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# REPORT DIGEST

## OFFICE OF EXECUTIVE INSPECTOR GENERAL

### COMPLIANCE EXAMINATION

For the Two Years Ended:  
June 30, 2009

#### Summary of Findings:

Total this examination: 1  
Total last examination : 0  
Repeated from last  
examination: 0

Release Date:  
May 25, 2010



State of Illinois  
Office of the Auditor General  
**WILLIAM G. HOLLAND**  
AUDITOR GENERAL

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also available on  
the worldwide web at  
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## SYNOPSIS

- The Office did not comply with the Fiscal Control and Internal Auditing Act.

{Expenditures and Activity Measures are summarized on the next page.}

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**OFFICE OF EXECUTIVE INSPECTOR GENERAL  
COMPLIANCE EXAMINATION  
FOR THE TWO YEARS ENDED JUNE 30, 2009**

EXPENDITURE STATISTICS	FY 2009	FY 2008	FY 2007
<b>Total Appropriations .....</b>	<b>\$ 7,127,940</b>	<b>\$ 6,931,315</b>	<b>\$ 6,705,100</b>
<u>Expenditures from Lump Sums</u>			
Personal Services .....	\$ 4,133,884	\$ 3,802,949	\$ 3,579,586
Contractual Payroll Employees .....	25,478	41,204	38,794
State Contribution to State Employees' Retirement System.....	872,508	631,849	413,006
State Contributions to Social Security .....	301,715	278,564	261,893
State Contributions to Social Security and Medicare – Contractual Employees .....	1,949	3,152	2,968
Contractual Services .....	1,052,228	775,676	1,409,149
Travel .....	47,309	47,279	43,329
Commodities .....	38,002	32,445	54,827
Printing .....	1,448	1,963	3,892
Equipment .....	33,246	49,766	87,866
Telecommunications .....	120,638	108,593	104,752
Operation of Automotive Equipment .....	<u>26,701</u>	<u>29,642</u>	<u>18,711</u>
<b>Total.....</b>	<b><u>\$ 6,655,106</u></b>	<b><u>\$ 5,803,082</u></b>	<b><u>\$ 6,018,773</u></b>
<b>Cost of Property and Equipment .....</b>	<b>\$ 723,805</b>	<b>\$ 680,972</b>	<b>\$ 620,738</b>

<b>SELECTED ACTIVITY MEASURES (Not Examined)</b>		
<b>Investigation Activity Submitted to the Executive Ethics Commission</b>	<b>FY 2009</b>	<b>FY 2008</b>
• Number of complaints received.....	1,303	1,242
• Number of cases referred.....	720	659
• Number of cases declined.....	298	187
• Number of investigations concluded.....	191	316
• Average Number of Employees.....	62	62

<b>EXECUTIVE INSPECTOR GENERAL</b>
During Examination Period: James Wright Currently: James Wright

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**FINDINGS, CONCLUSIONS, AND  
RECOMMENDATIONS**

**NONCOMPLIANCE WITH THE FISCAL CONTROL  
AND INTERNAL AUDITING ACT.**

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**Evaluations of internal  
controls not performed  
timely**

The Office of Executive Inspector General (Office) did not comply with the Fiscal Control and Internal Auditing Act (FCIAA). The Office did not perform an evaluation of internal controls or prepare and file its FCIAA certification with the Office of the Auditor General during Fiscal Year 2008. In addition, the Office did not timely file its FCIAA certification for Fiscal Year 2009. The Fiscal Year 2009 report was due on May 1, 2009 but was not filed until July 6, 2009, which is 67 days late.

We recommended the Office perform timely evaluations of its systems of internal fiscal and administrative controls and timely file annual certifications with the Auditor General as required by the FCIAA. (Finding 1, page 9)

The Office of Executive Inspector agreed with this finding and reported taking steps to ensure future compliance with the requirement.

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**Office agreed with auditors**

**AUDITORS' OPINION**

We conducted a compliance examination of the Office of Executive Inspector General as required by the Illinois State Auditing Act. We have not audited any financial statements of the Office of Executive Inspector General for the purpose of expressing an opinion because the Office of Executive Inspector General does not, nor is it required to, prepare financial statements.

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WILLIAM G. HOLLAND, Auditor General

WGH:CD:pp

## **AUDITORS ASSIGNED**

The compliance examination was conducted by the Auditor General's staff.