | IRS 1991, ch. 111 1/2, par. 6504-2, sec. 4-2 (n) 77 IAC, ch. XI, sec. 2510.70 | Yes | No set fee. Fee rate to cover direct and indirect cost. Fee rate set by statute and has been in effect since 1987. | \$87,510 | Special Studies Fund |
| Freedom of Information Act Copy Fee | Fee for document copies under the Freedom of Information Act. Number who paid this fee in Fiscal Year 1992: 0 | IRS 1991, ch. 116, par. 206, sec. 6 IRS 1991, ch. 127, par. 171, sec. 2(a) | Yes | 50 copies or less: no fee charged More than 50 copies: fee is \$.10 per copy Fee rate set by agency policy and has been in effect since May 17, 1985. | \$0 | General Revenue Fund |

ILLINOIS HEALTH CARE COST CONTAINMENT COUNCIL

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--|--|---------------------------------|---|----------------------------|----------------------|
| Report Fee | Fee for reports, other than basic reports, produced by the Illinois Health Care Cost Containment Council. Number who paid this fee in Fiscal Year 1992: 0 | IRS 1991, ch. 111 1/2, par. 6504-5, sec. 4-5 IRS 1991, ch. 127, par. 171, sec. 2(a) | Yes | 50 copies or less: no fee charged More than 50 copies: fee is \$.10 per copy Fee rate set by agency policy and has been in effect since May 17, 1985. | \$0 | General Revenue Fund |

ILLINOIS HEALTH CARE COST CONTAINMENT COUNCIL

FEES INTENDED TO COVER FULL COST

Total fees: 3

Fees intended to cover full cost: 3

This section contains the following information on fees intended to cover the full cost:

1. Fiscal Year 1992 cost of the service or program associated with the fee.
2. Items included in cost, such as fixed, administrative, or direct cost.
3. Whether agency-set fees covered projected service/program costs.
4. Whether the fee was collected before, during, or after services were provided.

ILLINOIS HEALTH CARE COST CONTAINMENT COUNCIL

| Fee Name | Authority | FISCAL YEAR 1992 | Fee Revenue | Cost | What did cost include? | Was fee set to cover projected service/program costs? | When was fee collected? |
|----------|-----------|------------------|-------------|------|------------------------|---|-------------------------|
|----------|-----------|------------------|-------------|------|------------------------|---|-------------------------|

Special Studies Fee
 IRS 1991, ch. 111 1/2, par. 6504-2, sec. 4-2 (n)

\$87,510
 \$53,182 (est.)

Yes

Before

77 IAC, ch. XI, sec. 2510.70

Freedom of Information Act Copy Fee

IRS 1991, ch. 116, par. 206, sec. 6

Not available

No

After

IRS 1991, ch. 127, par. 171, sec. 2(a)

Report Fee
 IRS 1991, ch. 111 1/2, par. 6504-5, sec. 4-5

Not available

No

After

ILLINOIS HOUSING DEVELOPMENT AUTHORITY

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|---------------------|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 0 | \$0 | \$0 | \$0 |
| Rule | 1 | \$0 | \$19,000 | \$19,000 |
| Other | 1 | \$0 | \$0 | \$0 |
| TOTAL | 2 | \$0 | \$19,000 | \$19,000 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> | |
|-------------|-------------|-------------|-------------|--------------|---------------------------------|---|
| | | | | | | |
| 0 | 0 | 0 | 2 | 0 | 0 | 2 |

ILLINOIS HOUSING DEVELOPMENT AUTHORITY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------------------|--|---|---------------------------------|--|----------------------------|--|
| Trust Fund Application Fee | Application fee for loans or grants from the Affordable Housing Trust Fund. The purpose is to encourage only legitimate applications. | IRS 1991, ch. 67 1/2, par. 307.4 47 IAC, ch. 2, sec. 360.301 | No | \$250 per application. | \$19,000 | Illinois Affordable Housing Trust Fund |
| Freedom of Information Act Fee | Number who paid this fee in Fiscal Year 1992: 76 Fee charged for copies of public records provided to requestors in accordance with the Freedom of Information Act. | | No | Fee rate set by rule and has been in effect since 1989. \$.25 per page for large request | \$0 | Not Available |
| | Number who paid this fee in Fiscal Year 1992: Not Available | | | Fee rate set by policy and has been in effect since: Not Available. | | |

IRS = Illinois Revised Statutes IAC = Illinois Administrative Code

ILLINOIS MATHEMATICS AND SCIENCE ACADEMY

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|---------------------|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 0 | \$0 | \$0 | \$0 |
| Rule | 0 | 0 | 0 | 0 |
| Other | 1 | 0 | 30,360 | 30,360 |
| TOTAL | 1 | \$0 | \$30,360 | \$30,360 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| | <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> |
|--|-------------|-------------|-------------|-------------|--------------|---------------------------------|
| | 0 | 0 | 0 | 1 | 0 | 1 |

ILLINOIS MATHEMATICS AND SCIENCE ACADEMY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|

| | | | | | | |
|-------------|---|--------------------------------|----|------------------|----------|------------------|
| Student Fee | To offset part of the cost of student programs. | IRS 1991, ch. 122, par. 1503-2 | No | \$50 per student | \$30,360 | IMSA Income Fund |
|-------------|---|--------------------------------|----|------------------|----------|------------------|

Number who paid this fee in Fiscal Year 1992 : 609

Fee rate was set by IMSA Board of Trustees and has been in effect since April 1992.

ILLINOIS STATE TOLL HIGHWAY AUTHORITY

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|----------------------|--|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | | |
| Statute | 0 | \$0 | \$0 | | \$0 |
| Rule | 0 | \$0 | \$0 | | \$0 |
| Other | 1 | \$0 | \$253,911,000 | | \$253,911,000 |
| TOTAL | 1 | \$0 | \$253,911,000 | | \$253,911,000 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> |
|-------------|-------------|-------------|-------------|--------------|---------------------------------|
| 1 | 0 | 0 | 0 | 0 | 1 |

ILLINOIS STATE TOLL HIGHWAY AUTHORITY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|---|--|---------------------------------|-------------------------|----------------------------|-------------------------|
| Tolls | For the construction, operation, relocation, regulation, and maintenance of a system of tollways within and through the State of Illinois. Number who paid this fee in Fiscal Year 1992: 248,000,000 | IRS 1991 ch. 121, pars. 100-3 - 100-35 | Yes | Varies | \$253,911,000 | State Toll Highway Fund |

Fee rate set by the Authority and has been in effect since September 1983.

ILLINOIS STATE TOLL HIGHWAY AUTHORITY
FEES INTENDED TO COVER FULL COST

| | |
|----------------------|--|
| <i>Total fees: 1</i> | <i>Fees intended to cover full cost: 1</i> |
|----------------------|--|

This section contains the following information on fees intended to cover the full cost:

1. Fiscal Year 1992 cost of the service or program associated with the fee.
2. Items included in cost, such as fixed, administrative, or direct cost.
3. Whether agency-set fees covered projected service/program costs.
4. Whether the fee was collected before, during, or after services were provided.

ILLINOIS STATE TOLL HIGHWAY AUTHORITY

| Fee Name | Authority | CALENDAR YEAR 1992 | Fee Revenue | Cost | What did cost include? | Was fee set to cover projected service/program costs? | When was fee collected? |
|----------|-----------|--------------------|-------------|------|------------------------|---|-------------------------|
|----------|-----------|--------------------|-------------|------|------------------------|---|-------------------------|

| | | | | | | | |
|-------|---|--|---------------|---|---|-----|--------|
| Tolls | IRS 1991 ch. 121, pars. 100-3 - 100-35 | | \$253,911,000 | Maintenance & Operations: \$101,400,000 | Maintenance and operations, major improvement | Yes | During |
| | | | | Major Improvements: \$91,686,000 | expenditures, capital | | |
| | | | | Capital Improvements: \$135,958,000 | improvement expenditures, | | |
| | | | | Debt Service: \$65,528,000 | and debt service. | | |
| | | | | Total Expenditures: \$394,572,000 | | | |

Notes:

- (1) Cost and revenues were projected by the Authority for Calendar Year 1992 ended 12-31-92.
- (2) A portion of the total cost shown above is covered by other revenue sources such as concessions and miscellaneous revenues (\$4,715,000) and investment income (\$18,150,000).
- (3) The Illinois State Toll Highway Authority said the difference between total revenues and total expenses for 1992 were planned and covered in previous years and will be paid from balances on hand.
- (4) The Illinois Comprehensive Annual Financial Report showed the Authority had \$320,897,000 in cash and cash equivalents as of June 30, 1992.

IRS = Illinois Revised Statutes IAC = Illinois Administrative Code

ILLINOIS STATE UNIVERSITY

| <u>Fee Rate Set By</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|------------------------------------|-----------------------------|---------------------|-----------------------------------|
| | <u>Number of Fees</u> | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 0 | \$0 | \$0 | \$0 |
| Rule | 0 | \$0 | \$0 | \$0 |
| Other | 4 | \$0 | \$33,727,018 | \$33,727,018 |
| TOTAL | 4 | \$0 | \$33,727,018 | \$33,727,018 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> |
|-------------|-------------|-------------|-------------|--------------|---------------------------------|
| 0 | 0 | 4 | 0 | 0 | 4 |

ILLINOIS STATE UNIVERSITY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--|--|---------------------------------|---|----------------------------|---------------------------------------|
| Tuition | Pay some of the costs of providing instruction to students enrolled at the university. | IRS 1991, ch. 144, par. 308(d) Board of Regents Rules, Section VI, Subsection L | Some | \$82.50 per in-state undergraduate credit hour \$83.50 per in-state graduate credit hour | \$33,576,670 | Illinois State University Income Fund |
| | | | | \$247.50 per out-of-state undergraduate credit hour \$250.50 per out-of-state graduate credit hour | | |
| | Number who paid this fee in Fiscal Year 1992: 19,490 (est.) | | | Fee rate set by Board of Regent Rules and has been in effect since June 1992. | | |
| Outreach Fee | Pay some facility costs for instruction at off campus locations. | IRS 1991, ch. 144, par. 308(d) Board of Regents Rules, Section VI, Subsection L | Some | \$17 per credit hour | \$58,448 | Illinois State University Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 1,150 (est.) | | | Fee rate set by Board of Regent Rules and has been in effect since Spring 1991. | | |

IRS = Illinois Revised Statutes IAC = Illinois Administrative Code

ILLINOIS STATE UNIVERSITY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Included to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--|--|-------------------------------------|---|--------------------------------|---------------------------------------|
| Graduation Fee | Costs of graduation ceremonies and materials. | IRS 1991, ch. 144, par. 308(f) Board of Regents Rules, Section VI, Subsection L | Some | \$20 per undergraduate student \$25 per graduate student | \$73,400 | Illinois State University Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 4,600 (est.) | | | Fee rate set by Board of Regent Rules and has been in effect since July 1992. | | |
| Library Fines | To encourage borrowers to return books promptly. | IRS 1991, ch. 144, par. 308(d) Board of Regents Rules, Section VI, Subsection L | Some | Varies depending on length overdue and number borrowed. | \$18,500 | Illinois State University Income Fund |
| | Number who paid this fee in Fiscal Year 1992: N/A | | | Fee rate set by Board of Regent Rules and has been in effect since: N/A | | |

INDUSTRIAL COMMISSION

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|---------------------|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 0 | \$0 | \$0 | \$0 |
| Rule | 0 | \$0 | \$0 | \$0 |
| Other | 2 | \$2,496 | \$19,810 | \$22,306 |
| TOTAL | 2 | \$2,496 | \$19,810 | \$22,306 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> |
|-------------|-------------|-------------|-------------|--------------|---------------------------------|
| | | | | | |

