

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPLIANCE EXAMINATION**

For the Two Years Ended June 30, 2012

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2012

TABLE OF CONTENTS

		Page
Agency Officials		1
Management Assertion Letter		2
Compliance Report:		
Summary		3
Independent Accountants' Report on State Compliance, on Internal Control Over Compliance, and on Supplementary Information for State Compliance Purposes		5
Schedule of Findings		
Current Findings – State Compliance		8
Prior Findings Not Repeated		35
Office of the Auditor General Performance Audit Recommendation Update		36
Supplementary Information for State Compliance Purposes:	Schedule	Page
Summary		44
Fiscal Schedules and Analysis		
Schedule of Appropriations, Expenditures and Lapsed Balances - Fiscal Year 2012	1	45
Schedule of Appropriations, Expenditures and Lapsed Balances - Fiscal Year 2011	2	52
Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances	3	57
Comparative Schedule of Receipts, Disbursements, and Fund Balance (Cash Basis) – Locally Held Funds	4	65
Schedule of Changes in State Property	5	69
Comparative Schedule of Cash Receipts	6	70
Reconciliation Schedule of Cash Receipts to Deposits Remitted to the State Comptroller – Fiscal Year 2012	7	72
Reconciliation Schedule of Cash Receipts to Deposits Remitted to the State Comptroller – Fiscal Year 2011	8	76
Analysis of Significant Variations in Expenditures	9	80
Analysis of Significant Variations in Receipts	10	89
Analysis of Significant Lapse Period Spending	11	94
Analysis of Accounts Receivable	12	99

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2012

TABLE OF CONTENTS (Continued)

Analysis of Operations (Not Examined)	
Agency Functions and Planning Program (Not Examined)	103
Average Number of Employees (Not Examined)	108
Emergency Purchases (Not Examined)	109
Service Efforts and Accomplishments (Not Examined)	110

AGENCY OFFICIALS

DEPARTMENT OF STATE POLICE

Executive Director (effective 4/11/11)	Mr. Hiram Grau
Interim Director (2/14/11-04/10/11)	Mr. Patrick Keen
Acting Director (through 2/13/11)	Mr. Jon Monken
First Deputy Director (effective 1/1/12)	Mr. Greg Muller
First Deputy Director (5/16/11-1/1/12)	Mr. Jack Garcia
First Deputy Director (through 5/15/11)	Mr. Luis Tigera
Chief Fiscal Officer (effective 11/1/12)	Mr. Michael Yokley
Interim Chief Fiscal Officer (7/16/12-10/31/12)	Mr. Carl Weitzel
Interim Chief Fiscal Officer (through 7/15/12)	Mr. Michael Yokley
Chief Legal Counsel (effective 9/1/11)	Ms. Suzanne Bond
Interim Legal Counsel (3/1/11-8/31/11)	Ms. Suzanne Bond
Interim Legal Counsel (through 1/1/11)	Mr. John Hosteny

Agency offices are located at:

801 South Seventh Street
Springfield, IL 62703



ILLINOIS STATE POLICE

Office of the Director

March 26, 2013

Pat Quinn
Governor

Hiram Grau
Director

Honorable William G. Holland
Auditor General
State of Illinois
740 East Ash
Springfield, Illinois 62703-3154

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grants that could have a material effect on the operations of the Illinois State Police. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the Illinois State Police's compliance with the following assertions during the two-year period ended June 30, 2012. Based on this evaluation, we assert that during the years ended June 30, 2012, and June 30, 2011, the Illinois State Police has materially complied with the assertions below.

- A. The Illinois State Police has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Illinois State Police has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Illinois State Police has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. The State revenues and receipts collected by the Illinois State Police are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. The money or negotiable securities or similar assets handled by the Illinois State Police on behalf of the State or held in trust by the Illinois State Police have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Sincerely,



Hiram Grau, Director



Michael Yokley, Chief Fiscal Officer



Suzanne Bond, Chief Legal Counsel

COMPLIANCE REPORT

SUMMARY

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

ACCOUNTANTS' REPORT

The Independent Accountants' Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

SUMMARY OF FINDINGS

<u>Number of</u>	<u>Current</u>	<u>Prior</u>
Findings	14	8
Repeated findings	7	1
Prior recommendations implemented or not repeated	1	6

SCHEDULE OF FINDINGS

<u>Item No.</u>	<u>Page</u>	<u>Description</u>	<u>Finding Type</u>
FINDINGS (STATE COMPLIANCE)			
12-1	8	Inadequate control over property and equipment	Significant Deficiency and Noncompliance
12-2	12	Inadequate documentation to support payroll expenditures	Significant Deficiency and Noncompliance
12-3	14	Inadequate controls over accounts receivable reporting	Significant Deficiency and Noncompliance
12-4	15	Inadequate controls over Agency Fee Imposition Reports	Significant Deficiency and Noncompliance
12-5	17	Inaccurate Agency Workforce Reports	Significant Deficiency and Noncompliance

12-6	19	Delinquent accounts not pursued	Significant Deficiency and Noncompliance
12-7	21	Lack of Project Management	Significant Deficiency and Noncompliance
12-8	23	Failure to maintain security controls over confidential information	Significant Deficiency and Noncompliance
12-9	25	Weaknesses in Change Management of Computer Systems	Significant Deficiency and Noncompliance
12-10	27	Inadequate monitoring of interagency agreements	Significant Deficiency and Noncompliance
12-11	29	Noncompliance with State Officials and Employees Ethics Act	Significant Deficiency and Noncompliance
12-12	30	Noncompliance with the Missing Persons Identification Act	Significant Deficiency and Noncompliance
12-13	32	Lack of documentation in Criminal History Reports	Significant Deficiency and Noncompliance
12-14	34	Voucher processing weakness	Significant Deficiency and Noncompliance

PRIOR FINDINGS NOT REPEATED

A	35	Inadequate controls over leave of absences
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EXIT CONFERENCE

The findings and recommendations appearing in this report were discussed with Agency personnel at an exit conference on March 12, 2013. Attending were:

Department of State Police

Eric Murphy, Chief of Staff
 Scott Abbott, Lt. Col of Support Services,
 Division of Administration
 Michael Yokley, Chief Fiscal Officer

Office of the Auditor General

Jane Clark, Audit Manager
 Jeremy Mehochko, IS Audit Manager
 Max Paller, Audit Supervisor
 Michael Stutz, Audit Staff
 Michael Howard, Audit Staff

Responses to the recommendations were provided by Mr. Michael Yokley in correspondence dated March 26, 2013.

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OFFICE OF THE AUDITOR GENERAL
WILLIAM G. HOLLAND

INDEPENDENT ACCOUNTANTS' REPORT ON STATE COMPLIANCE,
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

Honorable William G. Holland
Auditor General
State of Illinois

Compliance

We have examined the State of Illinois Department of State Police's compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the two years ended June 30, 2012. The management of the State of Illinois Department of State Police is responsible for compliance with these requirements. Our responsibility is to express an opinion on the State of Illinois Department of State Police's compliance based on our examination.

- A. The State of Illinois Department of State Police has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois Department of State Police has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The State of Illinois Department of State Police has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the State of Illinois Department of State Police are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the State of Illinois Department of State Police on behalf of the State or held in trust by the State of Illinois Department of State Police have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the State of Illinois Department of State Police's compliance with those requirements listed in the first paragraph of this report and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the State of Illinois Department of State Police's compliance with specified requirements.

In our opinion, the State of Illinois Department of State Police complied, in all material respects, with the compliance requirements listed in the first paragraph of this report during the two years ended June 30, 2012. However, the results of our procedures disclosed instances of noncompliance with the requirements, which are required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of findings as items 12-1 through 12-14.

Internal Control

Management of the State of Illinois Department of State Police is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the State of Illinois Department of State Police's internal control over compliance with the requirements listed in the first paragraph of this report as a basis for designing our examination procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide, issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State of Illinois Department of State Police's internal control over compliance.

A deficiency in an entity's internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with the requirements listed in the first paragraph of this report on a timely basis. *A material weakness in an entity's internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above. However, we identified certain

deficiencies in internal control over compliance that we considered to be significant deficiencies as described in the accompanying schedule of findings as items 12-1 through 12-14. A *significant deficiency in an entity's internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

As required by the Audit Guide, immaterial findings excluded from this report have been reported in a separate letter.

The State of Illinois Department of State Police's responses to the findings identified in our examination are described in the accompanying schedule of findings. We did not examine the State of Illinois Department of State Police's responses and, accordingly, we express no opinion on the responses.

Supplementary Information for State Compliance Purposes

Our examination was conducted for the purpose of forming an opinion on compliance with the requirements listed in the first paragraph of this report. The accompanying supplementary information for the years ended June 30, 2012 and June 30, 2011 in Schedules 1 through 12 and the Analysis of Operations Section is presented for purposes of additional analysis. We have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2012 and June 30, 2011 accompanying supplementary information in Schedules 1 through 12. However, we do not express an opinion on the accompanying supplementary information.

We have not applied procedures to the June 30, 2010 accompanying supplementary information in Schedules 3, 4, 5, 6, 9 and 10 and in the Analysis of Operations Section, and accordingly, we do not express an opinion or provide any assurance on it.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, agency management and is not intended to be and should not be used by anyone other than these specified parties.


BRUCE L. BULLARD, CPA
Director of Financial and Compliance Audits

March 26, 2013

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2012

12-1. **FINDING** (Inadequate control over property and equipment)

The Illinois Department of State Police (Department) did not exercise adequate control over the recording and reporting of its State property and equipment. We noted the following during testing:

- The Department did not update their inventory records within 30 days of acquisition, change, or deletion of equipment items. We noted 23 of 60 (38%) items, totaling \$306,631, were added to Department inventory records between three and 177 days late. In addition, 18 of 60 (30%) items, totaling \$127,372, were deleted from Department inventory records one to 196 days late and one of 60 (2%) vouchers, totaling \$27,354, had items that were not added to the Department's inventory system.

The Illinois Administrative Code (44 Ill. Adm. Code 5010.400) states agencies shall adjust property records within 30 days of acquisition, change, or deletion of equipment items. Department personnel stated the items were not added or removed from inventory timely due to oversight and the use of different dates for determining when received and/or deleted. In addition, Department personnel could not provide a reason why the item was not added to their inventory system; however, they stated they are working to correct this.

- The Department did not maintain documentation to support the cost of equipment added to their inventory records. We noted four of 60 (7%) items, totaling \$13,461, did not have the original invoice or other supporting documentation to support the cost of the equipment items and the related inventory adjustment amounts.

The State Records Act (5 ILCS 160/9) requires agencies to establish and maintain an active, continuing program for the economical and efficient management of the records of the agency. Such program shall provide for effective controls over the creation, maintenance, and use of records. Department personnel could not provide a reason why proper documentation could not be provided to support the cost of the equipment.

- The Department was not able to locate property. We noted five of 60 (8%) items, totaling \$14,992, were unable to be located.

The State Property Control Act (30 ILCS 605/4) requires agencies to be accountable for the supervision, control and inventory of all property under their jurisdiction. In addition, the State Property Control Act (30 ILCS 605/6.02) requires agencies to maintain a permanent record of all items of property under their jurisdiction and control. Department personnel stated some of the items were sent to surplus and the Department

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2012

failed to complete the necessary paperwork and some items were deployed without being tagged.

- The Department failed to require its employees to complete quarterly property verification sheets. We noted 30 of 60 (50%) verification sheets were not completed.

The Department's Police Directive ADM-128 states that property custodians are to supply each employee assigned to their location an inventory report from the Mission Critical Management system of the items assigned to the employee by no later than the end of each quarter. The employee will then verify the accuracy of the information, sign and date the form, and return to the property custodian. In addition, the State Property Control Act (30 ILCS 605/4) states that responsible officers of the State government shall be accountable to the administrator for the supervision, control, and inventory of all property under their jurisdiction. Department personnel stated the failure to have its employees complete the quarterly property verification sheets was due to oversight and competing priorities.

- The Department did not properly complete the Accounting for Leases-Lessee Forms (SCO-560s). We noted 2 of 19 (11%) leases in which the fair value amounts listed on the SCO-560 did not match the fair value amounts listed on the CMS approval form.

Statewide Accounting Management System (SAMS) (Procedure 27.20.60) states that the goal of the SCO-560 is to provide information on leases to determine if the lease is an operating lease or a capital lease, and to assist in the financial reporting of leases per Financial Accounting Standards Board, Statement No. 13. The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires obligations and costs to be in compliance with applicable laws, and that expenditures and resources be properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources. Department personnel stated SCO-560 forms were not utilized until Fiscal Year 2010 and that previous forms were not checked for accuracy.

- The Department did not have adequate controls over lost or missing property. We noted 43 of 88 (49%) items listed as lost or missing could possibly have confidential information stored on them.

The Personal Information Protection Act (815 ILCS 530/12) states that any State agency that collects personal information shall notify such persons at no charge that there has been a breach of the security of the system data or written material following notification of the

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2012

breach. A violation of the Personal Information Protection Act (815 ILCS 530/20) constitutes an unlawful practice under the Consumer Fraud and Deceptive Business Practices Act. The Personal Information Protection Act (815 ILCS 530/30) requires the safe disposal of information. Any State agency that collects personal data that is no longer needed or stored at the agency shall dispose of the personal data or written material it has collected in such a manner as to ensure the security and confidentiality of the material. Department personnel stated they did not keep track of what information would have been stored on those items. Therefore, there is no way of knowing if a breach of personal information could possibly occur.

Failure to maintain accurate property and equipment records and to complete quarterly property verification sheets increases the potential for fraud and possible loss or theft of State property. In addition, inaccurate property reporting reduces the reliability of Statewide capital asset information. Also, failure to identify information stored on missing or lost computer equipment could lead to a breach without the Department knowing, leading to violations under the Personal Information Protection Act and the Consumer Fraud and Deceptive Business Practices Act. (Finding Code No. 12-1, 10-1, 08-1, 06-1, 04-1, 02-1)

RECOMMENDATION

We recommend the Department continue to strengthen controls over the recording and reporting of its State property and equipment by reviewing their inventory and recordkeeping practices to ensure compliance with statutory and regulatory requirements. We also recommend the Department ensure all equipment is accurately and timely recorded on the Department's property records and properly valued and that quarterly property verifications are completed as required. In addition, we recommend the Department develop procedures to ensure the safe disposal of information as required by the Personal Information Protection Act.

DEPARTMENT RESPONSE

The ISP concurs. The ISP will work with the Public Safety Shared Services Center (PSSSC), property managers, and property custodians to ensure paperwork is processed in a timely manner to ensure property is added to inventory within the time allowed. The ISP will also work with the PSSSC to ensure property records are complete and accurate. Furthermore, the ISP will work with the PSSSC to ensure SCO-560 forms are completed in accordance with the SAMS procedures. The ISP property managers and property custodians will be informed of their roles and responsibilities to account for all property as well as disposed of in accordance with all applicable rules and laws. The ISP continues to struggle with the effects of the central property control unit being located outside of the

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2012

agency within the PSSSC therefore delaying processing of paperwork as well as removing property control subject matter experts from the agency.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2012

12-2. **FINDING** (Inadequate documentation to support payroll expenditures)

The Illinois Department of State Police (Department) could not provide support for payroll expenditures as required under a contract dated April 20, 2007 with the Illinois Toll Highway Authority (Tollway).

Under the contract, the Tollway is responsible for reimbursing the Department for the salaries of Department employees assigned to patrol interstate tollways on a full-time basis. The Department must supply the Tollway with adequate documentation including payroll vouchers to support the cost of performing police services. Under the current procedures, for each payroll cycle the Department must prepare a summary payroll voucher of individual employee payroll disbursements, certify the voucher for accuracy on behalf of the Tollway Director, and provide a voucher to the Tollway for reimbursement. Each Department employee assigned to the Tollway was paid \$16 more per pay period than the salary amount per the employee agreement as a uniform and food per diem. However, the Department does not maintain and could not provide the Tollway with adequate support to justify the additional pay.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires the Department to establish and maintain a system or systems of internal fiscal and administrative controls, which shall provide assurance revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources. In addition, effective internal controls should ensure obligations and costs are in compliance with applicable laws and contracts.

Department personnel stated that this practice was implemented in the 1970s and currently the Department has no support to account for this practice.

Failure to maintain and provide adequate support to the Tollway may result in a misstatement of the Tollway's financial statements and inaccuracies in Statewide financial statement reporting. (Finding Code No. 12-2)

RECOMMENDATION

We recommend the Department either discontinue the practice of paying more than the employee agreement or implement procedures to retain supporting documentation for all amounts to be reimbursed by the Tollway.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2012

DEPARTMENT RESPONSE

The ISP concurs. The practice of paying ISP Tollway employees an additional \$16 per pay period was discontinued December 1, 2012.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2012

12-3. **FINDING** (Inadequate controls over accounts receivable reporting)

The Illinois Department of State Police (Department) did not accurately record and report accounts receivable noted on the Quarterly Summary of Accounts Receivable Reports (Reports).

During testing, we noted 13 of 112 (12%) Reports were inaccurate and did not agree to the support provided by the Department. We noted differences in accounts receivable amounts (i.e. payments, adjustments, beginning and ending balances). Gross accounts receivable totaled \$3,374,000 in Fiscal Year 2011 and \$2,816,000 in Fiscal Year 2012.

Statewide Accounting Management System (SAMS) (Procedure 26.20.10) states that accounts receivable should be recognized when the State's claim for future cash is reasonably estimable and the amount due becomes measurable. SAMS also states that detailed information should be maintained to facilitate the recognition and tracking of accounts receivable, including amounts due from individuals and organizations for the purchase of licenses and the payment of various statutory or agency assessed fees.

Department personnel stated errors were noted due to changes in staffing and estimates used because of an error made during the posting of payments.

Failure to maintain accurate accounts receivable records and accurately report accounts receivable balances could lead to the failure to properly collect amounts owed to the State and inaccuracies in Statewide financial statement reporting. (Finding Code No. 12-3, 10-8)

In addition, during the previous engagement, the Department filed six of 50 (12%) Reports untimely and did not timely report collections from the Over Dimensional Load Escort Fund (Fund 652). During the current engagement, the Department filed its Reports and reported collections from all funds in a timely manner.

RECOMMENDATION

We recommend the Department keep accurate and detailed records of all billings and the corresponding collections to facilitate proper reporting of accounts receivable activity.

DEPARTMENT RESPONSE

The ISP concurs. Accounts receivable reporting is a function of the PSSSC. The ISP will work with the PSSSC to ensure reporting is completed accurately and in a timely manner.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2012

12-4. **FINDING** (Inadequate controls over Agency Fee Imposition Reports)

The Illinois Department of State Police (Department) did not exercise adequate controls over its Agency Fee Imposition Reports (Reports).

The Department could not provide sufficient documentation to support the amounts reported on its Reports prepared for Fiscal Year 2011 and Fiscal Year 2012. The Department reported fees collected totaling \$24,834,961 and \$23,773,300 in Fiscal Years 2011 and 2012, respectively. In addition, the Fiscal Year 2011 Report was submitted to the Illinois Office of the Comptroller (Comptroller) 15 days late.

The Department's Reports are prepared by the Public Safety Shared Services Center (PSSSC), which is housed at and is a part of the Illinois Department of Corrections (DOC). The DOC contracted with an outside vendor to assist in preparing and reviewing GAAP and financial statements for DOC and the related agencies. The contract is for three years with a three year renewable option for a total of \$500,000.

The State Records Act (5 ILCS 160/8) states the head of each agency shall establish and maintain an active, continuing program for the economical and efficient management of the records of the agency. The State Comptroller Act (15 ILCS 405/16.2(a)) requires those agencies that impose fees to file the reports with the Comptroller. Also, the Statewide Accounting and Management System (SAMS) (Procedure 33.16.20) states the report must be filed with the Comptroller by August 1 of each year.

Department personnel stated due to the use of more than one receipt system, timing differences between the systems, and lack of headcount, the Department was unable to reconcile the number of fees collected to the revenues generated between all systems. The number of fees collected was estimated based on the total fees reported and the authorized fee. The Department also stated the late filing of the Fiscal Year 2011 report was due to key personnel leaving the PSSSC.

Inaccurate fee reporting and untimely report submissions reduces the reliability of Statewide fee information. (Finding Code No. 12-4)

RECOMMENDATION

We recommend the Department maintain sufficient documentation to support amounts reported on its Agency Fee Imposition Reports and timely submit those reports to the Comptroller.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2012

DEPARTMENT RESPONSE

The ISP concurs. Fee Imposition reporting is a function of the PSSSC. The ISP will work with the PSSSC to ensure reporting is completed accurately and in a timely manner.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2012

12-5. **FINDING** (Inaccurate Agency Workforce Report)

The Illinois Department of State Police (Department) submitted inaccurate workforce reports during the examination period. The reports submitted for the Fiscal Years ended June 30, 2010 and 2011 used data that was inconsistent with headcount data as well as salary data provided by the Department. Fifteen categories are reported on the Agency Workforce Report to include total employees, total males/females, total White males/females, total Black males/females, total Hispanic males/females, total Asian males/females, total American Indian males/females, and total physically disabled males/females. We noted the following:

- The underlying support provided by the Department did not agree to the reported numbers for 15 of 15 (100%) categories on the Fiscal Year 2010 report.
- The underlying support provided by the Department did not agree to the reported numbers for 8 of 15 (53%) categories on the Fiscal Year 2011 report.

The State Employment Records Act (Act) (5 ILCS 410/5(b)) requires State agencies to collect, classify, maintain and report certain employment statistics for minorities, women and physically disabled persons employed by State government within the State workforce. The Act (5 ILCS 410/20) also requires annual reports summarizing the information in a prescribed format to be filed by January 1 each year for the preceding fiscal year with the Secretary of State and with the Office of the Governor. In addition, the State Auditing Act (Act) (30 ILCS 5/3-2.2) requires State agencies to prepare and file corrected workforce reports with the Secretary of State and the Office of the Governor within 30 days of the release of the report.

