

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
COMPLIANCE EXAMINATION

(In accordance with the Single Audit Act and OMB Circular A-133)

FOR THE YEAR ENDED JUNE 30, 2004

Performed as Special Assistant
Auditors for the Auditor General,
State of Illinois



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STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY

UNIVERSITY OFFICIALS

Board of Trustees of Southern Illinois University

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Harris Rowe, Vice-Chair	Jacksonville
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Ed Ford	Carbondale
Ed Hightower	Edwardsville
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Misty Whittington, Executive Secretary of the Board

Mark Brittingham, Interim General Counsel

John S. Haller, Jr., Vice-President, Academic Affairs

Duane Stucky, Vice-President, Financial and Administrative Services and Board Treasurer

STATE OF ILLINOIS
SOUTHERN ILLINOIS UNIVERSITY
UNIVERSITY OFFICIALS - CONTINUED

Officers of Administration, Southern Illinois University at Carbondale

Walter V. Wendler, Chancellor

John M. Dunn, Provost and Vice-Chancellor

Rickey N. McCurry, Vice-Chancellor for Institutional Advancement

Larry H. Dietz, Vice-Chancellor for Student Affairs and Enrollment Management

John A. Koropchak, Vice-Chancellor for Research and Graduate Dean

Catherine A. Hagler, Executive Director of Facilities and Business Operations

Robert H. York, Executive Director of Human Relations and Support

J. Kevin Dorsey, Dean and Provost, School of Medicine

Officers of Administration, Southern Illinois University at Edwardsville

David J. Werner, Chancellor

Sharon K. Hahs, Provost and Vice-Chancellor for Academic Affairs

G. Patrick Williams, Vice-Chancellor for Development and Public Affairs

Narbeth Emmanuel, Vice-Chancellor for Student Affairs

Kenneth Neher, Vice-Chancellor for Administration



SOUTHERN ILLINOIS UNIVERSITY

Management Assertion Letter

November 16, 2004

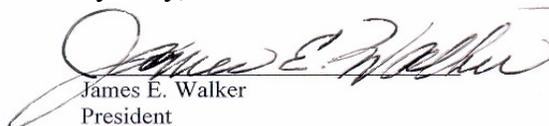
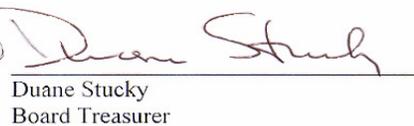
Kerber, Eck and Braeckel, LLP
1000 Myers Building
Springfield, IL 62701

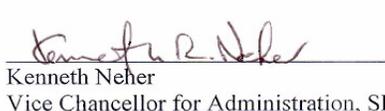
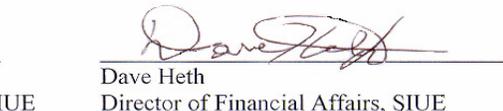
Ladies and Gentlemen:

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grants that could have a material effect on the operations of the University. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the University's compliance with the following assertions during the year ended June 30, 2004. Based on tills evaluation, we assert that during the year ended June 30, 2004, the University has materially complied with the assertions below.

- A. The University has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The University has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The University has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. The State revenues and receipts collected by the University are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. The money or negotiable securities or similar assets handled by the University on behalf of the State or held in trust by the University have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

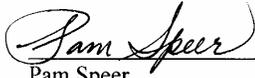
Yours very truly,

 James E. Walker President	 Duane Stucky Board Treasurer
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 Kenneth Neher Vice Chancellor for Administration, SIUE	 Dave Heth Director of Financial Affairs, SIUE
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Office of the President, Mailcode 6801, Carbondale, Illinois 62901-6801

Management Assertion Letter - Continued



Pam Speer
Associate Provost, Finance & Administration
SIUC School of Medicine



Beth Collier
Assistant Provost for Financial Affairs
SIUC School of Medicine



Kevin Bame
Executive Director of Finance, SIUC

State of Illinois
Southern Illinois University

COMPLIANCE REPORT SUMMARY

June 30, 2004

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

AUDITORS' REPORTS

The Independent Accountants' Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

SUMMARY OF FINDINGS

	<u>Number of</u>	<u>This Report</u>	<u>Prior Report</u>
Findings		3	3
Repeated findings		-	2
Prior recommendations implemented or not repeated		3	2

Details of findings are presented in a separately tabbed report section.

SUMMARY OF FINDINGS AND QUESTIONED COSTS

<u>Item No.</u>	<u>Page</u>	<u>Description</u>
FINDINGS (STATE COMPLIANCE)		
04-1	19	Failure to File Documents with State Comptroller
04-2	21	Incorrect Coding of Vouchers
04-3	23	Inadequate Security Controls
PRIOR FINDINGS NOT REPEATED (STATE COMPLIANCE)		
04-4	26	Computer Security Weaknesses
04-5	26	Inadequate Disaster Contingency Planning
04-6	26	Graduate Student 601 Continuing Education Requirement

State of Illinois
Southern Illinois University

COMPLIANCE REPORT SUMMARY - CONTINUED

June 30, 2004

EXIT CONFERENCE

The findings and recommendations appearing in this report were discussed with University Personnel at an exit conference on February 28, 2005. Attending were:

Southern Illinois University

Stacey Allison, Internal Auditor, SIUC
Kevin Bame, Executive Director of Finance, SIUC
Beth Collier, Assistant Provost for Financial Affairs, SIUC School of Medicine (via telephone)
Ron Cremeens, Internal Audit Director
Randy Glisson, Internal Audit Manager
Barry Greenberg, Associate Director, Bursar, SIUE (via telephone)
John Haller, Jr., Vice President for Academic Affairs
Dave Heth, Director of Financial Affairs, SIUE (via telephone)
Kenneth Neher, Vice Chancellor for Administration, SIUE (via telephone)
Karen Stovall, Associate Director, Administration Accounting, SIUE (via telephone)
Duane Stucky, Vice President, Financial and Administrative Affairs and Board Treasurer

Auditor General

Joe Gudgel, CISA, IS Audit Manager
Kim Labonte, CPA, Financial/Compliance Audit Manager

Kerber, Eck & Braeckel LLP

Daniel W. Cadigan, CPA, Partner
Deborah J. Ringer, CPA, Partner
Janet H. Shures, CPA, Manager

Written responses to the recommendations were provided by Ron Cremeens on March 3, 2005.



Independent Accountants' Report on State Compliance and Internal
Control Over Compliance for State Compliance Purposes

Honorable William G. Holland
Auditor General, State of Illinois

Compliance

As Special Assistant Auditors for the Auditor General, we have examined Southern Illinois University's (the University's) compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the year ended June 30, 2004. The management of the University is responsible for compliance with these requirements. Our responsibility is to express an opinion on the University's compliance based on our examination.

- A. The University has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The University has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The University has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. The State revenues and receipts collected by the University are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the University on behalf of the State or held in trust by the University have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (the Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the University's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the University's compliance with specified requirements.

In our opinion, the University complied, in all material respects, with the aforementioned requirements during the year ended June 30, 2004. However, the results of our procedures disclosed instances of noncompliance with those requirements, which are required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of State findings and questioned costs as findings 04-1 to 04-3.

As required by the Audit Guide, immaterial findings relating to instances of noncompliance excluded from this report have been reported in a separate letter to your office.

Internal Control

The management of the University is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws and regulations. In planning and performing our examination, we considered the University's internal control over compliance with the aforementioned requirements in order to determine our examination procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide, issued by the Illinois Office of the Auditor General.

Our consideration of internal control over compliance with the aforementioned requirements would not necessarily disclose all matters in internal control that might be material weaknesses. A material weakness is a condition in which the design or operation of one or more internal control components does not reduce to a relatively low level the risk that noncompliance with applicable requirements of laws and regulations that would be material in relation to one or more of the aforementioned requirements being examined may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving internal control over compliance that we consider to be material weaknesses. However, the results of our procedures disclosed other matters involving internal control which are required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of State findings and questioned costs as findings 04-1 to 04-3.

As required by the Audit Guide, immaterial findings relating to internal control deficiencies excluded from this report have been reported in a separate letter to your office.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, the University Board of Trustees, and management, and is not intended to be and should not be used by anyone other than these specified parties.

Kerber, Seck + Braeschel LLP

Springfield, Illinois
November 16, 2004



Report on Internal Control Over Financial Reporting and on Compliance
and Other Matters Based on an Audit of Financial Statements
Performed in Accordance with *Government Auditing Standards*

Honorable William G. Holland
Auditor General, State of Illinois

As Special Assistant Auditors for the Auditor General, we have audited the basic financial statements of Southern Illinois University (the "University") as of June 30, 2004, and for the year then ended, and have issued our report thereon dated November 16, 2004 (presented under separate cover). We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Internal Control Over Financial Reporting

In planning and performing our audit, we considered the University's internal control over financial reporting in order to determine our auditing procedures for the purpose of expressing our opinion on the financial statements and not to provide an opinion on the internal control over financial reporting. Our consideration of the internal control over financial reporting would not necessarily disclose all matters in the internal control that might be material weaknesses. A material weakness is a reportable condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that misstatements caused by error or fraud in amounts that would be material in relation to the financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control over financial reporting and its operation that we consider to be material weaknesses. However, we noted certain deficiencies in the design or operation of internal control over financial reporting which do not meet the criteria for reporting herein and which are reported as State compliance findings in the Schedule of Findings. We also noted certain immaterial instances of internal control deficiencies, which we have reported to management of the University in a separate letter dated November 16, 2004.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the University's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*. However, we noted certain matters which are reported as State compliance findings in the schedule of findings. We also noted certain other matters which we have reported to management of the University in a separate letter dated November 16, 2004.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, University Board of Trustees, management, and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

Kerber, Geck + Braeckel LLP

Springfield, Illinois
November 16, 2004



Report on Compliance with Requirements Applicable to
Each Major Program and on Internal Control Over
Compliance in Accordance with OMB Circular A-133

Honorable William G. Holland
Auditor General, State of Illinois

Compliance

We have audited the compliance of Southern Illinois University (the “University”) with the types of compliance requirements described in the *U.S. Office of Management and Budget (OMB) Circular A-133 Compliance Supplement* that are applicable to each of its major federal programs for the year ended June 30, 2004. The University’s major federal programs are identified in the summary of auditor’s results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts and grants applicable to each of its major federal programs is the responsibility of the University’s management. Our responsibility is to express an opinion on the University’s compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University’s compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination of the University’s compliance with those requirements.

In our opinion, the University complied, in all material respects, with the requirements referred to above that are applicable to each of its major federal programs for the year ended June 30, 2004.

Internal Control Over Compliance

The management of the University is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit, we considered the University's internal control over compliance with requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on the internal control over compliance in accordance with OMB Circular A-133.

Our consideration of the internal control over compliance would not necessarily disclose all matters in the internal control that might be material weaknesses. A material weakness is a reportable condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that noncompliance with applicable requirements of laws, regulations, contracts, and grants caused by error or fraud that would be material in relation to a major federal program being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control over compliance and its operation that we consider to be material weaknesses.

Schedule of Expenditures of Federal Awards

We have audited the basic financial statements of the University as of and for the year ended June 30, 2004, and have issued our report thereon dated November 16, 2004. Our audit was performed for the purpose of forming an opinion on the basic financial statements taken as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, is stated fairly, in all material respects, in relation to the basic financial statements taken as a whole.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, University Board of Trustees, management, and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

Kerber, Geck + Braeckel LLP

Springfield, Illinois
November 16, 2004

State of Illinois
Southern Illinois University

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

Year ended June 30, 2004

Section I - Summary of Auditors' Results

Financial Statements

Type of auditors' report issued: unqualified

Internal control over financial reporting:

Material weakness(es) identified?	_____	yes	_____ X _____	no
Reportable condition(s) identified not considered to be material weaknesses?	_____	yes	_____ X _____	none reported
Noncompliance material to financial statements noted?	_____	yes	_____ X _____	no

Federal Awards

Internal control over major programs:

Material weakness(es) identified	_____	yes	_____ X _____	no
Reportable condition(s) identified not considered to be material weaknesses?	_____	yes	_____ X _____	none reported
Type of auditors' report issued on compliance for major programs:	unqualified			
Any audit findings disclosed that are required to be reported in accordance with Circular A-133, Section .510(a)?	_____	yes	_____ X _____	no

Identification of major programs:

<u>CFDA Numbers</u>	<u>Name of Federal Program</u>
Various	(1) Student Financial Aid Cluster
Various	(2) Research & Development Cluster
93.600	(3) Head Start Program

Dollar threshold used to distinguish between Type A and B programs:	\$ 3,020,000
Auditee qualified as low-risk auditee?	_____ X _____ yes _____ no

State of Illinois
Southern Illinois University

SCHEDULE OF FINDINGS AND QUESTIONED COSTS - CONTINUED

Year ended June 30, 2004

Section II - Financial Statement Findings

There were no matters reported.

Section III - Federal Award Findings and Questioned Costs

There were no matters reported.