INDUSTRIAL COMMISSION

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Included to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--|--|--|---------------------------------|--|----------------------------|-------------------------------------|
| Case Management System Status Report Fee | To pay for costs related to generating computer reports from the Commission. | IRS 1991, ch. 48, par. 138.17 Set by the Commission | Most | \$1.50 per page or \$100 month | \$19,810 | Statistical Services Revolving Fund |
| Transcript Deposit Fund Forms Charge | Number who paid this fee in Fiscal Year 1992: 200 + To pay for preparation of forms to be filed with other documents in appealing a case to the Circuit Court from the Industrial Commission. | IRS 1991, ch. 48, pars. 138.19(f)(1), 138.19b | Most | Fee rate set by the Commission and has been in effect since 1988. \$.35 per page for all other matters contained in the record | \$2,496 | General Revenue Fund |
| | Number who paid this fee in Fiscal Year 1992: 2,000 + | | | Fee rate set by the Commission and has been in effect since at least 1972. | | |

IRS = Illinois Revised Statutes IAC = Illinois Administrative Code

JOINT COMMITTEE ON ADMINISTRATIVE RULES

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|---------------------|---------------------|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | <u>Special Fund</u> | |
| Statute | 0 | \$0 | | \$0 | |
| Rule | 1 | 150 (1992 to date) | 0 | 0 | 150 |
| Other | 0 | 0 | | 0 | 0 |
| TOTAL | 1 | \$150 | | \$0 | \$150 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> |
|-------------|-------------|-------------|-------------|--------------|---------------------------------|
| 0 | 0 | 1 | 0 | 0 | 1 |

JOINT COMMITTEE ON ADMINISTRATIVE RULES

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------------|--|--|---------------------------------|--|----------------------------|----------------------|
| Copies of Public Records | For certification and copies of public records. | IRS 1991, ch. 127, par. 1005-90 2 IAC, ch. III, sec. 76.400 | Some | \$.25/copy—paper to paper \$.50/copy—microfilm to paper | \$150 (1992 to date) | General Revenue Fund |
| | | | | \$1/certification Cost of material for records possessed in book or pamphlet form (\$5/annual report or Text of Objectives and Recommendations) | | |
| | Number who paid this fee in Fiscal Year 1992 : 3 | | | Fee rate was set by rule and has been in effect since October 1985. | | |

LEGISLATIVE INFORMATION SYSTEM

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|---------------------|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 0 | \$0 | \$0 | \$0 |
| Rule | 0 | \$0 | \$0 | \$0 |
| Other | 5 | \$0 | \$106,575 | \$106,575 |
| TOTAL | 5 | \$0 | \$106,575 | \$106,575 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number</u> |
|-------------|-------------|-------------|-------------|--------------|---------------------|
| | | | | | <u>Of Fees</u> |
| 5 | 0 | 0 | 0 | 0 | 5 |

LEGISLATIVE INFORMATION SYSTEM

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--|--|---------------------------|---------------------------------|---|----------------------------|--|
| Dial-up Access/Computer to Computer | To allow separate access to legislation with separate ID charged to main account. | 3 IAC, ch. 2, sec. 600.90 | Yes | \$450 per 15 hours of on-line access \$50 per sub-account | \$91,900 | General Assembly Computer Equipment Revolving Fund |
| Status of Legislation (Abbreviated Report and Synopsis Report) | Number who paid this fee in Fiscal Year 1992: 105 Printed report providing a full synopsis of all introduced legislation. (An additional report showing all legislation passed the General Assembly.) | 3 IAC, ch. 2, sec. 600.80 | Yes | Fee rate set by Legislative Information System Board and has been in effect since December 1991. \$125 per year | \$9,125 | General Assembly Computer Equipment Revolving Fund |
| Direct Access/Computer to Computer | Number who paid this fee in Fiscal Year 1992: 73 On-line legislation tracking. Number who paid this fee in Fiscal Year 1992: 1 | 3 IAC, ch. 2, sec. 600.70 | Yes | Fee rate set by Legislative Information System Director and has been in effect since December 1991. \$3,650 per year | \$3,650 | General Assembly Computer Equipment Revolving Fund |

IRS = Illinois Revised Statutes IAC = Illinois Administrative Code

LEGISLATIVE INFORMATION SYSTEM

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--|---|---------------------------------|---------------------------------|--|----------------------------|--|
| Status of Legislation/Abbreviated Report | Printed report showing bill number, short description of content, the sponsor, and last General Assembly action with date. | 3 IAC, ch. 2, sec. 600.80 | Yes | \$50 per year | \$1,900 | General Assembly Computer Equipment Revolving Fund |
| Freedom of Information Act Fee | Number who paid this fee in Fiscal Year 1992: 38 Fees charged for copies of public records provided to requestors in accordance with the Freedom of Information Act. | IRS 1991, ch. 116, par. 206 (a) | Yes | Fee rate set by Legislative Information System Board and has been in effect since December 1990. \$.25 per page for non-subscription Xeroxed documents. | \$0 | General Revenue Fund |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | Fee rate set by Legislative Information System Director and has been in effect since December 1990. | | |

| LEGISLATIVE INFORMATION SYSTEM | |
|--|--|
| FEEES INTENDED TO COVER FULL COST | |
| <i>Total fees: 5</i> | <i>Fees intended to cover full cost: 5</i> |

This section contains the following information on fees intended to cover the full cost:

1. Fiscal Year 1992 cost of the service or program associated with the fee.
2. Items included in cost, such as fixed, administrative, or direct cost.
3. Whether agency-set fees covered projected service/program costs.
4. Whether the fee was collected before, during, or after services were provided.

LEGISLATIVE INFORMATION SYSTEM

| Fee Name | Authority | FISCAL YEAR 1992 Fee Revenue | Cost | What did cost include? | Was fee set to cover projected service/program costs? | When was fee collected? |
|--|--------------------------------|---------------------------------|--------------------|--|---|--|
| Dial-up Access/Computer to Computer | 3 IAC, ch. 2, sec. 600.90 | \$91,900 | \$91,900 (est.) | Fixed, Administration, and Direct. | Yes | Before for start up and during for continued service |
| Status of Legislation (Abbreviated Report & Synopsis Report) | 3 IAC, ch. 2, sec. 600.80 | \$9,125 | \$9,000 (est.) | Fixed, Administration, and Direct. | Yes | Before |
| Direct Access/Computer to Computer | 3 IAC, ch. 2, sec. 600.70 | \$3,650 | \$3,650 | Fixed line charge, administration, direct, and computer services charges. | Yes | Before |
| Status of Legislation/Abbreviated Report | 3 IAC, ch. 2, sec. 600.80 | \$1,900 | \$1,900 (est.) | Fixed, administration, and direct costs. | Yes | Before |
| Freedom of Information Act Fee | IRS 1991, ch. 116, par. 206(a) | \$0 | N/A | N/A | N/A | N/A |

LEGISLATIVE REFERENCE BUREAU

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|---------------------|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 1 | \$34,210 | \$0 | \$34,210 |
| Rule | 0 | \$0 | \$0 | \$0 |
| Other | 1 | \$0 | \$0 | \$0 |
| TOTAL | 2 | \$34,210 | \$0 | \$34,210 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> |
|-------------|-------------|-------------|-------------|--------------|---------------------------------|
| | | | | | |

LEGISLATIVE REFERENCE BUREAU

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------------------|---|---------------------------------|---------------------------------|--|----------------------------|----------------------|
| Digest Subscription Fee | Fee for annual subscription to Legislative Reference Bureau's "Legislative Synopsis and Digest". | IRS 1991, ch. 63, par. 29.2 | No | \$55 per calendar year | \$34,210 | General Revenue Fund |
| | Number who paid this fee in Fiscal Year 1992: 622 | | | | | |
| Freedom of Information Act Fee | Fees charged for copies of public records provided to requestors in accordance with the Freedom of Information Act. | IRS 1991, ch. 116, par. 206 (a) | Yes | \$.50 per page | \$0 | General Revenue Fund |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | | | |
| | | | | Fee rate set by statute and has been in effect since January 1, 1980. | | |
| | | | | Fee rate set by Legislative Reference Bureau Board Resolution 92-1 and has been in effect since September 3, 1992. | | |

| LEGISLATIVE REFERENCE BUREAU FEES INTENDED TO COVER FULL COST | |
|--|--|
| <i>Total fees: 2</i> | <i>Fees intended to cover full cost: 1</i> |

This section contains the following information on fees intended to cover the full cost:

1. Fiscal Year 1992 cost of the service or program associated with the fee.
2. Items included in cost, such as fixed, administrative, or direct cost.
3. Whether agency-set fees covered projected service/program costs.
4. Whether the fee was collected before, during, or after services were provided.

LEGISLATIVE REFERENCE BUREAU

| Fee Name | Authority | FISCAL YEAR 1992 Fee Revenue | Cost | What did cost include? | Was fee set to cover projected service/program costs? | When was fee collected? |
|----------|-----------|---------------------------------|------|---------------------------|---|----------------------------|
|----------|-----------|---------------------------------|------|---------------------------|---|----------------------------|

Freedom of Information Act Fee IRS 1991, ch. 116, par. 206(a)

\$0

Not Applicable

Not Applicable

Not Applicable

This fee was not collected in FY92 or previously.

LIQUOR CONTROL COMMISSION

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|---------------------|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 1 | \$0 | \$2,817,660 | \$2,817,660 |
| Rule | 0 | \$0 | \$0 | \$0 |
| Other | 0 | \$0 | \$0 | \$0 |
| TOTAL | 1 | \$0 | \$2,817,660 | \$2,817,660 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> | |
|-------------|--|-------------|-------------|-------------|--------------|---------------------------------|---|
| | | | | | | | |
| 1 | | 0 | 0 | 0 | 0 | 0 | 1 |

LIQUOR CONTROL COMMISSION

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--|----------------------------|--------------------------------|---|----------------------------|----------------|
| Liquor License Fee | A fee charged to regulate the alcohol beverage industry to protect the health, safety, and welfare of Illinois citizens. Number who paid this fee in Fiscal Year 1992: 23,797 | IRS 1991, ch. 43, par. 118 | Yes | Class 1. Distiller: \$3,000 Class 2. Rectifier: \$3,000 Class 3. Brewer: \$750 Class 4. First-Class Wine Manufacturer: \$500 Class 5. Second-Class Wine Manufacturer: \$1,000 Class 6. First-Class Wine Maker: \$200 Class 7. Second-Class Wine Maker: \$400 Distributor's License: \$225 Non-resident Dealers License (over 500,000 gallons): \$225 Non-resident Dealers License (under 500,000 gallons): \$75 Wine-Maker's Retail License: \$50 Retailer's License: \$125 Railroad License: \$50 Boat License: \$150 Airplane License: \$50 Non-beverage User's License: Class 1: \$20 Class 2: \$50 Class 3: \$100 Class 4: \$200 Class 5: \$500 Broker's License: \$500 Fee rate set by statute and has been in effect since June 1990. | \$2,817,660 | Dram Shop Fund |

IRS = Illinois Revised Statutes IAC = Illinois Administrative Code

LIQUOR CONTROL COMMISSION

FEEES INTENDED TO COVER FULL COST

Total fees: 1

Fees intended to cover full cost: 1

This section contains the following information on fees intended to cover the full cost:

1. Fiscal Year 1992 cost of the service or program associated with the fee.
2. Items included in cost, such as fixed, administrative, or direct cost.
3. Whether agency-set fees covered projected service/program costs.
4. Whether the fee was collected before, during, or after services were provided.