Department personnel stated these reports and the source documentation contained discrepancies due to personnel assignments, leave of absences, and retirements of those who previously prepared the reports.

Failure to provide complete and accurate reports to both the Secretary of State and the Office of the Governor could deter efforts by State officials, administrators, and State residents to achieve a more diversified State workforce. (Finding Code No. 12-5)

RECOMMENDATION

We recommend the Department prepare complete and accurate reports and maintain the underlying support to substantiate the information reported and file them with the Secretary of State and the Office of the Governor by January 1 of each year. We also

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2012

recommend the Department file corrected workforce reports with the Secretary of State and the Office of the Governor within 30 days of release of the report.

DEPARTMENT RESPONSE

The ISP concurs. There was a discrepancy in supporting documentation used for the FY2010 and FY2011 Agency Workforce Reports upon review during this audit process. The documentation used to complete the report comes from the Information Services Bureau and the Administrative Services Bureau. The discrepancies were a result of a difference in personnel assignments (ISP employees versus Illinois Tollway funded employees), leaves of absences and employees retiring from the Department, and the manner in which this information is captured in Wage System reports. As recommended, the ISP EEO Office will file corrected Agency Workforce Reports for FY2010 and FY2011 with the Secretary of State and Office of the Governor.

In December 2012, the EEO Office staff implemented an improved process for completion of the FY2012 Agency Workforce Report.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2012

12-6. **FINDING** (Delinquent accounts not pursued)

The Illinois Department of State Police (Department) did not aggressively pursue the collection of accounts receivable and did not properly refer delinquent accounts receivable to the Comptroller's Offset System.

The Department is owed money from various individuals and companies for items such as drug fines, over-dimensional load police escorts, property vehicles, forfeited items and other miscellaneous items. We tested ten receivable accounts with a balance of \$100,501. We noted two accounts, totaling \$1,680 were paid off as of June 30, 2012. Of the remaining eight accounts, five had balances greater than \$1,000 and three had balances less than \$1,000. The last activity dates (i.e. last time Department attempted to collect) for these eight accounts ranged from October 31, 2007 through July 21, 2011.

The Illinois State Collection Act of 1986 (Act) (30 ILCS 210/3) requires agencies to aggressively pursue the collection of accounts receivable through all reasonable means. The Statewide Accounting Management System (SAMS) (Procedure 26.20.10) states accounts receivable should be recognized when the State's claim for future cash is reasonably estimable and the amount due becomes measurable. In addition, SAMS (Procedure 26.40.20) requires agencies place all debts over \$1,000 and more than 90 days past due in the Comptroller's Offset System unless one of three conditions are met.

Department personnel stated the write-offs have not been accomplished due to shortage of staff. The position responsible for write-offs has been vacant since 2008.

Failure to maintain accurate accounts receivable records and accurately report accounts receivable balances could lead to the failure to properly collect amounts owed to the State and inaccuracies in Statewide financial statement reporting. In addition, failure to aggressively pursue the collection of accounts receivable through all reasonable means is noncompliance of the Illinois State Collection Act of 1986 and failure to refer all eligible delinquent accounts to the Comptroller's Offset System is noncompliance with SAMS. (Finding Code No. 12-6)

RECOMMENDATION

We recommend the Department strengthen procedures and allocate necessary resources to properly report and fully pursue collections on delinquent accounts receivable. We further recommend all eligible delinquent accounts be referred to the Comptroller's Offset System.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2012

DEPARTMENT RESPONSE

The ISP concurs. Collection of delinquent accounts was transferred to the PSSSC within the accounts receivable function. The ISP will work with the PSSSC to ensure the agency has provided sufficient documentation to the PSSSC and the accounts are being worked in accordance with all applicable rules and laws.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2012

12-7. **FINDING** (Lack of Project Management)

The Illinois Department of State Police (Department) did not have an adequate project management framework, including a systems development methodology, to ensure adequate control over computer system development activities.

In the previous examination we identified specific deficiencies regarding complete and accurate project and development documents, data conversion, and documentation associated with two major systems: Illinois Citizen and Law Enforcement Analysis and Reporting System (ICLEAR) and the Incident Reporting and Case Management System (ICASE).

During the audit period, the Department still had not developed a project management framework or tools to help ensure projects meet the State's goals and objectives. In addition, we also found that a comprehensive system development methodology to provide detailed guidance over computer system developments did not exist.

During the audit period, the Department had worked on three projects; however, there were no formal and documented requirements to assist in the planning, development, testing, implementation, and project management.

Department officials stated subsequent to completion of the last audit, ISP implemented a basic project management structure to begin addressing the shortcomings cited in the previous audit.

Generally accepted information technology guidance endorses the implementation of a process to ensure computer system development activities meet management's objectives. A defined process promotes the effective and efficient use of resources resulting in computer systems that meet expectations.

The lack of a defined process increases the likelihood of ineffective and inefficient use of resources resulting in IT systems that fail to meet expectations and requirements, and require additional costs. (Finding Code No.12-7, 10-2)

RECOMMENDATION

We recommend the Department develop and implement a project management framework and system development methodology to provide adequate oversight of new computer system developments. The framework and methodology should include, at a minimum:

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2012

- feasibility studies and cost benefits analysis;
- approval process for initiating system development;
- change control procedures for new system developments;
- appropriate documentation required for each phase of the development life cycle including the development of system, operations, and user manuals;
- user training;
- software and hardware specifications;
- general and detail design specifications;
- testing requirements, including requirements for user and programmer testing;
- project reviews including periodic ‘milestone’ reviews and post-implementation reviews; and
- contracting procedures including the requirements for outlining in detail expected project deliverables including system components, source code, and adequate documentation; project timeframes; and maximum hours and expense by phase.

Additionally, the Department should continuously monitor compliance with system development requirements and project management requirements to ensure success of the project.

DEPARTMENT RESPONSE

The ISP concurs. ISP will investigate project management methodologies with the intent to implement one which best fits within the framework of the Department. However, if certain projects merit use of another methodology, and that methodology fulfills compliance requirements, the Department reserves the right to allow the different methodology to be used.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2012

12-8. **FINDING** (Failure to maintain security controls over confidential information)

Although some progress had been made since the prior audit, the Illinois Department of State Police (Department) still did not maintain adequate safeguards over confidential information.

As a result of the Department's mission "promote public safety to improve the quality of life in Illinois", the Department collected and maintained a significant amount of personal information.

During testing, we noted the Department:

- Did not have a mechanism in place to ensure electronically transmitted information was secured or encrypted, other than LEADS information. The transmittal of unsecured confidential information puts the information at the risk of unauthorized disclosure from malicious or inadvertent acts.
- Had not completed a risk assessment of its computing resources to identify confidential or personal information to ensure such information was protected from unauthorized disclosure.
- Had not developed a Statement of Purpose or provided training to staff as required by the Identity Protection Act (5 ILCS 179) and Department Policy.
- Had not finalized deployment of encryption software on all laptops.

Department officials stated although deployment of encryption on all laptops is in progress, limited resources (staff and fiscal) prevented addressing this issue from being initiated until FY12. Those same limitations have restricted ISP's ability to address the other issues.

Confidential, sensitive, and personally identifiable information collected and maintained by the Department should be adequately secured at all times. As such, it is the Department's responsibility to ensure procedures for safeguarding confidential information have been developed, effectively communicated to all personnel, and continually enforced.

Failure to establish and maintain adequate procedures to handle and protect confidential and personally identifiable information could result in identity theft or other unintended use. (Finding Code 12-8, 10-3)

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2012

RECOMMENDATION

We recommend the Department review the policies/procedures for protecting confidential information, focusing on security through proper application security settings, storage, disclosure, redaction, and encryption procedures. We also recommend the Department finalize its projects to install automatic encryption software on all laptops, as well as its project to secure and encrypt confidential data transmitted through the network.

Further, we recommend the Department complete a risk assessment to evaluate its computer environment and data maintained to ensure adequate security controls have been established. The Department should also comply with the Identity Protection Act and Department Policy and develop a Statement of Purpose and provide training to staff on protecting confidential information.

DEPARTMENT RESPONSE

The ISP concurs. Given the nature of the Department's mission, the ISP is extremely conscious of and very sensitive to the need for adequate security of confidential information. Deployment of encryption technology will be completed as quickly as possible. Migration to new network encryption capabilities will address everything transmitted through the network and address the total encryption requirement. The need for a Departmental-wide risk assessment and compliance with the Identity Protection Act, as well as Department policy must be addressed by the Department.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2012

12-9. **FINDING** (Weaknesses in Change Management of Computer Systems)

The Illinois Department of State Police's (Department) change management policies and procedures did not ensure all changes were initiated, planned, developed, tested, and implemented in a controlled environment. In addition, programmers developing and making changes to computer systems had access to the production environment and the capability to implement changes.

The Department had established computer systems in order to meet its mission and mandate. The Department processed and maintained critical, confidential and sensitive information on its computer systems.

To establish requirements addressing changes to information technology resources utilized by the Department's various bureaus; the Department established the Change Management of ISP Information Technology Resources Policy and Procedure (Policy); as well as a Change Request Form and Change Request Form Instructions to supplement the Policy.

We reviewed 25 changes performed during the audit period and noted the following:

- At least 7 (28%) changes were requested, programmed, tested and installed by the same individual.
- 10 (40%) changes indicated testing was performed prior to the submission of a change request.
- Documentation detailing planning, design, and/or technical analysis, as well as associated approvals, was not included with the changes.
- Documentation detailing testing performed and associated approvals was not included with the changes.
- Documentation indicating changes were approved to be moved to production was not included with the changes.

Additionally, the Policy required the Office of Inspections and Audit (OIA) to perform semi-annual reviews of the change management practices and provide management any findings and recommendations resulting from the review; however, the Department indicated such reviews were not performed during the audit period.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires all State agencies to establish and maintain a system, or systems, of internal fiscal and administrative controls. In addition, generally accepted information technology guidance endorses the development and endorses the implementation of suitable change management procedures to control changes to computer systems. These procedures include restricting programmers/analysts from making a change and moving it into

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2012

production to ensure all changes have been independently authorized and moved to production.

Department officials stated the practices employed are the result of (1) staffing levels insufficient to separate all the duties and (2) previous determination that documentation collected was sufficient.

Without adequate change management procedures, there is a greater risk of unauthorized, improper, or erroneous changes to computer systems. The lack of separation of duties in the computer environment increases the risk that the confidentiality, integrity, and availability of data will be compromised. (Finding Code No. 12-9)

RECOMMENDATION

We recommend the Department enhance its Policy to ensure it adequately provides for computer system changes to be initiated, planned, developed, tested, and implemented in a controlled environment. Specifically, we recommend the Department enhance its Policy to provide procedures and requirements for:

- Design and technical analysis documents and approval;
- System testing, test scripts, and approval;
- User testing, test scripts, and approval;
- Requesting and receiving approval to migrate changes into production; and
- Segregation of duties between individuals requesting changes, programming changes, testing changes and moving changes to production.

Additionally, the Department should ensure semi-annual reviews of the Department's change management practices are performed by the Office of Inspections and Audit as required by the Department's Policy.

DEPARTMENT RESPONSE

The ISP concurs. While the Department agrees duties for programming, testing, and implementing requested changes could be separated better, current staffing levels preclude the Department from complying completely. Documentation of the changes made may be difficult to secure depending on the nature of the change. ISP will review current practices and take steps necessary to better comply with audit standards.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2012

12-10. **FINDING** (Inadequate monitoring of interagency agreements)

The Illinois Department of State Police (Department) did not have an adequate process to monitor interagency agreements.

During our review of fourteen interagency agreements, the following deficiencies were noted:

- Three of 14 (21%) interagency agreements reviewed were not signed by all necessary parties before the effective date. The agreements were signed between two and 42 days late.
- For one of 14 (7%) interagency agreements reviewed, the Department did not submit quarterly progress reports to the grantor.

Prudent business practices require the approval of agreements prior to the effective date. In addition, prudent business practices necessitate all requirements of a binding agreement be met during the specified timeframe. In addition, the Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires agencies to establish and maintain a system, or systems, of internal fiscal and administrative controls to provide assurance that resources are used efficiently, effectively, and in compliance with applicable law.

Department personnel stated the agreements were not signed timely due to general oversight. Department personnel stated the agreements were not signed timely in the prior period due to legal reviews and delays by other parties in the implementation of the agreements. In addition, as a result of the Illinois Emergency Management Agency's (IEMA) Public Assistance Grant reimbursements going into the General Revenue Fund, quarterly progress reports were not completed as the Department had no means of tracking the funding.

In order to assess whether the agreements are reasonable, appropriate, and sufficiently documents the responsibilities of the appropriate parties, the agreements need to be approved prior to the effective date. In addition, failure to comply with all reporting requirements of interagency agreements could jeopardize the receipt of future funds from the grantor.

In addition, during the previous engagement, the Department did not ensure all agreements were signed by all necessary parties. During the current engagement, those agreements in our sample testing were signed by all applicable parties. (Finding Code No. 12-10, 10-6)

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2012

RECOMMENDATION

We recommend the Department ensure all interagency agreements are approved by all authorized signers prior to the effective date of the agreement and all reporting requirements are adhered to.

DEPARTMENT RESPONSE

The ISP concurs. The Division of Operations Senior Command has instituted a tracking mechanism to monitor interagency agreements to ensure their approval prior to the effective date. Additionally, work unit commanders have been advised the agreements require prior review and approval by the Department's Fiscal and Legal Offices before final review and approval by the Director's Office. As such, commanders are required to submit the agreements with sufficient time for review and approval prior to the prescribed effective date.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2012

12-11. **FINDING** (Noncompliance with State Officials and Employees Ethics Act)

The Illinois Department of State Police (Department) did not maintain time sheets in compliance with the State Officials and Employees Ethics Act.

The Department required Code employees to submit time sheets during the examination period; however, the time sheets did not document the time spent each day on official State business to the nearest quarter hour.

The State Officials and Employees Ethics Act (Act) (5 ILCS 430/5-5(c)) requires the Department to adopt personnel policies consistent with the Act. The Act states, “The policies shall require State employees to periodically submit time sheets documenting the time spent each day on official State business to the nearest quarter hour.”

Department personnel stated that the Department is using outdated timekeeping systems. The Public Safety Shared Services Center (PSSSC) has informed the Department that a new timekeeping system is being developed. The Department must work with the different unions to develop an approach that is accepted by the different collective bargaining agreements.

Failure to maintain accurate time sheets is non-compliance with the State Officials and Employees Ethics Act. (Finding Code No. 12-11)

RECOMMENDATION

We recommend the Department amend its policies to require all Code employees to maintain time sheets in compliance with the State Officials and Employees Ethics Act.

DEPARTMENT RESPONSE

The ISP concurs. Currently nonunion code employees are required to complete time sheets using a Microsoft Excel spreadsheet that reports timekeeping to the nearest quarter hour. Employees covered by collective bargaining agreements do not have this requirement because it has yet to be negotiated with the various unions representing ISP employees. ISP will form a committee to evaluate options to bring bargaining unit employees in compliance.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2012

12-12. **FINDING** (Noncompliance with the Missing Persons Identification Act)

The Illinois Department of State Police (Department) did not comply with the Missing Persons Identification Act (Act) (50 ILCS 722/20(g)). We noted the following:

- The Department could not provide proper documentation showing information was uploaded into the National Crime Information Center (NCIC) within 72 hours.
- The Department did not enter information sought by the Violent Criminal Apprehension Program (ViCAP) database as soon as practicable.

The Act requires the Department to promptly enter information in federal and State databases that may aid in the identification of human remains. Specifically, information shall be entered into federal databases as follows:

- Information for the NCIC shall be entered within 72 hours; and
- Information sought by the ViCAP database shall be entered as soon as practicable.

Department personnel stated they entered information into the NCIC, however they were unable to provide documentation which would prove compliance due to its system not having such capabilities. In addition, Department personnel stated they did not enter information sought by the ViCAP database due to Department Officers failing to utilize the database as directed.

Failure to upload the information to the State and federal databases within the required time frames is noncompliance with a statutory mandate and could delay unidentified persons from being identified. (Finding Code No. 12-12, 10-7)

In addition, during the prior engagement, the Department did not enter DNA profiles and information in the National DNA Index System (NDIS) within five business days after the completion of the DNA analysis and procedures necessary for the entry of the DNA profile. During the current engagement, the Department entered DNA profiles and information in the NDIS within five business days based on our sample testing.

RECOMMENDATION

We recommend the Department comply with the Missing Persons Identification Act by uploading the information to the State and federal databases within the required time frames.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2012

DEPARTMENT RESPONSE

The ISP concurs. Appropriate work unit commanders will be provided with a copy of this audit and tasked with ensuring officers in their respective work units are adhering to submission requirements and procedures within the prescribed timeframe. DOO also provided work unit commanders with reporting instructions for the Violent Criminal Apprehension Program (ViCAP) to ensure officers are using the database and uploading the appropriate information. Additionally, Division of Operations Command identified personnel responsible for the data entry and will monitor their performance for compliance with the submission guidelines.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2012

12-13. **FINDING** (Lack of documentation in Criminal History Reports)

The Illinois Department of State Police (Department) did not comply with the ID/DD Community Care Act (Act) (210 ILCS 47/2-201.6(a) thru 47/2-201.6(c)(4)) or the Specialized Mental Health Rehabilitation Act (Act) (210 ILCS 48/2-201.6(a) thru 48/2-201.6(d)). Specifically, the Department could not locate copies of the parole and/or probation orders for four of 10 (40%) Criminal History Reports (reports) tested.

The Acts require the Department complete the reports and include copies of the identified offender's parole or probation orders within 10 business days after receiving any information that a resident of a care facility for the developmentally disabled or a specialized mental health rehabilitation facility is an identified offender. Also, the State Records Act (5 ILCS 160/9) requires the head of each agency establish and maintain an active, continuing program for the economical and efficient management of the records of the agency.

Department personnel stated a large volume of reports are processed and in many cases the Department did not hear back from probation officers prior to filing the reports. In other cases, the identified offender was recently placed on probation or parole and no paperwork had been generated by the time the Department filed the reports.

Failure to include all required information in the reports is noncompliance with statutory requirements. (Finding Code No. 12-13)

RECOMMENDATION

We recommend the Department ensure all required information is included in the Criminal History Reports.

DEPARTMENT RESPONSE

The ISP concurs. The Illinois State Police (ISP), Division of Internal Investigations is tasked with conducting investigations on individuals meeting the criteria of the Identified Offender Program (IOP). The ISP is mandated to complete all IOP investigations within 10 days. When it is determined an Identified Offender is on parole or probation, every effort is made to obtain the required parole and/or probation orders within the 10 day timeframe and include them as part of the investigative report. IOP investigators have continually faced the issue of Parole and/or Probation agencies failing to respond to requests for documentation in a timely manner, subsequently we cannot include the required documents within the allotted time. The IOP will work with the Parole and various county probation units throughout

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2012

Illinois to develop a process to ensure all required documents are obtained and included within the IOP investigative reports in compliance with statutory requirements.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2012

12-14. **FINDING** (Voucher processing weaknesses)

The Illinois Department of State Police (Department) did not exercise adequate controls over voucher processing. We noted the following:

- Twenty-nine of 319 (9%) vouchers tested, totaling \$1,134,314, were approved for payment from two to 147 days late. The Illinois Administrative Code (74 Ill. Adm. Code 900.70) requires an agency to review a bill and either deny the bill in whole or in part, ask for more information necessary to review the bill, or approve the bill in whole or in part, within 30 days after physical receipt of the bill.
- Thirty-six of 319 (11%) vouchers tested, totaling \$1,200,773, accrued a required interest payment of \$23,119, which was not paid by the Department. The State Prompt Payment Act (30 ILCS 540/3-2) requires States agencies to determine whether interest is due and automatically pay interest penalties to the appropriate vendor when payment is not issued within 60 days after receipt of a proper bill for Fiscal Year 2011, and 90 days for Fiscal Year 2012.

Department personnel stated insufficient cost center staffing and competing priorities contributed to the deficiencies noted above.

Failure to promptly approve vouchers may result in late payment of bills and could cause the State to pay interest penalties. Failure to pay interest charges is noncompliance with the State Prompt Payment Act. (Finding Code No. 12-14, 10-5, 08-07, 06-07, 04-10)

RECOMMENDATION

We recommend the Department comply with the Illinois Administrative Code and the State Prompt Payment Act to ensure vouchers are approved within the required time frame and the required interest is paid.

DEPARTMENT RESPONSE

The ISP concurs. The ISP continues to struggle with the effects of reduced staffing, particularly administrative support staff responsible for review and preparation of vouchers for payment. The ISP will work with cost center staff to submit vouchers in a timely manner. In addition, the ISP will continue to work with the PSSSC on the timely approval of vouchers.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
PRIOR YEAR FINDINGS NOT REPEATED
For the Two Years Ended June 30, 2012

A. **FINDING** (Inadequate controls over leaves of absences)

During the prior engagement, the Illinois Department of State Police (Department) did not have adequate controls to ensure employees on leave of absence were removed from the payroll and were only paid for actual time worked. In addition, the Department did not have controls to review supporting documentation for persons on leave of absence to ensure any overpayments were recouped from the employee.