State of Illinois
Southern Illinois University

FINDINGS, RECOMMENDATIONS AND UNIVERSITY RESPONSES - STATE

Year ended June 30, 2004

University - Wide

04-1 Failure to File Documents with the State Comptroller

Contracts and leases from all campuses were not filed with the State Comptroller's Office.

We selected a sample of twenty-five contracts (ten each from the Carbondale and Edwardsville campuses, and five from the School of Medicine) for testing. Of the contracts tested, twenty-four (96%) of them were not filed with the State Comptroller's Office. One contract at the School of Medicine was properly filed.

We also selected a sample of fifteen leases (five each from Carbondale, Edwardsville, and the School of Medicine) for testing. Of the leases tested, twelve (80%) did not have the lease on file with the State Comptroller's Office. One lease at the School of Medicine was properly filed. The two remaining leases, one each at Carbondale and Edwardsville, were less than the \$ 10,000 filing threshold.

Per SAMS 15.20.10, "File Only contracts, including contracts paid entirely from locally held funds, do not require obligation and are not entered into the SAMS system. They must, however, be filed with the Illinois Office of the Comptroller and must meet all IOC documentation and certification requirements." Per SAMS 15.20.40, "State agencies shall file leases for real property exceeding \$ 10,000 with the Comptroller."

University personnel believed that contracts and leases paid from locally held funds, which are not obligated, did not have to be filed with the Comptroller.

For Carbondale, the ten contracts we tested totaled \$ 14,051,853, with one contract being a book publishing contract with an open-ended amount. For Edwardsville, the ten contracts we tested totaled \$ 4,394,833. For the School of Medicine, the four contracts not properly filed totaled \$ 673,015. The leases required to be filed totaled \$ 156,668, \$ 287,691, and \$ 1,390,370 for Carbondale, Edwardsville, and the School of Medicine, respectively. (Finding Code No. 04-1)

State of Illinois
Southern Illinois University

FINDINGS, RECOMMENDATIONS AND UNIVERSITY RESPONSES – STATE –
CONTINUED

Year ended June 30, 2004

University - Wide

04-1 Failure to File Documents with the State Comptroller - Continued

Recommendation

We recommend the University file contracts and leases with the State Comptroller's Office in accordance with the SAMS requirements.

University Response

Implemented as of February 18, 2005.

State of Illinois
Southern Illinois University

FINDINGS, RECOMMENDATIONS AND UNIVERSITY RESPONSES – STATE –
CONTINUED

Year ended June 30, 2004

University - Wide

04-2 Incorrect Coding of Vouchers

Vouchers were not coded to the proper SAMS object code classification at both the Carbondale and Edwardsville campuses.

On the Carbondale campus, we selected fifteen commodities vouchers for testing. Of these, we found one voucher (7%) for equipment, totaling \$ 442,140, which was improperly coded to commodities. On the Edwardsville campus, we selected ten commodities vouchers for testing. Of these, we found three vouchers (30%), totaling over \$ 20,000, which were improperly coded to commodities. Two of the three vouchers were for equipment, while one voucher was for landscaping.

University transactions should be coded to the proper accounting codes to ensure correct accounting treatment. Per SAMS 11.10.40, the object of expenditures (object code) indicates the purpose, or objective, for which the Agency is expending the resources. The object code also allows for more defined reporting of expenditures.

According to University personnel, the Carbondale voucher was miscoded due to an automatic computer system change. This error is indicative of a procedural deficiency related to the way the computer system processes the transactions. The purchase order was originally entered into the system with a PO line type of “Services”, dollar amount as the quantity and the unit price entered as “\$ 1”. Because of the error in the per unit price, the system switched the coding and posted the transaction to commodities.

University personnel also indicated there appear to be two reasons for the Edwardsville miscoding. One voucher, for landscaping, was miscoded due to manual data entry error. The remaining two vouchers were miscoded due to an automatic computer system change. As noted above, these errors are indicative of a procedural deficiency related to the way the computer system processes the transactions. The purchases were originally entered into the system with an estimated quantity and dollar value amount which reflected the order made. However, the items received did not exactly match the estimated information originally entered and the system changed the quantity and dollar amounts to reflect only the total at one dollar per unit. Because of this per unit price, the system switched the coding and posted the transaction to commodities.

University management indicated new codes have been established which should eliminate the automatic change process.

State of Illinois
Southern Illinois University

FINDINGS, RECOMMENDATIONS AND UNIVERSITY RESPONSES – STATE –
CONTINUED

Year ended June 30, 2004

University - Wide

04-2 Incorrect Coding of Vouchers - Continued

Equipment items should be coded to the proper accounting code to ensure they are identified and included in property records, tagged, capitalized and subsequently depreciated. Furthermore, the miscoding of items due to an automated system change puts the University at risk of applying inappropriate accounting treatment to specific transactions. Depending on the type and dollar amount of transactions, the mistreatment could result in the material misstatement of the financial statements. The errors noted above are not material to the financial statements. However, the University chose to adjust the financial statements and property schedules for the year ended June 30, 2004. (Finding Code No. 04-2)

Recommendation

We recommend the University continue to use the newly established codes and monitor the processing of transactions to ensure additional system deficiencies do not cause future errors.

University Response

Implemented as of February 18, 2005.

State of Illinois
Southern Illinois University

FINDINGS, RECOMMENDATIONS AND UNIVERSITY RESPONSES – STATE –
CONTINUED

Year ended June 30, 2004

University - Wide

04-3 Inadequate Security Controls

The University did not have adequate security controls over its computer operation. During our review, we noted the following weaknesses:

- Appropriate and consistent global security settings had not been established for accessing the University's computer networks. For example, we noted password change intervals were not always required and intruder detection settings and reset lockout intervals varied. Although the Schools of Medicine and Dental Medicine had established password change intervals, we noted the intervals were excessive.
- Security logs were not regularly reviewed. At SIUC, SIUE and the School of Dental Medicine, we noted the security logs were not routinely reviewed.
- Established policy and procedures did not always restrict the loading of third-party user installed or downloaded software on state owned equipment.
- Access to the School of Dental Medicine's computer room was not always restricted. During our review, we found the computer room door was propped open. In addition, one server located in the basement of the Administrative building was not adequately secured or safe from environmental hazards.
- The fire alarm system to the building housing the computer room at SIUC had not been tested within the last year.

The principles of good internal controls require reasonable cost-effective procedures be implemented to ensure the integrity and security of computerized information.

The University has a substantial investment in information technology and maintains critical, confidential, and sensitive information on its computer systems. However, University personnel stated that the University did not want to limit the freedom of information. As such, no global security settings, other than those established with dial-up connection, had been established for accessing the University's computer networks.

State of Illinois
Southern Illinois University

FINDINGS, RECOMMENDATIONS AND UNIVERSITY RESPONSES – STATE –
CONTINUED

Year ended June 30, 2004

University - Wide

04-3 Inadequate Security Controls - Continued

Without the implementation of adequate security controls and procedures for computer resources, there is an increased danger that unauthorized individuals may gain access to computer resources. Computer equipment may be stolen or vandalized, and data may be destroyed or corrupted. Ensuring adequate security controls are implemented and enforced allows the University to maintain the integrity over its computer systems and data. Without adequate security, controls and monitoring, data integrity could be compromised. (Finding Code No. 04-3)

Recommendation

We recommend the University perform a formal computer security review to assess the risks in the current environment and the adequacy of logical and physical security controls. Once assessed, the University should update its security policies and procedures to:

- Establish minimum global default security settings governing its computer networks to ensure security is adequate and consistently enforced. An effective password change interval should be included;
- Include procedures and guidelines for performing routine monitoring of existing security logs; and,
- Restrict the use of third-party user installed or downloaded software on state owned computer equipment.

State of Illinois
Southern Illinois University

FINDINGS, RECOMMENDATIONS AND UNIVERSITY RESPONSES – STATE –
CONTINUED

Year ended June 30, 2004

University - Wide

04-3 Inadequate Security Controls - Continued

We also recommend that access to University computer and network server rooms, and telecommunications resources be restricted to authorized personnel. In addition, the University should establish adequate environmental controls, including annual testing of fire alarm systems, to ensure all equipment is protected from potentially harmful environmental hazards.

University Response

Accepted.

State of Illinois
Southern Illinois University

PRIOR FINDINGS NOT REPEATED

Year ended June 30, 2004

04-4 During the prior period, the University did not have adequate security controls over its computer operations. (Finding Code No. 03-1, 02-2)

During the current period, we noted the University corrected most of the weaknesses identified during the prior period. As such this finding will not be repeated. However, during our review, we noted several additional weaknesses as reported in Finding Code No. 04-3.

04-5 During the prior period, the University did not ensure that all University campuses had established adequately developed and tested disaster contingency plans for recovering from disaster situations. (Finding Code No. 03-2)

During the current period, the University made considerable effort in establishing contingency plans for their campuses. As such, this finding will not be repeated. However, although the University performed simulated tests of their Carbondale and Edwardsville contingency plans, not all University plans were adequately tested. As such, this finding has been reported as Finding Code No. IM04-5 in the Immaterial letter.

04-6 In the prior year, the University's Graduate Student 601 Continuing Education requirement did not comply with the Board of Trustee's policies, leading to tuition undercharges on out of state residents enrolled in the 601 Continuing Education course. (Finding Code No. 03-3)

Our current year testing revealed that the University's practices relating to the assessment of tuition charges for the 601 Continuing Education course have been amended. Therefore, this finding is not repeated.

State of Illinois
Southern Illinois University

STATUS OF PRIOR AUDIT FINDINGS

Year ended June 30, 2004

<u>Prior Finding Number/Code</u>	<u>Current Finding</u>	<u>Not Repeated</u>	<u>Finding Topic</u>
03-1		X	Computer Security Weakness
03-2		X	Inadequate Disaster Contingency Planning
03-3		X	Graduate Student 601 Continuing Education Requirement

State of Illinois
Southern Illinois University

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS SUMMARY

Year ended June 30, 2004

Federal Grantor Agency	Federal Expenditures		
	Carbondale	Edwardsville	Total
U.S. Department of Agriculture	\$ 2,264,933	\$ 2,290,542	\$ 4,555,475
U.S. Department of Commerce	1,208,466	-	1,208,466
U.S. Department of Defense	1,089,529	88,198	1,177,727
U.S. Department of Housing and Urban Development	321,809	302,453	624,262
U.S. Department of the Interior	649,512	275,620	925,132
U.S. Department of Justice	181,338	-	181,338
U.S. Department of Labor	91,729	-	91,729
U.S. Department of State	413,006	70,507	483,513
U.S. Department of Transportation	906,937	-	906,937
Library of Congress	297,627	273,113	570,740
National Aeronautics and Space Administration	155,953	174	156,127
National Foundation on the Arts and the Humanities	183,642	147,529	331,171
National Science Foundation	2,870,136	376,819	3,246,955
Small Business Administration	-	185,359	185,359
U.S. Environmental Protection Agency	111,121	64,554	175,675
U.S. Department of Energy	793,338	14,154	807,492
U.S. Information Agency	24,605	-	24,605
U.S. Department of Education	25,926,206	11,964,218	37,890,424
National Archives and Records Administration	23,000	-	23,000
U.S. Department of Health and Human Services	15,657,554	10,622,804	26,280,358
Corporation for National and Community Service	-	399,915	399,915
	\$ 53,170,441	\$ 27,075,959	\$ 80,246,400
Total Expenditures of Federal Awards	\$ 53,170,441	\$ 27,075,959	\$ 80,246,400

Immaterial differences between the above summaries and the following Schedules of Expenditures of Federal Awards are due to rounding.