LIQUOR CONTROL COMMISSION

| Fee Name | Authority | FISCAL YEAR 1992 | Cost | What did cost include? | Was fee set to cover projected service/program costs? | When was fee collected? |
|----------|-----------|------------------|------|------------------------|---|-------------------------|
|----------|-----------|------------------|------|------------------------|---|-------------------------|

| | | | | | | |
|--------------------|----------------------------|-------------|-------------|----------------------|-----------------|--------|
| Liquor License Fee | IRS 1991, ch. 43, par. 118 | \$2,817,660 | \$1,575,750 | Administrative costs | Yes, by statute | Before |
|--------------------|----------------------------|-------------|-------------|----------------------|-----------------|--------|

Note: Beginning in FY91, the retail liquor license fee was increased from \$75 to \$125. The additional \$50 per license is transferred into DASA's Youth Alcoholism and Substance Abuse Fund. In FY92 the amount transferred from the Dram Shop Fund to DASA's Youth Alcoholism and Substance Abuse Fund was \$1,080,100. In addition, \$1,750,000 was transferred from the Dram Shop Fund to the General Revenue Fund in FY92.

IRS = Illinois Revised Statutes IAC = Illinois Administrative Code

NORTHEASTERN ILLINOIS UNIVERSITY

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|---------------------|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 0 | \$0 | \$0 | \$0 |
| Rule | 0 | \$0 | \$0 | \$0 |
| Other | 16 | \$0 | \$265,940 | \$265,940 |
| TOTAL | 16 | \$0 | \$265,940 | \$265,940 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> |
|-------------|-------------|-------------|-------------|--------------|---------------------------------|
| 0 | 16 | 0 | 0 | 0 | 16 |

NORTHEASTERN ILLINOIS UNIVERSITY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Est. Revenue</u> | <u>Fund</u> |
|--------------------|---|-------------------------------|---------------------------------|--|-----------------------------|--|
| Graduation Fee | To cover the cost of diplomas and related charges. | IRS 1991, ch. 144, sec. 1001a | Most | \$11.00 per student | \$17,633 | Northeastern Illinois University Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 1,785 | | | Fee rate set by the Board of Governors and has been in effect since Fall 1989. | | |
| Transcript | To cover the cost of producing transcripts. | IRS 1991, ch. 144, sec. 1001a | Most | \$10.00 per student | \$95,785 | Northeastern Illinois University Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 9,579 | | | Fee rate set by the Board of Governors and has been in effect since Fall 1990. | | |
| Science Lab | To cover the cost of laboratory supplies and materials. | IRS 1991, ch. 144, sec. 1001a | Most | \$5.00 per course | \$10,555 | Northeastern Illinois University Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 1,545 | | | Fee rate set by the Board of Governors and has been in effect prior to 1979. | | |
| Biology Lab Fee | To cover the cost of laboratory supplies and materials | IRS 1991, ch. 144, sec. 1001a | Most | \$5.00 per course | \$295 | Northeastern Illinois University Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 59 | | | Fee rate set by the Board of Governors and has been in effect prior to 1979. | | |

IRS = Illinois Revised Statutes IAC = Illinois Administrative Code

NORTHEASTERN ILLINOIS UNIVERSITY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Cost?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|-----------------------|---|-------------------------------|--------------------------------|--|----------------------------|--|
| Chemistry Lab Fee | To cover the cost of laboratory supplies and materials. | IRS 1991, ch. 144, sec. 1001a | Most | \$5.00 per course | \$430 | Northeastern Illinois University Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 82 | | | Fee rate set by the Board of Governors and has been in effect prior to 1979. | | |
| Earth Science Lab Fee | To cover the cost of laboratory supplies and materials | IRS 1991, ch. 144, sec. 1001a | Most | \$5.00 per course | \$445 | Northeastern Illinois University Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 89 | | | Fee rate set by the Board of Governors and has been in effect prior to 1979. | | |
| Computer Science Fee | To cover the cost of computer paper and supplies. | IRS 1991, ch. 144, sec. 1001a | Most | \$10.00 per course | \$21,030 | Northeastern Illinois University Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 953 | | | Fee rate set by the Board of Governors and has been in effect since Fall 1981. | | |
| Art Materials | To cover the cost of art material and supplies. | IRS 1991, ch. 144, sec. 1001a | Most | \$10, \$5, \$3 per course | \$5,993 | Northeastern Illinois University Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 1,013 | | | Fee rate set by the Board of Governors and has been in effect prior to 1979. | | |

NORTHEASTERN ILLINOIS UNIVERSITY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|------------------------------|---|-------------------------------|---------------------------------|--|----------------------------|--|
| Applied Music Materials | To cover the cost of music materials and supplies. | IRS 1991, ch. 144, sec. 1001a | Most | \$10, \$5 per course | \$2,025 | Northeastern Illinois University Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 228 | | | Fee rate set by the Board of Governors and has been in effect prior to 1979. | | |
| Counselor ED Fee | To cover the cost of test and education materials for selected counselor courses. | IRS 1991, ch. 144, sec. 1001a | Most | \$15.00 per student | \$2,002 | Northeastern Illinois University Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 133 | | | Fee rate set by the Board of Governors and has been in effect since 1992. | | |
| BOG/BA Program Fee | To cover the cost of reviewing a prospective students' prior learning portfolio. | IRS 1991, ch. 144, sec. 1001a | Most | \$30.00 per person | \$990 | Northeastern Illinois University Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 33 | | | Fee rate set by the Board of Governors and has been in effect prior to 1979. | | |
| Late Charge/Deferred Payment | The fee's purpose is to deter students from paying their bills late; is used to cover processing costs. | IRS 1991, ch. 144, sec. 1001a | Most | \$3.00 per semester | \$55,617 | Northeastern Illinois University Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 18,539 | | | Fee rate set by the Board of Governors and has been in effect prior to 1979. | | |

NORTHEASTERN ILLINOIS UNIVERSITY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Included to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---------------------------------------|---|-------------------------------|-------------------------------------|-----------------------------|--------------------------------|--|
| NSF Check Fee | To cover the institution's cost of receiving NSF checks. Number who paid this fee in Fiscal Year 1992: 247 | IRS 1991, ch. 144, sec. 1001a | Most | \$15.00 per entry | \$3,702 | Northeastern Illinois University Income Fund |
| Penalties/Fines, Late Fee Book Return | Fees purpose is to deter similar behavior in the future; is used to cover processing cost. Number who paid this fee in Fiscal Year 1992: Not Available | IRS 1991, ch. 144, sec. 1001a | Most | Varies | \$19,448 | Northeastern Illinois University Income Fund |
| Late Withdrawal | To cover processing cost. Number who paid this fee in Fiscal Year 1992: 952 | IRS 1991, ch. 144, sec. 1001a | Most | \$10.00 per semester | \$9,520 | Northeastern Illinois University Income Fund |
| Late Payment | To cover processing cost. Number who paid this fee in Fiscal Year 1992: 2,047 | IRS 1991, ch. 144, sec. 1001a | Most | \$10.00 per semester | \$20,470 | Northeastern Illinois University Income Fund |

NORTHERN ILLINOIS UNIVERSITY

| <u>Fee Rate Set By</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|------------------------------------|---------------------|---------------------|-----------------------------------|
| | <u>General Revenue Fund</u> | <u>Special Fund</u> | | |
| Statute | \$0 | \$0 | \$0 | \$0 |
| Rule | \$0 | \$0 | \$0 | \$0 |
| Other | \$0 | \$35,478,746 | \$35,478,746 | \$35,478,746 |
| TOTAL | \$0 | \$35,478,746 | \$35,478,746 | \$35,478,746 |

| <u>Number of Fees</u> |
|-----------------------|
| 0 |
| 0 |
| 5 |
| 5 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> | |
|-------------|-------------|-------------|-------------|--------------|---------------------------------|---|
| | | | | | | |
| 0 | 0 | 5 | 0 | 0 | 5 | 5 |

NORTHERN ILLINOIS UNIVERSITY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---------------------|---|---|---------------------------------|---|----------------------------|--|
| Tuition/Course Fees | Pay a portion of the costs associated with instruction of students enrolled in the university. | IRS 1991, ch. 144, par. 308 (d) Board of Regents Rules, Section VI, Subsection L | Some | \$75 per in-state undergraduate credit hour \$76 per in-state graduate credit hour \$225 per out-of-state undergraduate credit hour \$228 per out-of-state graduate credit hour Plus applicable specific course fees at \$2 - \$108 per course. | \$34,617,100 | Northern Illinois University Income Fund |
| Extension Fees | Number who paid this fee in Fiscal Year 1992: 19,600 (est.) Pay a portion of facility costs for instruction at off-campus sites. Number who paid this fee in Fiscal Year 1992: 2,700 (est.) | IRS 1991, ch. 144, par. 308 (d) Board of Regents Rules, Section VI, Subsection L | Some | Fee rate set by Board of Regent Rules and has been in effect since 1991. \$25 and \$104 per credit hour Fee rate set by Board of Regent Rules and has been in effect since 1991. | \$548,200 | Northern Illinois University Income Fund |

IRS = Illinois Revised Statutes IAC = Illinois Administrative Code

NORTHERN ILLINOIS UNIVERSITY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--|---|---------------------------------|--|----------------------------|--|
| Library Fines | To encourage borrowers to promptly return books and/or pay for lost books. | IRS 1991, ch. 144, par. 308 (d) Board of Regents Rules, Section VI, Subsection I | Some | Varies depending on length overdue and number borrowed plus value of book. | \$0 | Northern Illinois University Income Fund |
| | Number who paid this fee in Fiscal Year 1992: Not Available | | | Fee rate set by Board of Regent Rules and has been in effect since: Not Available. | | |
| Graduation Fee | Pay costs associated with student commencement services. | IRS 1991, ch. 144, par. 308 (f) Board of Regents Rules, Section VI, Subsection I | Some | \$30 per Graduate Student \$24 per Undergraduate Student | \$140,000 | Northern Illinois University Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 5,500 (est.) | | | Fee rate set by Board of Regent Rules and has been in effect since 1991. | | |

NORTHERN ILLINOIS UNIVERSITY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|---|---|---------------------------------|-------------------------|----------------------------|--|
| Transcript Fee | Pay costs associated with mailing of student transcripts. | IRS 1991, ch. 144, par. 308 (d) Board of Regents Rules, Section VI, Subsection M | Some | \$3.50 per transcript | \$173,446 | Northern Illinois University Income Fund |

Number who paid this fee in Fiscal Year 1992: 18,000 (est.)
(Approximately 50,000 transcripts provided.)

Fee rate set by Board of Regent Rules and has been in effect since 1985.

OFFICE OF THE STATE FIRE MARSHAL

| <u>Fee Rate Set By</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|------------------------------------|---------------------|--|-----------------------------------|
| | <u>General Revenue Fund</u> | <u>Special Fund</u> | | |
| Statute | \$0 | \$3,646,069 | | \$3,646,069 |
| Rule | \$0 | \$0 | | \$0 |
| Other | \$0 | \$250 | | \$250 |
| TOTAL | \$0 | \$3,646,319 | | \$3,646,319 |

| <u>Number of Fees</u> |
|-----------------------|
| 17 |
| 0 |
| 3 |
| 20 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> |
|-------------|-------------|-------------|-------------|--------------|---------------------------------|
| 17 | 1 | 0 | 2 | 0 | 20 |

OFFICE OF THE STATE FIRE MARSHAL

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--|---|--|---------------------------------|--|----------------------------|-------------------------------|
| Removal/Installation Permit Fee & Contractors Registration Fee | To control removal and installation so agency can have an inspector on site to control the quality of contractors' work. | IRS 1991, ch. 127 1/2, par. 154 (3)(c) | No | \$100 per type | \$538,175 | Fire Prevention Fund |
| Annual and Late Registration Fee - Underground Storage Tank | Number who paid this fee in Fiscal Year 1992: Not Available | | | | | |
| | To register tanks and allow owner to limit liability to the first \$10,000 of clean-up costs if a leak occurs; the owner is responsible for costs over \$1 million. Fund pays between \$10,000 and \$1 million. | IRS 1991, ch. 127 1/2, par. 156 (3)(A) | No | \$500 per underground storage tank other than heating oil underground storage tank | \$2,031,821 | Underground Storage Tank Fund |
| | Number who paid this fee in Fiscal Year 1992: Not Available | IRS 1991, ch. 127 1/2, par. 156 (3)(B) | | \$100 per heating oil underground storage tank registered before July 2, 1992 | | |
| | | | | \$500 per heating oil underground storage tank registered after July 1, 1992 | | |
| | | | | Fee rate set by statute and has been in effect since: Not Available | | |

* Fee rates are proposed rules in the "Illinois Register" pending adoption.