During the current engagement, we noted during our sample testing of employees on leave of absence that the Department ensured these employees were removed from the payroll and were only paid for actual time worked. (Finding Code No. 10-4)

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
OAG PERFORMANCE AUDIT RECOMMENDATIONS UPDATE
For the Two Years Ended June 30, 2012

Office of the Auditor General Performance Audit Recommendations Update

DIVISION OF FORENSIC SERVICES

In March 2009, the Office of the Auditor General released a management and program audit of the Illinois State Police's Division of Forensic Services. The audit contained 16 recommendations. As part of the compliance examination of the Illinois State Police for the period ended June 30, 2010, auditors followed up on the status of the recommendations made in the March 2009 report and reported the following:

Recommendation 1	Partially Implemented
Recommendation 2	Partially Implemented
Recommendation 3	Partially Implemented
Recommendation 4	Implemented
Recommendation 5	Partially Implemented
Recommendation 6	Implemented
Recommendation 7	Partially Implemented
Recommendation 8	Implemented
Recommendation 9	Implemented
Recommendation 10	Partially Implemented
Recommendation 11	Implemented
Recommendation 12	Partially Implemented
Recommendation 13	Implemented
Recommendation 14	Implemented
Recommendation 15	Implemented
Recommendation 16	Implemented

As part of the compliance examination of the Illinois State Police for the period ending June 30, 2012, auditors followed-up on the status of the partially implemented recommendations reported in the June 30, 2010 report and noted the following.

Recommendation 1: The Illinois State Police should develop a comprehensive plan to address the environmental issues at its forensic labs.

Partially Implemented: The Department stated the facilities issues tracking document, created in March 2006, continues to be utilized. This tracking document is continually updated to reflect on-going or new facility concerns, steps being taken to handle and/or resolve the issue, and the final outcome/solution. In addition, the Department stated a study of funding, facilities, and staffing could be helpful; however, no funds are available to contract a private vendor to conduct this study, which ISP believes would be the best approach. However, the Division of Forensic

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
OAG PERFORMANCE AUDIT RECOMMENDATIONS UPDATE
For the Two Years Ended June 30, 2012

Services (DFS) is currently seeking to fill vacancies within the Forensic Sciences Command (FSC). As these positions are filled, they may be used to initiate this project in-house. In the interim, FSC continues to plan for facility projects in accordance with established mechanisms such as through annual budget requests and capitol development requests.

Recommendation 2: The Illinois State Police should take the steps necessary to determine whether all fines levied for cases where fees should be collected are actually submitted to the Department. Additionally, the ISP should seek specific appropriation language when the DUI Fund is needed for expenditures for divisions outside the DFS.

Partially Implemented: A review of information provided by a sample of Circuit Clerks/Courts indicates that fines are being appropriately levied; however, the collection of these fines continues to be problematic. The courts often have no way of enforcing the collection of these fines on indigent persons and the fine/fee collection is often less than 50% of what is being assessed. In addition, the order of which these fines/fees are assessed may limit the success of collecting these fees/fines (e.g. all fees/fines that go toward the county assessing the fees/fines are collected first). An ongoing project, focusing on developing consistent manner of collecting these fees/fines with the cooperation of the vendors who work with the counties to collect these fees/fines, was developed to assist in addressing this issue.

In regards to expenditures of the DUI Fund for divisions outside of DFS, Public Act 97-1050 amends 625 ILCS 5/11-501.01 to allow local agencies to use these funds in the same manner as ISP. Also, these funds can also be used to “assist” in the prevention of alcohol related criminal violence – instead of enforcement and prevention of driving while intoxicated, and can be used for training in areas related to alcohol related crime (including DUI training), as well as officer salaries for hireback activities, including safety checkpoints, saturation patrols, and liquor store sting operations.

Recommendation 3: The Illinois State Police should ensure that resources provided by the General Assembly are fully utilized for the mission of the Division of DFS, including the reduction of case backlogs, rather than allowing this funding to transfer or lapse. The ISP should take the steps necessary to determine the funding level needed to operate its lab system.

Partially Implemented: The ISP Budget Office continually strives to make the best use of funds it is appropriated, within the guidelines received by the Governor’s Office and limitations of the procurement process.

A study of funding, facilities, and staffing could be helpful; however, no funds are available to contract a private vendor to conduct this study, which ISP believes would be the best approach. However, the DFS is currently seeking to fill vacancies within the FSC. As these positions are

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
OAG PERFORMANCE AUDIT RECOMMENDATIONS UPDATE
For the Two Years Ended June 30, 2012

filled, they may be used to initiate this project in-house. In the interim, FSC continues to plan for funding needs in accordance with established mechanisms such as through annual budget requests and federal grant solicitations.

Recommendation 5: The Illinois State Police should conduct a formal study of staffing levels to determine the appropriate staffing levels for the DFS.

Partially Implemented: A study of funding, facilities, and staffing could be helpful; however, no funds are available to contract a private vendor to conduct this study, which ISP believes would be the best approach. However, the DFS is currently seeking to fill vacancies within the FSC. As these positions are filled, they may be used to initiate this project in-house. In the interim, FSC continues to plan for staffing needs in accordance with established mechanisms such as through annual budget requests and other personnel processes (e.g. ePARs).

Recommendation 7: The Illinois State Police should develop a formal plan for reducing or eliminating backlogs of forensic services cases.

Partially Implemented: The DFS has established and is working on filling three Program Manager positions. The Program Managers would provide administrative oversight to the forensic disciplines with the highest backlogs. Included in the responsibilities of each of these positions is the function of identifying feasible mechanisms for reducing backlogs and making improvements within the various disciplines (e.g. identifying and addressing obstacles to reducing backlogs, identifying and implementing efficiency measures, etc.).

Recommendation 10: The Illinois State Police's Division of Forensic Services should ensure that the Quality Assurance (QA) Questionnaire is utilized to obtain feedback from user agencies and that the Regional Advisory Boards meet at least annually as required in its Quality Manual.

Implemented: DFS has continued sending out QA surveys to their user agencies. During 2011-2012, surveys have been issued and results have been obtained for the Trace Chemistry and Firearms/Toolmarks disciplines. Responses were compiled and evaluated, feedback was provided to the respondents as necessary, and actions were taken to address any concerns. In 2011, all laboratories held at least one Laboratory Advisory Board Meeting with the exception of the Westchester Forensic Science Laboratory. That laboratory was consolidated with the Forensic Science Center at Chicago in October 2010, and no longer exists. To date in 2012, three labs have held Laboratory Advisory Board Meetings, with the remaining labs planning to hold their meetings later in the calendar year.

Recommendation 12: The Illinois State Police's Division of Forensic Services (DFS) should ensure that investigations into allegations of serious negligence or misconduct substantially

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
OAG PERFORMANCE AUDIT RECOMMENDATIONS UPDATE
For the Two Years Ended June 30, 2012

affecting the integrity of forensic results are conducted by an external independent entity as required by the Paul Coverdell Forensic Science Improvement Grant Program.

Implemented: The DFS continued to pursue a definition of “serious negligence or misconduct” from either the National Institute of Justice (NIJ) or the Illinois Criminal Justice Information Authority (ICJIA). No specific definition was ever included in grant solicitations put out by NIJ; however, in February 2011 ICJIA advised they did receive some information from Washington regarding the application of this requirement. According to ICJIA, “they want information on allegations related to staff working with any equipment purchased through the grant or staff trained or attending conferences through the grant”. Subsequently, DFS established guidelines for “serious negligence or misconduct” to include any allegation or conduct, either sustained or if it had been sustained, which would result in at least a written reprimand. All such instances would be reported to the Division of Internal Investigation (DII) for appropriate follow-up/investigation. In accordance with the grant reporting requirements, if such actions substantially affected the integrity of forensic results, and involved staff in any section which received any equipment or other goods/services purchased through the grant, DFS provides this information on the grant reports.

FIREARM OWNER’S IDENTIFICATION CARD ACT

In April 2012, the Office of the Auditor General released a management audit of the Department of State Police’s Administration of the Firearm Owner’s Identification Card Act. The audit contained 12 recommendations. As part of the compliance examination of the Illinois State Police for the period ending June 30, 2012, auditors followed-up on the status of the recommendation made in the April 2012 report and reported the following:

Recommendation 1	Partially Implemented
Recommendation 2	Partially Implemented
Recommendation 3	Repeated
Recommendation 4	Partially Implemented
Recommendation 5	Implemented
Recommendation 6	Partially Implemented
Recommendation 7	Implemented
Recommendation 8	Repeated
Recommendation 9	Partially Implemented
Recommendation 10	Repeated
Recommendation 11	Repeated
Recommendation 12	Partially Implemented

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
OAG PERFORMANCE AUDIT RECOMMENDATIONS UPDATE
For the Two Years Ended June 30, 2012

Recommendation 1: The Department of State Police should update its FOID Card Program Policy and Procedures Manual, provide and document formal training to its Firearms Services Bureau staff; and update its administrative rules relating to the Firearm Owners Identification Card Act.

Partially Implemented: The Firearms Services Bureau (FSB) is in the process of addressing each of the three areas identified in the recommendation. A FOID Procedures Manual is under development with a full implementation expected by December 2013. The FSB has held two formal training programs for employees and an annual training program for current employees is being developed including a new employee training program for each of the sections. The Department has drafted administrative rules changes for the Legal Office's review and submission.

Recommendation 2: The Department of State Police should work with Circuit Courts and Circuit Court Clerks to ensure that the necessary prohibiting mental health information is submitted to ISP, as required by the Firearm Owners Identification Card Act, so it can be used by ISP in the FOID eligibility process, as well as submitted to the FBI's National Instant Criminal Background Check System.

Partially Implemented: The Department has opened the lines of communication with both the Circuit Clerks and the Illinois Administrative Office of the Courts. ISP command attended the Illinois Association of Court Clerks Training Conference on April 24, 2012 to address the audit findings and discuss legislative changes proposed by ISP. The Department worked in coordination with the Circuit Clerks to pass SB 1034 which was signed by Governor Quinn on August 28, 2012 and becomes law January 1, 2013. This new law mandates the courts to direct the clerk to provide the adjudicated mental defective information in a form and manner prescribed by ISP and shall forward a copy of the court order to the Department. Three of 102 circuit clerks were initially submitting mental health court orders in 2010. The number of circuit clerks reporting has increased to 27. FSB is currently working with the Illinois Administrative Office of the Courts on the development of a form to be used by the courts. FSB staff will also be attending a new clerks training symposium sponsored by the Illinois Administrative Office of the Courts in January 2013.

Recommendation 3: The Department of State Police and the Department of Human Services should work with hospitals, nursing homes, clinicians, and other entities required to report prohibiting mental health information to the DHS-FOID system, to ensure that: all required reports are filed; the information is timely, complete and accurate; and voluntary and involuntary admissions are differentiated. In addition, ISP should review its' controls to ensure that all mental health matches sent by DHS are properly worked by eligibility staff.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
OAG PERFORMANCE AUDIT RECOMMENDATIONS UPDATE
For the Two Years Ended June 30, 2012

Repeated: The Department continues to work with the DHS to improve reporting. Technological improvements have been made to allow hospitals, nursing homes, and clinicians to report mental health admissions through a web-based system. Effective September 1, 2012, DHS mandated the private facilities to accurately report by requiring the facility to identify the admission category (i.e. involuntary or voluntary). Unfortunately, there is no recourse for facilities that do not comply with this reporting mandate. Through the Mental Health Records Improvement Task Force, the Department will continue to look for ways to improve compliance and further educate the facilities and clinicians of their responsibility. DHS is working with DHS Office of Clinical, Administrative and Program Support (OCAPS) Manager and DHS Budget Office to obtain adequate funding for the FOID program. DHS is also working with the Illinois Department of Public Health to create a Reporting System of current psychiatric beds in private hospitals and nursing homes.

Recommendation 4: The Department of State Police should ensure that information reported to the National Instant Criminal Background Check system is submitted completely, accurately, and timely, and should update it as necessary. The Department should work with DHS to identify individuals with NICS prohibitors prior to June 2008 and should report them to NICS as required.

Partially Implemented: Several components to this recommendation have been implemented. 19,000 involuntary admission records identified by DHS dating back to 1980 have been submitted to the National Institute Check System (NICS). On September 30, 2012, Phase I of the NICS Act Record Improvement Program (NARIP) Grant/FOID rewrite was completed. This included a comprehensive clean-up of the mental health records at DHS and improved the match process between DHS data and FOID applicant/cardholder data. DHS MIS Clinical Unit has added physical description fields that all reporting entities need to supply so that the data can be passed on to NICS. Changes have been made to the Unified Health System FOID Reporting website to alert users of the new fields.

Recommendation 5: The Department of State Police should ensure that its FOID application process, as it relates to minor applicants, meets the requirements found in the Firearm Owners Identification Card Act (403 ILCS 65/4).

Implemented: A new FOID application has been created and printed and is currently being distributed to Federally Licensed Firearm Dealers. The new application will also be available on the ISP website.

Recommendation 6: The Department of State Police should work with its vendor to ensure that FOID cards are forwarded to the correct mailing address; and ensure that it has enough Customer Service Representatives to answer the questions of FOID card applicants.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
OAG PERFORMANCE AUDIT RECOMMENDATIONS UPDATE
For the Two Years Ended June 30, 2012

Partially Implemented: All FOID cards are now being forwarded to applicants that have registered a forwarding address with the US Postal Office. The Department is currently studying ways to improve the customer service call center to include a new voice recognition telephone system and an on-line application status check where an individual can look up the status of their own FOID application. However, due to current fiscal crisis of the State, the Department is limited within its budget and personnel resources.

Recommendation 7: The Department of State Police should determine whether it has the statutory authority to issue FOID cards to out-of-state applicants, and if so, develop clear guidance over the process used to determine eligibility.

Implemented: The Department introduced SB 1034 which was signed by Governor Quinn on August 28, 2012 and became law on January 1, 2013. This new language codifies the Department's authority to issue FOID cards to non-residents who are employed as a law enforcement officer or armed security guard and to those in the US Military that are stationed in Illinois.

Recommendation 8: Since existing management controls over FOID card processing timeliness do not identify applications that are not approved or denied until after the 30 day requirement, the Department of State Police should establish additional management controls to identify FOID applications that are not being processed timely.

Repeated: The Department has made some minor improvements; however, the Department received a FFY12 NARIP grant for \$1.65 million which will be used for the development of a new case management system to specifically address this recommendation.

Recommendation 9: The Department of State Police should maintain procurement documentation and ensure that it is available for review as required by the Illinois Procurement Code.

Partially Implemented: The Department hired an Agency Procurement Officer on May 1, 2012 that is responsible for all procurement documents of the agency, including Firearms Services Bureau. Follow-up will be performed in the next engagement period to ensure that proper procurement documentation is maintained for the current FOID contract.

Recommendation 10: The Department of State Police should ensure that FOID cards are approved or denied within 30 days from the date received as required by 430 ILCS 65/5.

Repeated: The Department continues to look at ways to address this issue as FOID applications are at a record high and personnel resources continue to dwindle. The Department received a FFY12 NARIP grant for \$1.65 million to help streamline the processing of applications.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
OAG PERFORMANCE AUDIT RECOMMENDATIONS UPDATE
For the Two Years Ended June 30, 2012

Recommendation 11: The Department of State Police should ensure that the FOID card program numbers it reports in its Annual Report are accurate and reliable.

Repeated: The Department stands by the numbers submitted in the Department's Annual Report; however, the Department recognizes there may be other methodologies for reporting some statistics. As the Department continues to rewrite the FOID system, a better accounting system will be built into the case management system to provide accurate and reliable numbers.

Recommendation 12: The Department of State Police should evaluate its staffing needs and determine whether it should hire additional staff to assist with the FOID card process instead of supplementing staff hours through the use of costly overtime. Additionally, ISP should review and strengthen its controls over requests for overtime payment.

Partially Implemented: Controls have been implemented in regards to overtime. All employees must have overtime pre-approved and provide written documentation of the work performed to both supervisors and the timekeeper. However, further evaluation concerning current staffing is warranted given the demand for FOID cards.

SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

SUMMARY

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

- Fiscal Schedules and Analysis:
 - Schedule of Appropriations, Expenditures and Lapsed Balances
 - Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances
 - Comparative Schedule of Receipts, Disbursements and Fund Balance (Cash Basis) - Locally Held Funds
 - Schedule of Changes in State Property
 - Comparative Schedule of Cash Receipts
 - Reconciliation Schedule of Cash Receipts to Deposits Remitted to the State Comptroller
 - Analysis of Significant Variations in Expenditures
 - Analysis of Significant Variations in Receipts
 - Analysis of Significant Lapse Period Spending
 - Analysis of Accounts Receivable

- Analysis of Operations (Not Examined):
 - Agency Functions and Planning Program (Not Examined)
 - Average Number of Employees (Not Examined)
 - Emergency Purchases (Not Examined)
 - Service Efforts and Accomplishments (Not Examined)

The accountants' report that covers the Supplementary Information for State Compliance Purposes presented in the Compliance Report Section states the auditors have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2012 and June 30, 2011 in Schedules 1 through 12. However, the accountants do not express an opinion on the supplementary information. The accountants' report also states that they have not applied procedures to the Analysis of Operations Section, and accordingly, they do not express an opinion or provide any assurance on it.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For The Fiscal Year Ended June 30, 2012

Fourteen Months Ended August 31, 2012

P.A. 97-0065 FISCAL YEAR 2012	Appropriations (Net of Transfers)	Expenditures Through June 30	Approximate Lapse Period Expenditures July 1 to August 31	Approximate Total Expenditures 14 Months Ended August 31	Approximate Balances Lapsed August 31
General Revenue Fund - 001					
Division of Administration					
Operations:					
Personal Services	\$ 6,414,000	\$ 6,193,600	\$ 204,966	\$ 6,398,566	\$ 15,434
State Contributions to Social Security	395,900	376,390	15,010	391,400	4,500
Contractual Services	1,436,900	1,091,595	215,231	1,306,826	130,074
Travel	18,400	16,601	270	16,871	1,529
Commodities	313,100	163,956	51,832	215,788	97,312
Printing	60,700	16,160	7,396	23,556	37,144
Equipment	0	0	0	0	0
Telecommunications Services	105,900	65,314	23,475	88,789	17,111
Operation of Automotive Equipment	270,000	209,416	41,507	250,923	19,077
Subtotal Operations	<u>\$ 9,014,900</u>	<u>\$ 8,133,032</u>	<u>\$ 559,687</u>	<u>\$ 8,692,719</u>	<u>\$ 322,181</u>
Other than Operations:					
Tort Claims	\$ 50,000	\$ 22,181	\$ 1,194	\$ 23,375	\$ 26,625
Refunds	2,000	300	0	300	1,700
Juvenile Justice Reform	0	0	0	0	0
Repairs and Maintenance and Permanent Improvements	0	0	0	0	0
Subtotal Other than Operations	<u>\$ 52,000</u>	<u>\$ 22,481</u>	<u>\$ 1,194</u>	<u>\$ 23,675</u>	<u>\$ 28,325</u>
Total Division of Administration	<u>\$ 9,066,900</u>	<u>\$ 8,155,513</u>	<u>\$ 560,881</u>	<u>\$ 8,716,394</u>	<u>\$ 350,506</u>
Information Services Bureau					
Personal Services	\$ 4,699,800	\$ 4,470,118	\$ 225,459	\$ 4,695,577	\$ 4,223
State Contributions to Social Security	352,200	335,142	16,964	352,106	94
Contractual Services	975,700	857,898	86,160	944,058	31,642
Travel	1,700	1,080	463	1,543	157
Commodities	20,000	16,225	2,976	19,201	799
Printing	13,500	11,589	0	11,589	1,911
Equipment	0	0	0	0	0
Operation of Automotive Equipment	20,000	5,712	1,483	7,195	12,805
Electronic Data Processing	2,100,000	1,713,352	338,116	2,051,468	48,532
Telecommunications Services	458,300	384,718	67,274	451,992	6,308
Total Information Services Bureau	<u>\$ 8,641,200</u>	<u>\$ 7,795,834</u>	<u>\$ 738,895</u>	<u>\$ 8,534,729</u>	<u>\$ 106,471</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For The Fiscal Year Ended June 30, 2012

Fourteen Months Ended August 31, 2012

P.A. 97-0065 FISCAL YEAR 2012	Appropriations (Net of Transfers)	Expenditures Through June 30	Approximate Lapse Period Expenditures July 1 to August 31	Approximate Total Expenditures 14 Months Ended August 31	Approximate Balances Lapsed August 31
Division of Operations					
Personal Services	\$ 168,780,300	\$ 166,398,617	\$ 2,324,229	\$ 168,722,846	\$ 57,454
State Contributions to Social Security	3,855,600	3,693,407	120,000	3,813,407	42,193
Contractual Services	2,979,200	2,412,132	443,712	2,855,844	123,356
Travel	274,500	213,871	54,475	268,346	6,154
Commodities	473,400	243,367	166,595	409,962	63,438
Printing	106,000	68,811	14,547	83,358	22,642
Equipment	15,800	10,919	2,590	13,509	2,291
Electronic Data Processing	0	0	0	0	0
Telecommunications Services	5,907,400	3,858,925	1,068,686	4,927,611	979,789
Operation of Automotive Equipment	9,776,700	8,374,015	1,140,270	9,514,285	262,415
Cadet Class Expenses	0	0	0	0	0
Settlement Steidl vs State	2,500,000	2,500,000	0	2,500,000	0
Total Division of Operations	\$ 194,668,900	\$ 187,774,064	\$ 5,335,104	\$ 193,109,168	\$ 1,559,732
Division of Operations - Financial Fraud and Forgery Unit					
Personal Services	\$ 3,061,700	\$ 3,004,312	\$ 10,670	\$ 3,014,982	\$ 46,718
State Contributions to Social Security	60,300	55,764	792	56,556	3,744
Total Division of Operations Financial Fraud and Forgery Unit	\$ 3,122,000	\$ 3,060,076	\$ 11,462	\$ 3,071,538	\$ 50,462
Division of Forensic Services and Identification					
Personal Services	\$ 41,634,300	\$ 39,732,929	\$ 1,890,217	\$ 41,623,146	\$ 11,154
State Contributions to Social Security	2,865,400	2,702,952	133,012	2,835,964	29,436
Contractual Services	4,161,600	3,628,288	3,608,832	3,989,120	172,480
Travel	20,300	14,180	1,287	15,467	4,833
Commodities	1,010,200	618,956	321,720	940,676	69,524
Printing	63,900	50,286	13,123	63,409	491
Equipment	889,700	175,975	622,182	798,157	91,543
Telecommunications Services	457,700	322,484	99,123	421,607	36,093
Operation of Automotive Equipment	74,800	73,265	1,535	74,800	-

