
REPORT DIGEST

ILLINOIS COMMERCE COMMISSION

COMPLIANCE EXAMINATION

For the Two Years Ended:
June 30, 2009

Summary of Findings:

Total this report: 3
Total last report: 6
Repeated from last report: 0

Release Date:
June 3, 2010



State of Illinois
Office of the Auditor General
WILLIAM G. HOLLAND
AUDITOR GENERAL

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SYNOPSIS

- The Commission did not timely cancel signature authorization with the State Comptroller for a former employee.

{Expenditures and Activity Measures are summarized on the reverse page.}

ILLINOIS COMMERCE COMMISSION
COMPLIANCE EXAMINATION
For The Two Years Ended June 30, 2009

EXPENDITURE STATISTICS	FY 2009	FY 2008	FY 2007
Total Expenditures (All Funds).....	\$105,109,203	\$86,032,569	\$94,006,870
<u>OPERATIONS TOTAL</u>.....	\$38,669,311	\$31,620,108	\$28,471,803
% of Total Expenditures.....	36.8%	36.8%	30.3%
Personal Services	\$19,558,883	\$18,964,188	\$17,540,206
% of Operations Expenditures	50.6%	60.0%	61.6%
Average No. of Employees	275	260	259
Average Salary per Employee.....	\$71,123	\$72,939	\$67,723
Other Payroll Costs (FICA, Retirement).....	\$9,478,990	\$8,259,298	\$6,672,052
% of Operations Expenditures	24.5%	26.1%	23.4%
Contractual Services	\$1,866,924	\$1,887,628	\$1,640,762
% of Operations Expenditures	4.8%	5.9%	5.8%
Lump Sum Expenditures	\$5,932,995	\$529,725	\$595,489
% of Operations Expenditures	15.4%	1.7%	2.1%
All Other Operations Items	\$1,831,519	\$1,979,269	\$2,023,294
% of Operations Expenditures	4.7%	6.3%	7.1%
<u>AWARDS AND GRANTS TOTAL</u>.....	\$66,439,892	\$54,412,461	\$65,535,067
% of Total Expenditures.....	63.2%	63.2%	69.7%
Single State Insurance/Unified Carrier Registration	\$1,691,665	2,875,822	\$339,819
Statewide One-call Notice System.....	\$121,884	\$74,000	\$45,125
Grants to Emergency Telephone System Boards ..	\$56,555,064	\$45,846,046	\$41,843,593
Reimbursement of Wireless Carriers	\$7,293,578	\$4,769,208	\$21,822,255
High-Speed Data Transmission Facilities.....	\$668,161	\$843,087	\$989,178
Federal Railroad Association Grant	\$109,540	\$4,298	\$495,097
Cost of Property and Equipment.....	\$5,226,833	\$5,037,742	\$4,836,189
SELECTED ACTIVITY MEASURES	FY 2009	FY 2008	FY 2007
Total Receipts (In Thousands)	\$106,052	\$103,483	\$97,709
Total Accounts Receivable, Net (In Thousands).....	\$34,292	\$22,799	\$26,815
Cases Filed (Not Examined)	570	755	849
Hearings Held (Not Examined)	1,389	1,566	1,797
Cases Resolved (Not Examined)	614	629	830
Administrative Citations (Not Examined).....	3,500	2,019	1,925
Investigations (Not Examined)	1,740	1,439	1,677
Crossing Projects Ordered (Not Examined)	1,655	978	1,445
AGENCY DIRECTOR(S)			
During Examination Period: Mr. Timothy Anderson			
Currently: Mr. Timothy Anderson			

**FINDINGS, CONCLUSIONS AND
RECOMMENDATIONS**

**UNTIMELY REVOCATION OF SIGNATURE
AUTHORIZATION**

The Commission did not timely cancel signature authorization with the State Comptroller for a former employee.

**Signature authority was
revoked one year late**

One of 4 (25%) signature authorization cards on file at the Office of the Comptroller at the end of FY09 was for an individual who departed from the Commission twelve months earlier.

The State Finance Act (30 ILCS 105/9.02) states that it is the responsibility of the agency to notify the Comptroller when delegation of signature authority is revoked.

Commission management stated the employee's signature stamp was reclaimed upon separation; however, the employee's signature authority was not timely revoked due to oversight. (Finding 1, Page 9)

We recommended the Commission cancel signature authorizations timely for individuals no longer employed and develop policies to monitor signature authorizations on file with the Comptroller.

**Management agreed with
finding**

Commission management agreed with the finding and stated a system to review signature authorities with the Comptroller's Office has been implemented.

OTHER FINDINGS

The remaining findings regarding agency workforce reports and controls over employee time are reportedly being given attention by the Commission. We will review the Commission's progress toward implementing all recommendations in our next examination.

AUDITORS' OPINION

We conducted a compliance examination of the Illinois Commerce Commission as required by the Illinois State Auditing Act. We have not audited any financial statements of the Commission for the purpose of expressing an opinion because the agency does not, nor is it required to, prepare financial statements.

WILLIAM G. HOLLAND, Auditor General

WGH:LKW

AUDITORS ASSIGNED

This examination was conducted by the staff of the Office of the Auditor General.