IRS = Illinois Revised Statutes IAC = Illinois Administrative Code

OFFICE OF THE STATE FIRE MARSHAL

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|-----------------------------------|--|----------------------------------|---------------------------------|--|----------------------------|----------------------|
| Boiler Inspection/Certificate Fee | To pay for costs of inspections of boilers and pressure vessels and cost of issuing a certificate to be displayed near the vessel. | IRS 1991, ch. 111 1/2, par. 3214 | Yes | \$20 per internal inspection of boilers of 5 HP or less, or 50 sq. ft. or less of heating surface \$40 per internal inspection of boilers over 5 HP or over 50 sq. ft. of heating surface \$20 per external inspection of power boilers \$20 per inspection of heating boilers and hot water supply boilers \$15 per internal or external inspection of each pressure vessel subject to inspection having a cross sectional area of 50 sq. ft. or less \$15 for each additional 100 sq. ft. of area in excess of 50 sq. ft. \$40 where it is necessary to make a special trip to witness the application of a hydrostatic test | \$238,558 | Fire Prevention Fund |

OFFICE OF THE STATE FIRE MARSHAL

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|

| | | | | | | |
|--|--|-------------------------------------|-----|--|----------|----------------------|
| Boiler Inspection Certificate Fee CONT... | Number who paid this fee in Fiscal Year 1992: Not Available | | | Fee rate set by statute and has been in effect since: Not Available | | |
| Inspection Fees Shop/Reviews | Shop inspections or the inspection of second hand or used boilers or pressure vessels. | IRS 1991, ch. 111 1/2, par. 3214 | Yes | \$150 for one-half day (4 hours), and \$260 for one full day (8 hours), plus all expenses, including travel and hotel. | \$18,165 | Fire Prevention Fund |
| Examination Fee | Number who paid this fee in Fiscal Year 1992: 42 | | | Fee rate set by statute and has been in effect since 1990. | | |
| | Fee for written examination for Chief, Deputy, and Special Inspectors. | IRS 1991, ch. 111 1/2, par. 3210(a) | Yes | \$15 | \$420 | Fire Prevention Fund |
| Commission Fee | Number who paid this fee in Fiscal Year 1992: 28 | | | Fee rate set by statute and has been in effect since 1980. | | |
| | Fee for issuance of commission. | IRS 1991, ch. 111 1/2, par. 3210(a) | Yes | \$10 | \$560 | Fire Prevention Fund |
| | Number who paid this fee in Fiscal Year 1992: 56 | | | Fee rate set by statute and has been in effect since 1980. | | |

OFFICE OF THE STATE FIRE MARSHAL

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|----------------------------|--|--------------------------------------|---------------------------------|-------------------------|----------------------------|----------------------|
| Renewal Fee | Annual renewal fee for commission. Number who paid this fee in Fiscal Year 1992: 290 | IRS 1991, ch. 111 1/2, par. 3210(a) | Yes | \$5 | \$1,450 | Fire Prevention Fund |
| Inspector Supervision Fee | Fee for the supervision and approval of the inspection of boilers and pressure vessels by the inspector. Number who paid this fee in Fiscal Year 1992: 0 | IRS 1991, ch. 111 1/2, par. 3211 (B) | Yes | \$20 | \$0 | Fire Prevention Fund |
| Inspection Certificate Fee | Fee for certificate specifying the maximum pressure under which the boiler or pressure vessel may be operated. Number who paid this fee in Fiscal Year 1992: 48,303 | IRS 1991, ch. 111 1/2, par. 3212 (b) | Yes | \$15 | \$746,535 | Fire Prevention Fund |

OFFICE OF THE STATE FIRE MARSHAL

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|

| | | | | | | |
|--------------------------------------|--|--------------------------------------|-----|--|-------|----------------------|
| Pressure Vessel Annual Statement Fee | Fee for statements covering a specified number of vessels. | IRS 1991, ch. 111 1/2, par. 3212 (c) | Yes | Fee for statements covering not more than 25 vessels: \$3 per vessel | \$0 | Fire Prevention Fund |
| | | | | Fee for statements covering more than 25 but less than 101 vessels: \$75 | \$75 | Fire Prevention Fund |
| | | | | Fee for statements covering more than 100 but less than 501 vessels: \$150 | \$750 | Fire Prevention Fund |
| | | | | Fee for statements covering more than 500 vessels: \$300 | \$300 | Fire Prevention Fund |

Number who paid this fee in
Fiscal Year 1992: 7

OFFICE OF THE STATE FIRE MARSHAL

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---|---|--|---------------------------------|--|----------------------------|----------------------|
| Fire Equipment Board Fees/Contractor Registration | To control shoddy work which was allegedly being done by companies involved in fire extinguisher inspections. | IRS 1991, ch. 111, par. 8010 (a), (b), & (c) | Most | \$100 per Class A type of license: \$14,600 \$200 per Class B type of license: \$18,200 \$300 per Class C type of license: \$9,000 | \$41,800 | Fire Prevention Fund |
| | Number who paid this fee in Fiscal Year 1992: 166 companies, 5 of which are branches | | | Fee rate set by statute and has been in effect since: January 1992. | | |
| Class 1 Fire Equipment Employee License | License for servicing, recharging, hydro-testing, installing, maintaining, or inspecting all types of fire extinguishers. | IRS 1991, ch. 111, par. 8010 (d) | Yes | \$20 | \$15,700 | Fire Prevention Fund |
| | Number who paid this fee in Fiscal Year 1992: 785 | | | Fee rate set by statute and has been in effect since January 1989. | | |

OFFICE OF THE STATE FIRE MARSHAL

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1997 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---|---|----------------------------------|---------------------------------|-------------------------|----------------------------|----------------------|
| Class 2 Fire Equipment Employee License | License for servicing, recharging, hydro-testing, installing, maintaining, or inspecting all types of pre-engineered fire extinguishing systems. Number who paid this fee in Fiscal Year 1992: 423 | IRS 1991, ch. 111, par. 8010 (e) | Yes | \$20 | \$8,460 | Fire Prevention Fund |
| Class 3 Fire Equipment Employee License | License for servicing, recharging, hydro-testing, maintaining, inspecting, or engineering all types of engineered fire extinguishing systems. Number who paid this fee in Fiscal Year 1992: 165 | IRS 1991, ch. 111, par. 8010 (f) | Yes | \$20 | \$3,300 | Fire Prevention Fund |
| Lapsed License Fee | Fee for reinstatement of license. Number who paid this fee in Fiscal Year 1992: 0 | IRS 1991, ch. 111, par. 8014 (b) | Yes | \$100 | \$0 | Fire Prevention Fund |

OFFICE OF THE STATE FIRE MARSHAL

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---------------------------------|--|----------------------------------|---------------------------------|-------------------------|----------------------------|----------------------|
| Insufficient Funds Fee | Fee charged for checks not honored by the financial institution upon which it is drawn. | IRS 1991, ch. 111, par. 8015 | Yes | \$50 | \$0 | Fire Prevention Fund |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | | | |
| Lapsed License Fee | Fee imposed for practicing without a current license. | IRS 1991, ch. 111, par. 8015 | Yes | \$100 | \$0 | Fire Prevention Fund |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | | | |
| * Branch Office Certificate Fee | Fee for branch office certificate to be displayed at place from which the practice is being performed. | IRS 1991, ch. 111, par. 8016 (e) | Yes | \$50 | \$250 | Fire Prevention Fund |
| | Number who paid this fee in Fiscal Year 1992: 5 | | | | | |
| * Duplicate Certificate Fee | Fee for replacement of lost certificate or license. | IRS 1991, ch. 111, par. 8016 (f) | Yes | \$20 | \$0 | Fire Prevention Fund |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | | | |

Fee rate set by statute and has been in effect since January 1989.

Fee rate set by statute and has been in effect since January 1989.

Fee rate proposed by rule and has been in effect since January 1989.

Fee rate proposed by rule and has been in effect since January 1989.

OFFICE OF THE STATE FIRE MARSHAL

| <i>Name of Fee</i> | <i>Purpose/Use</i> | <i>Authority</i> | <i>Intended to Cover Costs?</i> | <i>FY 1992 Fee Rate</i> | <i>FY 1992 Fee Revenue</i> | <i>Fund</i> |
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|
|--------------------|--------------------|------------------|---------------------------------|-------------------------|----------------------------|-------------|

| | | | | | | |
|--------------------------------|---|----------------------------------|-----|------|-----|----------------------|
| * License Fee - Change of Name | Fee for change of name on required license. | IRS 1991, ch. 111, par. 8016 (f) | Yes | \$20 | \$0 | Fire Prevention Fund |
|--------------------------------|---|----------------------------------|-----|------|-----|----------------------|

Number who paid this fee in Fiscal Year 1992: 0

Fee rate proposed by rule and has been in effect since January 1989.

OFFICE OF THE STATE FIRE MARSHAL

FEES INTENDED TO COVER FULL COST

Total fees: 20

Fees intended to cover full cost: 17

This section contains the following information on fees intended to cover the full cost:

1. Fiscal Year 1992 cost of the service or program associated with the fee.
2. Items included in cost, such as fixed, administrative, or direct cost.
3. Whether agency-set fees covered projected service/program costs.
4. Whether the fee was collected before, during, or after services were provided.

OFFICE OF THE STATE FIRE MARSHAL

| Fee Name | Authority | FISCAL YEAR 1992 Fee Revenue | Cost | What did cost include? | Was fee set to cover projected service/program costs? | When was fee collected? |
|---|---|---------------------------------|------|---------------------------|---|--|
| Boiler Inspection/Certificate Fee | IRS 1991, ch. 111 1/2, par. 3214 | \$238,558 | * | ** | Yes | Upon issuance of certificate; After inspection |
| Inspection Fees Shop/Reviews | IRS 1991, ch. 111 1/2, par. 3214 | \$18,165 | * | ** | Yes | After inspection |
| Examination Fee | IRS 1991, ch. 111 1/2, par. 3210(a) | \$420 | * | ** | Yes | Upon application for examination |
| Commission Fee | IRS 1991, ch. 111 1/2, par. 3210(a) | \$560 | * | ** | Yes | Upon application for commission |
| Renewal Fee | IRS 1991, ch. 111 1/2, par. 3210(a) | \$1,450 | * | ** | Yes | Upon application |
| Inspector Supervision Fee | IRS 1991, ch. 111 1/2, par. 3211 (B) | \$0 | * | ** | Yes | Not Available |

* The costs of the Division of Boiler and Pressure Vessel Safety are in the aggregate supported by these fees.

** All line items for the entire Division of Boiler and Pressure Vessel Safety are supported by the aggregate fees collected.

Note: The fees are used to support the division. The agency does not account for budget or expenditures at these detail levels.