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For The Fiscal Year Ended June 30, 2012

Fourteen Months Ended August 31, 2012

	Appropriations (Net of Transfers)	Expenditures Through June 30	Approximate Lapse Period Expenditures July 1 to August 31	Approximate Total Expenditures 14 Months Ended August 31	Approximate Balances Lapsed August 31
P.A. 97-0065					
FISCAL YEAR 2012					
Administration of a Statewide Sexual Assault Evidence Collection Program	60,000	57,202	1,617	58,819	1,181
Operational Expenses Related to the Combined DNA Index System	2,324,100	2,086,664	196,897	2,283,561	40,539
Total Division of Forensic Services and Identification	<u>\$ 53,562,000</u>	<u>\$ 49,463,181</u>	<u>\$ 3,641,545</u>	<u>\$ 53,104,726</u>	<u>\$ 457,274</u>
Division of Internal Investigation					
Personal Services	2,230,600	2,188,632	33,061	2,221,693	8,907
State Contributions to Social Security	68,700	64,050	2,492	66,542	2,158
Contractual Services	32,400	22,013	4,476	26,489	5,911
Travel	5,000	1,451	126	1,577	3,423
Commodities	11,400	3,958	1,530	5,488	5,912
Printing	3,200	3,196	0	3,196	4
Equipment	500	0	0	0	500
Telecommunications Services	66,900	44,908	10,076	54,984	11,916
Operation of Automotive Equipment	255,000	180,440	39,178	219,618	35,382
Ordinary and Contingent Expenses Incurred While Operating the Nursing Home Identified Offender Program	840,000	606,859	27,775	634,634	205,366
Total Division of Internal Investigation	<u>\$ 3,513,700</u>	<u>\$ 3,115,507</u>	<u>\$ 118,714</u>	<u>\$ 3,234,221</u>	<u>\$ 279,479</u>
Public Safety Shared Services					
Costs and Expenses Related to the Public Safety Shared Services Center	1,567,000	1,440,555	65,901	1,506,456	60,544
Total Public Safety Shared Services	<u>\$ 1,567,000</u>	<u>\$ 1,440,555</u>	<u>\$ 65,901</u>	<u>\$ 1,506,456</u>	<u>\$ 60,544</u>
Total General Revenue Fund	<u>\$ 274,141,700</u>	<u>\$ 260,804,730</u>	<u>\$ 10,472,502</u>	<u>\$ 271,277,232</u>	<u>\$ 2,864,468</u>
Firearm Owner's Notification Fund - 071					
Division of Forensic Services and Identification					
Administration and Operation of the Firearm Owner's Identification Card Program	1,000,000	712,406	212,877	925,283	74,717
State Crime Laboratory Fund - 152					
Division of Forensic Services and Identification					
Payable from State Crime Laboratory Fund	750,000	630,654	6,842	637,496	112,504

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For The Fiscal Year Ended June 30, 2012

Fourteen Months Ended August 31, 2012

P.A. 97-0065 FISCAL YEAR 2012	Appropriations (Net of Transfers)	Expenditures Through June 30	Approximate Lapse Period Expenditures July 1 to August 31	Approximate Total Expenditures 14 Months Ended August 31	Approximate Balances Lapsed August 31
State Police DUI Fund - 222					
Division of Forensic Services and Identification					
Administration and Operation of State Crime Laboratories	\$ 150,000	\$ 66,955	\$ 32,009	\$ 98,964	\$ 51,036
Division of Operations					
Equipment Purchases to Assist in the Prevention of Driving While Under the Influence of Alcohol, Drugs or Intoxication Compounds	\$ 1,000,000	\$ 555,482	\$ 273,614	\$ 829,096	\$ 170,904
Total - State Police DUI Fund	<u>\$ 1,150,000</u>	<u>\$ 622,437</u>	<u>\$ 305,623</u>	<u>\$ 928,060</u>	<u>\$ 221,940</u>
Medicaid Fraud and Abuse Prevention Fund - 237					
Division of Operations - Financial Fraud and Forgery Unit					
Detection, Investigation, or Prosecution of Recipient or Vendor Fraud	\$ 250,000	\$ 47	\$ 0	\$ 47	\$ 249,953
State Police Vehicle Fund - 246					
Division of Administration					
Purchase of Vehicles and Accessories	\$ 12,000,000	\$ 2,978,896	\$ 4,700,706	\$ 7,679,602	\$ 4,320,398
State Police Vehicle Maintenance Fund - 328					
Division of Administration					
Operation of Automotive Equipment	\$ 500,000	\$ 232,800	\$ 9,889	\$ 242,689	\$ 257,311
Motor Vehicle Theft Prevention Trust Fund - 376					
Division of Operations					
Payment of Expenses	\$ 600,000	\$ 339,806	\$ 23,326	\$ 363,132	\$ 236,868
Sex Offender Investigation Fund - 445					
Division of Operations					
Expenses Related to Sex Offender Investigations	\$ 50,000	\$ 0	\$ 15,000	\$ 15,000	\$ 35,000
State Asset Forfeiture Fund - 514					
Division of Administration					
Payment of Expenditures	\$ 4,500,000	\$ 1,517,131	\$ 165,040	\$ 1,682,171	\$ 2,817,829

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For The Fiscal Year Ended June 30, 2012

Fourteen Months Ended August 31, 2012

P.A. 97-0065 FISCAL YEAR 2012	Appropriations (Net of Transfers)	Expenditures Through June 30	Approximate Lapse Period Expenditures July 1 to August 31	Approximate Total Expenditures 14 Months Ended August 31	Approximate Balances Lapsed August 31
Federal Asset Forfeiture Fund - 520					
Division of Administration					
Payment of Expenditures	\$ 2,000,000	\$ 947,952	\$ 342,755	\$ 1,290,707	\$ 709,293
Sex Offender Registration Fund - 535					
Division of Operations					
Payment of Expenses for the Sex Offender Registration Program	\$ 20,000	\$ 0	\$ 0	\$ 0	\$ 20,000
LEADS Maintenance Fund - 536					
Information Services Bureau					
Payment of Expenses Related to LEADS System	\$ 3,500,000	\$ 1,886,644	\$ 47,050	\$ 1,933,694	\$ 1,566,306
State Offender DNA Identification System Fund - 537					
Division of Forensic Services and Identification					
Payable from State Offender DNA Identification System Fund	\$ 3,423,500	\$ 2,422,977	\$ 231,438	\$ 2,654,415	\$ 769,085
State Police Wireless Service Emergency Fund - 637					
Division of Administration					
Costs Associated with the Wireless Emergency Telephone Safety Act	\$ 1,800,000	\$ 425,950	\$ 35,696	\$ 461,646	\$ 1,338,354
Motor Carrier Safety Inspection Fund - 649					
Division of Operations					
Expenses Associated with the Enforcement of Federal and State Motor Carrier Safety Regulations and Laws	\$ 2,300,000	\$ 2,227,467	\$ 0	\$ 2,227,467	\$ 72,533
Over Dimensional Load Police Escort Fund - 652					
Division of Operations					
Expenses Incurred for Providing Police Escorts for Over-Dimensional Loads	\$ 125,000	\$ 83,111	\$ 0	\$ 83,111	\$ 41,889
State Police Whistleblower Reward and Protection Fund - 705					
Division of Operations					
Payment of Expenditures for State Law Enforcement Purposes	\$ 8,250,000	\$ 1,013,151	\$ 9,467	\$ 1,022,618	\$ 7,227,382

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For The Fiscal Year Ended June 30, 2012

Fourteen Months Ended August 31, 2012

P.A. 97-0065 FISCAL YEAR 2012	Appropriations (Net of Transfers)	Expenditures Through June 30	Approximate Lapse Period Expenditures July 1 to August 31	Approximate Total Expenditures 14 Months Ended August 31	Approximate Balances Lapsed August 31
Money Laundering Asset Recovery Fund - 816					
Division of Administration					
Ordinary and Contingent Expenses	\$ 1,500,000	\$ 304,872	\$ 204,526	\$ 509,398	\$ 990,602
State Police Operations Assistance Fund - 817					
Division of Operations					
Personal Services and Related Lines	\$ 22,000,000	\$ 11,605,555	\$ 1,325,880	\$ 12,931,435	\$ 9,068,565
State Police Streetgang-Related Crime Fund - 846					
Division of Operations					
Operations Related to Streetgang-Related Crime Initiatives	\$ 100,000	\$ 0	\$ 0	\$ 0	\$ 100,000
Drug Traffic Prevention Fund - 878					
Division of Operations					
Grants to Metropolitan Enforcement Groups	\$ 500,000	\$ 150,000	\$ 0	\$ 150,000	\$ 350,000
Traffic and Criminal Conviction Surcharge Fund - 879					
Division of Operations					
Personal Services	\$ 3,119,800	\$ 2,961,417	\$ 0	\$ 2,961,417	\$ 158,383
State Contribution to State Employees' Retirement System	1,066,700	1,013,168	0	1,013,168	53,532
State Contributions to Social Security	93,600	84,149	0	84,149	9,451
Group Insurance	671,200	656,833	7,653	664,486	6,714
Contractual Services	455,400	239,466	118,836	358,302	97,098
Travel	28,300	6,188	1,450	7,638	20,662
Commodities	174,600	34,344	120,021	154,365	20,235
Printing	26,500	0	26,500	26,500	0
Telecommunications Services	115,700	62,593	47,340	109,933	5,767
Operation of Automotive Equipment	212,200	104,523	46,895	151,418	60,782
Total Traffic and Criminal Conviction Surcharge Fund	\$ 5,964,000	\$ 5,162,681	\$ 368,695	\$ 5,531,376	\$ 432,624

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For The Fiscal Year Ended June 30, 2012

Fourteen Months Ended August 31, 2012

P.A. 97-0065 FISCAL YEAR 2012	Appropriations (Net of Transfers)	Expenditures Through June 30	Approximate Lapse Period Expenditures July 1 to August 31	Approximate Total Expenditures 14 Months Ended August 31	Approximate Balances Lapsed August 31
Illinois State Police Federal Projects Fund - 904					
Division of Operations					
Payment of Expenses	\$ 20,000,000	\$ 12,828,146	\$ 1,817,519	\$ 14,645,665	\$ 5,354,335
Federal Recovery- Federally Funded Program Expenses	550,000	451,071	0	451,071	98,929
Total Illinois State Police Federal Projects Fund	\$ 20,550,000	\$ 13,279,217	\$ 1,817,519	\$ 15,096,736	\$ 5,453,264
State Police Services Fund - 906					
Division of Operations					
Payment of Expenses for:					
Fingerprint Program	\$ 19,000,000	\$ 12,196,734	\$ 963,150	\$ 13,159,884	\$ 5,840,116
Federal & IDOT Programs	9,400,000	2,461,772	134,165	2,595,937	6,804,063
Riverboat Gambling	1,500,000	1,218	238,455	239,673	1,260,327
Miscellaneous Programs	4,300,000	2,207,601	263,653	2,471,254	1,828,746
Total State Police Services Fund	\$ 34,200,000	\$ 16,867,325	\$ 1,599,423	\$ 18,466,748	\$ 15,733,252
Total All Appropriated Funds	\$ 401,174,200	\$ 324,215,809	\$ 21,894,254	\$ 346,110,063	\$ 55,064,137
Non-Appropriated Funds					
Drug Traffic Prevention Fund- 878					
Division of Operations					
Refund to DuPage County Clerk		\$ 10,000	\$ 0	\$ 10,000	
Total Drug Traffic Prevention Fund		\$ 10,000	\$ 0	\$ 10,000	
Federal Projects Fund - 904					
Division of Operations					
Refunds of Federal Grants		\$ 66,175	\$ 922	\$ 67,097	
Total Federal Projects Fund		\$ 66,175	\$ 922	\$ 67,097	
Grand Total All Funds	\$ 401,174,200	\$ 324,291,984	\$ 21,895,176	\$ 346,187,160	\$ 55,064,137

Note 1: Appropriations, expenditures and lapsed balances were obtained directly from the records of the State Comptroller, which have been reconciled to agency records.

Note 2: Expenditure amounts are vouchers approved for payment by the Agency and submitted to the State Comptroller for payment to the vendor.

Note 3: Approximate lapse period expenditures do not include interest payments approved for payment by the Agency and submitted to the Comptroller for payment after August.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For The Fiscal Year Ended June 30, 2011

18 Months Ended December 31, 2011

	Appropriations (Net of Transfers)	Expenditures Through June 30	Lapse Period		Total Expenditures 18 Months Ended December 31	Balances Lapsed December 31
			Expenditures July 1 to December 31	Expenditures December 31		
P.A. 96-0956 and 96-0957 FISCAL YEAR 2011						
General Revenue Fund - 001						
General Operations - Lump Sum						
Operational Expenses	\$ 267,362,600	\$ 253,769,585	\$ 9,406,078	\$ 263,175,663	\$ 4,186,937	
Operational Expenses, Awards, Grants, and Permanent Improvements	4,641,600	3,308,182	1,062,382	4,370,564	271,036	
Governor's Discretionary Appropriation	4,510,225	1,036,454	3,473,771	4,510,225	-	
Total General Revenue Fund	\$ 276,514,425	\$ 258,114,221	\$ 13,942,231	\$ 272,056,452	\$ 4,457,973	
Firearm Owner's Notification Fund - 071						
Division of Forensic Services and Identification						
Administration and Operation of the Firearm Owner's Identification Card Program	\$ 3,300,000	\$ 600,260	\$ 78,653	\$ 678,913	\$ 2,621,087	
State Crime Laboratory Fund - 152						
Division of Forensic Services and Identification						
Payable from State Crime Laboratory Fund	\$ 550,000	\$ 392,969	\$ 39,523	\$ 432,492	\$ 117,508	
State Police DUI Fund - 222						
Division of Forensic Services and Identification						
Administration and Operation of State Crime Laboratories	\$ 150,000	\$ 67,342	\$ 63,550	\$ 130,892	\$ 19,108	
Subtotal - Division of Forensic Services and Identification	\$ 150,000	\$ 67,342	\$ 63,550	\$ 130,892	\$ 19,108	
Division of Operations						
Equipment Purchases to Assist in the Prevention of Driving While Under the Influence of Alcohol, Drugs or Intoxication Compounds	\$ 1,000,000	\$ 401,688	\$ 0	\$ 401,688	\$ 598,312	
Subtotal - Division of Operations	\$ 1,000,000	\$ 401,688	\$ 0	\$ 401,688	\$ 598,312	
Total - State Police DUI Fund	\$ 1,150,000	\$ 469,030	\$ 63,550	\$ 532,580	\$ 617,420	

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For The Fiscal Year Ended June 30, 2011

18 Months Ended December 31, 2011

	Appropriations (Net of Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to December 31	Total Expenditures 18 Months Ended December 31	Balances Lapsed December 31
P.A. 96-0956 and 96-0957 FISCAL YEAR 2011					
Medicaid Fraud and Abuse Prevention Fund - 237					
Division of Operations - Financial Fraud and Forgery Unit					
Detection, Investigation, or Prosecution of Recipient or Vendor Fraud	\$ 250,000	\$ 30,890	\$ 0	\$ 30,890	\$ 219,110
State Police Vehicle Fund - 246					
Division of Administration					
Purchase of Vehicles and Accessories	\$ 12,000,000	\$ 8,155,417	\$ 2,319,182	\$ 10,474,599	\$ 1,525,401
State Police Vehicle Maintenance Fund - 328					
Division of Administration					
Operation of Auto	\$ 1,000,000	\$ 0	\$ 245,511	\$ 245,511	\$ 754,489
Motor Vehicle Theft Prevention Trust Fund - 376					
Division of Operations					
Payment of Expenses	\$ 600,000	\$ 371,092	\$ 24,538	\$ 395,630	\$ 204,370
State Asset Forfeiture Fund - 514					
Division of Administration					
Payment of Expenditures	\$ 4,500,000	\$ 1,758,001	\$ 99,507	\$ 1,857,508	\$ 2,642,492
Federal Asset Forfeiture Fund - 520					
Division of Administration					
Payment of Expenditures	\$ 2,000,000	\$ 1,203,399	\$ 319,953	\$ 1,523,352	\$ 476,648
LEADS Maintenance Fund - 536					
Information Services Bureau					
Payment of Expenses Related to LEADS System	\$ 3,500,000	\$ 1,486,935	\$ 29,087	\$ 1,516,022	\$ 1,983,978
State Offender DNA Identification System Fund - 537					
Division of Forensic Services and Identification					
Payable from State Offender DNA Identification System Fund	\$ 2,173,500	\$ 1,451,905	\$ 392,021	\$ 1,843,926	\$ 329,574
State Police Wireless Service Emergency Fund - 637					
Division of Administration					
Costs Associated with the Wireless Emergency Telephone Safety Act	\$ 675,000	\$ 651,795	\$ 23,205	\$ 675,000	\$ 0

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For The Fiscal Year Ended June 30, 2011

18 Months Ended December 31, 2011

	Appropriations (Net of Transfers)	Lapse Period		Total Expenditures 18 Months Ended December 31	Balances Lapsed December 31
		Expenditures Through June 30	Expenditures July 1 to December 31		
P.A. 96-0956 and 96-0957 FISCAL YEAR 2011	\$ 2,300,000	\$ 1,983,612	\$ 0	\$ 1,983,612	\$ 316,388
Motor Carrier Safety Inspection Fund - 649					
Division of Operations					
Expenses Associated with the Enforcement of Federal and State Motor Carrier Safety Regulations and Laws	\$ 2,300,000	\$ 1,983,612	\$ 0	\$ 1,983,612	\$ 316,388
Over Dimensional Load Police Escort Fund - 652					
Division of Operations					
Expenses Incurred for Providing Police Escorts for Over-Dimensional Loads	\$ 55,000	\$ 46,267	\$ 0	\$ 46,267	\$ 8,733
State Police Whistleblower Reward and Protection Fund - 705					
Division of Operations					
Payment of Expenditures for State Law Enforcement Purposes	\$ 6,250,000	\$ 5,648,965	\$ 10,800	\$ 5,659,765	\$ 590,235
Money Laundering Asset Recovery Fund - 816					
Division of Administration					
Ordinary and Contingent Expenses	\$ 500,000	\$ 0	\$ 398,713	\$ 398,713	\$ 101,287
State Police Operations Assistance Fund - 817					
Division of Operations					
Personal Services and Related Lines	\$ 22,616,300	\$ 0	\$ 0	\$ 0	\$ 22,616,300
State Police Streetgang-Related Crime Fund - 846					
Division of Operations					
Operations Related to Streetgang-Related Crime Initiatives	\$ 1,000,000	\$ 0	\$ 0	\$ 0	\$ 1,000,000
Drug Traffic Prevention Fund - 878					
Division of Operations					
Grants to Metropolitan Enforcement Groups	\$ 150,000	\$ 150,000	\$ 0	\$ 150,000	\$ 0
Traffic and Criminal Conviction Surcharge Fund - 879					
Division of Operations					
Personal Services	\$ 3,119,800	\$ 3,037,830	\$ 0	\$ 3,037,830	\$ 81,970
State Contribution to State Employees Retirement System	943,800	851,199	0	851,199	92,601
State Contributions to Social Security	93,600	86,756	0	86,756	6,844
Group Insurance	651,200	586,331	0	586,331	64,869

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For The Fiscal Year Ended June 30, 2011

18 Months Ended December 31, 2011

	Appropriations (Net of Transfers)	Lapse Period		Total Expenditures 18 Months Ended December 31	Balances Lapsed December 31
		Expenditures Through June 30	Expenditures July 1 to December 31		
P.A. 96-0956 and 96-0957 FISCAL YEAR 2011					
Contractual Services	465,400	297,637	47,544	345,181	120,219
Travel	38,300	24,669	6,470	31,139	7,161
Commodities	174,600	155,654	11,325	166,979	7,621
Printing	26,500	25,304	1,174	26,478	22
Telecommunications Services	115,700	83,515	28,604	112,119	3,581
Operation of Automotive Equipment	212,200	98,054	38,818	136,872	75,328
Total Traffic and Criminal Conviction Surcharge Fund	\$ 5,841,100	\$ 5,246,949	\$ 133,935	\$ 5,380,884	\$ 460,216
Illinois State Police Federal Projects Fund - 904					
Division of Operations					
Payment of Expenses	\$ 20,000,000	\$ 10,471,975	\$ 1,817,062	\$ 12,289,037	\$ 7,710,963
Federal Recovery- Federally Funded Program Expenses	250,000	2,163	38,750	40,913	209,087
Total Illinois State Police Federal Projects Fund	\$ 20,250,000	\$ 10,474,138	\$ 1,855,812	\$ 12,329,950	\$ 7,920,050
State Police Services Fund - 906					
Division of Operations					
Payment of Expenses for:					
Fingerprint Program	\$ 10,500,000	\$ 9,392,425	\$ 653,106	\$ 10,045,531	\$ 454,469
Federal & IDOT Programs	4,150,000	3,462,071	205,869	3,667,940	482,060
Riverboat Gambling	450,000	432,053	7,896	439,949	10,051
Miscellaneous Programs	4,300,000	2,655,756	163,998	2,819,754	1,480,246
Total State Police Services Fund	\$ 19,400,000	\$ 15,942,305	\$ 1,030,869	\$ 16,973,174	\$ 2,426,826
Total All Appropriated Funds	\$ 386,575,325	\$ 314,178,150	\$ 21,007,090	\$ 335,185,240	\$ 51,390,085

STATE OF ILLINOIS
 DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
 For The Fiscal Year Ended June 30, 2011

18 Months Ended December 31, 2011

	Appropriations (Net of Transfers)	Expenditures Through June 30	Lapse Period		Total Expenditures 18 Months Ended December 31	Balances Lapsed December 31
			Expenditures July 1 to December 31			
P.A. 96-0956 and 96-0957 FISCAL YEAR 2011						
Non-Appropriated Funds						
Drug Traffic Prevention Fund- 878						
Division of Operations						
Refund to DuPage County Clerk	\$ 0		\$ 0		\$ 0	
Total Drug Traffic Prevention Fund	<u>\$ 0</u>		<u>\$ 0</u>		<u>\$ 0</u>	
Federal Projects Fund - 904						
Division of Operations						
Refunds of Federal Grants	\$ 81,492		\$ 29,157		\$ 110,649	
Total Federal Projects Fund	<u>\$ 81,492</u>		<u>\$ 29,157</u>		<u>\$ 110,649</u>	
Grand Total All Funds	<u>\$ 386,575,325</u>		<u>\$ 21,036,247</u>		<u>\$ 335,295,889</u>	<u>\$ 51,390,085</u>

Note 1: Appropriations, expenditures and lapsed balances were obtained directly from the records of the State Comptroller, which have been reconciled to agency records.