State of Illinois
Southern Illinois University, Carbondale
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the year ended June 30, 2004

Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
U.S. DEPARTMENT OF AGRICULTURE					
Direct Grants and Contracts:					
Southern Illinois Institute		10.DRA-IL-2007		\$ 138,629.00	\$ 78,605.71
Core Revision		10.00-43-31LT-7-06021		10,000.00	530.84
Preliminary Analysis of Vegetation	(2)	10.00-CA-11231300-076		7,500.00	172.80
Development of Population Genetic Techniques	(2)	10.53-9SCP-1-201		10,200.00	0.78
New Techniques in Ethanol Production	(2)	10.58-0111-1-006		30,000.00	4,853.48
Forest Wildlife Environmental Assessment	(2)	10.01-CS-11090800-033		10,000.00	7,082.66
Woodrat Conservation Assessment	(2)	10.01-CS-11090804-026		5,000.00	1,416.67
Aquatic Communities	(2)	10.01-CCS-11090804-024		25,000.00	6,859.88
				<u>40,000.00</u>	<u>15,359.21</u>
Status and Distribution of the Rainbow Darter	(2)	10.02-CS-11090804-006		25,000.00	4,816.53
Spring Cave Fish in Illinois	(2)	10.02-CS-11090904-008		15,000.00	4,337.86
				<u>40,000.00</u>	<u>9,154.39</u>
IPA Agreement: Matt Rendleman	(2)	10.4110013		79,000.00	42,810.44
Lusk Creek Wilderness Study	(2)	10.03-CS-110908-4-016		10,065.00	7,180.34
Estimating Timber Demand	(2)	10.03-CS-11090800-004		3,600.00	157.99
Forest Service Woodrat Reintroduction	(2)	10.03-CS-11090804-013		10,000.00	8,664.16
Bantam Sunfish	(2)	10.03-CS-11090804-010		5,000.00	1,773.00
Conservation Assessment	(2)	10.03-CS-11090804-009		20,000.00	8,961.00
Summer Habitat Requirements	(2)	10.03-CS-11090804-007		25,000.00	14,785.93
Forest Pond Amphibian Management	(2)	10.03-CS-11090804-027		10,000.00	6,891.83
Blue Joint Grass Restoration	(2)	10.03-CS-11090800-022		5,000.00	(83.54)
Public Exhibits for Pounds Hollow		10.03-CS-11090803-020		15,000.00	64.80
Mapping the Fire Regimes	(2)	10.03-CS-11090800-030		41,359.00	7,698.07
Conservation Assessment for the Pillsnail	(2)	10.03-CS-11090804-017		3,000.00	1,885.05
				<u>148,024.00</u>	<u>57,978.63</u>
Sampling for Sudden Oak Death	(2)	10.03-CA-11242343-135		7,500.00	5,495.71
Channel Morphology Responses	(2)	10.03-CA-1124343-021		20,000.00	17,439.33
				<u>27,500.00</u>	<u>22,935.04</u>
Challenge Cost Share for Investigations	(2)	10.CS-03-11090804-021		10,000.00	4,493.30
Technical Assistance to Small Forest	(2)	10.03-DG-11244225056		29,000.00	6,253.91
Effects of Fuel Reduction	(2)	10.03-DG-11244225-573		5,000.00	4,298.91
Update and Publish Directory	(2)	10.03-DG-11244225-561		5,000.00	349.97
				<u>39,000.00</u>	<u>10,902.79</u>
A Needs Assessment of Conservation	(2)	10.04-DG-11120102-012		2,000.00	753.08
Aquatic Community Inventory	(2)	10.04-PA-11090800007		10,000.00	275.39

The accompanying notes are an integral part of this schedule.

State of Illinois
Southern Illinois University, Carbondale
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the year ended June 30, 2004

Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
Illinois Land-Use Impacts on Water	(2)	10.200		765,726.00	126,405.61
Illinois Land-Use Impacts on Water	(2)	10.200		97,555.00	15,135.33
Midwest Regional Canola Research	(2)	10.200		353,635.00	168,393.95
				<u>1,216,916.00</u>	<u>309,934.89</u>
McIntire Stennis-Administration	(2)	10.202		153,632.00	61,085.76
McIntire Stennis-Administration	(2)	10.202		153,456.00	89,690.03
Migration Rates	(2)	10.202		31,993.00	36.95
				<u>339,081.00</u>	<u>150,812.74</u>
Intercellular Metal Trafficking	(2)	10.206		120,000.00	71,814.10
Quantifying Multispecies Interactions	(2)	10.206		200,000.00	19,505.41
Contribution of DNA Photoproducts	(2)	10.206		214,500.00	49,295.92
Mechanism of Pesticide Interactions	(2)	10.206		276,000.00	14,426.57
				<u>810,500.00</u>	<u>155,042.00</u>
Development of Web-Based Instructional Modules	(2)	10.217		89,190.00	18,764.35
What's on Your Plate		10.217		249,996.00	67,305.52
				<u>339,186.00</u>	<u>86,069.87</u>
Illinois Ag Mediation Program		10.435		730,780.00	54,825.54
Augmentative Biological Control of Bark Beetles	(2)	10.652		34,210.00	17,400.07
Behavioral and Genetic Variation	(2)	10.652		30,244.00	11,009.47
Eastern Sand Darter	(2)	10.652		5,211.00	5,211.00
				<u>69,665.00</u>	<u>33,620.54</u>
Rural Illinois Development		10.771		213,967.00	55,140.51
Rural Illinois Development		10.771		209,997.00	119,926.31
				<u>423,964.00</u>	<u>175,066.82</u>
				4,521,945.00	1,214,198.28
Flow-Thru Grants and Contracts:					
<i>University of Illinois:</i>					
Consumer Acceptance of GMO's	(2)	10.Unknown	01-306	65,000.00	29,384.26
Designing Food Supply Chains	(2)	10.Unknown	NA	28,000.00	780.79
New Strategies	(2)	10.200	04-261	7,000.00	7,269.48
Use of Corn and Soybean Products	(2)	10.200	03-269	165,000.00	118,969.76
				<u>172,000.00</u>	<u>126,239.24</u>

State of Illinois
Southern Illinois University, Carbondale
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the year ended June 30, 2004

Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<i>City of Cairo, Illinois:</i> Master Plan for Fort Defiance Park		10.Unknown	NA	50,000.00	27,285.25
<i>Limestone Bluffs:</i> Wooden Pallet Standards		10.Unknown	NA	5,000.00	3,000.00
<i>University of Georgia:</i> Effects of Altered Flows	(2)	10.H5000 03 5040	RR267-189/7875197	36,000.00	17,091.43
<i>Harvest Select Farms:</i> Spawning and Hatchery Technology	(2)	10.Unknown	NA	18,775.00	467.00
<i>Michigan State University:</i> Culture Technology of Hybrid Striped Bass	(2)	10.200	61-41511	92,607.00	56,764.36
NCRAC Marketing		10.200	61-4104C	50,000.00	39,820.12
				<u>142,607.00</u>	<u>96,584.48</u>
<i>Iowa State University:</i> Biotechnology Approach to SCN	(2)	10.200	400-41-44	133,385.00	260.86
<i>Mississippi State University:</i> Identification Characterization	(2)	10.200	3850011805	30,000.00	13,354.82
<i>Illinois-Missouri Biotechnology Alliance:</i> Soy Isoflavones	(2)	10.200	04-267	163,000.00	29,432.32
<i>FINS Technology, LLC:</i> Improving Striped Bass	(2)	10.212	NA	11,000.00	3.86
<i>Lakeland College:</i> Bridging Secondary Agriculture Education		10.226	38414-11976	5,700.00	7,834.10
<i>Purdue University:</i> Improving Bone Health in Adolescence	(2)	10.302	590-2635-1	119,833.00	10,281.09
<i>Illinois State Board of Education:</i> School Meals Initiative	(2)	10.560	MY03841	237,200.00	122,197.67
Child and Adult Care Food Program		10.558	04-4226	186,030.32	186,030.32
Child and Adult Care Commodities		10.558	04-4226	11,169.81	11,169.81
				<u>197,200.13</u>	<u>197,200.13</u>
Summer Food Service Program		10.559	04-4225	9,493.75	9,493.75
Child Nutrition-SAE		10.560	NA	190,450.00	190,450.00
<i>Oregon State University:</i> Peruvian Amazon Pond Aquaculture	(2)	10.965	RD010A-12	660,047.00	169,393.96

State of Illinois
Southern Illinois University, Carbondale
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the year ended June 30, 2004

Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
				2,274,690.88	1,050,735.01
Total U.S. Department of Agriculture				6,796,635.88	2,264,933.29
U.S. DEPARTMENT OF COMMERCE					
Direct Grants and Contracts:					
NOAA Orthorectification	(2)	11.400		16,149.69	(18.80)
Public Telecommunications Facilities Award		11.550		84,451.00	78,360.35
WSIU Radio Production Storage		11.550		42,330.00	33,108.92
				126,781.00	111,469.27
				142,930.69	111,450.47
Flow-Thru Grants and Contracts:					
<i>Cooperative Institute for Limnology and Ecosystems Research:</i>					
Improving Interpretation of Bioaccumulation	(2)	11.Unknown	NA	19,979.00	2,858.33
<i>Western Illinois University:</i>					
Southern Illinois Economic Development Services		11.303	5-14570	7,500.00	6,959.23
Southern Illinois Economic Development Services		11.303	P020370	20,000.00	19,607.40
Southern Illinois Economic Development Services		11.303	5-15810	10,000.00	8,313.92
Southern Illinois Economic Development Services		11.303	N043439	9,200.00	9,490.40
				46,700.00	44,370.95
<i>Bradley University:</i>					
Manufacturing Extension-NIST		11.611	NA	64,901.00	(8,287.60)
Manufacturing Extension-Client Revenue		11.611	NA	209,664.00	4,821.13
Manufacturing Extension-DCCA		11.611	NA	330,000.00	2,493.01
Manufacturing Extension-MEP		11.611	NA	1,554,826.00	352,484.30
Manufacturing Extension-Client Revenue		11.611	NA	1,174,628.00	698,275.87
				3,334,019.00	1,049,786.71
				3,400,698.00	1,097,015.99
Total U.S. Department of Commerce				3,543,628.69	1,208,466.46
U.S. DEPARTMENT OF DEFENSE					
Direct Grants and Contracts:					
Preventing Noise Induced Hearing Loss	(2)	12.02-052		118,210.00	(4,211.36)
Mechanisms of Tumor Metastasis	(2)	12.W81XWH-04-1-0193		171,680.00	16,241.74
XI International Workshop	(2)	12.DAAL03-87-G0110		15,000.00	(2,590.55)
Airforce IPA Agreement	(2)	12.Unknown		568,085.00	96,691.99
XXVIII International Workshop		12.DAAD19-03-1-0219		20,000.00	15,414.88

State of Illinois
Southern Illinois University, Carbondale
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the year ended June 30, 2004

Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
XXV International Workshop	(2)	12.DAAD19-02-1-0011		20,000.00	4,698.50
Geometric and Continuous-Time Computers	(2)	12.DAAD19-02-1-0051		64,000.00	29,050.85
				<u>104,000.00</u>	<u>49,164.23</u>
IPA Agreement	(2)	12.CE-IWR-02-0003		172,255.00	54,927.68
Dietary Accumulation	(2)	12.CE-DACA42-02-P-0154		150,593.00	57,022.53
Demographics of Pallid Sturgeon	(2)	12.CE-PO-DACW42-02-C-0018		773,393.00	360,044.32
Swan Lake habitat Rehabilitation	(2)	12.W912HZ-04-C-0023		212,095.00	96,907.40
Habitat Rehabilitation Swan Lake	(2)	12.W912HZ-04-C-0023		55,815.00	36,695.22
				<u>267,910.00</u>	<u>133,602.62</u>
Effects of Explosions on Sandwich Structures	(2)	12.300		20,000.00	9,488.96
Ginseng and Breast Cancer	(2)	12.420		295,701.00	(53.42)
Career Mechanism of Gene Regulation	(2)	12.420		232,464.00	7,516.00
Idea Mechanism of Vitamin E	(2)	12.420		308,056.00	57,957.25
				<u>836,221.00</u>	<u>65,419.83</u>
2002 Midwestern Conference	(2)	12.901		10,000.00	28.76
				<u>3,207,347.00</u>	<u>835,830.75</u>
Flow-Thru Grants and Contracts:					
<i>West Virginia Division of Natural Resources:</i>					
Winter Habitat Use by Fishes	(2)	12.Unknown	DNR-30161	185,000.00	26,145.21
<i>Parsons Engineering:</i>					
Habitat Utilization Pallid Sturgeon	(2)	12.DACW43-99-D-0503	737745-S-001	27,000.00	21,139.50
Evaluation Management Pool 25	(2)	12.DACW43-99-D-0503	740021-S-001	27,494.80	(1,453.24)
Mississippi River Pool	(2)	12.DACW43-99-D-0503	737745-S-001	76,745.20	12,793.82
Habitat Utilization Pallid Sturgeon	(2)	12.DACW43-99-D-0503	740078-S-001	34,958.00	7,163.12
				<u>166,198.00</u>	<u>39,643.20</u>
<i>ERC, Inc:</i>					
Crack Growth Analysis	(2)	12.F04611-99-C-0025	RP020298	140,000.00	103,496.31
<i>Academy of Applied Sciences:</i>					
JSHS-FY03		12.DAAG55-98-1-0468	NA	15,700.00	417.48
JSHS-FY04		12.W911NF-04-1-0001	NA	15,700.00	15,548.00

State of Illinois
Southern Illinois University, Carbondale
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the year ended June 30, 2004

Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<i>Sparta, Inc:</i>					
Strain Rate in Loading	(2)	12.Unknown	NA	40,000.92	48,064.00
<i>Battelle:</i>					
Role of Lipoic Acid	(2)	12.420	03093	40,476.00	20,208.52
<i>University of Rochester:</i>					
Tunable Optical Polymer Systems	(2)	12.431	411157G	102,917.00	175.12
				<u>705,991.92</u>	<u>253,697.84</u>
Total U.S. Department of Defense				3,913,338.92	1,089,528.59
U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT					
Direct Grants and Contracts:					
Southern Illinois Research Park	(2)	14.246		150,000.00	90,656.50
Community Outreach Partnership Center		14.511		399,999.00	143,403.56
				<u>549,999.00</u>	<u>234,060.06</u>
Flow-Thru Grants and Contracts:					
<i>Illinois Department of Public Health:</i>					
HOPWA-FY03		14.241	25780457	66,890.00	33.50
HOPWA-FY04		14.241	063-48251-1900-12-00	106,189.00	66,743.16
				<u>173,079.00</u>	<u>66,776.66</u>
<i>City of Carbondale, Illinois:</i>					
COPC Grant		14.511	NA	15,000.00	7,500.00
COPC Grant		14.511	NA	15,000.00	13,471.82
				<u>30,000.00</u>	<u>20,971.82</u>
				<u>203,079.00</u>	<u>87,748.48</u>
Total U.S. Department of Housing and Urban Development				753,078.00	321,808.54
U.S. DEPARTMENT OF THE INTERIOR					
Direct Grants and Contracts:					
Special Wildlife Studies	(2)	15.Unknown		154,540.00	18,825.61
St. Croix National Scenic Riverway Research	(2)	15.1443CA659099001		51,391.00	2,637.84
Inventory of the Fringed Darter	(2)	15.301810J151		12,000.00	(466.61)
Cooperative Reforestation Study	(2)	15.CQ100730		44,516.00	23,211.71
The Origin of Salinity Changes	(2)	15.02ERSA0298		24,500.00	1,044.46
Effectiveness of PCB Remediation	(2)	15.30181-3-J211		45,000.00	22,944.83
Survey of Invasive Plant Species	(2)	15.33610-1261-0000		7,000.00	2,933.31

State of Illinois
Southern Illinois University, Carbondale
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the year ended June 30, 2004

Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
Microsatellite Tools	(2)	15.617		47,000.00	40,708.24
Sculpine Study	(2)	15.617		4,548.00	4,239.07
				<u>51,548.00</u>	<u>44,947.31</u>
Patuxent Kestrel Study	(2)	15.808		115,479.00	16,910.93
Long Wavelength Correction Model Collaboration	(2)	15.808		41,000.00	18,719.88
Biscayne Bay Ecosystem History Project	(2)	15.808		24,547.00	5,910.00
Comparison of the Sensitivity	(2)	15.808		10,200.00	1,272.05
				<u>191,226.00</u>	<u>42,812.86</u>
Mapping the Pilo-Pleistocene Deposits	(2)	15.810		10,500.00	5,418.65
River Use Monitoring	(2)	15.900		5,000.00	1,923.75
Trail of Tears Archival Research	(2)	15.900		5,000.00	598.43
An Assessment of the Trail of Tears	(2)	15.900		7,000.00	444.72
				<u>17,000.00</u>	<u>2,966.90</u>
				609,221.00	167,276.87
Flow-Thru Grants and Contracts:					
<i>Seneca Nation of Indians:</i>					
Fire Management Plan	(2)	15.Unknown	NA	20,000.00	13,431.05
<i>The Nature Conservancy:</i>					
Toxicity of Glyphosate	(2)	15.Unknown	PAFO 010504	19,000.00	3,412.38
<i>Illinois Department of Natural Resources:</i>					
Illinois Lake Vegetation Project	(2)	15.605	F-140-R-04	66,697.00	3,154.83
Illinois Trophy Muskellunge Study	(2)	15.605	F-141-R-04	63,337.00	1,205.24
				<u>130,034.00</u>	<u>4,360.07</u>
Feasibility of Restoring Ruffed Grouse	(2)	15.611	W-140-R-01	14,222.00	(11.59)
Habitat Preferences of Migratory Shorebirds	(2)	15.611	W-141-R-01	74,382.00	101.75
Cooperative Upland Research	(2)	15.611	W-106-R-13	140,064.00	3,083.48
Winter Ecology of Trumpeter Swans	(2)	15.611	W-142-R-02	43,879.00	12,203.57
Ruffed Grouse Feasibility	(2)	15.611	W-140-R-03	52,180.00	366.16
Crop Damage by Wild Turkey	(2)	15.611	W-139-R-03	34,716.00	2,842.99
Ecology of White-Tailed Deer	(2)	15.611	W-087-R-25	147,958.00	(3,809.66)
Beaver Cooperative Investigation	(2)	15.611	W-135-R-4	105,903.00	(64.50)
Woodrat Reintroduction and Recovery	(2)	15.611	W-135-R-04	16,442.00	(2,824.37)
Ecology of White-Tailed Deer	(2)	15.611	W-087-R-26	148,272.00	146,241.71
Cooperative Upland Research	(2)	15.611	W-106-R-14	155,634.00	158,231.71
Cooperative Beaver and Nongame Mammal	(2)	15.611	W-135-R-5	90,444.00	76,905.28
Bobwhite Quail Life	(2)	15.611	G2004008	5,000.00	4,999.99
Woodrat Reintroduction and Recovery	(2)	15.611	W-135-R-5	34,570.00	36,962.47
Ecology of White-Tailed Deer	(2)	15.611	W-087-R-27	77,731.00	26.10

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				1,141,397.00	435,255.09
Illinois Bird Conservation Plan	(2)	15.617	CAJV-01	25,000.00	15,476.48
<i>Nebraska State Wildlife Grants Program:</i> Biological Evaluation of Central Platte River	(2)	15.617	NA	32,933.00	5,512.36
<i>University of Tennessee:</i> Analysis of Pottery	(2)	15.900	4182.002.01	14,901.00	4,788.00
				<u>1,383,265.00</u>	<u>482,235.43</u>
Total U.S. Department of the Interior				1,992,486.00	649,512.30
U.S. DEPARTMENT OF JUSTICE					
Direct Grants and Contracts:					
Modern Prison Work	(2)	16.98-CE-VX-0021		99,934.00	2,764.91
				<u>99,934.00</u>	<u>2,764.91</u>
Flow-Thru Grants and Contracts:					
<i>Illinois Criminal Justice Information Authority:</i> Evaluation of the Jackson County Probation Program	(2)	16.Unknown	NA	71,113.00	49,171.35
<i>Illinois State Police:</i> Bullet Proof Vest Partnership Grant		16.Unknown	NA	11,310.69	419.48
<i>City of Carbondale, Illinois:</i> Domestic Violence Clinic Safeplace		16.Unknown 16.Unknown	97-WE-VX-0034 NA	595,311.00 18,500.00	83,734.18 4,067.23
<i>University of North Texas:</i> Partner Violence	(2)	16.WT-BX-0504	73291-1	75,245.00	29,876.30

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<i>Hoyleton Youth and Family Services:</i> Mentoring Youth to Reduce Violence	16.2002-PSN-112	NA	15,000.00	11,305.00
			786,479.69	178,573.54
Total U.S. Department of Justice			886,413.69	181,338.45
U.S. DEPARTMENT OF LABOR				
Direct Grants and Contracts:				
Patterns of Health Insurance Coverage (2)	17.89323951		23,214.00	22,114.24
			23,214.00	22,114.24
Flow-Thru Grants and Contracts:				
<i>Illinois State Board of Education:</i> Stills Standards	17.263	NA	90,000.00	(167.88)
<i>Illinois Department of Employment Security:</i> Training and Technical Assistance	17.259	02-67114	100,000.00	69,782.96
			190,000.00	69,615.08
Total U.S. Department of Labor			213,214.00	91,729.32
U.S. DEPARTMENT OF STATE				
Direct Grants and Contracts:				
Samara Teachers Institute	19.405		223,541.00	70,261.08
Samara Teachers Institute	19.405		51,254.00	468.74
			274,795.00	70,729.82
Shkodra-SIUC	19.406		43,004.00	12,832.14
Shkodra-SIUC	19.406		144,160.00	29,517.97
			187,164.00	42,350.11
Strengthening Journalism	19.415		151,414.00	29,957.56
21st Century Tanzania	19.415		145,745.00	21,562.94
			297,159.00	51,520.50
Fulbright American Studies	19.418		53,000.00	(150.00)
Fulbright American Studies	19.418		126,052.00	150.00
Domestic Violence (2)	19.418		99,978.00	47,411.39

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American Studies Institute		19.418		181,450.00	149,404.13
American Studies Institute		19.418		76,202.00	51,590.03
				<u>536,682.00</u>	<u>248,405.55</u>
Total U.S. Department of State				1,295,800.00	413,005.98
U.S. DEPARTMENT OF TRANSPORTATION					
Flow-Thru Grants and Contracts:					
<i>Illinois Department of Transportation:</i>					
Health Monitoring of Highway Bridges	(2)	20.205	TEA-21	620,489.00	141,905.97
Highway Safety Cluster					
Think First		20.600	OP4-0084-056	487,968.00	428,883.07
NHTSA 32 HR Child Passenger		20.600	670	7,780.00	7,248.63
Risky Business		20.600	OP3-5480-028	662,743.00	212,560.24
				<u>1,158,491.00</u>	<u>648,691.94</u>
Southern Illinois Regional Child Passenger		20.602	OP3-0865-107	110,835.00	27,172.46
Southern Illinois Regional Child Passenger		20.602	OP4-0039-021	117,958.00	73,331.75
				<u>228,793.00</u>	<u>100,504.21</u>
Mini-Grant Alcohol Enforcement		20.605	011-49470-4400-0800	18,393.00	15,835.37
Total Highway Safety Cluster				<u>1,405,677.00</u>	<u>765,031.52</u>
Total U.S. Department of Transportation				2,026,166.00	906,937.49
LIBRARY OF CONGRESS					
Direct Grants and Contracts:					
Lewis and Clark	(2)	42.03-LIB-0007		198,700.00	84,846.51
Lewis and Clark Archaeological Investigations	(2)	42.03-LIB-0007		9,837.00	9,592.84
				<u>208,537.00</u>	<u>94,439.35</u>
				208,537.00	94,439.35
Flow-Thru Grants and Contracts:					
<i>Education and Research Consortium of Western North Carolina:</i>					
Adventure of the American Mind		42.00G-LIB-ND001	NA	750,000.00	203,187.25
Total Library of Congress				958,537.00	297,626.60

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NATIONAL AERONAUTICS AND SPACE ADMINISTRATION					
Direct Grants and Contracts:					
NASA ESS Fellowship	(2)	43.NGT5-50321		68,000.00	3,091.00
Interpretation of the Mars Crustal Computations for NASA	(2)	43.NAG5-9832		64,000.00	45,379.31
An Intelligent Sensor	(2)	43.NGT-1-01026		46,000.00	21,136.82
Geodynamics of the Lithosphere	(2)	43.NGT-1-352760		32,008.00	18,814.36
A Novel Fiber Optics Based Method	(2)	43.NAG-5-13681		50,000.00	22,324.88
Nondestructive Inspection	(2)	43.NAG13-03029		19,545.00	15,976.14
		43.NMM04AA02A		30,000.00	24,314.81
				<u>309,553.00</u>	<u>151,037.32</u>
				309,553.00	151,037.32
Flow-Thru Grants and Contracts:					
<i>Montana State University:</i>					
Molecular & Geo Analysis	(2)	43.001	GC029-04-Z3256	9,320.00	4,915.63
Total National Aeronautics and Space Administration				318,873.00	155,952.95
NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES					
Direct Grants and Contracts:					
John Dewey Correspondence	(2)	45.161		150,000.00	(7,137.39)
John Dewey Correspondence	(2)	45.161		150,000.00	4,278.57
John Dewey Correspondence	(2)	45.161		150,000.00	151,970.17
				<u>450,000.00</u>	<u>149,111.35</u>
Koussevitzky Planning Project		45.164		29,996.00	16,309.20
				<u>479,996.00</u>	<u>165,420.55</u>
Flow-Thru Grants and Contracts:					
<i>Carbondale Community Arts:</i>					
Making Art		45.Unknown	2-5	800.00	608.66
Expanding Community Access		45.164	2-10	900.00	900.00
<i>Illinois Humanities Council:</i>					
Charlie Birger: Never a Good Person	(2)	45.129	11980	10,000.00	5,827.33
Coal Cultures an Exhibition		45.129	2688	10,000.00	200.00
Digging into the Past		45.129	2808	8,089.00	2,620.76