IRS = Illinois Revised Statutes IAC = Illinois Administrative Code

OFFICE OF THE STATE FIRE MARSHAL

| Fee Name | Authority | FISCAL YEAR 1992 | | Was fee set to cover projected service/program costs? | When was fee collected? |
|---|--------------------------------------|------------------|-----------|--|---|
| | | Fee Revenue | Cost | | |
| Inspection Certificate Fee | IRS 1991, ch. 111 1/2, par. 3212 (b) | \$746,535 | * | ** | Upon issuance of certificate; After inspection |
| Pressure Vessel Annual Statement Fee | IRS 1991, ch. 111 1/2, par. 3212 (c) | \$1,125 | * | ** | Upon request for statement |
| Class 1 Fire Equipment Employee License | IRS 1991, ch. 111, par. 8010 (d) | \$15,700 | \$20 each | The cost includes: Application Processing, Examination/Travel/ Materials, Laminated ID cards, Enforcement, Inspection Hearings/Complaints | Upon initial application and yearly renewal (renewal one year from successfully completed exam) |
| Class 2 Fire Equipment Employee License | IRS 1991, ch. 111, par. 8010 (e) | \$8,460 | \$20 each | The cost includes: Application Processing, Examination/Travel/ Materials, Laminated ID cards, Enforcement, Inspection Hearings/Complaints | Upon initial application and yearly renewal (renewal one year from successfully completed exam) |

OFFICE OF THE STATE FIRE MARSHAL

FISCAL YEAR 1992

| <i>Fee Name</i> | <i>Authority</i> | <i>Fee Revenue</i> | <i>Cost</i> | <i>What did cost include?</i> | <i>Was fee set to cover projected service/program costs?</i> | <i>When was fee collected?</i> |
|---|----------------------------------|--------------------|-------------|--|--|---|
| Class 3 Fire Equipment Employee License | IRS 1991, ch. 111, par. 8010 (f) | \$3,300 | \$20 each | The cost includes: Application Processing, Examination/Travel/Materials, Laminated ID cards, Enforcement, Inspection Hearings/Complaints | Yes | Upon initial application and yearly renewal (renewal one year from successfully completed exam) |
| Lapsed License Fee | IRS 1991, ch. 111, par. 8014 (b) | \$0 | N/A | N/A | N/A | When company notifies this office in writing and pays fees. |
| Insufficient Funds Fee | IRS 1991, ch. 111, par. 8015 | \$0 | N/A | N/A | N/A | Not Available |
| Lapsed License Fee | IRS 1991, ch. 111, par. 8015 | \$0 | N/A | Reinstatement and issuance of new certificate. | N/A | Not Available |
| Branch Office Certificate Fee | IRS 1991, ch. 111, par. 8016 (e) | \$250 | \$20 | Certificate | Yes | Upon application or yearly renewal. |
| Duplicate Certificate Fee | IRS 1991, ch. 111, par. 8016 (f) | \$0 | N/A | New Certificate | N/A | Upon written request and expiration of need. |

OFFICE OF THE STATE FIRE MARSHAL

| <i>Fee Name</i> | <i>Authority</i> | <i>FISCAL YEAR 1992</i> | <i>Fee Revenue</i> | <i>Cost</i> | <i>What did cost include?</i> | <i>Was fee set to cover projected service/program costs?</i> | <i>When was fee collected?</i> |
|------------------------------|----------------------------------|-------------------------|--------------------|-------------|-------------------------------|--|--|
| License Fee - Change of Name | IRS 1991, ch. 111, par. 8016 (f) | | \$0 | N/A | New Certificate | N/A | Upon written notification of new name and submission of necessary documentation. |

POLLUTION CONTROL BOARD

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|---------------------|-------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 1 | \$0 | \$11,625 | \$11,625 |
| Rule | 0 | \$0 | \$0 | \$0 |
| Other | 3 | \$0 | \$17,507 | \$17,507 |
| TOTAL | 4 | \$0 | \$29,132 | \$29,132 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> |
|-------------|-------------|-------------|-------------|--------------|-----------------------------|
| 0 | 0 | 4 | 0 | 0 | 4 |

POLLUTION CONTROL BOARD

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenues</u> | <u>Fund</u> |
|--------------------|---|--|---------------------------------|---|-----------------------------|------------------------------|
| Case Filing Fee | This is a fee levied on entities which file a case with the Board, with some exceptions. The fee is intended to offset to a minor degree the associated costs of the Board's statutory duties. | IRS 1991, ch. 111 1/2, par. 1007.5 35 IAC, ch. I, par. 101.120 | Some | \$75 per case filed | \$11,625 | Pollution Control Board Fund |
| Photocopying Fees | Number who paid this fee in Fiscal Year 1992: 250 To offset to a minor degree the Board's associated costs of providing photocopies of materials to the public. Number who paid this fee in Fiscal Year 1992: Unknown | IRS 1991, ch. 111 1/2, par. 1007(a) 35 IAC, ch. I, sec. 101.121 | Some | Fee rate set by statute and has been in effect since January 1, 1989. \$.25 - \$.50 per page | \$13,037 | Pollution Control Board Fund |

POLLUTION CONTROL BOARD

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---|--|---------------------------------|---------------------------------|--|----------------------------|------------------------------|
| Subscription Service to Board Opinions and Orders | To offset to a minor degree the associated costs of providing a paper copy of the Board's final opinions and orders for an entire fiscal year. | 35 IAC, ch. I, sec. 101.162 (c) | Some | \$350 per fiscal year | \$4,470 | Pollution Control Board Fund |
| | Number who paid this fee in Fiscal Year 1992: 13 | | | | | |
| | | | | Fee rate set by Internal Board Administrative Decision and has been in effect since 1985 (estimate). | | |
| Freedom of Information Act Fee | Fees charged for copies of public records provided to requestors in accordance with the Freedom of Information Act. | IRS 1991, ch. 116, par. 206 (a) | Some | \$.25 - \$.50 per page | \$0 | Pollution Control Board Fund |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | Fee rate set by Internal Administrative Decision and has been in effect since March 15, 1988. | | |

PRISONER REVIEW BOARD

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|---------------------|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 0 | \$0 | \$0 | \$0 |
| Rule | 0 | \$0 | \$0 | \$0 |
| Other | 1 | \$0 | \$223 | \$223 |
| TOTAL | 1 | \$0 | \$223 | \$223 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | Total Number |
|-------------|-------------|-------------|-------------|--------------|----------------|
| | | | | | <u>Of Fees</u> |
| 0 | 0 | 1 | 0 | 0 | 1 |

PRISONER REVIEW BOARD

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|---|--------------------------------|---------------------------------|--|----------------------------|----------------------------|
| Photocopy Fee | To cover costs of service (and encourage meaningful requests). Number who paid this fee in Fiscal Year 1992: 7 | IRS 1991, ch. 116, par. 206(a) | Some | \$.25 per page (only when request is for more than 25 pages) | \$223 | Energy Administration Fund |
| | | | | Fee rate set by State Freedom of Information Act policy guidelines and has been in effect since (never revised). | | |

RACING BOARD

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|---------------------|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 2 | \$573,660 | \$0 | \$573,660 |
| Rule | 0 | \$0 | \$0 | \$0 |
| Other | 2 | \$18,994 | \$122,430 | \$141,424 |
| TOTAL | 4 | \$592,654 | \$122,430 | \$715,084 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> |
|-------------|-------------|-------------|-------------|--------------|---------------------------------|
| 1 | 0 | 0 | 3 | 0 | 4 |

RACING BOARD

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|---|--|---------------------------------|--|----------------------------|--------------------------|
| Fingerprint Fee | To cover cost of processing fingerprint cards to State and federal law enforcement agencies. Number who paid this fee in Fiscal Year 1992: 3,710 | Interagency Agreement Fee amount is set by the Director of State Police | Yes | \$33 per set of prints | \$122,430 | Fingerprint License Fund |
| Photo Fee | To generate State revenue. The Racing Board has no access to these funds. These funds are not directly used by the Racing Board to cover any program. The Racing Board operates out of the Agriculture Premium Fund. Number who paid this fee in Fiscal Year 1992: 9,497 | Illinois Racing Board's Policies and Procedures | No | \$2 per photo Fee rate set by the State Police and has been in effect since April 1991. | \$18,994 | General Revenue Fund |

Fee rate set by the Board and has been in effect since July 1989.

RACING BOARD

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Exempt to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|------------------------|--|---|-------------------------------|---|----------------------------|----------------------|
| Organization Fee | To generate State revenue. The Racing Board has no access to these funds. These funds are not directly used by the Racing Board to cover any program. The Racing Board operates out of the Agriculture Premium Fund. | IRS 1991, ch. 8, par. 37-18 | No | \$1,000 per application plus: \$100 for each racing program with a daily parimutuel handle between \$400,000 - \$700,000 or \$200 for each racing program with a daily parimutuel handle greater than \$700,000. | \$218,790 | General Revenue Fund |
| | Number who paid this fee in Fiscal Year 1992: 13 | | | Fee rate set by statute and has been in effect since August 1982. | | |
| Horsemen's License Fee | To generate State revenue. The Racing Board has no access to these funds. These funds are not directly used by the Racing Board to cover any program. The Racing Board operates out of the Agriculture Premium Fund. | IRS 1991, ch. 8, par. 37-15 11 IAC, ch. I, sec. 502.30 | No | \$25 per person for owner, trainer, driver, veterinarian, owner/trainer, owner/trainer/driver, jockey, apprentice jockey, jockey agent, vendor, partnership, starter, steward totalizator employee, and race official. | \$354,870 | General Revenue Fund |
| | | | | \$15 for assistant trainer and assistant veterinarian. | | |
| | | | | \$10 for exercise person, pony person, foreman, vendor helper, and valet. | | |
| | | | | \$5 for hot walker, groom, race track employee, and assistant starter. | | |

RACING BOARD

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Introduced to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--------------------|------------------|---------------------------------------|-----------------------------|--------------------------------|-------------|
|--------------------|--------------------|------------------|---------------------------------------|-----------------------------|--------------------------------|-------------|

Horseman's License Fees Cont. -

Number who paid this fee in
Fiscal Year 1992: 14,195

Fee rate set by statute
and has been in effect
since August 1982.

RACING BOARD

FEES INTENDED TO COVER FULL COST

Total fees: 4

Fees intended to cover full cost: 1

This section contains the following information on fees intended to cover the full cost:

1. Fiscal Year 1992 cost of the service or program associated with the fee.
2. Items included in cost, such as fixed, administrative, or direct cost.
3. Whether agency-set fees covered projected service/program costs.
4. Whether the fee was collected before, during, or after services were provided.

RACING BOARD

| Fee Name | Authority | FISCAL YEAR 1992 Fee Revenue | Cost | What did cost include? | Was fee set to cover projected service/program costs? | When was fee collected? |
|----------|-----------|---------------------------------|------|---------------------------|---|----------------------------|
|----------|-----------|---------------------------------|------|---------------------------|---|----------------------------|

| | | | | | | |
|-----------------|---|-----------|--------------------|--|----|--------|
| Fingerprint Fee | Interagency Agreement Fee amount is set by the Director of State Police | \$122,430 | \$12,000 (est.) | Cost includes personal services at \$11,300 and \$700 in commodity costs (ink pads, soap, towels). Fingerprints are taken by License Clerks. Their annual combined payroll is \$113,000. The Board estimates they spend 10% of their time taking fingerprints. | No | Before |
|-----------------|---|-----------|--------------------|--|----|--------|

Note: The Racing Board's cost to perform the fingerprint collection function is estimated at \$12,000. This cost is not recoverable or subsidized by the fingerprint fees collected from licensees. Those fees are remitted in total to the Department of State Police.