Note 2: Expenditure amounts are vouchers approved for payment by the Agency and submitted to the State Comptroller for payment to the vendor.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30,

	Fiscal Year		
	2012 P.A. 97-0065	2011 P.A. 96-0956 and 96-0957	2010 P.A. 96-0042
General Revenue Fund - 001			
Appropriations (Net of Transfers)	\$ 274,141,700	\$ 276,514,425	\$ 287,365,800
<u>Expenditures</u>			
General Operations - Lump Sum			
Personal Services for Bargaining Unit Employees	\$ 0	\$ 0	\$ 210,983,123
State Contributions to Social Security for Bargaining Unit Employees	0	0	6,627,092
Personal Services for Non-Bargaining Unit Employees	0	0	11,745,936
State Contributions to Social Security for Non-Bargaining Unit Employees	0	0	542,170
Operational Expenses	0	263,175,663	31,136,888
Operational Expenses, Awards, Grants, and Permanent Improvements	0	4,370,564	3,839,149
Governor's Discretionary Appropriation	0	4,510,225	-
Total General Operations - Lump Sum	<u>\$ 0</u>	<u>\$ 272,056,452</u>	<u>\$ 264,874,358</u>
Division of Administration			
Operations:			
Personal Services	\$ 6,398,566	\$ 0	\$ 0
State Contributions to Social Security	391,400	0	0
Contractual Services	1,306,826	0	0
Travel	16,871	0	0
Commodities	215,788	0	0
Printing	23,556	0	0
Equipment	0	0	0
Telecommunications Services	88,789	0	0
Operation of Automotive Equipment	250,923	0	0
Subtotal Operations	<u>\$ 8,692,719</u>	<u>\$ 0</u>	<u>\$ 0</u>
Other than Operations:			
Tort Claims	\$ 23,375	\$ 0	\$ 0
Refunds	300	0	0
Juvenile Justice Reform	0	0	0
Repairs and Maintenance and Permanent Improvements	0	0	0
Subtotal Other than Operations	<u>\$ 23,675</u>	<u>\$ 0</u>	<u>\$ 0</u>
Total Division of Administration	<u>\$ 8,716,394</u>	<u>\$ 0</u>	<u>\$ 0</u>
Information Services Bureau			
Personal Services	\$ 4,695,577	\$ 0	\$ 0
State Contributions to Social Security	352,106	0	0
Contractual Services	944,058	0	0
Travel	1,543	0	0
Commodities	19,201	0	0
Printing	11,589	0	0
Equipment	0	0	0
Operation of Automotive Equipment	7,195	0	0
Electronic Data Processing	2,051,468	0	0
Telecommunications Services	451,992	0	0
Total Information Services Bureau	<u>\$ 8,534,729</u>	<u>\$ 0</u>	<u>\$ 0</u>
Division of Operations			
Personal Services	\$ 168,722,846	\$ 0	\$ 0
State Contributions to Social Security	3,813,407	0	0
Contractual Services	2,855,844	0	0
Travel	268,346	0	0
Commodities	409,962	0	0
Printing	83,358	0	0
Equipment	13,509	0	0
Electronic Data Processing	0	0	0
Telecommunications Services	4,927,611	0	0

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30,

	Fiscal Year		
	2012 P.A. 97-0065	2011 P.A. 96-0956 and 96-0957	2010 P.A. 96-0042
Operation of Automotive Equipment	9,514,285	0	0
Cadet Class Expenses	0	0	0
Settlement Steidl vs State	2,500,000	0	0
Total Division of Operations	\$ 193,109,168	\$ 0	\$ 0
Division of Operations - Financial Fraud and Forgery Unit			
Personal Services	\$ 3,014,982	\$ 0	\$ 0
State Contributions to Social Security	56,556	0	0
Total Division of Operations Financial Fraud and Forgery Unit	\$ 3,071,538	\$ 0	\$ 0
Division of Forensic Services and Identification			
Personal Services	\$ 41,623,146	\$ 0	\$ 0
State Contributions to Social Security	2,835,964	0	0
Contractual Services	3,989,120	0	0
Travel	15,467	0	0
Commodities	940,676	0	0
Printing	63,409	0	0
Equipment	798,157	0	0
Telecommunications Services	421,607	0	0
Operation of Automotive Equipment	74,800	0	0
Administration of a Statewide Sexual Assault Evidence Collection Program	58,819	0	0
Operational Expenses Related to the Combined DNA Index System	2,283,561	0	0
Total Division of Forensic Services and Identification	\$ 53,104,726	\$ 0	\$ 0
Division of Internal Investigation			
Personal Services	\$ 2,221,693	\$ 0	\$ 0
State Contributions to Social Security	66,542	0	0
Contractual Services	26,489	0	0
Travel	1,577	0	0
Commodities	5,488	0	0
Printing	3,196	0	0
Equipment	-	0	0
Telecommunications Services	54,984	0	0
Operation of Automotive Equipment	219,618	0	0
Ordinary and Contingent Expenses Incurred While Operating the Nursing Home Identified Offender Program	634,634	0	0
Total Division of Internal Investigation	\$ 3,234,221	\$ 0	\$ 0
Public Safety Shared Services			
Costs and Expenses Related to the Public Safety Shared Services Center	\$ 1,506,456	\$ 0	\$ 0
Total Public Safety Shared Services	\$ 1,506,456	\$ 0	\$ 0
Total General Revenue Fund	\$ 271,277,232	\$ 272,056,452	\$ 264,874,358
Lapsed Balances	\$ 2,864,468	\$ 4,457,973	\$ 22,491,442
Firearm Owner's Notification Fund - 071			
Appropriations (Net of Transfers)	\$ 1,000,000	\$ 3,300,000	\$ 700,000
<u>Expenditures</u>			
Division of Forensic Services and Identification			
Administration and Operation of the Firearm Owner's Identification Card Program	\$ 925,283	\$ 678,913	\$ 651,531
Total - Firearm Owner's Notification Fund	\$ 925,283	\$ 678,913	\$ 651,531
Lapsed Balances	\$ 74,717	\$ 2,621,087	\$ 48,469

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30,

	Fiscal Year		
	2012 P.A. 97-0065	2011 P.A. 96-0956 and 96-0957	2010 P.A. 96-0042
State Crime Laboratory Fund - 152			
Appropriations (Net of Transfers)	\$ 750,000	\$ 550,000	\$ 750,000
<u>Expenditures</u>			
Division of Forensic Services and Identification			
Payable from State Crime Laboratory Fund	\$ 637,496	\$ 432,492	\$ 462,672
Total - State Crime Laboratory Fund	<u>\$ 637,496</u>	<u>\$ 432,492</u>	<u>\$ 462,672</u>
Lapsed Balances	<u>\$ 112,504</u>	<u>\$ 117,508</u>	<u>\$ 287,328</u>
State Police DUI Fund - 222			
Appropriations (Net of Transfers)	\$ 1,150,000	\$ 1,150,000	\$ 1,150,000
<u>Expenditures</u>			
Division of Forensic Services and Identification			
Administration and Operation of State Crime Laboratories	\$ 98,964	\$ 130,892	\$ 985,184
Subtotal - Division of Forensic Services and Identification	<u>\$ 98,964</u>	<u>\$ 130,892</u>	<u>\$ 985,184</u>
Division of Operations			
Equipment Purchases to Assist in the Prevention of Driving While Under the Influence of Alcohol, Drugs or Intoxication Compounds	\$ 829,096	\$ 401,688	\$ 0
Subtotal - Division of Operations	<u>\$ 829,096</u>	<u>\$ 401,688</u>	<u>\$ 0</u>
Total - State Police DUI Fund	<u>\$ 928,060</u>	<u>\$ 532,580</u>	<u>\$ 985,184</u>
Lapsed Balances	<u>\$ 221,940</u>	<u>\$ 617,420</u>	<u>\$ 164,816</u>
Medicaid Fraud and Abuse Prevention Fund - 237			
Appropriations (Net of Transfers)	\$ 250,000	\$ 250,000	\$ 250,000
<u>Expenditures</u>			
Division of Operations - Financial Fraud and Forgery Unit			
Detection, Investigation, or Prosecution of Recipient or Vendor Fraud	\$ 47	\$ 30,890	\$ 10,376
Total - Medicaid Fraud and Abuse Prevention Fund	<u>\$ 47</u>	<u>\$ 30,890</u>	<u>\$ 10,376</u>
Lapsed Balances	<u>\$ 249,953</u>	<u>\$ 219,110</u>	<u>\$ 239,624</u>
State Police Vehicle Fund - 246			
Appropriations (Net of Transfers)	\$ 12,000,000	\$ 12,000,000	\$ 12,000,000
<u>Expenditures</u>			
Division of Administration			
Purchase of Vehicles and Accessories	\$ 7,679,602	\$ 10,474,599	\$ 7,845,956
Total - State Police Vehicle Fund	<u>\$ 7,679,602</u>	<u>\$ 10,474,599</u>	<u>\$ 7,845,956</u>
Lapsed Balances	<u>\$ 4,320,398</u>	<u>\$ 1,525,401</u>	<u>\$ 4,154,044</u>
State Police Vehicle Maintenance Fund - 328			
Appropriations (Net of Transfers)	\$ 500,000	\$ 1,000,000	\$ 1,000,000
<u>Expenditures</u>			
Division of Administration			
Operation of Auto	\$ 242,689	\$ 245,511	\$ 0
Total - State Police Vehicle Maintenance Fund	<u>\$ 242,689</u>	<u>\$ 245,511</u>	<u>\$ 0</u>
Lapsed Balances	<u>\$ 257,311</u>	<u>\$ 754,489</u>	<u>\$ 1,000,000</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30,

	Fiscal Year		
	2012 P.A. 97-0065	2011 P.A. 96-0956 and 96-0957	2010 P.A. 96-0042
Motor Vehicle Theft Prevention Trust Fund - 376			
Appropriations (Net of Transfers)	\$ 600,000	\$ 600,000	\$ 1,200,000
<u>Expenditures</u>			
Division of Operations			
Payment of Expenses	\$ 363,132	\$ 395,630	\$ 456,751
Total - Motor Vehicle Theft Prevention Trust Fund	<u>\$ 363,132</u>	<u>\$ 395,630</u>	<u>\$ 456,751</u>
Lapsed Balances	<u>\$ 236,868</u>	<u>\$ 204,370</u>	<u>\$ 743,249</u>
Sex Offender Investigation Fund - 445			
Appropriations (Net of Transfers)	\$ 50,000	\$ 0	\$ 50,000
<u>Expenditures</u>			
Division of Operations			
Expenses Related to Sex Offender Investigations	\$ 15,000	\$ 0	\$ 0
Total - Sex Offender Investigation Fund	<u>\$ 15,000</u>	<u>\$ 0</u>	<u>\$ 0</u>
Lapsed Balances	<u>\$ 35,000</u>	<u>\$ 0</u>	<u>\$ 50,000</u>
Federal Civil Preparedness Administrative Fund - 497			
Appropriations (Net of Transfers)	\$ 0	\$ 0	\$ 0
<u>Expenditures</u>			
Division of Operations			
Terrorism Task Force Approved Purchases for Homeland Security	\$ 0	\$ 0	\$ 0
Total - Federal Civil Preparedness Administrative Fund	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
Lapsed Balances	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
State Asset Forfeiture Fund - 514			
Appropriations (Net of Transfers)	\$ 4,500,000	\$ 4,500,000	\$ 4,500,000
<u>Expenditures</u>			
Division of Administration			
Payment of Expenditures	\$ 1,682,171	\$ 1,857,508	\$ 1,970,319
Total - State Asset Forfeiture Fund	<u>\$ 1,682,171</u>	<u>\$ 1,857,508</u>	<u>\$ 1,970,319</u>
Lapsed Balances	<u>\$ 2,817,829</u>	<u>\$ 2,642,492</u>	<u>\$ 2,529,681</u>
Federal Asset Forfeiture Fund - 520			
Appropriations (Net of Transfers)	\$ 2,000,000	\$ 2,000,000	\$ 2,000,000
<u>Expenditures</u>			
Division of Administration			
Payment of Expenditures	\$ 1,290,707	\$ 1,523,352	\$ 1,649,977
Total - Federal Asset Forfeiture Fund	<u>\$ 1,290,707</u>	<u>\$ 1,523,352</u>	<u>\$ 1,649,977</u>
Lapsed Balances	<u>\$ 709,293</u>	<u>\$ 476,648</u>	<u>\$ 350,023</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30,

	Fiscal Year		
	2012 P.A. 97-0065	2011 P.A. 96-0956 and 96-0957	2010 P.A. 96-0042
Sex Offender Registration Fund - 535			
Appropriations (Net of Transfers)	\$ 20,000	\$ 0	\$ 20,000
<u>Expenditures</u>			
Division of Operations			
Payment of Expenses for the Sex Offender Registration Program	\$ 0	\$ 0	\$ 0
Total - Sex Offender Registration Fund	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
Lapsed Balances	<u>\$ 20,000</u>	<u>\$ 0</u>	<u>\$ 20,000</u>
LEADS Maintenance Fund - 536			
Appropriations (Net of Transfers)	\$ 3,500,000	\$ 3,500,000	\$ 3,500,000
<u>Expenditures</u>			
Information Services Bureau			
Payment of Expenses Related to LEADS System	\$ 1,933,694	\$ 1,516,022	\$ 1,736,835
Total - LEADS Maintenance Fund	<u>\$ 1,933,694</u>	<u>\$ 1,516,022</u>	<u>\$ 1,736,835</u>
Lapsed Balances	<u>\$ 1,566,306</u>	<u>\$ 1,983,978</u>	<u>\$ 1,763,165</u>
State Offender DNA Identification System Fund - 537			
Appropriations (Net of Transfers)	\$ 3,423,500	\$ 2,173,500	\$ 3,423,500
<u>Expenditures</u>			
Division of Forensic Services and Identification			
Payable from State Offender DNA Identification System Fund	\$ 2,654,415	\$ 1,843,926	\$ 2,508,395
Total - State Offender DNA Identification System Fund	<u>\$ 2,654,415</u>	<u>\$ 1,843,926</u>	<u>\$ 2,508,395</u>
Lapsed Balances	<u>\$ 769,085</u>	<u>\$ 329,574</u>	<u>\$ 915,105</u>
State Police Wireless Service Emergency Fund - 637			
Appropriations (Net of Transfers)	\$ 1,800,000	\$ 675,000	\$ 1,800,000
<u>Expenditures</u>			
Division of Administration			
Costs Associated with the Wireless Emergency Telephone Safety Act	\$ 461,646	\$ 675,000	\$ 678,167
Total - State Police Wireless Service Emergency Fund	<u>\$ 461,646</u>	<u>\$ 675,000</u>	<u>\$ 678,167</u>
Lapsed Balances	<u>\$ 1,338,354</u>	<u>\$ 0</u>	<u>\$ 1,121,833</u>
Motor Carrier Safety Inspection Fund - 649			
Appropriations (Net of Transfers)	\$ 2,300,000	\$ 2,300,000	\$ 2,300,000
<u>Expenditures</u>			
Division of Operations			
Expenses Associated with the Enforcement of Federal and State Motor Carrier Safety Regulations and Laws	\$ 2,227,467	\$ 1,983,612	\$ 2,046,989
Total - Motor Carrier Safety Inspection Fund	<u>\$ 2,227,467</u>	<u>\$ 1,983,612</u>	<u>\$ 2,046,989</u>
Lapsed Balances	<u>\$ 72,533</u>	<u>\$ 316,388</u>	<u>\$ 253,011</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30,

	Fiscal Year		
	2012 P.A. 97-0065	2011 P.A. 96-0956 and 96-0957	2010 P.A. 96-0042
Over Dimensional Load Police Escort Fund - 652			
Appropriations (Net of Transfers)	\$ 125,000	\$ 55,000	\$ 125,000
<u>Expenditures</u>			
Division of Operations			
Expenses Incurred for Providing Police Escorts for Over-Dimensional Loads	\$ 83,111	\$ 46,267	\$ 80,086
Total - Over Dimensional Load Police Escort Fund	\$ 83,111	\$ 46,267	\$ 80,086
Lapsed Balances	\$ 41,889	\$ 8,733	\$ 44,914
State Police Whistleblower Reward and Protection Fund - 705			
Appropriations (Net of Transfers)	\$ 8,250,000	\$ 6,250,000	\$ 17,148,800
<u>Expenditures</u>			
Division of Operations			
Payment of Expenditures for State Law Enforcement Purposes	\$ 1,022,618	\$ 5,659,765	\$ 16,576,835
Total - State Police Whistleblower Reward and Protection Fund	\$ 1,022,618	\$ 5,659,765	\$ 16,576,835
Lapsed Balances	\$ 7,227,382	\$ 590,235	\$ 571,965
Money Laundering Asset Recovery Fund - 816			
Appropriations (Net of Transfers)	\$ 1,500,000	\$ 500,000	\$ 0
<u>Expenditures</u>			
Division of Administration			
Ordinary and Contingent Expenses	\$ 509,398	\$ 398,713	\$ 0
Total - Money Laundering Asset Recovery Fund	\$ 509,398	\$ 398,713	\$ 0
Lapsed Balances	\$ 990,602	\$ 101,287	\$ 0
State Police Operations Assistance Fund - 817			
Appropriations (Net of Transfers)	\$ 22,000,000	\$ 22,616,300	\$ 0
<u>Expenditures</u>			
Division of Operations			
Personal Services and Related Lines	\$ 12,931,435	\$ 0	\$ 0
Total - State Police Operations Assistance Fund	\$ 12,931,435	\$ 0	\$ 0
Lapsed Balances	\$ 9,068,565	\$ 22,616,300	\$ 0
State Police Streetgang-Related Crime Fund - 846			
Appropriations (Net of Transfers)	\$ 100,000	\$ 1,000,000	\$ 0
<u>Expenditures</u>			
Division of Operations			
Operations Related to Streetgang-Related Crime Initiatives	\$ 0	\$ 0	\$ 0
Total - State Police Streetgang-Related Crime Fund	\$ 0	\$ 0	\$ 0
Lapsed Balances	\$ 100,000	\$ 1,000,000	\$ 0

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30,

	Fiscal Year		
	2012 P.A. 97-0065	2011 P.A. 96-0956 and 96-0957	2010 P.A. 96-0042
Drug Traffic Prevention Fund - 878			
Appropriations (Net of Transfers)	\$ 500,000	\$ 150,000	\$ 150,000
<u>Expenditures</u>			
Division of Operations			
Grants to Metropolitan Enforcement Groups	\$ 150,000	\$ 150,000	\$ 105,000
Total - Drug Traffic Prevention Fund	\$ 150,000	\$ 150,000	\$ 105,000
Lapsed Balances	\$ 350,000	\$ 0	\$ 45,000
Traffic and Criminal Conviction Surcharge Fund - 879			
Appropriations (Net of Transfers)	\$ 5,964,000	\$ 5,841,100	\$ 5,782,600
<u>Expenditures</u>			
Division of Operations			
Personal Services	\$ 2,961,417	\$ 3,037,830	\$ 3,006,658
State Contribution to State Employees' Retirement System	1,013,168	851,199	854,689
State Contributions to Social Security	84,149	86,756	90,629
Group Insurance	664,486	586,331	586,768
Contractual Services	358,302	345,181	389,863
Travel	7,638	31,139	38,051
Commodities	154,365	166,979	120,274
Printing	26,500	26,478	26,500
Telecommunications Services	109,933	112,119	111,463
Operation of Automotive Equipment	151,418	136,872	135,227
Total Traffic and Criminal Conviction Surcharge Fund	\$ 5,531,376	\$ 5,380,884	\$ 5,360,122
Lapsed Balances	\$ 432,624	\$ 460,216	\$ 422,478
Illinois State Police Federal Projects Fund - 904			
Appropriations (Net of Transfers)	\$ 20,550,000	\$ 20,250,000	\$ 40,000,000
<u>Expenditures</u>			
Division of Operations			
Payment of Expenses	\$ 14,645,665	\$ 12,289,037	\$ 12,314,960
Federal Recovery- Federally Funded Program Expenses	451,071	40,913	0
Total Illinois State Police Federal Projects Fund	\$ 15,096,736	\$ 12,329,950	\$ 12,314,960
Lapsed Balances	\$ 5,453,264	\$ 7,920,050	\$ 27,685,040
State Police Services Fund - 906			
Appropriations (Net of Transfers)	34,200,000	19,400,000	31,900,000
<u>Expenditures</u>			
Division of Operations			
Payment of Expenses for:			
Fingerprint Program	\$ 13,159,884	\$ 10,045,531	\$ 11,720,642
Federal & IDOT Programs	2,595,937	3,667,940	3,092,242
Riverboat Gambling	239,673	439,949	870,064
Miscellaneous Programs	2,471,254	2,819,754	2,915,690
Total State Police Services Fund	\$ 18,466,748	\$ 16,973,174	\$ 18,598,638
Lapsed Balances	\$ 15,733,252	\$ 2,426,826	\$ 13,301,362