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Words Woods and Wire		45.129	3233	8,355.00	82.66
Artifacts and Heavy Timber		45.129	3264	10,000.00	1,813.40
				<u>46,444.00</u>	<u>10,544.15</u>
<i>Chamber Music of America:</i>					
CMA Residency Partnership		45.164	NA	12,000.00	6,168.76
				<u>60,144.00</u>	<u>18,221.57</u>
Total National Foundation on the Arts and the Humanities				540,140.00	183,642.12
NATIONAL SCIENCE FOUNDATION					
Direct Grants and Contracts:					
Center for Advanced Friction Studies	(2)	47.041		2,322,441.00	157,971.97
Dissertation: Maya Mountain Ritual Caves	(2)	47.041		11,649.00	(70.00)
Ambient Non-Thermal Plasma	(2)	47.041		252,700.00	23,833.38
Center for Advanced Friction Studies	(2)	47.041		64,080.00	37,775.49
Mynamical Neural Networks	(2)	47.041		226,736.00	39,417.26
Preliminary Evaluation of the Impact of CO2	(2)	47.041		66,426.00	18,099.40
US Taiwan Workshop	(2)	47.041		24,750.00	23,258.64
				<u>2,968,782.00</u>	<u>300,286.14</u>
Finite and Infinite-Dimensional Stochastic Systems	(2)	47.049		141,034.00	31,157.45
Development Plan for Optical Resonance	(2)	47.049		118,060.00	32,106.86
Singular Deformations in Mechanics	(2)	47.049		110,000.00	9,749.38
Analysis of Cavitation in Solids	(2)	47.049		86,758.00	5,636.29
Absorption Studies	(2)	47.049		300,000.00	40,904.54
Research in Polar Organic Materials	(2)	47.049		492,410.00	120,272.60
Nanoscale Electron Transfers	(2)	47.049		404,660.00	48,410.26
Decoherence and Performance	(2)	47.049		50,207.00	7,133.78
Applied Robust Statistics	(2)	47.049		43,561.00	7,506.18
New Trends in Nonlinear Dynamics	(2)	47.049		12,300.00	2,762.93
Career: Superparamagnetic Nanoparticles	(2)	47.049		265,000.00	20,210.81
				<u>2,023,990.00</u>	<u>325,851.08</u>
Origin of High Magnetic Remanence	(2)	47.050		110,452.00	81,939.73
Multivariate Geospatial Analysis	(2)	47.050		207,502.00	48,754.68
Fabric and Seismic Anisotrophy	(2)	47.050		61,899.00	29,499.27
				<u>379,853.00</u>	<u>160,193.68</u>
Cooperative Distributed Problem Solving	(2)	47.070		205,706.00	32,240.88
SIU High Performance Network		47.070		150,000.00	1,200.00
				<u>355,706.00</u>	<u>33,440.88</u>
Collaborative Research	(2)	47.074		101,433.00	29,072.16
Collaborative Research	(2)	47.074		400,000.00	127,451.69

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Molecular Systematics of Gruiforme Birds	(2)	47.074		7,312.00	2,754.69
Integrative Mapping	(2)	47.074		1,331,664.00	37,196.19
PEET: Monographic and Phylogenetic		47.074		773,600.00	138,120.24
Phylogeny of Loranths	(2)	47.074		160,000.00	20,999.99
Mechanisms of Memory Modulation	(2)	47.074		317,647.00	82,366.19
Reconstructing Early Evolution of Bees	(2)	47.074		112,164.00	61,374.63
Deep Green Plant Phylogenetics	(2)	47.074		412,500.00	121,886.84
UMEB: Increasing Diversity		47.074		59,955.00	9,503.31
Novel Nuclear Protein-Coding	(2)	47.074		260,000.00	71,207.36
Collaborative Research: Biodiversity Phylogeny	(2)	47.074		94,703.00	27,782.37
Microbial Diversity and Function	(2)	47.074		186,623.00	48,344.10
Intraspecific Variation in <i>Thlaspi</i>	(2)	47.074		100,000.00	21,164.89
				<u>4,317,601.00</u>	<u>799,224.65</u>
The Pachacamac Project	(2)	47.075		74,582.00	48,661.55
Santa Cruz Tayata and Emergent	(2)	47.075		57,602.00	53,853.84
Doctoral Dissertation Improvement Grant	(2)	47.075		11,307.00	(10,130.30)
Dissertation Research-Forests and Fire	(2)	47.075		11,557.00	623.14
U.S. Jordan Cooperative Research Program	(2)	47.075		30,000.00	5,298.20
U.S. Chile Cooperative Research Program	(2)	47.075		49,967.00	7,754.77
U.S. Bangladesh Cooperative Research	(2)	47.075		35,000.00	7,946.79
Economic Restructuring Womens Work	(2)	47.075		199,970.00	85,236.03
Pachacamac Project	(2)	47.075		60,128.00	51,379.12
				<u>530,113.00</u>	<u>250,623.14</u>
Interactive Multimedia Labware		47.076		206,079.00	5,114.96
Diffraction Course		47.076		75,000.00	22,278.68
Environmental and Water Resources		47.076		140,000.00	37,189.88
Reaching Out to Academically Talented		47.076		400,000.00	53,886.41
SIU Supporting Youth		47.076		76,836.00	31,757.48
Innovations in Aquaculture Feeds	(2)	47.076		600,000.00	176,589.69
Geodetic Measurement	(2)	47.076		42,600.00	36,176.32
Toward a Descriptive Science of Learning	(2)	47.076		417,635.00	152,024.15
Theories of Learning and Research	(2)	47.076		67,487.00	35,519.46
				<u>2,025,637.00</u>	<u>550,537.03</u>
Paleohistory of the Larsen Ice Shelf	(2)	47.078		63,839.00	12,612.70
Antarctic Photosynthetic Bacteria	(2)	47.078		362,696.00	(2,866.44)
Antarctic Sulfur Bacteria	(2)	47.078		235,000.00	64,700.42
Collaborative Research	(2)	47.078		85,976.00	19,567.03
				<u>747,511.00</u>	<u>94,013.71</u>
				13,349,193.00	2,514,170.31
Flow-Thru Grants and Contracts:					
<i>Belleville School District:</i>					
Developing Elementary Mathematics		47.ESI9355541	NA	373,264.00	0.66

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<i>University of New Mexico:</i> Cross Site Workshop Project		47.Unknown	728904	6,000.00	5,638.55
<i>Villanova University:</i> RIU: Post-Fire Carbon Balance	(2)	47.DEB-0212333	525538	205,119.00	95,363.60
RIU: Carbon Accumulation	(2)	47.EAR-0223071	525594	99,151.00	30,102.01
<i>The Algebra Project, Inc:</i> Raising the Floor	(2)	47.076	ESI-0137855	74,788.00	35,364.50
<i>University of Arizona:</i> Application of Geomechanics	(2)	47.041	Y712238	50,040.00	119.51
<i>Mote Marine Laboratory:</i> Blacktip Shark	(2)	47.050	122440	91,034.00	1,144.41
<i>University of Illinois:</i> Bits Designed Neuronal Circuits	(2)	47.070	02-292	369,148.00	94,006.46
<i>Kansas State University:</i> Riparian Influences	(2)	47.074	S03066	41,396.00	17,493.61
<i>Arizona State University:</i> Emerging Wildlife Disease	(2)	47.074	06-055	116,897.00	55,827.20
<i>Institute of Ecosystem Studies in Millbrook:</i> Influence of Spatiotemporal Variation	(2)	47.074	2839-200310	43,344.00	20,905.01
				<u>1,470,181.00</u>	<u>355,965.52</u>
Total National Science Foundation				14,819,374.00	2,870,135.83
ENVIRONMENTAL PROTECTION AGENCY					
Direct Grants and Contracts:					
USEPA Fellowship		66.607		29,974.00	8,039.65
				<u>29,974.00</u>	<u>8,039.65</u>
Flow-Thru Grants and Contracts:					
<i>Illinois Environmental Protection Agency:</i>					
Intensive Basin Survey	(2)	66.Unknown	FW-3334	48,240.00	24,703.30
IEPA Pollution Prevention Internship		66.Unknown	TFC-3338	6,300.00	5,916.93
Evaluation of Treated Swine	(2)	66.460	BW-3190005	241,105.00	1,122.24

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<i>University of Illinois:</i>					
Development of a Small Water System	(2)	66.X-82921801	02-269	25,003.00	2,594.36
Assessment of Capacity Needs	(2)	66.X-82921801	02-269	25,000.00	14,579.16
				<u>50,003.00</u>	<u>17,173.52</u>
<i>University of Kansas Center for Research:</i>					
Fluoroquinolone Antibacterial Agents	(2)	66.R82900801-0	2002-009	114,061.00	44,189.90
<i>Wichita State University:</i>					
Golf Course Water Quality	(2)	66.Unknown	NPS2K1-073	34,370.00	9,975.31
				<u>494,079.00</u>	<u>103,081.20</u>
Total Environmental Protection Agency				524,053.00	111,120.85
U.S. DEPARTMENT OF ENERGY					
Direct Grants and Contracts:					
Alcohol in E. Coli	(2)	81.FG02-88ER13941		1,617,364.00	92,180.00
Underground Placement of Coal Processing	(2)	81.FC26-99FT40533		125,000.00	214.96
Qualifications of Candle Filters	(2)	81.FC26-03-NT41839		800,000.00	203,338.69
Immobilization of Heavy Metals	(2)	81.049		766,480.00	117,709.77
Development of a Novel Fine Particle	(2)	81.087		49,381.00	823.33
Management of FGD By-Products	(2)	81.089		924,646.00	(16,003.94)
				<u>4,282,871.00</u>	<u>398,262.81</u>
Flow-Thru Grants and Contracts:					
<i>Illinois Department of Commerce and Economic Opportunity:</i>					
Creating Energy Management Opportunities	(2)	81.Unknown	96-312	35,089.00	3,258.99
Energy Internship		81.041	PR41430056	6,495.00	1,616.03
Heat Production from Air	(2)	81.119	01-57401	40,000.00	250.05
<i>University of Illinois:</i>					
Laboratory Assessment	(2)	81.DE-FC26-03NT41994	04-229	48,830.00	1,360.00

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<i>West Virginia University:</i>					
CBRC Administration	(2)	81.DE-FC26-98FT40028	98-166	265,598.00	4,328.60
Development of Coal Combustion Products	(2)	81.DE-FC26-98FT40028	98-166	113,880.00	13,948.84
Boron Transport from Coal	(2)	81.DE-FC26-98FT40028	98-166	136,795.00	21,135.58
Wet Scrubber Sludge	(2)	81.DE-FC26-98FT40028	98-166	116,180.00	2,008.04
				<u>632,453.00</u>	<u>41,421.06</u>
<i>University of Arizona:</i>					
CO2 Sequestration	(2)	81.DE-FG-01INT41285	Y712595	34,866.00	4,829.13
<i>City of Carbondale, Illinois:</i>					
Rebuild America (Carbondale)	(2)	81.Unknown	NA	80,542.16	49,863.13
<i>University of California-Berkeley:</i>					
Immobilization of Radionuclides	(2)	81.049	NA	17,555.00	(17,101.73)
Uranium Immobilization	(2)	81.049	SA4167-32401PG	52,076.00	1,227.72
Ceramic Materials	(2)	81.049	19X-ST424V	390,000.00	(108.41)
TiC/AL2O3-TiC Powder Synthesis	(2)	81.049	400017655	80,000.00	36,644.14
				<u>539,631.00</u>	<u>20,661.72</u>
<i>UT-Battelle, LLC:</i>					
Cost Effective Sintering	(2)	81.DE-AC05-84OR21400	86SJ222C	1,962,541.00	162,525.08
<i>GE Energy and Environmental Research Corp.:</i>					
Simultaneous Production	(2)	81.DE-FG26-99FT-40682	NA	225,000.00	16,944.69
Fuel-Flexible Gasification	(2)	81.DE-FC26-00FT40974	63078	240,000.00	92,345.37
				<u>3,845,447.16</u>	<u>395,075.25</u>
Total U.S. Department of Energy				8,128,318.16	793,338.06
U.S. INFORMATION AGENCY					
Direct Grants and Contracts:					
NGO Training-Russia		82.010		29,176.00	5,995.88
NGO Training-Russia		82.010		267,656.00	18,608.79
				<u>296,832.00</u>	<u>24,604.67</u>
U.S. DEPARTMENT OF EDUCATION					
Direct Grants and Contracts:					
Regional Network Conference		84.43-3J47-0333-0		61,154.00	2,421.50
Core National Probability Sample	(2)	84.98-CO-0053		1,891,046.00	167,973.66
Fulbright Hayes	(2)	84.022		12,118.00	11,345.00
Planning Grant	(2)	84.031		28,700.00	14,892.73