IRS = Illinois Revised Statutes IAC = Illinois Administrative Code

SANGAMON STATE UNIVERSITY

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|---------------------|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 0 | \$0 | \$0 | \$0 |
| Rule | 0 | 0 | 0 | 0 |
| Other | 8 | 0 | 4,927,599 | 4,927,599 |
| TOTAL | 8 | \$0 | \$4,927,599 | \$4,927,599 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| | <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> |
|--|-------------|-------------|-------------|-------------|--------------|---------------------------------|
| | 1 | 0 | 4 | 3 | 0 | 8 |

SANGAMON STATE UNIVERSITY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|-----------------------|--|--|---------------------------------|-------------------------|--|-----------------|
| Late Registration Fee | To deter late registration after the add/drop period. Number who paid this fee in Fiscal Year 1992 : 73 (est.) | Board of Regents Regulations, V, M, 2 | No | \$10 per transaction | \$722 | SSU Income Fund |
| Program Change Fee | To deter changes after the add/drop period. Number who paid this fee in Fiscal Year 1992 : 221 (est.) | Board of Regents Regulations, V, M, 2 | No | \$5 per transaction | \$1,102 | SSU Income Fund |
| Transcript Fee | To augment cost of preparing and mailing official transcripts. Number who paid this fee in Fiscal Year 1992 : Not available | Board of Regents Regulations, V, M, 2 | Some | \$2 per transcript | \$0 (adjusted after a correction of a prior year deposit) | SSU Income Fund |

IRS = Illinois Revised Statutes IAC = Illinois Administrative Code

SANGAMON STATE UNIVERSITY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Excluded to FY 1992 Cover Count</u> | <u>FY 1992 Fee Rate</u> | <u>Fund</u> |
|--------------------|--------------------|------------------|--|-------------------------|-------------|
|--------------------|--------------------|------------------|--|-------------------------|-------------|

| | | | | | | |
|----------------|---|--|------|--------|-------------|-----------------|
| Tuition | Tuition is assessed to students to offset a portion of the cost of education. | IRS 1991, ch. 144, par. 308 Board of Regents Regulations, V, I | Some | Varies | \$4,875,808 | SSU Income Fund |
|----------------|---|--|------|--------|-------------|-----------------|

Number who paid this fee in Fiscal Year 1992 : 10,393 (est.)

Fee rate was set by Board of Regents and has been in effect since August 1992.

| | | | | | | |
|------------------------|---|--|------|--|----------|-----------------|
| Graduation Fees | To help defray cost of diplomas, diploma covers, diploma mailing costs, and commencement costs. | Board of Regents Regulations, V, M, 2 | Some | \$20 per Bachelor's degree \$25 per Master's degree | \$21,588 | SSU Income Fund |
|------------------------|---|--|------|--|----------|-----------------|

Number who paid this fee in Fiscal Year 1992 : 989 (est.)

Fee rate was set by University and has been in effect since 1985.

| | | | | | | |
|-------------------------|---|--|------|--|----------|-----------------|
| Late Payment Fee | To help cover the cost of student billing and follow-up of past due accounts. | Board of Regents Regulations, V, M, 2 | Some | 1% per month of the billed and past due amount | \$24,057 | SSU Income Fund |
|-------------------------|---|--|------|--|----------|-----------------|

Number who paid this fee in Fiscal Year 1992 : 4,822 (est.)

Fee rate was set by University and has been in effect since August 1987.

| | | | | | | |
|----------------------|--|--|----|-------------------------------------|---------|-----------------|
| Library Fines | To encourage students to return library books on a timely basis. | Board of Regents Regulations, V, M, 3 | No | \$2 per book after 14 days past due | \$2,910 | SSU Income Fund |
|----------------------|--|--|----|-------------------------------------|---------|-----------------|

Number who paid this fee in Fiscal Year 1992 : 290 (est.)

Fee rate was set by University and has been in effect since July 1991.

SANGAMON STATE UNIVERSITY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|--|---------------------------------------|---------------------------------|-------------------------|----------------------------|-----------------|
| Course Fees | To cover the cost of additional materials or supplies required by a few specific courses, excluding telecourses. Number who paid this fee in Fiscal Year 1992 : 70 (est.) | Board of Regents Regulations, V, M, 3 | Yes | Varies | \$1,412 | SSU Income Fund |

Fee rate was set by University and has been in effect since (not available).

SOUTHERN ILLINOIS UNIVERSITY AT CARBONDALE

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|---------------------|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 0 | \$0 | \$0 | \$0 |
| Rule | 0 | \$0 | \$0 | \$0 |
| Other | 31 | \$0 | \$42,611,808 | \$42,611,808 |
| TOTAL | 31 | \$0 | \$42,611,808 | \$42,611,808 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> |
|-------------|-------------|-------------|-------------|--------------|---------------------------------|
| 0 | 31 | 0 | 0 | 0 | 31 |

SOUTHERN ILLINOIS UNIVERSITY AT CARBONDALE

| <u>Name of Fee</u> | <u>Parsons/Um</u> | <u>Authority</u> | <u>Exempted to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---------------------------------------|---|--|-------------------------------------|---|--------------------------------|--|
| Tuition, SIUC on Campus | Institutional in nature. | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$68.25 per semester hour for Illinois residents \$204.75 per semester hour for Non-Illinois residents | \$30,179,272 | Southern Illinois University Carbondale Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 19,307 | | | Fee rate set by the Board of Trustees and has been in effect since June 1992. | | |
| Tuition, Off Campus Degree Program | Institutional in nature. | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$204.75 per semester hour | \$2,909,645 | Southern Illinois University Carbondale Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 5,562 | | | Fee rate set by the Board of Trustees and has been in effect since June 1992. | | |
| Tuition (School of Law) | Institutional in nature. | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$110 per semester hour for Illinois residents \$330 per semester hour for Non-Illinois residents | \$506,577 | Southern Illinois University Carbondale Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 253 | | | Fee rate set by the Board of Trustees and has been in effect since June 1992. | | |

IRS = Illinois Revised Statutes IAC = Illinois Administrative Code

SOUTHERN ILLINOIS UNIVERSITY AT CARBONDALE

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---|--|--|---------------------------------|-------------------------|----------------------------|--|
| Tuition (School of Medicine) | Institutional in nature. Number who paid this fee in Fiscal Year 1992: 180 | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$2,914 per semester | \$1,388,622 | Southern Illinois University Carbondale Income Fund |
| Off Campus Degree Program | Program delivery charge. Number who paid this fee in Fiscal Year 1992: 5,562 | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$144 per semester hour | \$7,135,186 | Southern Illinois University Carbondale Income Fund |
| Agriculture Education and Mechanization | Miscellaneous instructional fee. Number who paid this fee in Fiscal Year 1992: 21 | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$15 per semester hour | \$315 | Southern Illinois University Carbondale Income Fund |
| Allied Health Careers Lab Fee | Miscellaneous instructional fee. Number who paid this fee in Fiscal Year 1992: 57 | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$50 per semester | \$2,848 | Southern Illinois University Carbondale Income Fund |

SOUTHERN ILLINOIS UNIVERSITY AT CARBONDALE

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---|--|--|---------------------------------|---|----------------------------|--|
| Art Studio Fee | Supplies fee. | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$5 - \$100 per semester | \$44,947 | Southern Illinois University Carbondale Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 3,000 | | | Fee rate set by the Board of Trustees and has been in effect since June 1991. | | |
| Curriculum Instruction and Media | Lab fee. | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$10 per semester | \$3,380 | Southern Illinois University Carbondale Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 338 | | | Fee rate set by the Board of Trustees and has been in effect since June 1984. | | |
| Cinema and Photography Fee | Supplies fee. | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$15 per semester | \$19,892 | Southern Illinois University Carbondale Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 1,326 | | | Fee rate set by the Board of Trustees and has been in effect since June 1992. | | |
| College of Business Administration Graduate Application Fee | College of Business administration graduate application fee. | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$15 per student | \$5,190 | Southern Illinois University Carbondale Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 345 | | | Fee rate set by the Board of Trustees and has been in effect since June 1991. | | |

SOUTHERN ILLINOIS UNIVERSITY AT CARBONDALE

| Name of Fee | Purpose/Use | Authority | Intended to | | FY 1992 | | Fund |
|-------------|-------------|-----------|--------------|----------|-------------|-----|------|
| | | | Cover Costs? | Fee Rate | Fee Revenue | Fee | |

| | | | | | | | |
|-----------------------------------|---|--|------|-------------------|-------|--|--|
| Education Psychology Test Kit Fee | Supply fee. Number who paid this fee in Fiscal Year 1992: 20 | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$10 per semester | \$200 | | Southern Illinois University Carbondale Income Fund |
|-----------------------------------|---|--|------|-------------------|-------|--|--|

| | | | | | | | |
|--------------------|---|--|------|-------------------|---------|--|--|
| Electrical Science | Instructional fee. Number who paid this fee in Fiscal Year 1992: 433 | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$10 per semester | \$4,334 | | Southern Illinois University Carbondale Income Fund |
|--------------------|---|--|------|-------------------|---------|--|--|

| | | | | | | | |
|-------------|---|--|------|------------------|---------|--|--|
| Engineering | Instructional fee. Number who paid this fee in Fiscal Year 1992: 686 | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$3 per semester | \$2,058 | | Southern Illinois University Carbondale Income Fund |
|-------------|---|--|------|------------------|---------|--|--|

| | | | | | | | |
|----------------------------------|--|--|------|-------------------|-------|--|--|
| English Department Screening Fee | Instructional fee. Number who paid this fee in Fiscal Year 1992: 60 | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$10 per semester | \$600 | | Southern Illinois University Carbondale Income Fund |
|----------------------------------|--|--|------|-------------------|-------|--|--|

| | | | | | | | |
|--|--|--|--|---|--|--|--|
| | | | | Fee rate set by the Board of Trustees and has been in effect since June 1992. | | | |
| | | | | Fee rate set by the Board of Trustees and has been in effect since June 1986. | | | |
| | | | | Fee rate set by the Board of Trustees and has been in effect since June 1983. | | | |
| | | | | Fee rate set by the Board of Trustees and has been in effect since June 1985. | | | |

SOUTHERN ILLINOIS UNIVERSITY AT CARBONDALE

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Included to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|-------------------------|--|--|---------------------------------|--------------------------|----------------------------|--|
| Food and Nutrition | Miscellaneous instructional fee. Number who paid this fee in Fiscal Year 1992: 150 | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$15 - \$25 per semester | \$3,022 | Southern Illinois University Carbondale Income Fund |
| Graduate School Records | Miscellaneous application and registration fee. Number who paid this fee in Fiscal Year 1992: 1 | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$15 per entry | \$15 | Southern Illinois University Carbondale Income Fund |
| Graduation Fee | Graduation costs. Number who paid this fee in Fiscal Year 1992: 6,200 | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$15 per student | \$93,146 | Southern Illinois University Carbondale Income Fund |
| Health Education Fee | Miscellaneous instructional fee. Number who paid this fee in Fiscal Year 1992: 0 | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$25 per semester | \$0 | Southern Illinois University Carbondale Income Fund |

SOUTHERN ILLINOIS UNIVERSITY AT CARBONDALE

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--|--|--|---------------------------------|--|----------------------------|--|
| ID Card Replacement | Miscellaneous registration fee. Number who paid this fee in Fiscal Year 1992: N/A | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | Fee was cancelled as of 6/30/91 & all collections were FY91 receivables Fee rate set by the Board of Trustees and has been in effect since June 1991. | \$201 | Southern Illinois University Carbondale Income Fund |
| Late Registration | Registration fee. Number who paid this fee in Fiscal Year 1992: 3,700 | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$15 per student Fee rate set by the Board of Trustees and has been in effect since June 1985. | \$56,856 | Southern Illinois University Carbondale Income Fund |
| Library Processing | General library activities. Number who paid this fee in Fiscal Year 1992: 7,500 | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$5 per entry Fee rate set by the Board of Trustees and has been in effect since June 1992. | \$38,371 | Southern Illinois University Carbondale Income Fund |
| Library (Replacement of Lost Materials) | Library materials. Number who paid this fee in Fiscal Year 1992: 1,000 | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$35 average Fee rate set by the Board of Trustees and has been in effect since June 1992. | \$34,687 | Southern Illinois University Carbondale Income Fund |

SOUTHERN ILLINOIS UNIVERSITY AT CARBONDALE

| <u>Names of Fee</u> | <u>Purposes/Use</u> | <u>Authority</u> | <u>Included to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---|---|--|---------------------------------|---|----------------------------|--|
| Physical Education Fee | Miscellaneous instructional education fee. | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$15 per semester | \$7,745 | Southern Illinois University Carbondale Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 516 | | | Fee rate set by the Board of Trustees and has been in effect since June 1989. | | |
| School of Law Application Fee | Application fee. | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$15 per entry | \$16,565 | Southern Illinois University Carbondale Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 1,100 | | | Fee rate set by the Board of Trustees and has been in effect since June 1992. | | |
| Special Education Lab Fee | Miscellaneous instructional fee. | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$12 per semester | \$483 | Southern Illinois University Carbondale Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 40 | | | Fee rate set by the Board of Trustees and has been in effect since June 1985. | | |
| College of Technical Careers Technical Shop Fee | Miscellaneous instructional fee. | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$1.50 per semester hour | \$2,194 | Southern Illinois University Carbondale Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 435 | | | Fee rate set by the Board of Trustees and has been in effect since June 1984. | | |