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30,

	Fiscal Year		
	2012 P.A. 97-0065	2011 P.A. 96-0956 and 96-0957	2010 P.A. 96-0042
GRAND TOTAL - ALL APPROPRIATED FUNDS			
Appropriations (Net of Transfers)	\$ 401,174,200	\$ 386,575,325	\$ 417,115,700
Total Expenditures	\$ 346,110,063	\$ 335,185,240	\$ 338,913,151
Lapsed Balances	\$ 55,064,137	\$ 51,390,085	\$ 78,202,549
NON-APPROPRIATED FUNDS			
Drug Traffic Prevention Fund - 878			
<u>Expenditures</u>			
Division of Operations			
Refund to DuPage County Clerk	\$ 10,000	\$ 0	\$ 0
Total Drug Traffic Prevention Fund	\$ 10,000	\$ 0	\$ 0
Federal Projects Fund - 904			
<u>Expenditures</u>			
Division of Operations			
COPS Record Management	\$ 0	\$ 0	\$ 269,370
Refunds of Federal Grants	67,097	110,649	374,998
Total Federal Projects Fund	\$ 67,097	\$ 110,649	\$ 644,368
GRAND TOTAL - ALL NON-APPROPRIATED FUNDS	\$ 77,097	\$ 110,649	\$ 644,368
GRAND TOTAL - ALL FUNDS	\$ 346,187,160	\$ 335,295,889	\$ 339,557,519
Salaries paid from the Comptroller's Executive Salary Appropriation			
Executive Director	\$ 132,566	\$ 106,505	\$ 132,600
Total Expenditures from Comptroller's Executive Salaries Appropriation	\$ 132,566	\$ 106,505	\$ 132,600

Note 1: The FY12 and FY10 expenditures and related lapsed balances do not reflect any interest payments approved for payment by the Agency and submitted to the Comptroller for payment after August.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
**COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS AND
FUND BALANCE (CASH BASIS) - LOCALLY HELD FUNDS**
For the Fiscal Years Ended June 30,

	<u>Fiscal Year</u>	
	<u>2012</u>	<u>2011</u>
Official Advance Fund - 1199		
Beginning Cash Balance	\$ 215,257	\$ 191,074
Cash Receipts:		
Investment Income	0	158
Fund Transfers - In	280,000	400,000
Miscellaneous	32,749	27,226
Cash Disbursements:		
Contractual Services	<u>340,631</u>	<u>403,201</u>
Ending Cash Balance	<u>\$ 187,375</u>	<u>\$ 215,257</u>
Seized Fund - 1241		
Beginning Cash Balance	\$ 6,469,023	\$ 3,643,878
Cash Receipts:		
Fines, Penalties, or Violations	11,195,258	15,450,969
Investment Income	577	393
Fund Transfers - In	597,586	201,845
Miscellaneous	3,021	1,902
Cash Disbursements:		
Refunds	1,082,491	1,186,363
Cost of Sales	0	1,100
Fund Transfers - Out	<u>12,127,156</u>	<u>11,642,501</u>
Ending Cash Balance	<u>\$ 5,055,818</u>	<u>\$ 6,469,023</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
**COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS AND
FUND BALANCE (CASH BASIS) - LOCALLY HELD FUNDS**
For the Fiscal Years Ended June 30,

	<u>Fiscal Year</u>	
	<u>2012</u>	<u>2011</u>
Forfeiture Funds - 1242		
Beginning Cash Balance	\$ 2,802,819	\$ 2,364,348
Cash Receipts:		
Fines, Penalties, or Violations	9,359,158	7,092,745
Investment Income	589	382
Fund Transfers - In	12,344,898	8,887,463
Cash Disbursements:		
Awards or Grants	16,211,085	12,942,407
Refunds	0	400
Cost of Sales	17,485	16,314
Fund Transfers - Out	6,117,388	2,582,998
Ending Cash Balance	<u>\$ 2,161,506</u>	<u>\$ 2,802,819</u>
Federal Forfeiture Pending Closure Fund - 1378		
Beginning Cash Balance	\$ 0	\$ 2,598
Cash Receipts:		
Fines, Penalties, or Violations	3,335,199	1,112,682
Fund Transfers - In	13,000	0
Cash Disbursements:		
Refunds	456,908	653,935
Fund Transfers - Out	2,670,222	461,345
Ending Cash Balance	<u>\$ 221,069</u>	<u>\$ 0</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
**COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS AND
FUND BALANCE (CASH BASIS) - LOCALLY HELD FUNDS**
For the Fiscal Years Ended June 30,

	<u>Fiscal Year</u>	
	<u>2012</u>	<u>2011</u>
Federal Forfeiture Treasury Fund - 1379		
Beginning Cash Balance	\$ 0	\$ 0
Cash Receipts:		
Fund Transfers - In	0	135,338
Cash Disbursements:		
Fund Transfers - Out	<u>0</u>	<u>135,338</u>
Ending Cash Balance	<u>\$ 0</u>	<u>\$ 0</u>
Money Laundering Seized Fund - 1380		
Beginning Cash Balance	\$ 20,722	\$ 162,802
Cash Receipts:		
Fines, Penalties, or Violations	409,805	961,392
Investment Income	0	0
Fund Transfers - In	130,980	0
Cash Disbursements:		
Contractual Services	0	0
Fund Transfers - Out	<u>512,507</u>	<u>1,103,472</u>
Ending Cash Balance	<u>\$ 49,000</u>	<u>\$ 20,722</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
**COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS AND
FUND BALANCE (CASH BASIS) - LOCALLY HELD FUNDS**
For the Fiscal Years Ended June 30,

	<u>Fiscal Year</u>	
	2012	2011
Money Laundering Forfeited Fund - 1381		
Beginning Cash Balance	\$ 139,673	\$ 1,052,959
Cash Receipts:		
Fines, Penalties, or Violations	4,037,879	1,289,963
Investment Income	65	154
Fund Transfers - In	3,182,231	4,184,517
Cash Disbursements:		
Awards or Grants	5,102,673	4,400,158
Cost of Sales	0	0
Fund Transfers - Out	1,665,475	1,987,762
Ending Cash Balance	\$ 591,700	\$ 139,673

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF CHANGES IN STATE PROPERTY
For the Two Years Ended June 30, 2012

	Total	Land and Land Improvements	Buildings and Building Improvements	Equipment	Capital Leases - Bldgs & Improvements	Capital Leases - Equipment
Balance at July 1, 2010	\$ 286,104,859	\$ 1,732,556	\$ 62,492,009	\$ 214,493,470	\$ 6,003,791	\$ 1,383,033
Additions	15,157,293	0	0	14,453,667	703,626	0
Deletions	(6,596,379)	0	0	(6,540,089)	0	(56,290)
Net Transfers	(9,330,357)	0	53,452	(9,383,809)	0	0
Balance at June 30, 2011	<u>\$ 285,335,416</u>	<u>\$ 1,732,556</u>	<u>\$ 62,545,461</u>	<u>\$ 213,023,239</u>	<u>\$ 6,707,417</u>	<u>\$ 1,326,743</u>
Balance at July 1, 2011	\$ 285,335,416	\$ 1,732,556	\$ 62,545,461	\$ 213,023,239	\$ 6,707,417	\$ 1,326,743
Additions	11,872,567	0	0	11,872,567	0	0
Deletions	(2,307,134)	0	0	(2,307,134)	0	0
Net Transfers	(12,993,070)	0	0	(12,993,070)	0	0
Balance at June 30, 2012	<u>\$ 281,907,779</u>	<u>\$ 1,732,556</u>	<u>\$ 62,545,461</u>	<u>\$ 209,595,602</u>	<u>\$ 6,707,417</u>	<u>\$ 1,326,743</u>

Note: The above schedule has been derived from Agency records which have been reconciled to property reports submitted to the Office of the Comptroller.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF CASH RECEIPTS
For the Fiscal Years Ended June 30,

	<u>2012</u>	<u>2011</u>	<u>2010</u>
General Revenue Fund - 001			
Accident Reports	\$ 178,382	\$ 164,672	\$ 153,832
Federal Agencies	280	152	41,928
State Highway Police	425,320	401,312	328,464
Circuit Clerks	214,286	87,886	56,504
Reimbursements for Local Law Enforcement	26	0	0
Miscellaneous & Unclaimed Assets	9,082	300	300
Other State Agencies	270,305	129	107,623
Total General Revenue Fund	<u>\$ 1,097,681</u>	<u>\$ 654,451</u>	<u>\$ 688,651</u>
Road Fund - 011			
Overweight Fines	<u>\$ 3,421,050</u>	<u>\$ 3,941,670</u>	<u>\$ 3,635,207</u>
Fish and Wildlife Fund - 041			
Firearms Owners' Identification Fees	<u>\$ 2,062,134</u>	<u>\$ 1,845,228</u>	<u>\$ 1,809,684</u>
Firearm Owners' Notification Fund - 071			
Registration Fees	<u>\$ 1,031,067</u>	<u>\$ 922,614</u>	<u>\$ 904,842</u>
State Crime Laboratory Fund - 152			
Laboratory Fees	<u>\$ 586,731</u>	<u>\$ 597,694</u>	<u>\$ 629,708</u>
State Crime Laboratory DUI Fund - 222			
Laboratory Fees	<u>\$ 1,169,442</u>	<u>\$ 680,096</u>	<u>\$ 666,063</u>
Medicaid Fraud and Abuse Fund - 237			
Medicaid Fraud Prevention	<u>\$ 81,769</u>	<u>\$ 0</u>	<u>\$ 0</u>
State Police Vehicle Fund - 246			
Sale of State Vehicles	<u>\$ 1,028,807</u>	<u>\$ 1,200,035</u>	<u>\$ 1,157,672</u>
State Police Motor Vehicle Theft Prevention Trust Fund - 376			
Local Government and Other State Agencies	<u>\$ 300,127</u>	<u>\$ 461,037</u>	<u>\$ 427,362</u>
Murder and Violent Offender Against Youth Fund - 400			
Fines and Penalties	<u>\$ 55</u>	<u>\$ 0</u>	<u>\$ 0</u>
Illinois State Toll Highway Road Fund - 455			
Overweight Fines	<u>\$ 0</u>	<u>\$ 16,515</u>	<u>\$ 15,436</u>
State Asset Forfeiture Fund - 514			
Drug Asset Forfeiture	<u>\$ 2,928,331</u>	<u>\$ 1,780,408</u>	<u>\$ 2,696,271</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF CASH RECEIPTS
For the Fiscal Years Ended June 30,

	<u>2012</u>	<u>2011</u>	<u>2010</u>
Federal Asset Forfeiture Fund - 520			
Drug Asset Forfeiture	\$ 2,641,663	\$ 794,572	\$ 2,601,608
Sex Offender Registration Fund - 535			
Registration Fees	\$ 90,989	\$ 26,893	\$ 19,916
State Offender DNA Identification Fund - 537			
DNA Testing for Sex Offenders	\$ 2,361,857	\$ 2,370,708	\$ 2,384,456
State Police Wireless Service Fund - 637			
Cellular 911 Services	\$ 963,408	\$ 1,115,009	\$ 1,786,215
Over Dimensional Load Police Escort Fund - 652			
Over Dimensional Load	\$ 65,640	\$ 71,325	\$ 95,640
Capital Projects Fund - 694			
Capital Projects	\$ 1,617,792	\$ 1,211,439	\$ 0
Money Laundering Asset Recovery Fund - 816			
Money Laundering - State	\$ 1,160,496	\$ 1,986,762	\$ 0
State Police Streetgang Related Crime Fund - 846			
Streetgang Crimes	\$ 1,216	\$ 285	\$ 0
Drug Traffic Prevention Fund -878			
Court and Anti-Trust Distributions	\$ 250,284	\$ 153,018	\$ 170,099
Federal Projects Fund - 904			
Federal Projects	\$ 15,171,201	\$ 12,182,293	\$ 12,818,259
State Police Services Fund - 906			
Reimbursement from Other State Agencies and Local Governments	\$ 21,839,298	\$ 21,216,175	\$ 20,155,695
Total Receipts	<u>\$ 59,871,038</u>	<u>\$ 53,228,227</u>	<u>\$ 52,662,784</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER
For the Fiscal Year Ended June 30, 2012

	Combined	General Revenue Fund 001	Road Fund 011	Fish and Wildlife Fund 041	Firearm Owners' Notification Fund 071	State Crime Lab Fund 152
Licenses and Fees	\$ 6,294,961	\$ 178,382	\$ 0	\$ 2,062,134	\$ 1,031,067	\$ 586,731
Federal Government	19,430,936	280	0	0	0	0
Fines, Penalties or Violations	5,008,137	425,320	3,421,050	0	0	0
Other Revenue	29,137,004	493,699	0	0	0	0
Total - Per Agency Records	\$ 59,871,038	\$ 1,097,681	\$ 3,421,050	\$ 2,062,134	\$ 1,031,067	\$ 586,731
PY Refunds	28,039	26,894	0	0	0	0
Adjustments In Transit	0	0	0	0	0	0
Prior Year Warrant Voids	69	0	0	0	0	0
Deposits in Transit to Comptroller						
Beginning of Period	2,238,607	42,203	207,361	91,710	45,855	26,134
End of Period	(1,553,693)	(111,257)	(131,754)	(71,286)	(35,643)	(24,552)
Total - Per State Comptroller Records	\$ 60,584,060	\$ 1,055,521	\$ 3,496,657	\$ 2,082,558	\$ 1,041,279	\$ 588,313

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
**RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER**

For the Fiscal Year Ended June 30, 2012

	State Crime Lab DUI Fund 222	Medicaid Fraud and Abuse Fund 237	State Police Vehicle Fund 246	State Police Motor Vehicle Theft Prevention Trust Fund 376	Murder and Violent Offender Against Youth Fund 400	Illinois State Toll Highway Road Fund 455	State Asset Forfeiture Fund 514
Licenses and Fees	\$ 1,169,442	\$ 81,769	\$ 1,028,807	\$ 0	\$ 0	\$ 0	\$ 0
Federal Government	0	0	0	0	0	0	0
Fines, Penalties or Violations	0	0	0	0	55	0	0
Other Revenue	0	0	0	300,127	0	0	2,928,331
Total - Per Agency Records	\$ 1,169,442	\$ 81,769	\$ 1,028,807	\$ 300,127	\$ 55	\$ 0	\$ 2,928,331
PY Refunds	0	0	0	0	0	0	0
Adjustments In Transit	0	0	0	0	0	0	0
Prior Year Warrant Voids	0	0	0	0	0	0	0
Deposits in Transit to Comptroller	48,712	0	56,727	0	0	0	269,423
Beginning of Period	(64,195)	0	(37,913)	0	0	0	0
Total - Per State Comptroller Records	\$ 1,153,959	\$ 81,769	\$ 1,047,621	\$ 300,127	\$ 55	\$ 0	\$ 3,197,754

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
**RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER**
For the Fiscal Year Ended June 30, 2012

	Federal Asset Forfeiture Fund 520	Sex Offender Registration Fund 535	State Offender Registration Fund 537	State Police Wireless Service Fund 637	Over Dimensional Load Police Escort Fund 652	Capital Projects Fund 694	Money Laundering Asset Recovery Fund 816
Licenses and Fees	\$ 0	\$ 90,989	\$ 0	\$ 0	\$ 65,640	\$ 0	\$ 0
Federal Government	2,641,663	0	0	0	0	1,617,792	0
Fines, Penalties or Violations	0	0	0	0	0	0	1,160,496
Other Revenue	0	0	2,361,857	963,408	0	0	0
Total - Per Agency Records	\$ 2,641,663	\$ 90,989	\$ 2,361,857	\$ 963,408	\$ 65,640	\$ 1,617,792	\$ 1,160,496
PY Refunds	0	0	0	0	0	0	0
Adjustments In Transit	0	0	0	0	0	0	0
Prior Year Warrant Voids	0	0	69	0	0	0	0
Deposits in Transit to Comptroller							
Beginning of Period	0	2,810	122,353	0	1,680	53,434	207,434
End of Period	0	(1,502)	(107,212)	0	(600)	(35,050)	0
Total - Per State Comptroller Records	\$ 2,641,663	\$ 92,297	\$ 2,377,067	\$ 963,408	\$ 66,720	\$ 1,636,176	\$ 1,367,930

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
**RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER**
For the Fiscal Year Ended June 30, 2012

	State Police Streetgang Crime Fund 846	Drug Traffic Prevention Fund 878	Traffic & Crime Conviction Fund 879	Federal Projects Fund 904	State Police Services Fund 906
Licenses and Fees	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Government	0	0	0	15,171,201	0
Fines, Penalties or Violations	1,216	0	0	0	0
Other Revenue	0	250,284	0	0	21,839,298
Total - Per Agency Records	\$ 1,216	\$ 250,284	\$ 0	\$ 15,171,201	\$ 21,839,298
PY Refunds	0	0	1,145	0	0
Adjustments In Transit	0	0	0	0	0
Prior Year Warrant Voids	0	0	0	0	0
Deposits in Transit to Comptroller					
Beginning of Period	0	5,970	0	125,792	931,009
End of Period	0	(10,291)	0	(156,972)	(765,466)
Total - Per State Comptroller Records	\$ 1,216	\$ 245,963	\$ 1,145	\$ 15,140,021	\$ 22,004,841

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
**RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER**

For the Fiscal Year Ended June 30, 2011

	Combined	General Revenue Fund 001	Road Fund 011	Fish and Wildlife Fund 041	Firearm Owners' Notification Fund 071	State Crime Lab Fund 152	State Crime Lab DUI Fund 222
Licenses and Fees	\$ 5,508,557	\$ 164,672	\$ 0	\$ 1,845,228	\$ 922,614	\$ 597,694	\$ 680,096
Federal Government	14,188,456	152	0	0	0	0	0
Fines, Penalties or Violations	6,346,544	401,312	3,941,670	0	0	0	0
Other Revenue	27,184,670	88,315	0	0	0	0	0
Total - Per Agency Records	\$ 53,228,227	\$ 654,451	\$ 3,941,670	\$ 1,845,228	\$ 922,614	\$ 597,694	\$ 680,096
PY Refunds	505	505	0	0	0	0	0
Adjustments In Transit	0	0	0	0	0	0	0
Charges for Services	(155)	0	0	0	0	0	0
Deposits in Transit to Comptroller							
Beginning of Period	2,109,910	25,047	359,056	108,114	54,057	45,768	54,118
End of Period	(2,238,607)	(42,203)	(207,361)	(91,710)	(45,855)	(26,134)	(48,712)
Total - Per State Comptroller Records	\$ 53,099,880	\$ 637,800	\$ 4,093,365	\$ 1,861,632	\$ 930,816	\$ 617,328	\$ 685,502

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER
For the Fiscal Year Ended June 30, 2011

	Medicaid	State Police Motor Vehicle	Illinois State	State Asset	Federal Asset	Sex Offender
	Fraud and Abuse Fund	Theft Prevention Trust Fund	Toll Highway Road Fund	State Asset Forfeiture Fund	Federal Asset Forfeiture Fund	Sex Offender Registration Fund
	237	376	455	514	520	535
Licenses and Fees	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 26,893
Federal Government	0	0	0	0	794,572	0
Fines, Penalties or Violations	0	0	16,515	0	0	0
Other Revenue	0	461,037	0	1,780,408	0	0
Total - Per Agency Records	\$ 0	\$ 461,037	\$ 16,515	\$ 1,780,408	\$ 794,572	\$ 26,893
PY Refunds	0	0	0	0	0	0
Adjustments In Transit	0	0	0	0	0	0
Charges for Services	0	0	0	0	0	0
Deposits in Transit to Comptroller						
Beginning of Period	0	91,631	0	0	0	3,210
End of Period	0	(56,727)	0	(269,423)	0	(2,810)
Total - Per State Comptroller Records	\$ 0	\$ 1,234,939	\$ 16,515	\$ 1,510,985	\$ 794,572	\$ 27,293

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER

For the Fiscal Year Ended June 30, 2011

	State Offender Registration Fund 537	State Police Wireless Service Fund 637	Over		Money			Drug Traffic Prevention Fund 878
			Dimensional Load Police Escort Fund 652	Capital Projects Fund 694	Laundrying Asset Recovery Fund 816	State Police Streetgang Crime Fund 846		
Licenses and Fees	\$ 0	\$ 0	\$ 71,325	\$ 0	\$ 0	\$ 0	\$ 0	
Federal Government	0	0	0	1,211,439	0	0	0	
Fines, Penalties or Violations	0	0	0	0	1,986,762	285	0	
Other Revenue	2,370,708	1,115,009	0	0	0	0	153,018	
Total - Per Agency Records	\$ 2,370,708	\$ 1,115,009	\$ 71,325	\$ 1,211,439	\$ 1,986,762	\$ 285	\$ 153,018	
PY Refunds	0	0	0	0	0	0	0	
Adjustments In Transit	0	0	0	0	0	0	0	
Charges for Services	0	0	0	0	0	0	0	
Deposits in Transit to Comptroller								
Beginning of Period	174,265	0	5,078	0	0	0	9,098	
End of Period	(122,353)	0	(1,680)	(53,434)	(207,434)	0	(5,970)	
Total - Per State Comptroller Records	\$ 2,422,620	\$ 1,115,009	\$ 74,723	\$ 1,158,005	\$ 1,779,328	\$ 285	\$ 156,146	

STATE OF ILLINOIS
 DEPARTMENT OF STATE POLICE
**RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS
 REMITTED TO THE STATE COMPTROLLER**
 For the Fiscal Year Ended June 30, 2011

	Federal Projects Fund 904	State Police Services Fund 906
Licenses and Fees	\$ 0	\$ 0
Federal Government	12,182,293	0
Fines, Penalties or Violations	0	0
Other Revenue	0	21,216,175
Total - Per Agency Records	\$ 12,182,293	\$ 21,216,175
PY Refunds	0	0
Adjustments In Transit	0	0
Charges for Services	0	(155)
Deposits in Transit to Comptroller		
Beginning of Period	1,437	1,179,031
End of Period	(125,792)	(931,009)
Total - Per State Comptroller Records	\$ 12,057,938	\$ 21,464,042

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2012

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2012 AND 2011

General Revenue Fund - 001

For Fiscal Year 2012, the appropriation process was changed for operating expenses paid from the General Revenue Fund. The Department of State Police (Department) received individual appropriations designated for specific purposes, rather than a lump sum appropriation.