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Student Support Service Program	(4)	84.042		1,029,649.00	273,233.52
Upward Bound	(4)	84.047		324,258.00	(403.69)
Upward Bound	(4)	84.047		346,806.00	(2,051.99)
Upward Bound	(4)	84.047		365,408.00	176,019.05
Upward Bound	(4)	84.047		745,432.00	198,563.85
				<u>1,781,904.00</u>	<u>372,127.22</u>
Direct Grant		84.116		993,500.00	993,500.00
Establishment of Realtime Captioning Training		84.116		25,000.00	6,744.95
				<u>1,018,500.00</u>	<u>1,000,244.95</u>
Long Term Rehabilitation Training		84.129		1,934,112.00	361,377.26
Rehabilitation Long Term Training		84.129		271,671.00	60,720.27
				<u>2,205,783.00</u>	<u>422,097.53</u>
Training for All Teachers		84.195		528,900.00	158,588.18
SIUC McNair Program	(4)	84.217		440,000.00	107,506.82
RCEP-Region V		84.264		2,779,827.00	596,738.67
Campus		84.335		478,402.00	10,380.90
Project Lift-Off		84.342		526,964.00	47,054.85
				<u>12,782,947.00</u>	<u>3,184,605.53</u>
Financial Assistance:					
Supplemental Educational Opportunity Grant-FY01	(1)	84.007		745,030.00	(125.00)
Supplemental Educational Opportunity Grant-FY03	(1)	84.007		761,078.00	30,893.00
Supplemental Educational Opportunity Grant-FY04	(1)	84.007		723,736.00	905,785.62
				<u>2,229,844.00</u>	<u>936,553.62</u>
Cooperative Work Study Program	(1)	84.033		2,174,996.00	2,118,822.65
Pell Grant Program-FY00	(1)	84.063		12,910,552.00	(646.00)
Pell Grant Program-FY01	(1)	84.063		13,335,724.00	(819.00)
Pell Grant Program-FY02	(1)	84.063		14,844,938.00	(2,403.00)
Pell Grant Program-FY03	(1)	84.063		16,088,164.00	45,917.00
Pell Grant Program-FY04	(1)	84.063		16,742,886.00	16,748,834.00
				<u>73,922,264.00</u>	<u>16,790,883.00</u>
				78,327,104.00	19,846,259.27

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Flow-Thru Grants and Contracts:				
<i>Hamilton-Jefferson ROE#25:</i>				
Area 6 Illinois Mathematics	84.Unknown	NA	95,752.00	24,081.68
<i>Corporation for Public Broadcasting:</i>				
WSIU Distribution Fund	84.Unknown	2002142449	150,000.00	8.70
Ready to Learn Station Payment	84.Unknown	NA	19,250.00	7,911.13
<i>Illinois Community College Board:</i>				
Adult Basic Education-Federal	84.002	NA	111,079.00	(106.02)
Adult Basic Education-Federal	84.002	NA	90,253.00	83,845.39
Adult Basic Education-Federal	84.002	NA	90,253.00	190.60
			<u>291,585.00</u>	<u>83,929.97</u>
Postsecondary Career and Technical Education	84.048	CTE03	71,789.00	9,642.00
CASA Program Improvement Grant	84.048	NA	9,728.00	5,029.66
Postsecondary Career and Technical Education	84.048	CTESIU-04	60,653.00	57,712.70
ASA Career and Technical Education	84.048	NA	82,852.00	76,678.47
			<u>225,022.00</u>	<u>149,062.83</u>
<i>Illinois State Board of Education:</i>				
TDL Career Cluster Initiative	84.010	03-4903	304,433.00	10,859.03
Hearing Officers Professional Education	84.027	00MY00731	199,689.00	2,753.15
Illinois State Curriculum Center	84.048	00-4720	400,000.00	2,500.44
Illinois State Curriculum Center	84.048	00-4770	60,000.00	951.19
Illinois State Curriculum Center	84.048	01-4720	400,000.00	10,568.74
Illinois State Curriculum Center	84.048	02-4720	400,000.00	(12,010.62)
Nontraditional-Gender Equity	84.048	02-4720	75,000.00	(601.57)
Illinois State Curriculum Center	84.048	03-4720	475,022.00	6,668.50
Illinois State Curriculum Center	84.048	03-3200	300,762.00	129,917.47
Illinois Student Information System	84.048	03-4720	235,093.00	1,434.18
Nontraditional Training and Employment	84.048	03-4720	75,000.00	(909.29)
Illinois State Curriculum Center	84.048	04-4720	475,000.00	472,014.30
Illinois Student Information System	84.048	04-4720	260,000.00	256,559.15
Nontraditional Training and Employment	84.048	04-4720	75,000.00	75,250.58
State Leadership CTE	84.048	NA	475,000.00	98.10
			<u>3,705,877.00</u>	<u>942,441.17</u>
Illinois Virtual High School Project	84.215	MY03424	285,540.00	199,987.85
Community Participation and Support	84.298	NA	50,000.00	48,078.27
<i>Illinois Department of Human Services:</i>				
EDC Third Party Agreement	84.126	040C6001127	724,140.00	(126.46)
EDC Third Party Agreement	84.126	140C0001651	989,658.00	11,594.51
EDC Third Party Agreement	84.126	240C2001651	989,658.00	3,129.56
EDC Third Party Agreement	84.126	40C3001651	989,658.00	9,858.77

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EDC Third Party Agreement	84.126	40C4001651	989,658.00	961,906.15
EDC Third Party Agreement	84.126	10C5001651	989,658.00	100.60
			<u>5,672,430.00</u>	<u>986,463.13</u>
Early Intervention Development	84.181	11G0470722	150,000.00	196.95
<i>Franklin-Williamson County Regional Office of Education:</i> Blazing Learning Trails	84.303	R303A980314	566,806.00	11,281.25
<i>Illinois Board of Higher Education:</i> Systemic Science and Mathematics	84.281	NA	45,000.00	(516.78)
Teacher Quality Enhancement	84.336	NA	805,119.00	395,964.69
Southern Illinois Teacher Quality	84.367	NA	320,000.00	32,838.14
			<u>12,886,503.00</u>	<u>2,895,341.16</u>
Total U.S. Department of Education			103,996,554.00	25,926,205.96
NATIONAL ARCHIVES AND RECORDS ADMINISTRATION				
Direct Grants and Contracts:				
Papers of Ulysses S. Grant	89.003		3,000.00	3,000.00
Papers of Ulysses S. Grant	89.003		10,000.00	10,000.00
Papers of Ulysses S. Grant	89.003		10,000.00	10,000.00
			<u>23,000.00</u>	<u>23,000.00</u>
Total National Archives and Records Administration				
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES				
Direct Grants and Contracts:				
Health Ed & Curriculum Analysis	(2)	93.0000264436	21,287.00	4,750.79
Endocrine Modulation	(2)	93.113	846,000.00	170,798.44
Endogenous Modulation	(2)	93.173	1,234,689.00	196,810.14
Peripheral and Central Mechanisms	(2)	93.173	648,430.00	218,110.33
Coding in Auditory Neurons	(2)	93.173	1,127,598.97	280,157.08
Endogenous Modulation	(2)	93.173	325,325.00	66,071.36
			<u>3,336,042.97</u>	<u>761,148.91</u>
Ginseng and Breast Cancer	(2)	93.213	178,750.00	11,980.29
Youth Violence		93.230	300,000.00	130,824.47
Sleep Patterns	(2)	93.233	904,189.00	505,069.55
Executive Function Development	(2)	93.242	321,750.00	14,054.68
Integrative Neurobiology of Alcohol	(2)	93.273	971,755.00	78,210.25
CUE-ERP	(2)	93.279	1,531,995.00	77,406.46

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NIDA 4	(2)	93.279		539,320.00	196,040.34
Attentional Bias and Affect	(2)	93.279		357,500.00	4,403.15
Cannabinoids and the H.P.A.	(2)	93.279		540,492.00	59,526.37
Prenatal Tobacco Exposure	(2)	93.279		715,000.00	180,591.76
				<u>3,684,307.00</u>	<u>517,968.08</u>
Analgesic Regimens for Study	(2)	93.306		619,330.00	151,032.94
Behavioral and Physiologic Pathobiology	(2)	93.306		234,984.00	73,901.79
				<u>854,314.00</u>	<u>224,934.73</u>
SIU Rural Caregiver Telehealth Intervention	(2)	93.361		420,643.00	139,516.22
LHS System		93.389		124,475.00	124,475.00
RNA Splicing in Archaea	(2)	93.390		141,000.00	71,622.05
UBC9 As a Novel Target	(2)	93.395		165,148.00	21,607.79
Tumor Metastasis Suppressor	(2)	93.396		141,000.00	46,620.29
NUDR: A Potential Tumor Suppressor	(2)	93.396		936,240.00	237,963.88
Tumor Suppressor Activities	(2)	93.396		109,633.00	30,665.83
Tumor Suppressor Activities	(2)	93.396		109,633.00	41,644.58
				<u>1,296,506.00</u>	<u>356,894.58</u>
Head Start	(3)	93.600		212,000.00	5,361.68
Head Start	(3)	93.600		2,939,119.00	188,525.40
Head Start	(3)	93.600		35,006.00	11,902.76
Head Start	(3)	93.600		35,006.00	30,999.55
Head Start	(3)	93.600		3,176,981.00	2,860,483.05
Head Start	(3)	93.600		2,888,754.00	9,009.51
				<u>9,286,866.00</u>	<u>3,106,281.95</u>
Dispersion in Miceller Electrokinetic	(2)	93.821		101,422.00	1,019.51
Medprep/Partnership Program		93.822		977,077.00	(124.54)
Medprep/Partnership Program		93.822		389,812.00	139,132.82
Medprep/Partnership Program		93.822		400,590.00	195,567.46
				<u>1,767,479.00</u>	<u>334,575.74</u>
Cerebral Vessel Innervation	(2)	93.837		579,441.00	31,104.10
Cerebrovascular Innervation	(2)	93.837		791,070.00	287,603.72

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NMR Structural Studies	(2)	93.837		554,800.00	275,968.50
Lipid Biding Activity	(2)	93.837		297,935.00	29,351.21
				<u>2,223,246.00</u>	<u>624,027.53</u>
Murine Sleep During Infections	(2)	93.853		888,649.00	227,239.10
Synaptic vs Nonsynaptic AMPA Receptors	(2)	93.853		696,800.00	173,632.16
Endogenous Activators of Vanilloid	(2)	93.853		782,050.00	257,687.47
Neuroprotection in Parkinson-Burns	(2)	93.853		202,551.00	124,980.29
Minocycline and Creative-Burns	(2)	93.853		202,551.00	3,733.04
				<u>2,772,601.00</u>	<u>787,272.06</u>
Recovery of Function and Vagus Nerve Stimulation	(2)	93.854		845,349.00	248,924.07
Benzodiazepine Modulation	(2)	93.854		495,505.00	460.20
				<u>1,340,854.00</u>	<u>249,384.27</u>
ADP Ribosylation	(2)	93.855		427,011.12	289.03
Chromatin Repression	(2)	93.859		221,650.00	19,266.34
Chromatin Remodeling	(2)	93.862		973,407.00	401,326.54
Growth Hormone in Pituitary	(2)	93.864		105,750.00	38,944.26
Female Mouse Model	(2)	93.864		141,000.00	29,906.12
				<u>246,750.00</u>	<u>68,850.38</u>
Microanalytic Approaches	(2)	93.865		141,000.00	1,830.54
Hormonal Influences	(2)	93.865		369,300.00	(23.00)
Molecular Regulation	(2)	93.865		600,852.00	56,858.41
Opiod Peptide Gene Expression	(2)	93.865		131,980.00	20,204.02
				<u>1,243,132.00</u>	<u>78,869.97</u>
Epileptogenesis in the Aged	(2)	93.866		168,944.00	46,337.51
Interaction of Caloric Restriction	(2)	93.866		704,443.39	301,136.04
Age-Dependent Responses of Neurons	(2)	93.866		594,371.00	284,475.32
Synapse Modification and Memory Retention	(2)	93.866		70,500.00	28,854.71
Symposium on Aging		93.866		10,100.00	1,500.00
				<u>1,548,358.39</u>	<u>662,303.58</u>
Residency Training in Family Medicine		93.884		153,216.87	(247.21)
Residency Training in Family Medicine		93.884		122,030.04	394.24
Residency Training in Family Medicine		93.884		112,431.00	103,205.35
FCM Residency Training in Primary Care		93.884		192,606.00	157,721.24
				<u>580,283.91</u>	<u>261,073.62</u>
SIU Cancer Institute		93.887		1,279,373.00	872,144.76
Predoctoral Training in Primary Care		93.896		122,945.33	5,927.19