SOUTHERN ILLINOIS UNIVERSITY AT CARBONDALE

| | | | | | | |
|--------------------|--------------------|------------------|---------------------|-----------------|--------------------|-------------|
| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Included to</u> | <u>FY 1992</u> | <u>FY 1992</u> | <u>Fund</u> |
| | | | <u>Gov't Costs?</u> | <u>Fee Rate</u> | <u>Fee Revenue</u> | |

| | | | | | | |
|-------------------------------|---|--|------|-------------------------|----------|--|
| Return Check Penalties | Penalties. Number who paid this fee in Fiscal Year 1992: 895 | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$7.50 per check | \$6,727 | Southern Illinois University Carbondale Income Fund |
| Library Fines | Penalties. Number who paid this fee in Fiscal Year 1992: 10,000 | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | \$.15 per day up to \$5 | \$41,191 | Southern Illinois University Carbondale Income Fund |
| Sales and Services | Miscellaneous receipts to Income Fund. Number who paid this fee in Fiscal Year 1992: N/A | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | Varies | \$96,831 | Southern Illinois University Carbondale Income Fund |
| Interest Income | Interest on pooled investments. Number who paid this fee in Fiscal Year 1992: N/A | IRS 1991, ch. 144, par. 658 Policies of the Board of Trustees, Southern Illinois University | Most | Varies | \$10,708 | Southern Illinois University Carbondale Income Fund |

SOUTHERN ILLINOIS UNIVERSITY AT EDWARDSVILLE

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|---------------------|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 0 | \$0 | \$0 | \$0 |
| Rule | 0 | \$0 | \$0 | \$0 |
| Other | 9 | \$0 | \$17,955,762 | \$17,955,762 |
| TOTAL | 9 | \$0 | \$17,955,762 | \$17,955,762 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> |
|-------------|-------------|-------------|-------------|--------------|---------------------------------|
| 2 | 2 | 4 | 0 | 1 | 9 |

SOUTHERN ILLINOIS UNIVERSITY AT EDWARDSVILLE

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Included to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|------------------------------|---|--|---------------------------------|--|----------------------------|-----------------|
| Registration | Tuition for instructional costs. | Board of Trustees Enabling Legislation | Some | Varies | \$17,223,530 | SIU Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 32,949 (See Footnote 1) | | | Fee rate set by the Board of Trustees and has been in effect since July 1992. | | |
| Off Campus Degree Programs | Tuition for instructional costs. | Board of Trustees Enabling Legislation | Most | \$216 per quarter hour | \$609,656 | SIU Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 1,166 (See Footnote 1) | | | Fee rate set by the Board of Trustees and has been in effect since Summer 1991. | | |
| Admissions and Records Fees | Pay for cost of producing and mailing of transcripts. | Board of Trustees Enabling Legislation | Most | \$2.00 per transcript | \$46,958 | SIU Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 23,479 | | | Fee rate set by the Board of Trustees and has been in effect since July 1991. | | |
| Communications and Fine Arts | Art studio fees. | Board of Trustees Enabling Legislation | Some | Either \$8 or \$20 per course | \$19,020 | SIU Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 535 | | | Fee rate set by the Board of Trustees and has been in effect since January 1980. | | |

SOUTHERN ILLINOIS UNIVERSITY AT EDWARDSVILLE

| Name of Fee | Purpose/Use | Authority | Inherited to Cover Costs? | FY 1992 Fee Rate | FY 1992 Fee Revenue | Fund |
|--------------------------------|--|--|------------------------------|--|------------------------|-----------------|
| Library Fines | Encourage prompt return of books. | Board of Trustees Enabling Legislation | N/A | \$.25 to \$2 per day on reserved books | \$1,295 | SIU Income Fund |
| Miscellaneous Fees and Charges | Number who paid this fee in Fiscal Year 1992: 270 (est.) (See Footnote 2) Collections included Bank Returned Check Fees, payment for Lost Library Books, ID badges, garnishment fees, and others. | Board of Trustees Enabling Legislation | Yes | \$5.00 per check \$2 per ID badge \$8 per garnishment Book costs vary | \$20,188 | SIU Income Fund |
| Graduation Fee | Number who paid this fee in Fiscal Year 1992: 2,497 (est.) (See Footnote 3) Cover cost of issuing diplomas, final checks of coursework finished | Board of Trustees Enabling Legislation | Yes | New fee rate for returned check is \$15 and is set by the Board of Trustees and has been in effect since August 1992. \$10.00 per application for graduation. | \$23,369 | SIU Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 2,336 | | | Fee rate set by the Board of Trustees and has been in effect since January 1979. | | |

SOUTHERN ILLINOIS UNIVERSITY AT EDWARDSVILLE

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Included to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|----------------------------------|---|--|-------------------------------------|---|--------------------------------|-----------------|
| Application and Registration Fee | Cost of processing a person's application to the University's School of Dental Medicine. | Board of Trustees Enabling Legislation | Some | \$20 - SDM per application | \$8,670 | SIU Income Fund |
| Rental Real Property | Number who paid this fee in Fiscal Year 1992: 482 Payment for lease of University space by outside agencies. | Other | Some | Fee rate set by the Board of Trustees and has been in effect since March 1973. Varies per room and services provided | \$3,076 | SIU Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 47 | | | Fee rate set by the Board of Trustees and has been in effect since October 1988. | | |

Footnotes:

- (1) This is the number of registrants who paid tuition in the four academic quarters during FY 92.
- (2) This estimate of payees is based on circulation desk records.
- (3) This estimate of payees is based on actual numbers for garnishment fees, ID badge fees and returned check fees but a calculated average is used for lost library book fees.

STATE BOARD OF EDUCATION

| <u>Fee Rate Set By</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|------------------------------------|---------------------|--|-----------------------------------|
| | <u>General Revenue Fund</u> | <u>Special Fund</u> | | |
| Statute | \$790,949 | \$0 | | \$790,949 |
| Rule | \$0 | \$0 | | \$0 |
| Other | \$0 | \$0 | | \$0 |
| TOTAL | \$790,949 | \$0 | | \$790,949 |

Number of Fees

9

0

0

9

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> |
|-------------|-------------|-------------|-------------|--------------|---------------------------------|
| 0 | 0 | 8 | 1 | 0 | 9 |

STATE BOARD OF EDUCATION

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Included to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---|--|---------------------------------------|---------------------------------|--|----------------------------|----------------------|
| Teacher Certification | Statutorily required non-refundable fee submitted with application for certificate, endorsement, duplicate. Number who paid this fee in Fiscal Year 1992: 30,000 (est.) | IRS 1991, ch. 122, pars. 21-16, 21-17 | No | \$20 per application \$4 per duplicate | \$648,624 | General Revenue Fund |
| Private Business Schools | | | | Fee rate set by statute and has been in effect since September 1979. | | |
| Private Business Schools - Filing Fee School Application for Certificate | To cover approximately 50% of the operating costs to enforce the statute. Number who paid this fee in Fiscal Year 1992: 53 | IRS 1991, ch. 144, par. 145, Sec. 10 | Some | \$500 per school | \$142,325 | General Revenue Fund |
| Private Business Schools - Filing Fee Initial School Application for Certificate of Approval upon occurrence of a change of ownership | To cover approximately 50% of the operating costs to enforce the statute. Number who paid this fee in Fiscal Year 1992: 8 | IRS 1991, ch. 144, par. 145, sec. 10 | Some | \$500 per school | | |
| | | | | Fee rate set by statute and has been in effect since January 1989. | | |

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STATE BOARD OF EDUCATION

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Imputed to Govt. Class?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|---|--|--------------------------------------|--------------------------------|-------------------------|----------------------------|-------------|
| Private Business Schools - Renewal Fee | To cover approximately 50% of the operating costs to enforce the statute. Number who paid this fee in Fiscal Year 1992: 217 | IRS 1991, ch. 144, par. 145, sec. 10 | Some | \$250 per school | | |
| Private Business Schools - Filing Fee School Application for change of location | To cover approximately 50% of the operating costs to enforce the statute. Number who paid this fee in Fiscal Year 1992: 15 | IRS 1991, ch. 144, par. 145, sec. 10 | Some | \$75 per application | | |
| Private Business Schools - Filing Fee School application for classroom extension | To cover approximately 50% of the operating costs to enforce the statute. Number who paid this fee in Fiscal Year 1992: 20 | IRS 1991, ch. 144, par. 145, sec. 10 | Some | \$150 per application | | |
| Private Business Schools - Filing Fee School application for supplementary courses | To cover approximately 50% of the operating costs to enforce the statute. Number who paid this fee in Fiscal Year 1992: 252 | IRS 1991, ch. 144, par. 145, sec. 10 | Some | \$150 per course | | |

STATE BOARD OF EDUCATION

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--|--|--------------------------------------|---------------------------------|--|----------------------------|-------------|
| Private Business Schools - Fee for an original permit as a sales representative | To cover approximately 50% of the operating costs to enforce the statute. Number who paid this fee in Fiscal Year 1992: 1,020 | IRS 1991, ch. 144, par. 146, sec. 11 | Some | \$100 per person | | |
| | | | | Fee rate set by statute and has been in effect since January 1989. | | |
| Private Business Schools - Annual renewal fee for sales representative permit | To cover approximately 50% of the operating costs to enforce the statute. Number who paid this fee in Fiscal Year 1992: 782 | IRS 1991, ch. 144, par. 146, sec. 11 | Some | \$50 per person | | |
| | | | | Fee rate set by statute and has been in effect since January 1989. | | |

STATE BOARD OF ELECTIONS

| <u>Fee Rate Set By</u> | <u>Number of Fees</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | <u>TOTAL FY92 Fee Revenue</u> |
|------------------------|-----------------------|------------------------------------|---------------------|-----------------------------------|
| | | <u>General Revenue Fund</u> | <u>Special Fund</u> | |
| Statute | 0 | \$0 | \$0 | \$0 |
| Rule | 4 | \$23,356 | \$0 | \$23,356 |
| Other | 0 | \$0 | \$0 | \$0 |
| TOTAL | 4 | \$23,356 | \$0 | \$23,356 |

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number Of Fees</u> |
|-------------|-------------|-------------|-------------|--------------|---------------------------------|
| | | | | | |
| 0 | 0 | 0 | 4 | 0 | 4 |

STATE BOARD OF ELECTIONS

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|-------------------------|--|--|---------------------------------|---|----------------------------|----------------------|
| Photocopy Charge | To reduce costs to the state for paper and copy machine use. | IRS 1991, ch. 116, par. 206(a) 2 IAC, ch. IV, sec. 1551. Appendix B | No | \$.10 per page | \$12,718 | General Revenue Fund |
| | Number who paid this fee in Fiscal Year 1992: 156 | | | Fee rate set by rule and has been in effect since October 8, 1985. | | |
| Microfiche Charge | To reduce costs to the state for the purchase of microfiche and use of machine to copy same. | IRS 1991, ch. 116, par. 206(a) 2 IAC, ch. IV, sec. 1551. Appendix B | No | \$.50 per fiche | \$3,179 | General Revenue Fund |
| | Number who paid this fee in Fiscal Year 1992: 39 | | | Fee rate set by rule and has been in effect since October 8, 1985. | | |
| Voter Registration Tape | To reduce costs to the state for computer tapes and the use of EDP to process same. | IRS 1991, ch. 116, par. 206(a) 2 IAC, ch. IV, sec. 1551. Appendix B | No | \$60 per tape plus a blank tape and \$.15 for each 150,000 registrations. | \$7,459 | General Revenue Fund |
| | Number who paid this fee in Fiscal Year 1992: 30 | | | Fee rate set by rule and has been in effect since September 27, 1991. | | |