Firearm Owner's Notification Fund - 071

Administration and Operation of the Firearm Owner's Identification Card Program

The increase in Administration and Operation of the Firearm Owner's Identification Card Program expenditures was due to the need for additional equipment upgrades and an increase in contractual outsourcing.

State Crime Laboratory Fund - 152

Payable from State Crime Laboratory Fund

The increase in Payable from State Crime Laboratory Fund expenditures was due to an increase in the amount of scientific equipment repairs needed to maintain State Crime Laboratory equipment.

State Police DUI Fund - 222

Division of Forensic Services and Identification

Administration and Operation of State Crime Laboratories

The decrease in Administration and Operation of State Crime Laboratories expenditures was due to scientific equipment purchases made in Fiscal Year 2011 not being repeated in Fiscal Year 2012.

Division of Operations

Equipment Purchases to Assist in the Prevention of Driving While Under the Influence of Alcohol, Drugs or Intoxication Compounds

The increase in Equipment Purchases to Assist in the Prevention of Driving While Under the Influence of Alcohol, Drugs or Intoxication Compounds expenditures was due to an increase in fine amounts enacted January 1, 2011, which enabled the purchase of additional equipment.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2012

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2012 AND 2011 (continued)

Medicaid Fraud and Abuse Prevention Fund - 237

Detection, Investigation, or Prosecution of Recipient or Vendor Fraud

The decrease in Detection, Investigation, or Prosecution of Recipient or Vendor Fraud expenditures was due to a majority of the program income from the Federal Medicaid Fraud Grant being expended in Fiscal Year 2011.

State Police Vehicle Fund - 246

Purchase of Vehicles and Accessories

The decrease in Purchase of Vehicles and Accessories expenditures was due to the cut-off dates on vehicle contracts resulting in fewer vehicles being purchased.

Sex Offender Investigation Fund - 445

Expenses Related to Sex Offender Investigations

The increase in Expenses Related to Sex Offender Investigations expenditures was due to a reserve being placed on this fund for Fiscal Years 2010 and 2011; however, in Fiscal Year 2012 the Department borrowed from the reserve in order to pay expenditures for investigative officer training.

LEADS Maintenance Fund - 536

Payment of Expenses Related to LEADS System

The increase in Payment of Expenses Related to LEADS System expenditures was due to a computer mainframe lease and increased personal service expenditures related to staff changes, increases in the retirement contribution, and group insurance costs.

State Offender DNA Identification System Fund - 537

Payable from State Offender DNA Identification System Fund

The increase in Payable from State Offender DNA Identification System Fund expenditures was due to the Governor's Office of Management and Budget reducing this appropriation pursuant to PA 96-0958 in Fiscal Year 2011; however, in Fiscal Year 2012 expenditures were not reduced by the Governor's Office of Management and Budget.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2012

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2012 AND 2011 (continued)

State Police Wireless Service Emergency Fund - 637

Costs Associated with the Wireless Emergency Telephone Safety Act

The decrease in Costs Associated with the Wireless Emergency Telephone Safety Act expenditures was due to the length of the procurement process and procurement cut-off dates.

Over Dimensional Load Police Escort Fund - 652

Expenses Incurred for Providing Police Escorts for Over-Dimensional Loads

The increase in Expenses Incurred for Providing Police Escorts for Over-Dimensional Loads expenditures was due to the Governor's Office of Management and Budget reducing this appropriation pursuant to PA 96-0958 in Fiscal Year 2011; however, in Fiscal Year 2012 expenditures were not reduced by the Governor's Office of Management and Budget.

State Police Whistleblower Reward and Protection Fund - 705

Payment of Expenditures for State Law Enforcement Purposes

The decrease in Payment of Expenditures for State Law Enforcement Purposes expenditures was due to the Department making a spending decision in concurrence with the Governor's Office of Management and Budget to pay salary expenses from the State Police Operations Assistance Fund (817) in Fiscal Year 2012.

Money Laundering Asset Recovery Fund - 816

Ordinary and Contingent Expenses

The increase in Ordinary and Contingent Expenses expenditures was due to the Department purchasing a generator and telephone system in relation to the establishment of money laundering asset recovery activities.

State Police Operations Assistance Fund - 817

Personal Services and Related Lines

The increase in Personal Services and Related Lines expenditures was due to the Department using discretionary spending related to salary expenditures.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2012

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2012 AND 2011 (continued)

Traffic and Criminal Conviction Surcharge Fund - 879

Travel

The decrease in Travel expenditures was due to Department employees utilizing State vehicles.

Federal Projects Fund - 904

Federal Recovery- Federally Funded Program Expenses

The increase in Federal Recovery- Federally Funded Program Expenses expenditures was due to a higher volume of grant programmatic activity.

State Police Services Fund - 906

Fingerprint Program

The increase in Fingerprint Program expenditures was due to the Governor's Office of Management and Budget reducing this appropriation pursuant to PA 96-0958 in Fiscal Year 2011; however, in Fiscal Year 2012 expenditures were not reduced by the Governor's Office of Management and Budget. In addition, personal services and operating expenditures increased due to salary increases, increases in retirement contribution and group insurance costs, and various equipment related leases, upgrades, and purchases.

Federal and IDOT Program

The decrease in Federal and IDOT Program expenditures was due to fewer construction hireback patrol hours worked due to the Illinois Department of Transportation requesting fewer patrols.

Riverboat Gambling

The decrease in Riverboat Gambling expenditures was due to cadet class expenses not being incurred because there was no need to train additional cadets for Riverboat Gambling during Fiscal Year 2012.

Drug Traffic Prevention Fund - 878 - Non-Appropriated

Refund to DuPage County Clerk

The increase in Refund to DuPage County Clerk was due to a refund being issued to DuPage County Clerk due to overpayment. This did not occur in Fiscal Year 2011.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2012

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2012 AND 2011 (continued)

Federal Projects Fund - 904 - Non-Appropriated

Refunds of Federal Grants

The decrease in Refunds of Federal Grants was due to fewer refunds for federal grants being necessary in Fiscal Year 2012. Refunds are expected to fluctuate between years.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2012

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2011 AND 2010

General Revenue Fund - 001

Personal Services for Bargaining Unit Employees

State Contributions to Social Security for Bargaining Unit Employees

Personal Services for Non-Bargaining Unit Employees

State Contributions to Social Security for Non-Bargaining Unit Employees

Operational Expenses

Governor's Discretionary Appropriation

For Fiscal Year 2011, the appropriation process was changed for operating expenses paid from the General Revenue Fund. The Department of State Police (Department) received a lump sum appropriation for operational expenses, including personal service expenditures, rather than individual appropriations designated for specific purposes. In addition, the Governor's Discretionary Appropriation was appropriated in order to bring the Department in compliance with the Federal Equitable Sharing Agreement.

State Police DUI Fund - 222

Administration and Operation of State Crime Laboratories

Equipment Purchases to Assist in the Prevention of Driving While Under the Influence of Alcohol, Drugs or Intoxication Compounds

For Fiscal Year 2011, the State Police DUI Fund was divided into two line items, per recommendation from management and a program audit of State Police Division of Forensic Services. In addition, Fiscal Year 2010 revenues and expenditures were higher than Fiscal Year 2011 due to a larger volume of convictions from Department written DUI citations.

Medicaid Fraud and Abuse Prevention Fund - 237

Detection, Investigation, or Prosecution of Recipient or Vendor Fraud

The increase in Detection, Investigation, or Prosecution of Recipient or Vendor Fraud expenditures was due to the U.S. Department of Health and Human Services encouraging the Department to spend down the program income associated with the federal Medicaid Fraud Grant.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2012

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2011 AND 2010 (continued)

State Police Vehicle Fund - 246

Purchase of Vehicles and Accessories

The increase in Purchase of Vehicles and Accessories expenditures was due to Fiscal Year 2011 being the first full year of deposits from a new revenue source, allowing more funds to be expended on vehicles and related equipment.

State Police Vehicle Maintenance Fund - 328

Operation of Automotive Equipment

The increase in Operation of Auto expenditures was due to general revenue reserves placed on the Department, which impacted the automotive line, requiring the Department to incur expenditures in the State Police Vehicle Maintenance Fund.

State Offender DNA Identification System Fund - 537

Payable from State Offender DNA Identification System Fund

The decrease in Payable from State Offender DNA Identification System Fund expenditures in Fiscal Year 2011 was due to the Governor's Office of Management and Budget reducing this appropriation pursuant to PA 96-0958.

Over Dimensional Load Police Escort Fund - 652

Expenses Incurred for Providing Police Escorts for Over-Dimensional Loads

The decrease in Expenses Incurred for Providing Police Escorts for Over-Dimensional Loads expenditures in Fiscal Year 2011 was due to the Governor's Office of Management and Budget reducing this appropriation pursuant to PA 96-0958.

State Police Whistleblower Reward and Protection Fund - 705

Payment of Expenditures for State Law Enforcement Purposes

The decrease in Payment of Expenditures for State Law Enforcement Purposes expenditures in Fiscal Year 2011 was due to the Governor's Office of Management and Budget reducing this appropriation pursuant to PA 96-0958.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2012

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2011 AND 2010 (continued)

Money Laundering Asset Recovery Fund - 816

Ordinary and Contingent Expenses

The Money Laundering Asset Recovery Fund was established in Fiscal Year 2011 in order to cover Ordinary and Contingent Expenses incurred by the Department in relation to money laundering asset recovery activities.

Drug Traffic Prevention Fund - 878

Grants to Metropolitan Enforcement Groups

The increase in Grants to Metropolitan Enforcement Groups expenditures in Fiscal Year 2011 was due to additional revenues being available from an increase in the fines collected from drug-related cases.

Traffic and Criminal Conviction Surcharge Fund - 879

Commodities

The increase in commodities expenditures was due to ammunition orders not being filled by the vendor, causing reduced expenditures for Fiscal Year 2010.

Illinois State Police Federal Projects Fund - 904

Federal Recovery - Federally Funded Program Expenses

The increase in Federal Recovery - Federally Funded Program expenses was due to the Department not receiving a recovery grant until late in Fiscal Year 2010; therefore, expenditures were not incurred until Fiscal Year 2011.

State Police Services Fund - 906

Riverboat Gambling

The decrease in Riverboat Gambling expenditures was due to vehicles being purchased in Fiscal Year 2010, but not in Fiscal Year 2011.

Federal Projects Fund - 904 - Non-Appropriated

COPS Record Management

For Fiscal Year 2011, the Department did not receive the COPS Record Management Grant due to the grant ending in Fiscal Year 2010.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2012

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2011 AND 2010 (continued)

Federal Projects Fund - 904 - Non-Appropriated (Continued)

Refunds of Federal Grants

The decrease in Refunds of Federal Grants was due to the Illinois Emergency Management Agency requiring a larger refund in Fiscal Year 2010 due to a duplicate payment.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2012

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN FISCAL YEARS 2012 AND 2011

General Revenue Fund – 001

Circuit Clerks

The increase in circuit clerk receipts was due to an increase in the number of witness fees, restitutions and e-citation fees collected, all of which are expected to fluctuate from year to year.

Miscellaneous and Unclaimed Assets

The increase in miscellaneous and unclaimed assets receipts was due to the Department closing all petty cash funds in Fiscal Year 2012. The funds were deposited into the General Revenue Fund.

Other State Agencies

The increase in receipts from other State Agencies was due to reimbursement from the Illinois Emergency Management Agency (IEMA) for providing law enforcement presence during natural disasters. The duration and frequency of natural disasters is never consistent.

State Crime Laboratory Fund – 222

The increase in State Crime Laboratory fund receipts was due to an increase in the fine amount from \$500 to \$750 effective January 1, 2011. Receipts vary based on convictions of driving under the influence (DUI) tickets written by State Troopers.

Medicaid Fraud and Abuse Fund – 237

The increase in Medicaid Fraud and Abuse fund receipts was due to forfeiture funds received from a Medicaid fraud case. Settlements vary between years.

State Police Motor Vehicle Theft Prevention Trust Fund – 376

The decrease in State Police Motor Vehicle Theft Prevention Trust fund receipts was due to a decrease in grant money authorized for expenditure by the Motor Vehicle Theft Prevention Council.

Murder and Violent Offender Against Youth Fund – 400

The increase in Murder and Violent Offender Against Youth fund receipts was due to new fines imposed by 730 ILCS 154/60 that went into effect on January 1, 2012. The statute requires persons that violate the Act to pay a minimum fine of \$500 which is to be deposited into Fund 400. This was the first year funds were received and deposited into this fund.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2012

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN FISCAL YEARS 2012 AND 2011 (continued)

Illinois State Toll Highway Road Fund – 455

The decrease in Illinois State Toll Highway Road fund receipts was due to the Illinois State Toll Highway Authority taking over the responsibility of depositing fees from overweight fines.

State Asset Forfeiture Fund – 514

The increase in State Asset Forfeiture fund receipts was due to an increase in the amount of dollars seized during criminal cases. These receipts are expected to fluctuate from year to year.

Federal Asset Forfeiture Fund – 520

The increase in Federal Asset Forfeiture fund receipts was due to an increase in the amount of dollars seized during criminal cases. These receipts are expected to fluctuate from year to year.

Sex Offender Registration Fund - 535

The increase in Sex Offender Registration fund receipts was due to an increase in the fine amount from \$20 to \$100 effective January 1, 2011. Prior to the fine increase, the Department did not receive a portion of the fine. The Department now receives \$30 of the initial registration fee and annual renewal fee.

Capital Projects Fund - 694

The increase in Capital Projects Fund receipts was due to additional fine monies collected on overweight citations per 625 ILCS 5/15-113. The legislation imposed a progressive fine structure for overweight fines depending on the amount of weight over the limit. In addition, there are additional fines imposed for any person, firm, or corporation that have more than four convictions within a 12 month period.

Money Laundering Asset Recovery Fund – 816

The decrease in Money Laundering Asset Recovery fund receipts was due to a decrease in the amount of dollars seized during criminal cases. These receipts are expected to fluctuate from year to year.

Drug Traffic Prevention Fund – 878

The increase in Drug Traffic Prevention fund receipts was due to additional revenue received per new legislation (PA 096-1234) which allows the Court to assess \$25 for persons adjudged guilty of methamphetamine related offenses to be collected by the Circuit Clerks and remitted for deposit into this fund.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2012

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN FISCAL YEARS 2012 AND 2011 (continued)

Federal Projects Fund - 904

The increase in Federal Projects fund receipts was due to an increase in various federal grants. The Department received several new grants in Fiscal Year 2012 and received increased funding from other grants continued from prior years.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2012

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN FISCAL YEARS 2011 AND 2010

General Revenue Fund – 001

Federal Agencies

The decrease in Federal Agencies receipts was due to a one time reimbursement from the Department of Homeland Security in Fiscal Year 2010 for providing the presence of law enforcement during natural disasters.

State Highway Police

The increase in State Highway Police receipts was due to an increase in the number of traffic fines written by officers. These are expected to fluctuate from year to year.

Circuit Clerks

The increase in Circuit Clerk receipts was due to an increase in the number of witness fees, restitutions and e-citation fees collected, all of which are expected to fluctuate from year to year.

Other State Agencies

The decrease in Other State Agencies receipts was due to a reimbursement from the Illinois Emergency Management Agency (IEMA) for providing law enforcement presence during natural disasters in Fiscal Year 2010. No natural disasters occurred in Fiscal Year 2011 requiring assistance from the Department.

State Asset Forfeiture Fund – 514

The decrease in State Asset Forfeiture fund receipts was due to a decrease in the amount of dollars seized during criminal cases. These receipts are expected to fluctuate from year to year.

Federal Asset Forfeiture Fund – 520

The decrease in Federal Asset Forfeiture fund receipts was due to a decrease in the amount of dollars seized during criminal cases. These receipts are expected to fluctuate from year to year.

Sex Offender Registration Fund – 535

The increase in Sex Offender Registration fund receipts was due to an increase in the number of fines due to violations of the Act and a new revenue stream for the Department effective January 1, 2011. The new revenue stream (730 ILCS 150/3) provides for the \$30 of each \$100 registration fee to be deposited and used by the Department to maintain and update the Sex Offender Registry.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2012

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN FISCAL YEARS 2011 AND 2010 (continued)

State Police Wireless Service Fund - 637

The decrease in State Police Wireless Service fund receipts was due to a decrease in the amount received from the Illinois Commerce Commission (ICC). During Fiscal Year 2010 the ICC distributed funds in arrears from Fiscal Year 2009. During Fiscal Year 2011 distributed funds returned to normal level.

Over Load Dimensional Load Police Escort Fund – 652

The decrease in Over Load Dimensional Load Police Escort fund receipts was due to a decrease in the number of police escorts. The number of escorts is expected to fluctuate from year to year.

Capital Projects Fund - 694

The increase in Capital Projects fund receipts was due to this being a new fund in Fiscal Year 2011. The new legislation (625 ILCS 5/15-113) imposes a progressive fine structure for overweight fines depending on the amount of weight over the limit. In addition, there are additional fines imposed for any person, firm, or corporation that have more than four convictions within a 12 month period.

Money Laundering Asset Recovery Fund – 816

The increase in Money Laundering Asset Recovery fund receipts was due to this being a new fund in Fiscal Year 2011. The fund was established for money and sale proceeds received from money laundering cases.

State Police Streetgang Related Crime Fund – 846

The increase in State Police Streetgang Related Crime fund receipts was due to this being a new fund in Fiscal Year 2011. The fund was established for fines collected from individuals who are convicted of a crime and found to be a known gang member.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Two Years Ended June 30, 2012

FISCAL YEAR 2012**General Revenue Fund - 001***Division of Administration*Commodities

Officer supplies were received late in Fiscal Year 2012. The related invoices were not received and processed until the lapse period. In addition, prompt pay interest invoices were not received and processed until the lapse period.

Telecommunication Services

June invoices for telecommunication services were not received and processed until the lapse period.

*Division of Operations*Travel

Various travel expenses related to riot control, protective services, legal and labor were incurred late in Fiscal Year 2012. The related invoices were not received and processed until the lapse period.

Commodities

Ammunition, crowd control supplies, aviation fuel and office supplies were received late in Fiscal Year 2012. The related invoices were not received and processed until the lapse period.

Telecommunication Services

Invoices for radios, STARCOM, prompt pay interest, and June telecommunication services were not received and processed until the lapse period.

*Division of Forensic Services and Identification*Commodities

Scientific commodities were received late in Fiscal Year 2012. The related invoices were not received and processed until the lapse period. In addition, prompt pay interest invoices were not received and processed until the lapse period.

Equipment

Scientific equipment was received late in Fiscal Year 2012. The related invoices were not received and processed until the lapse period. In addition, prompt pay interest invoices were not received and processed until the lapse period.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Two Years Ended June 30, 2012

FISCAL YEAR 2012 (Continued)

Telecommunication Services

June invoices for telecommunication services were not received and processed until the lapse period.

Firearm Owner's Notification Fund - 071

Administration and Operation of the Firearm Owner's Identification Card Program

Invoices for computer equipment, contractual outsourcing, and payroll were not received and processed until the lapse period.

State Police DUI Fund - 222

Division of Forensic Services and Identification

Administration and Operation of State Crime Laboratories

Scientific commodities were received late in Fiscal Year 2012. The related invoices were not received and processed until the lapse period.

Division of Operations

Equipment Purchases to Assist in the Prevention of Driving While Under the Influence of Alcohol, Drugs or Intoxication Compounds

Radios, radars and lidar equipment were received late in Fiscal Year 2012. The related invoices were not received and processed until the lapse period.

State Police Vehicle Fund - 246

Purchase of Vehicles and Accessories

Vehicles and related equipment were received late in Fiscal Year 2012. The related invoices were not received and processed until the lapse period.

Federal Asset Forfeiture Fund - 520

Payment of Expenditures

Computer monitors, laptops, docking stations, and June invoices for revolving funds were received late in Fiscal Year 2012. The related invoices were not received and processed until the lapse period.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Two Years Ended June 30, 2012

FISCAL YEAR 2012 (Continued)

Money Laundering Asset Recovery Fund - 816

Ordinary and Contingent Expenses

Invoices for a generator, phone system, and airplane repairs were not received and processed until the lapse period.