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SM Rural Health		93.912		291,000.00	47,491.77
SM Rural Health		93.912		145,500.00	5,837.50
Illinois Delta Network		93.912		409,941.00	24,224.71
Illinois Delta Network		93.912		819,882.00	217,803.77
Regional Telehealth Project		93.912		1,687,680.00	37,410.54
Rural Health Clinical		93.912		582,000.00	5,128.06
TIPP01: SIU Surgery Subspecialty		93.912		33,719.78	(500.00)
TIPP01: Remote Treatment		93.912		88,044.03	189.98
				<u>4,057,766.81</u>	<u>337,586.33</u>
				41,759,312.53	10,944,054.63
Financial Assistance:					
Scholarships for Disadvantaged Students	(1)	93.925		<u>135,187.00</u>	<u>126,737.00</u>
				135,187.00	126,737.00
Flow-Thru Grants and Contracts:					
<i>Illinois Department of Human Services:</i>					
Touch of Wilderness Program		93.Unknown	311G3471	156,200.00	(5,326.27)
IWA Southern 7 Project		93.558	321X1526WAI	250,000.00	75,048.65
Project 12-Ways		93.667	21X3DF2132	638,935.00	693.79
Project 12-Ways		93.667	21X3DF3132	638,935.00	10,631.49
Employability Development		93.667	21X3DF3132	55,756.00	12,246.27
Project 12-Ways		93.667	21X4058DF1	638,935.00	587,462.02
Employability Development		93.667	21X4058DF1	55,756.00	55,756.00
				<u>2,028,317.00</u>	<u>666,789.57</u>
Kids Academy		93.959	311G3471870	25,000.00	1,385.02
<i>Administrative Office of the Illinois Courts:</i>					
State Court Improvement Program	(2)	93.586	CIP-G-0208	37,632.00	34,617.06
<i>Tennessee State University:</i>					
Tennessee Medicare Elderly Study	(2)	93.1R24HS11640-02	NA	20,578.00	10,917.77
Toward Explaining Racial Variation	(2)	93.1R24HS11640-03	NA	21,000.00	10,769.23
				<u>41,578.00</u>	<u>21,687.00</u>
<i>Cleveland Medical Devices:</i>					
Seizure Analysis and Prediction System	(2)	93.Unknown	NA	10,000.00	7,762.06
<i>Fluid Measurement Technologies, Inc.:</i>					
A New Universal Chromatography Detector	(2)	93.Unknown	NA	33,002.00	6,890.31
<i>Illinois Department of Public Health:</i>					
Alzheimer's Disease Demonstration		93.051	43280013	19,988.00	15,565.09

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Chronic Estrogen Treatment	(2)	93.051	4328007	24,000.00	24,038.25
				43,988.00	39,603.34
Live Free	(2)	93.283	33281102	500,000.00	1,218.81
Live Free	(2)	93.283	43281102	282,000.00	231,201.30
Oral Health WIC-Head Start Focus		93.283	43480587	5,500.00	922.60
Healthy Smiles/Healthy Growth		93.283	43480578	1,500.00	1,000.00
Public Health laboratory BiomonitoringIDPH	(2)	93.283	063-48258-1200	33,000.00	714.53
Illinois Breast and Cervical Cancer Program		93.283	36100017	25,000.00	1,042.75
Illinois Breast and Cervical Cancer Program		93.283	063-48258-1200	20,000.00	18,574.39
				867,000.00	254,674.38
Downstate Illinois Care Consortium		93.917	15780603	748,354.00	(3.55)
Downstate Illinois Care Consortium		93.917	25780458	785,772.00	1,872.49
CHIC		93.917	25780421	600,000.00	(10.77)
Downstate Illinois Care Consortium		93.917	35780479	737,327.99	509,974.52
CHIC		93.917	35780479	625,000.00	525,110.06
Downstate Illinois Care Consortium		93.917	45780551	809,427.00	154,604.19
HOPWA		93.917	45780541	130,922.00	22,395.02
CHIC		93.917	45780511	750,000.00	195,773.93
				5,186,802.99	1,409,715.89
HIV Prevention Behavior	(2)	93.940	063-48251-1900-1000	45,000.00	39,934.07
Dental Sealant Grant Program		93.994	33480564	1,200.00	527.36
Dental Sealant Program		93.994	43480553	2,200.00	397.45
				3,400.00	924.81
<i>Georgia Tech:</i>					
A 3-D Micorfluidic System	(2)	93.1R01EB00786-01	NA	275,410.00	83,133.78
<i>Jackson Laboratory:</i>					
Efficacy of Mouse Sperm	(2)	93.306	521231	315,726.00	4,400.00
<i>National Childhood Cancer Foundation:</i>					
Children's Oncology Group	(2)	93.395	11417	18,003.00	18,031.14
<i>Chicago Women's Interagency:</i>					
Chicago Interagency Women's HIV Study	(2)	93.856	NA	41,057.00	32,295.08
<i>Egyptian Area Agency on Aging:</i>					
Legal Services to the Elderly		93.633	93509	6,347.00	1,793.95
Legal Services to the Elderly		93.633	02508	59,320.00	
Legal Services to the Elderly		93.633	0AA-03508	53,363.00	24,249.46
Legal Services to Older Persons		93.633	04508	55,902.00	29,705.89
				174,932.00	55,749.30
<i>Illinois Department of Children and Family Services:</i>					
CMRN		93.917	0977369014	243,400.00	242,972.60

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Foster Parent Training	93.658	2198269012	305,240.00	0.04
DCFS Training Partnership	93.658	1123549013	554,347.00	4,616.75
Foster Parent Training	93.658	2198269013	330,097.00	1,183.23
Foster Parent Training	93.658	2198269014	255,240.00	227,348.86
DCFS Training Partnership	93.658	1123549013	554,347.00	468,375.67
CMRN	93.658	0977369013	215,473.00	33,506.81
			<u>2,214,744.00</u>	<u>735,031.36</u>
<i>Illinois Department of Public Aid:</i>				
Pancreas Transplantation	93.778	NA	125,925.00	29,683.14
Radicals in Alteration of Blood	(2) 93.778	NA	46,887.00	(31.07)
Age-Related Synaptic Changes	(2) 93.778	NA	49,949.00	5,781.34
Role of Vanilloid Receptors	(2) 93.778	NA	65,300.00	64,372.37
Development of Antitumor Peptide	(2) 93.778	NA	49,955.00	24,608.91
Mechanisms Regulating ExpressionTORRY-IDPA	(2) 93.778	NA	46,708.00	21,796.31
Physical Activity and Biomarkers	(2) 93.778	NA	46,640.00	23,788.65
Role of Prolactin	(2) 93.778	NA	29,791.00	28,055.81
Interaction Between NFKB	(2) 93.778	NA	41,421.00	40,224.40
Epilepsy: The Role of Amygdala	(2) 93.778	NA	24,975.00	12,214.17
Evaluation of DNase1L3	(2) 93.778	NA	58,500.00	20,764.75
Gingo Kiloba Study	(2) 93.778	NA	23,855.00	4,243.92
Weight Loss on Bronchial	(2) 93.778	NA	25,000.00	4,728.54
Home Antigoagulation Monitoring	(2) 93.778	NA	35,000.00	13,263.15
In Vivo Functions	(2) 93.778	NA	45,000.00	7,854.21
DRG-1 Gene in Breast Cancer	(2) 93.778	NA	50,000.00	37,623.86
Vanilloid Receptors	(2) 93.778	E200436	50,000.00	28,357.71
Integrative Neurology of Alcohol	(2) 93.778	E200428	40,000.00	354.96
Aging and Acoustic Coding	(2) 93.778	E200430	46,400.00	-
Aging and Oxidative Stress	(2) 93.778	E200429	40,000.00	8,942.17
D-Met Rescue	(2) 93.778	E200435	50,000.00	17,218.68
Bioassay for Auto Antibodies	(2) 93.778	NA	25,000.00	25.92
Molecular Regulation	(2) 93.778	NA	25,000.00	22,768.04
EAM-DR Ganguli Start Up	(2) 93.778	NA	50,000.00	474.40
Impact of Surgery on Knee Spacticity	(2) 93.778	NA	50,000.00	948.64
Nature of the Stria Vasularis Cell	(2) 93.778	NA	50,000.00	6,160.13
Potential Interactions of Adenosine	(2) 93.778	NA	57,181.00	11,867.36
Trial Examining the Efficacy	(2) 93.778	NA	18,425.00	8,403.64
Estrogen Effects on Olfactory Bulb	(2) 93.778	NA	50,089.00	445.10
			<u>1,317,001.00</u>	<u>444,939.21</u>
<i>Washington State University:</i>				
Spermatogonial Transplantation	(2) 93.864	G000739	285,332.00	34,438.83
<i>University of California-San Diego:</i>				
Idebenone in Patients	(2) 93.866	CV-2619-PNFP-001	144,000.00	58.00
Controlled Clinical Trail for Agitation	(2) 93.866	10073389-001	134,375.00	15.55
Vitamin E and Donepezil	(2) 93.866	01-01-11	145,500.00	45,242.92
			<u>423,875.00</u>	<u>45,316.47</u>

State of Illinois
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Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<i>University of Texas:</i>					
Molecular Basis of Snell Dwarf	(2)	93.866	02-020	274,576.03	64,913.69
Effects of Microbicides	(2)	93.866	NA	673,875.18	270,759.59
				<u>948,451.21</u>	<u>335,673.28</u>
<i>Research Foundation for Mental Hygiene, Inc.:</i>					
Vitamin E in Aging Persons	(2)	93.866	002458	151,320.00	1,075.73
				<u>15,137,171.20</u>	<u>4,586,762.67</u>
Total U.S. Department of Health and Human Services				<u>57,031,670.73</u>	<u>15,657,554.30</u>
TOTAL FEDERAL ASSISTANCE - CARBONDALE				<u>208,058,113.07</u>	<u>53,170,441.76</u>

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U.S. DEPARTMENT OF AGRICULTURE				
Direct Grants and Contracts:				
Ethanol Pilot Plant	10.001	59-3601-7-078/	15,315,000.00	1,833,078.90
ARS/Ethanol Research Agreement	(2) 10.001	580790-3-149/59-0790-	663,199.00	27,985.39
			15,978,199.00	1,861,064.29
Flow-Thru Grants and Contracts:				
<i>Illinois State Board of Education:</i>				
Summer Food Program	10.558	41-057-029P-00	0.00	15,399.49
School Lunch	10.558	41-057-029P-00	0.00	24,796.53
Child Care Food Program	10.558	41-057-029P-00	0.00	378,038.71
			0.00	418,234.73
<i>Southern Illinois University at Carbondale:</i>				
Effects of Flooding on Salamanders	(2) 10.200	98-38815-6278	32,146.00	386.21
Land Use /Hydrology/ Water Quality	(2) 10.200	00-38815-9041	92,895.00	1,650.00
Ground Water Quality in the American Bottoms	(2) 10.200	00-38815-9041	84,909.00	9,206.36
			209,950.00	11,242.57
			209,950.00	429,477.30
Total U.S. Department of Agriculture			16,188,149.00	2,290,541.59
U.S. DEPARTMENT OF DEFENSE				
Direct Grants and Contracts:				
Faunal Survey of Lake Wappapello-USACE	(2) 12.100	DACW43-01-P-1011	144,000.00	58,596.23
Flora Survey of Lake Wappapello-USACE	(2) 12.100	DACW43-02-T-7027	36,000.00	9,492.25
Design by Analysis Methods-USACE	(2) 12.100	DACW42-02-P-0176	41,241.00	750.10
Year 2000-2001 Assessment Boltonia Decurrens	(2) 12.100	DACW25-00-Q-0102	14,950.00	250.00
			236,191.00	69,088.58
Flow-Thru Grants and Contracts:				
<i>University of Louisville Research Foundation:</i>				
Modeling of Spatial and Temporal Dynamics	(2) 12.300	01-077/ONR	58,626.00	19,108.86
			58,626.00	19,108.86
Total U.S. Department of Defense			294,817.00	88,197.44

The accompanying notes are an integral part of this schedule.

State of Illinois
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U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT				
Direct Grants and Contracts:				
CDWS-HUD Work Study 01/03	14.218	CDWS-IL-01-038	90,000.00	29,378.09
HUD-CDWSP 2002-2004	14.218	CDWS-IL-02-016	89,028.00	32,171.99
			179,028.00	61,550.08
Flow-Thru Grants and Contracts:				
<i>East St. Louis Housing Authority:</i>				
Campus of Learners 97/05	14.412	COL971001	1,586,294.00	220,503.13
			1,586,294.00	220,503.13
<i>Community Development Block Grant:</i>				
Youth Summer Arts 2003	14.218	N/A	20,400.00	20,400.00
			20,400.00	20,400.00
			1,606,694.00	240,903.13
Total U.S. Department of Housing and Urban Development			1,785,722.00	302,453.21
U.S. DEPARTMENT OF THE INTERIOR				
Direct Grants and Contracts:				
Degradation Rate of Chlorpyrifos	(2)	DOI	4,500.00	4,201.44
			4,500.00	4,201.44
Flow-Thru Grants and Contracts:				
<i>Illinois Department of Natural Resources:</i>				
Subsidence Monitoring Response Team 02/03	(2)	AML-GSwA-0200D	89,888.00	3,131.37
Subsidence Monitoring Response Team 03/04	(2)	AML-GSwA-0300D	89,820.00	76,568.82
Mine Subsidence Project Aide			0.00	5,120.64
Subsidence Service Agreement 03/04	(2)	N/A	2,000.00	384.16
Subsidence Monitoring Response Team 04/05	(2)	AMLGSwA-0100D	89,711.00	59.68
Mine Map Preservation 01/04	(2)	AML-GSwA-0100E	1,197,169.64	186,153.85
			1,468,588.64	271,418.52
Total U.S. Department of the Interior			1,473,088.64	275,619.96