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STATE BOARD OF ELECTIONS

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|---|--------------------------------------|---------------------------------|---|----------------------------|----------------------|
| Certification Fee | Certification of Records. | IRS 1991, ch. 116, par. 206(a) | No | \$2 per certification | \$0 | General Revenue Fund |
| | | 2 IAC, ch. IV, sec. 1551. Appendix B | | | | |
| | Number who paid this fee in Fiscal Year 1992: 0 | | | | | |
| | | | | Fee rate set by rule and has been in effect since approximately 1976. | | |

UNIVERSITY OF ILLINOIS

| <u>Fee Rate Set By</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | | <u>TOTAL FY92</u> |
|------------------------|------------------------------------|----------------------|----------------------|----------------------|
| | <u>General Revenue Fund</u> | <u>Special Fund</u> | <u>Fee Revenue</u> | |
| Statute | \$0 | \$0 | \$0 | \$0 |
| Rule | \$0 | \$0 | \$0 | \$0 |
| Other | \$0 | \$119,510,650 | \$119,510,650 | \$119,510,650 |
| TOTAL | \$0 | \$119,510,650 | \$119,510,650 | \$119,510,650 |

Number of Fees

0
0
4
4

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>INTENDED COST COVERAGE OF FEES</u> | | | | <u>Total Number</u> |
|-------------|---------------------------------------|-------------|-------------|--------------|---------------------|
| | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | |
| 1 | 2 | 1 | 0 | 0 | 4 |

Of Fees

UNIVERSITY OF ILLINOIS

| <i>Name of Fee</i> | <i>Purpose/Use</i> | <i>Authority</i> | <i>Intended to Cover?</i> | <i>FY 1992 Fee Rate</i> | <i>FY 1992 Fee Revenue</i> | <i>Fund</i> |
|-----------------------------|---|--|---------------------------|--|----------------------------|------------------------------------|
| Tuition | Revenues used to partially finance the cost of education. | IRS 1991, ch. 144, par. 22 IRS 1991, ch. 127, par. 142d Board of Trustees Action | Some | Full-Time Illinois Residents: <u>Urbana-Champaign</u> Lower Division - \$2,236 Upper Division - \$2,496 Graduate - \$2,836 <u>Chicago</u> Lower Division - \$2,032 Upper Division - \$2,290 Graduate - \$2,738 <u>Urbana-Champaign/Chicago</u> Law - \$3,776 Dentistry - \$3,904 Medicine - \$5,620 Veterinary Medicine - \$5,040 | \$113,900,000 | University of Illinois Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 60,000 | | | Fee rate set by the Board of Trustees and has been in effect since May 1992. | | |
| Tuition/Course Related Fees | Revenues used to meet the cost of providing special instructional programs and offerings. | IRS 1991, ch. 144, par. 22 IRS 1991, ch. 127, par. 142d Board of Trustees Action | Yes | Full-Time Illinois Residents: Fees vary by program from correspondence courses at \$57/credit hour to M.S. in Policy Economics annual tuition of \$13,625. | \$3,500,000 | University of Illinois Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 5,000 | | | Fee rates set by the Board of Trustees and has been in effect since May 1992. | | |

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UNIVERSITY OF ILLINOIS

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Cost?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|------------------------|--|--|--------------------------------|--|----------------------------|------------------------------------|
| Transcript Fee | Fee for the purpose of obtaining an official copy of a student transcript. Fee used to partially offset the cost of retrieval, reproduction, and handling. | IRS 1991, ch. 144, par. 22 IRS 1991, ch. 127, par. 142d Board of Trustees Action | Most | per request: \$5 for 1st copy and \$2 each for additional copies | \$289,961 | University of Illinois Income Fund |
| | Number who paid this fee in Fiscal Year 1992: N/A | | | Fee rate set by the Board of Trustees and has been in effect since May 1992. | | |
| Application Fee | Revenue from the fee is used to partially finance the Admission Office in Chicago and in Urbana-Champaign and to partially recover other costs. | IRS 1991, ch. 144, par. 22 IRS 1991, ch. 127, par. 142d Board of Trustees Action | Most | \$25 per application (Domestic) \$35 per application (Foreign) | \$1,820,689 | University of Illinois Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 70,000 | | | Fee rate set by the Board of Trustees and has been in effect since May 1987. | | |

WESTERN ILLINOIS UNIVERSITY

| <u>Fee Rate Set By</u> | <u>FEE REVENUES DEPOSITED INTO</u> | | | <u>TOTAL FY92</u> |
|------------------------|------------------------------------|---------------------|---------------------|---------------------|
| | <u>General Revenue Fund</u> | <u>Special Fund</u> | <u>Fee Revenue</u> | |
| Statute | \$0 | \$0 | \$0 | \$0 |
| Rule | \$0 | \$0 | \$0 | \$0 |
| Other | \$0 | \$22,154,315 | \$22,154,315 | \$22,154,315 |
| TOTAL | \$0 | \$22,154,315 | \$22,154,315 | \$22,154,315 |

Number of Fees

0
0
15
15

INTENDED COST COVERAGE OF FEES

Fees are often charged to cover a portion of the cost of providing the service or program. The columns below show the number of fees that were intended to cover all, most, some, or none of the cost. The "Other" column contains fees which did not fit into the columns because, for example, the fees were intended to cover "reasonable" costs.

| <u>Full</u> | <u>Most</u> | <u>Some</u> | <u>None</u> | <u>Other</u> | <u>Total Number</u> | |
|-------------|-------------|-------------|-------------|--------------|---------------------|-------------|
| | | | | | <u>Of</u> | <u>Fees</u> |
| 3 | 2 | 5 | 5 | 0 | 15 | 15 |

WESTERN ILLINOIS UNIVERSITY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|-----------------------|--|---|---------------------------------|--|----------------------------|-----------------|
| Tuition (Regular) | To provide personal services and support for educational programs. | IRS 1991, ch. 144, par. 1001a Board of Governors Reg. V.J.2. | Some | Lower Division: \$69 per credit hour \$828 for 12-18 hours Upper Division: \$70 per credit hour \$840 for 12-18 hours Graduate Students: \$73.50 per credit hour \$882 for 12-18 hours Fee rate set by the Board of Governors and has been in effect since FY 1992. | \$19,978,941 | WIU Income Fund |
| Tuition (Rock Island) | Number who paid this fee in Fiscal Year 1992: 11,722 | IRS 1991, ch. 144, par. 1001a Board of Governors Reg. V.J.2. | Some | (Same as Regular Tuition) | \$234,699 | WIU Income Fund |
| Tuition (Extension) | Number who paid this fee in Fiscal Year 1992: 236 | IRS 1991, ch. 144, par. 1001a Board of Governors Reg. V.J.2. | Some | Fee rate set by the Board of Governors and has been in effect since FY 1992. | \$1,551,811 | WIU Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 1,843 | | | Fee rate set by the Board of Governors and has been in effect since FY 1992. | | |

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WESTERN ILLINOIS UNIVERSITY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|-------------------------------|--|---|---------------------------------|---|----------------------------|-----------------|
| Transcript Fee | One time charge assessed to new students to cover the cost of providing student transcripts. | IRS 1991, ch. 144, par. 1001a Board of Governors Reg. V.K.1.F. | Yes | \$7 per student | \$37,121 | WTU Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 5,303 | | | Fee rate set by the Board of Governors and has been in effect for at least 5 years. | | |
| Orientation Fee | One time charge assessed to new students to cover orientation costs. | IRS 1991, ch. 144, par. 1001a Board of Governors Reg. V.K.1.G. | Most | \$5 per student | \$16,075 | WTU Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 3,215 | | | Fee rate set by the Board of Governors and has been in effect for at least 5 years. | | |
| BOG Application Fee | Processing fee to cover review of university applicants for the BGU program. | IRS 1991, ch. 144, par. 1001a Board of Governors Reg. V.K.1.G. | No | \$30 per applicant | \$2,010 | WTU Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 67 | | | Fee rate set by the Board of Governors and has been in effect since FY 1991. | | |
| International Application Fee | Charge assessed to all international applicants to the University. | IRS 1991, ch. 144, par. 1001a Board of Governors Reg. V.K.1.G. | No | \$25 per applicant | \$600 | WTU Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 24 | | | Fee rate set by the Board of Governors and has been in effect since FY 1991. | | |

WESTERN ILLINOIS UNIVERSITY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|--------------------|---|---|---------------------------------|--|----------------------------|-----------------|
| Graduation Fee | This fee was discontinued during FY 1992. | IRS 1991, ch. 144, par. 1001a Board of Governors Reg. V.K.1.C. | Most | Undergraduate - \$5 Graduate - \$7.50 | \$8,764 | WIU Income Fund |
| Finance Charges | Number who paid this fee in Fiscal Year 1992: 1,511 Charges assessed on student's unpaid past due account balances. | IRS 1991, ch. 144, par. 108 Board of Governors Reg. V.K.1.D. | Some | Fee rate set by the Board of Governors and has been in effect since (Not Available). 1% per month | \$186,564 | WIU Income Fund |
| Facilities Rental | Number who paid this fee in Fiscal Year 1992: 12,127 Fee to provide university building space for use by the community in connection with public service and the university for conference programs. | IRS 1991, ch. 144, par. 1001a Board of Governors Reg. V.K.2.K. | Some | Fee rate set by the Board of Governors and has been in effect since FY 1978. Varies | \$4,161 | WIU Income Fund |
| Library Fines | Number who paid this fee in Fiscal Year 1992: 32 Fee charge to preserve the library book inventory by deterring lost or misplaced books. | IRS 1991, ch. 144, par. 1001a Board of Governors Reg. V.K.2.E. | No | Fee rate set by the Board of Governors and has been in effect since FY 1992. Unavailable | \$20,139 | WIU Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 715 | | | Fee rate set by the Board of Governors and has been in effect since FY 1991. | | |

WESTERN ILLINOIS UNIVERSITY

| <u>Name of Fee</u> | <u>Purpose/Use</u> | <u>Authority</u> | <u>Intended to Cover Costs?</u> | <u>FY 1992 Fee Rate</u> | <u>FY 1992 Fee Revenue</u> | <u>Fund</u> |
|-----------------------------|---|---|---------------------------------|---|----------------------------|-----------------|
| Library Replacement Charge | Fee charged to provide funds for replacement of library books. | IRS 1991, ch. 144, par. 1001a Board of Governors Reg. V.K.2.E. | Yes | Unavailable | \$1,417 | WIU Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 30 | | | Fee rate set by the Board of Governors and has been in effect since FY 1991. | | |
| Professional Testing Charge | Fee assessed for administering proficiency tests to students. | IRS 1991, ch. 144, par. 1001a Board of Governors Reg. V.K.2.G. | Yes | \$5 per test | \$1,010 | WIU Income Fund |
| | Number who paid this fee in Fiscal Year 1992: 202 | | | Fee rate set by the Board of Governors and has been in effect for at least 5 years. | | |
| Telephone Commission | Fee charged to provide student phone service in State owned university buildings. | IRS 1991, ch. 144, par. 1001a Board of Governors Reg. V.K.2.K. | No | Unavailable | \$1,081 | WIU Income Fund |
| | Number who paid this fee in Fiscal Year 1992: (Not Available) | | | Fee rate set by the Board of Governors and has been in effect since FY 1992. | | |
| Vending Commission | Fee charged to provide student vending service in State owned university buildings. | IRS 1991, ch. 144, par. 1001a Board of Governors Reg. V.K.2.K. | No | Unavailable | \$109,922 | WIU Income Fund |
| | Number who paid this fee in Fiscal Year 1992: (Not Available) | | | Fee rate set by the Board of Governors and has been in effect since FY 1992. | | |