Traffic and Criminal Conviction Surcharge Fund - 879

Contractual Services

Invoices for utilities, repairs and maintenance, and food service were not received and processed until the lapse period.

Commodities

Ammunition, intoxilyzer supplies, and office supplies were received late in Fiscal Year 2012. The related invoices were not received and processed until the lapse period.

Printing

June invoices for printing services were not received and processed until the lapse period.

Telecommunication Services

June invoices for telecommunication services were not received and processed until the lapse period.

Operation of Automotive Equipment

June invoices for the operation of automotive equipment services were not received and processed until the lapse period.

State Police Services Fund - 906

Riverboat Gambling

Vehicles were purchased late in Fiscal Year 2012. The related invoices were not received and processed until the lapse period.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Two Years Ended June 30, 2012

FISCAL YEAR 2011**General Revenue Fund - 001**Operational Expenses, Awards, Grants, and Permanent Improvements

Equipment and scientific commodities were received late in Fiscal Year 2011. The related invoices were not received and processed until the lapse period. In addition, June personal services and contractual employee payrolls were not posted until July and utility invoices for June were received and processed during the lapse period.

Governor's Discretionary Appropriation

Supplemental appropriation was not received until late in Fiscal Year 2011. Due to delays in the procurement process, dispatch consoles and equipment invoices were received and processed during the lapse period.

State Police DUI Fund - 222Administration and Operation of State Crime Laboratories

Scientific commodities and equipment were received late in Fiscal Year 2011. The related invoices were not received and processed until the lapse period.

State Police Vehicle Fund - 246Purchase of Vehicles and Accessories

Vehicles and related equipment were received late in Fiscal Year 2011. The related invoices were not received and processed until the lapse period.

State Police Vehicle Maintenance Fund - 328Operation of Automotive Equipment

Automotive expenses for gas, oil, and repairs were ordered or performed late in Fiscal Year 2011. The related invoices were not received and processed until the lapse period.

Federal Asset Forfeiture Fund - 520Payment of Expenditures

Software maintenance and body armor were received late in Fiscal Year 2011. The related invoices were not received and processed until the lapse period.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Two Years Ended June 30, 2012

FISCAL YEAR 2011 (Continued)

State Offender DNA Identification System Fund - 537

Payable from State Offender DNA Identification System Fund

Invoices for DNA outsourcing, scientific commodities, and payroll invoices were not received and processed until the lapse period.

Money Laundering Asset Recovery Fund - 816

Ordinary and Contingent Expenses

Delays in the procurement process caused scientific equipment and phone system invoices to be received and processed during the lapse period.

Traffic and Criminal Conviction Surcharge Fund - 879

Telecommunication Services

June invoices for telecommunication services were not received and processed until the lapse period.

Operation of Automotive Equipment

June invoices operation of automotive equipment services were not received and processed until the lapse period.

Illinois State Police Federal Projects Fund - 904

Federal Recovery- Federally Funded Program Expenses

An additional recovery grant was received in Fiscal Year 2011. Outsourcing of rape kit analysis was performed through June of Fiscal Year 2011. The related invoices were not received and processed until the lapse period.

Federal Projects Fund - 904 - Non-Appropriated

Refunds of Federal Grants

Refunds of federal grants were required for Fiscal Year 2011 but did not occur until the lapse period.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF ACCOUNTS RECEIVABLE
For the Two Years Ended June 30, 2012

(Amounts expressed in thousands)

General Revenue Fund 001

<u>Aging Schedule</u>	<u>2012</u>	<u>2011</u>
Current	\$ 7	\$ 8
1-30 days	9	11
31-90 days	0	0
91-180 days	(10)	(12)
181days to 1 year	0	0
Over 1 year	<u>0</u>	<u>0</u>
Accounts Receivable Gross Balance	\$ 6	\$ 7
Less: Estimated Uncollectibles	<u>2</u>	<u>2</u>
Accounts Receivable Net Balance	<u><u>\$ 4</u></u>	<u><u>\$ 5</u></u>

These amounts represent receivables related to miscellaneous revenue.

Note: Amounts shown above are estimated. See Finding 12-4.

Road Fund 011

<u>Aging Schedule</u>	<u>2012</u>	<u>2011</u>
Current	\$ 2,568	\$ 5,976
1-30 days	673	832
31-90 days	0	0
91-180 days	(924)	0
181days to 1 year	0	0
Over 1 year	<u>0</u>	<u>(3,941)</u>
Accounts Receivable Gross Balance	\$ 2,317	\$ 2,867
Less: Estimated Uncollectibles	<u>0</u>	<u>0</u>
Accounts Receivable Net Balance	<u><u>\$ 2,317</u></u>	<u><u>\$ 2,867</u></u>

These amounts represent receivables related to overweight fines.

Note: Amounts shown above are estimated. See Finding 12-4.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF ACCOUNTS RECEIVABLE
For the Two Years Ended June 30, 2012

(Amounts expressed in thousands)

State Garage Revolving Fund 303

<u>Aging Schedule</u>	<u>2012</u>	<u>2011</u>
Current	\$ 100	\$ 100
1-30 days	0	154
31-90 days	0	0
91-180 days	0	(152)
181days to 1 year	0	(1)
Over 1 year	<u>0</u>	<u>0</u>
Accounts Receivable Gross Balance	\$ 100	\$ 101
Less: Estimated Uncollectibles	<u>91</u>	<u>99</u>
Accounts Receivable Net Balance	<u><u>\$ 9</u></u>	<u><u>\$ 2</u></u>

These amounts represent receivables related to property damage to State Police vehicles.

Illinois State Toll Highway Road Fund 455

<u>Aging Schedule</u>	<u>2012</u>	<u>2011</u>
Current	\$ 42	\$ 29
1-30 days	2	1
31-90 days	0	0
91-180 days	0	0
181days to 1 year	0	0
Over 1 year	<u>0</u>	<u>0</u>
Accounts Receivable Gross Balance	\$ 44	\$ 30
Less: Estimated Uncollectibles	<u>0</u>	<u>0</u>
Accounts Receivable Net Balance	<u><u>\$ 44</u></u>	<u><u>\$ 30</u></u>

These amounts represent receivables related to overweight fines.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF ACCOUNTS RECEIVABLE
For the Two Years Ended June 30, 2012

(Amounts expressed in thousands)

Over Dimensional Load Fund 652

Aging Schedule	2012	2011
Current	\$ 13	\$ 15
1-30 days	4	17
31-90 days	0	(17)
91-180 days	(4)	0
181days to 1 year	0	0
Over 1 year	0	0
Accounts Receivable Gross Balance	\$ 13	\$ 15
Less: Estimated Uncollectibles	10	0
Accounts Receivable Net Balance	\$ 3	\$ 15

These amounts represent receivables related to police escorts.

Drug Prevention Fund 878

Aging Schedule	2012	2011
Current	\$ 312	\$ 314
1-30 days	59	37
31-90 days	0	(37)
91-180 days	(59)	0
181days to 1 year	0	(1)
Over 1 year	0	0
Accounts Receivable Gross Balance	\$ 312	\$ 313
Less: Estimated Uncollectibles	228	313
Accounts Receivable Net Balance	\$ 84	\$ 0

These amounts represent receivables related to drug fines.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF ACCOUNTS RECEIVABLE
For the Two Years Ended June 30, 2012

(Amounts expressed in thousands)

State Police Services Fund 906

<u>Aging Schedule</u>	<u>2012</u>	<u>2011</u>
Current	\$ 24	\$ 41
1-30 days	0	0
31-90 days	0	0
91-180 days	0	0
181days to 1 year	0	0
Over 1 year	<u>0</u>	<u>0</u>
Accounts Receivable Gross Balance	\$ 24	\$ 41
Less: Estimated Uncollectibles	<u>24</u>	<u>10</u>
Accounts Receivable Net Balance	<u><u>\$ 0</u></u>	<u><u>\$ 31</u></u>

These amounts represent receivables from Federal, State, and local agencies for services performed by the State Police.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF OPERATIONS
For the Two Years Ended June 30, 2012

AGENCY FUNCTIONS AND PLANNING PROGRAM (Not Examined)

Agency Functions

The Department of State Police (Department) was established January 1, 1970 and was reorganized by Executive Order in 1977 and again in 1993. The Department's responsibility is to maintain order as mandated by Illinois Compiled Statutes, while safeguarding the rights and privileges of all citizens of the State.

In order to fulfill its responsibility, the Department has been vested with various powers, rights and duties. To best perform its duties, the Department has been divided into four divisions: Operations, Forensic Services, Administration and Internal Investigation, all under the direction of the Director of the Department. Each division is also under the direction of a deputy director, all appointed by the Director of the Department and subject to the control and supervision of the Director. Each of these divisions is charged with the exercise of specific functions as follows:

Director's Office

The Director's office exercises such duties as may be provided by law and those duties assigned by the Director in order to fulfill the responsibilities and achieve the purpose of the Department. Directly through the Director's office, functions related to the following are performed: Budget, Equal Employment Opportunity, Executive Protection, Governmental Affairs, Inspections & Audits, Labor Relations, Legal, Public Information and Research and Development.

Division of Operations

The Division of Operations (DOO) is comprised of a network of 21 district headquarters and seven investigative zone offices located throughout the State. The division provides a full range of services to Illinois' citizens and the law enforcement community.

Over the years, the operational structure of the DOO has evolved, streamlining into four geographical based regional commands that take into account their areas' individual needs while carrying out the Department's mission. Each region consists of a number of Department districts responsible for patrol and traffic enforcement and Department zone charged with investigating crimes.

The DOO's patrol officers are tasked with enforcing traffic laws as set forth in the Illinois Vehicle Code and the Illinois State Police Act. Enforcement activities include speeding, distracted driving, occupant restraint, alcohol-related offenses, aggressive driving, and various other traffic violations. DOO districts also conduct specialty details and partner with other law enforcement agencies on joint traffic initiatives during holidays and weekends when increased traffic volume is anticipated.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF OPERATIONS
For the Two Years Ended June 30, 2012

Patrol officers also work special assignments throughout the year, such as the Illinois State Fair and DuQuoin State Fair Details.

The seven DOO zone offices are responsible for providing investigative support to Department districts and other enforcement agencies statewide. Special Agents assigned to the zones investigate a wide variety of both traditional and non-traditional crimes.

The DOO also comprises a variety of separate specialty units, which lend support to both the patrol and investigative components. These units include, but are not limited to, the Critical Incident Response Command, the Office of Counter-Terrorism, the Medicaid Fraud Control Bureau, the Riverboat Gambling Unit, and the Air Operations Unit.

Division of Forensic Services

The Division of Forensic Services (DFS) provides evidence collection and scientific evidence analysis to assist with the identification and prosecution of offenders, or exoneration, for the Department and other state, federal and local law enforcement agencies. The division also provides assistance to local law enforcement agencies through training, management, and consulting services.

The functions of the DFS include those powers and duties vested in the Department in relation to criminal identification and investigation, the procurement of fingerprints and descriptive information regarding persons arrested in the State, and the establishment and operation of general and field crime laboratories for evidence collection and analysis. Seven operational forensic science laboratories statewide and one research and development laboratory provide an array of specialty forensic services. Scientists can provide investigators with literally hundreds of leads through analysis of DNA, latent prints, weapons and other items collected at crime scenes. Labs are now utilizing databases including: Integrated Ballistics Information System (IBIS), CODIS, and AFIS. These databases develop leads through the identification of firearms, DNA, and latent prints.

Division of Administration

The Division of Administration (DOA) and the Division of Administration Support Services (DOA-SS) are responsible for carrying out the diverse administrative functions, which are vital to the successful operation of the Department. The DOA and DOA-SS are supported by the Logistics Bureau, the Administrative Services Bureau (ASB), the Firearms Services Bureau (FSB), and the Bureau of Identification (BOI) as described below.

- The Logistics Bureau administers facility management functions including the coordination of new construction, the management of Department leases, and the

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF OPERATIONS
For the Two Years Ended June 30, 2012

oversight of facility repair and maintenance projects. The bureau is responsible for all hard-line and wireless phone systems within the Department, the acquisition and management of the Department's fleet, the purchase and distribution of supplies and uniforms, and the oversight of the statewide evidence vault which is responsible for storing long term evidence and destroying evidence that is no longer needed.

- The Administrative Services Bureau oversees the Drug Testing Program, Department Awards Program, Academy and Employee Assistance Program. Through the Asset Seizure and Forfeiture Section, the bureau oversees and administers the forfeiture provisions of State law. The Grant Compliance and Grant Reporting Sections administer grant funds, end-of-year financial statements, and Generally Accepted Accounting Principal reports related to grant funding. The Strategic Sourcing and Procurement Section ensure purchases are in compliance with the related statutes and laws. The bureau also works in coordination with the Public Safety Shared Services Center to maintain the fiscal integrity and accountability of the Department.
- The Firearms Services Bureau (FSB) determines the eligibility of applicants who wish to acquire, possess, or transfer firearms. The bureau approves, denies, and revokes Firearm Owners Identification (FOID) cards and also administers a relief process for individuals whose FOID card has been denied or revoked. In addition, the bureau administers the Firearms Transfer Inquiry Program (FTIP). FTIP is by federally licensed firearm dealers to perform automated eligibility checks on potential firearm buyers at the point of purchase.
- The Bureau of Identification (BOI), located in Joliet, promotes public safety by collecting, maintaining, and providing accurate, timely, and complete criminal history information to the State. Through the use of the Automated Fingerprint Identification System (AFIS), records relating to over five million fingerprint files help to ensure the identification of individuals and potential suspects.

The Division of Administrative Technology Services (DOA-TS) encompasses the Program Administration Bureau and the Information Services Bureau which are responsible for information technology, data collection/analysis, information sharing, and knowledge development in an effort to provide complete, accurate, and timely information to those responsible for critical decisions affecting the safety and security of the State. Collaboratively the two bureaus provide expertise to incorporate new technologies and increase efficiency and information that will reduce crime, improve quality of life, ensure officer safety, and prevent and respond to acts of terrorism.

- The Program Administration Bureau monitors, audits, and provides training for both State and local law enforcement through the Law Enforcement Agencies Data System (LEADS), Criminal History Record Information System (CHRI), National Incident Based Reporting System (NIBRS), and AFIS. Also, the I-CLEAR unit is responsible for

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF OPERATIONS
For the Two Years Ended June 30, 2012

business process analysis, training, development, enhancement, and support for the Illinois Law Enforcement Analysis and Reporting System (I-CLEAR).

- The Information Services Bureau develops and manages computer application used by the Department, other law enforcement agencies, the judiciary, and the citizens of the State. The bureau also supports the information technology infrastructure used by the Department and other criminal justice entities to process the approximately 54 million criminal justice transactions monthly. Also, the bureau provides the foundation for controlled access, data storage, dissemination, and business continuity in the informational programs used daily by the officers in the squad car as well as other criminal justice agencies.

Division of Internal Investigation

The Division of Internal Investigation (DII) safeguards the integrity of the Department.

The functions of the DII include initiating internal Departmental investigations and, at the direction of the Governor, the investigation of complaints and the initiation of investigations of official misconduct by State officers and State employees. Among its diverse responsibilities are the investigations of financial crimes, theft of State property, and charges of abuse or neglect of inmates of State correctional and mental facilities. In addition, the DII ensures all Department employees are compliant with mandatory ethics training and are responsible for filing of statements of economic interest.

Agency Planning

The Department has established a formalized, systematic planning program to ensure the efforts of the Department are coordinated to accomplish departmental goal and initiatives.

The State of Illinois, Governor's Office of Management and Budget, coordinates strategic management and performance measurement reporting for agencies across the State. The Department also reports performance data to the Illinois Office of the Comptroller for publishing in the Service Efforts and Accomplishments annual public accountability report.

The Director and senior command of the Department establish broad-based direction, goals and objectives of the Agency. Based on this direction, the focus of activity for the Department is established and the divisional planning efforts occur. The planning lead coordinates these activities. The overall coordination of the Department's plan and performance measurement is the responsibility of the planning coordinator in conjunction with a department-wide strategic management core group.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF OPERATIONS
For the Two Years Ended June 30, 2012

Each Department division designates a core group and sub core group member. The core group member or in his/her absence, sub core group member, act as the primary division representative and actively work with division command and the research and development unit in the oversight and decision making capability to ensure strategic plan submissions and performance measures are timely, meaningful, and accurately reflect command expectations. The core group member from each division analyzes, develops, and reports divisional direction and performance that support departmental goals.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF OPERATIONS
For the Years Ended June 30, 2012

AVERAGE NUMBER OF EMPLOYEES (Not Examined)

The following table, prepared from Agency records, presents the average number of employees, by function, for the Fiscal Years ended June 30,

<u>Division</u>	<u>2012</u>	<u>2011</u>	<u>2010</u>
Operations	1,720	1,868	2,027
Internal Investigations	52	49	53
Forensics	458	501	474
Administration	196	208	220
Academy	28	30	33
Shared Services	20	21	21
Special Funds	<u>386</u>	<u>326</u>	<u>322</u>
 Total Average Full-Time Employees	 <u>2,860</u>	 <u>3,003</u>	 <u>3,150</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF OPERATIONS
For the Two Years Ended June 30, 2012

EMERGENCY PURCHASES (Not Examined)

The Department reported the following emergency purchases to the Office of the Auditor General during Fiscal Year 2012 and Fiscal Year 2011:

<u>DESCRIPTION OF EMERGENCY PURCHASE</u>	<u>AMOUNT</u>
Westchester Lab – Clean-up flood damage	\$82,244
Westchester Lab – Rebuild after flood damage	23,988
Meth Disposal DOO clean-up costs	40,060
DOA technical services to complete implementation of Criminal History Information Response Process program	118,040
BOI Mobile ID (with extension) for development and deployment of a mobile system to biometrically identify individuals	439,909
FOID NARIP (with extension) (NICS) to automate the identification and entry of prohibited persons into the National Instant Criminal Background Check System	158,713
BOI UPS to ensure Automated Fingerprint Identification is protected against power surges or power outages	72,398
DuQuoin UPS to ensure protection against power surges and outages at the Communication Center	78,000*
Boland - FOID Keying/Scanning – 3 month extension of contract for keying and scanning of FOID card applications	<u>40,000*</u>
TOTAL COST	<u>\$1,053,352</u>

*Estimated Amounts

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF OPERATIONS
For the Two Years Ended June 30, 2012

SERVICE EFFORTS AND ACCOMPLISHMENTS (Not Examined)

The Department's mission centers on the basic premise of promoting public safety with integrity, service and pride to improve the quality of life in Illinois.

Division of Operations

The Division of Operations (DOO) works daily to safeguard the public by reducing the number and seriousness of vehicle crashes. Troopers regularly patrol over 138,000 miles of Illinois roadways, consisting of interstates, State highways, and secondary county roads. Officers in specialty roles provide expertise in the areas of safety education, crash reconstruction, commercial vehicle enforcement, crime prevention, highway drug interdiction, and critical incident tactical response teams. Department investigators assigned to seven investigative zones Statewide are charged with examining homicide, narcotics, and violent crime cases. Specialized investigative programs and task forces include child homicide, Medicaid fraud, clandestine methamphetamine laboratory dismantling, financial crime, criminal intelligence, and child exploitation.

<u>Output Indicators</u>	<u>2012</u>	<u>2011</u>	<u>2010</u>
Number of Impaired Driving/Zero Tolerance Citations	9,919	10,597	11,112
Number of Seatbelt Citations	54,862	80,719	105,588
Number of Speeding Citations	153,241	178,320	201,078
Number of Criminal Arrests			
From Investigations	7,158	6,010	6,138
Number of Investigative Cases			
Referred to Prosecution	6,198	2,600	3,330

Division of Forensic Services

The Division of Forensic Services (DFS) provides expert forensic analysis and witness testimony in various disciplines, including drug chemistry, latent prints, toxicology, forensic biology, firearms/tool marks, DNA analysis, trace evidence, microscopy, and questioned documents. DFS provides a variety of services through the Crime Scene Services Command (CSSC) and the Forensic Sciences Command (FSC). Crime Scene Investigators (CSI), assigned to the CSSC, interpret the evidentiary value, collect, and process evidence from crash and crime scenes. In addition, CSIs respond to calls for crime scene processing 365 days a year, 24 hours a day, provide technical assistance on bloodstain pattern analysis, and offer free-hand composite drawings. Other integral functions in the CSSC include Forensic Diagramming and Animation, Imaging, and Polygraph services. The Department's forensic science laboratory system is the third largest in the world and conducts scientific evidence analysis for the State's criminal justice community.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF OPERATIONS
For the Two Years Ended June 30, 2012

<u>Output Indicators</u>	<u>2012</u>	<u>2011</u>	<u>2010</u>
Number of crime against persons responded to within one hour	679	629	N/A
Number of Deoxyribonucleic Acid (DNA) cases worked	4,982	5,225	5,284
Number of DNA offender samples worked	29,589	30,736	33,025
Number of Forensic Cases worked in all disciplines	101,076	104,043	111,669

Division of Internal Investigation

The Division of Internal Investigation (DII) acts as the “watchdog for integrity in State government.” The DII investigates charges of improper conduct or inappropriate behavior by Department employees and investigates alleged misconduct or wrongdoing by officials, members or employees of any agency, board, or commission in the executive branch of Illinois Government. Whether an internal or external case, DII conducts thorough, impartial, and timely investigations to determine the validity of accusations and to provide a basis for criminal prosecutions or administrative action.

<u>Output Indicators</u>	<u>2012</u>	<u>2011</u>	<u>2010</u>
Number of Ethics/Integrity Events Conducted	3	2	1
Total DII Cases Opened	481	514	528