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Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures	
U.S. DEPARTMENT OF STATE					
Direct Grants and Contracts:					
Enhancing Curricula and Research	(2)	19.406	ASJY-1333	180,000.00	70,506.91
Total U.S. Department of State			180,000.00	70,506.91	
LIBRARY OF CONGRESS					
Flow-Thru Grants and Contracts:					
Education and Research Consortium of the Western Carolinas Adventures in the American Mind	LOC	00G-LIB-ND001	102,145.00	273,113.36	
Total Library of Congress			102,145.00	273,113.36	
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION					
Flow-Thru Grants and Contracts:					
<i>Washington University:</i> Continued Development of Gama Ray Astronomy	(2)	NAG5-5357	WU-HT-02-28	12,000.00	173.71
Total National Aeronautics and Space Administration			12,000.00	173.71	
NATIONAL FOUNDATION FOR THE ARTS AND THE HUMANITIES					
Flow-Thru Grants and Contracts:					
<i>Illinois Arts Council:</i>					
Summer Showbiz 03	45.024	FY03-05619	5,500.00	2,803.36	
FY'03 Public Radio Grant	45.024	N/A	45,209.00	28,476.46	
Youth Arts Program 03	45.024	FY03-0819	25,000.00	10,806.32	
Arts and Issues 02/03	45.024	N/A	42,091.28	40,727.13	
Dennis Stroughmatt: French Music and Dance	45.024	FY03-2208	5,160.00	4,978.84	
Summer Showbiz 2002	45.024	FY04-0629	9,440.00	9,440.00	
Youth Arts Program 02	45.024	FY04-0831	25,000.00	12,500.42	
			157,400.28	109,732.53	
Direct Grants and Contracts:					
Beauvior Series	(2)	45.161	RZ-20791-01	150,000.00	37,796.60
			150,000.00	37,796.60	
Total National Foundation for the Arts and the Humanities			307,400.28	147,529.13	

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Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
NATIONAL SCIENCE FOUNDATION					
Direct Grants and Contracts:					
Gender Equity Grant		47.049	HRD-9908734	11,193.00	11,193.00
Analysis of Optimal Controls for Biomedical Models	(2)	47.049	DMS-0205093	108,958.00	51,545.96
Spectroscopy of Metal Containing Diatomic Radicals	(2)	47.049	CHE-0213363	175,290.00	24,126.26
RUI: Synthesis and Spectroelectro Chemistry	(2)	47.049	CHE-0213297	210,000.00	44,795.38
Fluorinated Building Blocks	(2)	47.049	CHE-0345776	176,000.00	18,740.42
NUE: Nanoscale Science and Engineering	(2)	47.049	DMR-0407096	100,000.00	30,820.29
				<u>781,441.00</u>	<u>181,221.31</u>
Self Excited Vibration Mechanism	(2)	47.041	CMS-0121755	30,172.00	10,320.58
Designing Vaccine Formularies	(2)	47.041	DMI-0222554	39,522.00	13,487.92
				<u>69,694.00</u>	<u>23,808.50</u>
An Undergraduate Robotics Course	(2)	47.076	DUE-0311434	84,000.00	32,794.95
Delay Effects	(2)	47.075	INT-9818312	20,000.00	2,650.77
US India Cooperative Research	(2)	47.075	INT-0096616	14,100.00	1,754.74
				<u>34,100.00</u>	<u>4,405.51</u>
				969,235.00	242,230.27
Flow-Thru Grants and Contracts:					
<i>Chicago State University:</i>					
Increasing the Minority Scientist Pool 00/04		47.076	HRD-0000341	167,049.00	38,262.12
				<u>167,049.00</u>	<u>38,262.12</u>
<i>University of Illinois:</i>					
Virtual Building Retrofit Demonstration	(2)	47.041	CMS-9701785	99,987.00	33,567.83
Undergraduate Research Assistant Supplement		47.041	EEC-9701785	15,000.00	5,099.40
				<u>114,987.00</u>	<u>38,667.23</u>
<i>University of Miami:</i>					
Functional Genomics	(2)	47.074	OCE-0308777	226,434.00	57,659.39
				<u>226,434.00</u>	<u>57,659.39</u>
				<u>508,470.00</u>	<u>134,588.74</u>
Total National Science Foundation				1,477,705.00	376,819.01

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U.S. SMALL BUSINESS ADMINISTRATION				
Flow-Thru Grants and Contracts:				
<i>Illinois Dept. of Commerce and Community Affairs:</i>				
Small Business Development Center Network FY03	59.037	03-80126	185,000.00	7,106.57
SWI Small Business Development Center FY04	59.037	04-80126	185,000.00	178,242.13
			<u>370,000.00</u>	<u>185,348.70</u>
International Trade Center	59.009	01-EASF-16	27,150.00	10.73
Total U.S. Small Business Administration			397,150.00	185,359.43
U.S. ENVIRONMENTAL PROTECTION AGENCY				
Direct Grants and Contracts:				
Frontiers in Risk Application	(2) 66.XXX	R-82905801-0	119,772.00	28,493.68
			119,772.00	28,493.68
Flow-Thru Grants and Contracts:				
<i>University of Illinois:</i>				
MTAC Projects	EPA	X-82921801EPA/03-325	55,500.00	36,060.50
			<u>55,500.00</u>	<u>36,060.50</u>
Total U.S. Environmental Protection Agency			175,272.00	64,554.18

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U.S. DEPARTMENT OF ENERGY					
Direct Grants and Contracts:					
Enhancement of Selenium	(2)	DOENERGY	DE-FG02-03ER63621	81,951.00	14,154.42
Total U.S. Department of Energy				81,951.00	14,154.42
U.S. DEPARTMENT OF EDUCATION					
Direct Grants and Contracts:					
Talent Search	(4)	84.044A	PO44A980492	1,050,258.00	76,904.26
Educational Talent Search 03/04	(4)	84.044A	PO44A030172	250,261.00	186,460.99
				1,300,519.00	263,365.25
Gear Up		84.33A	P334A990046-01/04	1,440,000.00	233,351.06
Chinese Track for International Business		84.016A	PO16A000037	144,000.00	99.61
Urban Teacher Quality Initiative		84.215K	R215K010163	275,666.00	15,387.34
Student Support Services 01/05	(4)	84.042A	PO42A011010	1,121,240.00	295,356.86
Plugging into L.I.T.E.S.		84.342A	P342A010131	1,182,403.00	218,079.48
Child Care Access		84.335A	P335A010074-02	153,723.00	47,023.92
Upward Bound -EC 03/04	(4)	84.047A	PO47A030483	1,098,152.00	501,758.34
Upward Bound	(4)	84.047A	PO7A990314	1,094,773.00	50,047.37
				2,192,925.00	551,805.71
Math and Science Center	(4)	84.047M	PO47M990167-00A	970,939.00	130,234.23
Upward Bound Math and Science 03/04	(4)	84.047M	PO7M030192	255,743.00	143,884.44
Upward Bound BEV 03/04	(4)	84.047M	PO7A030225	593,304.00	209,738.28
				1,819,986.00	483,856.95
Student Support Services Grant Aide	(4)	84.042A	PO42A011010	39,851.00	39,851.00
				9,670,313.00	2,148,177.18
Financial Assistance:					
Supplemental Education Opportunity Grant FY04	(1)	84.007	P007A031286	427,426.00	667,934.00
Supplemental Education Opportunity Grant FY01	(1)	84.007	P007A001286	427,426.00	1,200.00
Supplemental Education Opportunity Grant FY03	(1)	84.007	P007A021286	427,426.00	4,184.12
				1,282,278.00	673,318.12
Pell Grant Program - FY 03	(1)	84.063	P063P020116	7,030,935.17	2,754.50
Pell Grant Program - FY 04	(1)	84.063	P063P030116	7,610,191.81	7,790,764.48
				14,641,126.98	7,793,518.98

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Federal Work Study '02	(1)	84.003	P033A011286	854,742.00	1,021.45
Federal Work Study '03	(1)	84.003	P033A021286	1,130,758.00	22,935.10
Federal Work Study '04	(1)	84.003	P033A031286	825,098.00	491,304.38
				<u>2,810,598.00</u>	<u>515,260.93</u>
				18,734,002.98	8,982,098.03
Flow-Thru Grants and Contracts:					
<i>Illinois Community College Board:</i>					
Preparing Tomorrow's Teachers 02/03		84.342	PT3536A03	18,625.00	12,164.79
SIPDC Professional Development 04/05		84.002A	AEL4005	297,730.00	290,807.16
				<u>316,355.00</u>	<u>302,971.95</u>
<i>Illinois Board of Higher Education:</i>					
Evaluation of a Common Vision-TQE	(2)	84.336A	TQE	142,800.00	84,119.48
Hand-on Chemistry		84.336A	TQE	65,000.00	45,931.81
Improving Middle School Teacher Quality		84.336A	TQE	65,000.00	43,054.78
Statewide Teacher Quality Grant		84.336A	TQE	805,119.00	180,989.01
				<u>1,077,919.00</u>	<u>354,095.08</u>
		84.168	N/A	70,000.00	3,570.17
				<u>1,147,919.00</u>	<u>357,665.25</u>
<i>Higher Education Center of St. Louis:</i>					
Educational Opportunity Center 04/05	(4)	84.066	N/A	150,938.00	119,763.96
Educational Opportunity Center 02/03	(4)	84.066	N/A	301,961.00	53,493.73
				<u>452,899.00</u>	<u>173,257.69</u>
<i>Eastern Illinois University:</i>					
TIE-Investigation and the Nature of Science-EIU		84.281B	N/A	43,050.00	47.71
				<u>43,050.00</u>	<u>47.71</u>
				<u>1,960,223.00</u>	<u>833,942.60</u>
Total U.S. Department of Education				30,364,538.98	11,964,217.81
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES					
Direct Grants and Contracts:					
St. Clair Head Start 02/03 - Base	(3)	93.600	05CH5228/20	8,404,214.00	26,053.36
Head Start T/TA 02/03	(3)	93.600	05CH5228/20	132,369.00	1,748.26
Early Head Start 02/03 -Base	(3)	93.600	05YC0027/05	1,240,536.00	9,505.14
Early Head Start T/TA 02/03	(3)	93.600	05YC0027/05	12,011.00	105.12
St. Clair Head Start 03/04 - Base	(3)	93.600	05CH5228/21	8,572,259.00	6,945,696.36

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Head Start T/TA 03/04	(3)	93.600	05CH5228/21	107,421.00	76,452.65
Early Head Start 03/04 -Base	(3)	93.600	05CH5228/21	1,161,968.00	1,011,432.83
Early Head Start T/TA 03/04	(3)	93.600	05CH5228/21	31,013.00	25,700.49
St. Clair Head Start 04/045 - Base	(3)	93.600	05CH5228/22	6,428,306.00	1,736,134.78
Head Start T/TA 04/05	(3)	93.600	05CH5228/22	107,421.00	40,318.70
Early Head Start 04/05 -Base	(3)	93.600	05CH5228/22	1,265,346.00	243,648.36
Early Head Start T/TA 04/05	(3)	93.600	05CH5228/22	31,013.00	908.84
				<u>27,493,877.00</u>	<u>10,117,704.89</u>
Nurse Anesthetist Traineeship 03/04		93.124	A22HP00043-04	11,963.00	11,962.80
Advanced Education Nursing Traineeship 03/04		93.358	2A10HP00099-05	41,650.00	41,650.00
Increasing Validation of Client Satisfaction	(2)	93.242	1R03MH63109-01/02	132,476.00	9,189.30
Evolution of LLSW Retrotransposons	(2)	93.390	1R15GM65846-01	125,742.00	20,628.67
Macular Cell Death	(2)	93.390	IR15-RY12522-01	92,185.00	15,987.48
				<u>217,927.00</u>	<u>36,616.15</u>
				27,897,893.00	10,217,123.14
Financial Assistance:					
Scholarship for Disadvantaged Students (SDS) Nursing '2004	(1)	93.925	3-7002839D03	<u>77,839.00</u>	<u>77,839.00</u>
				77,839.00	77,839.00
Flow-Thru Grants & Contracts:					
<i>Illinois Dept. of Human Services:</i>					
Child Development 02/03		93.667	21X3CC3187	67,735.00	2,976.20
Discovery Center for Little Scholars 03/04		93.667	21X4504CCD	0.00	5,085.00
Latchkey 03/04		93.667	21X4504CCD	17,873.47	39,983.80
				<u>85,608.47</u>	<u>48,045.00</u>
<i>Illinois Department of Children and Family Services:</i>					
Project Success 02/03		93.667	752267033	271,796.00	637.26
Project Success 03/04		93.667	752267034/41126-00-5	271,796.00	251,131.13
				<u>543,592.00</u>	<u>251,768.39</u>
<i>Southern Illinois University - Carbondale:</i>					
Better Health through Oral Health		93.912	IDIARH00041-01	145,500.00	1,758.42
Promoting and Maintaining Health in Home		93.912	IDIARH00041-01	145,500.00	11,214.02
				<u>291,000.00</u>	<u>12,972.44</u>
<i>St. Louis University:</i>					
Geriatric Education Centers 02/03		93.969	5D31HP70122-03	10,000.00	634.21
Geriatric Education Centers 03/04		93.969	3D31HP70122-04	10,800.00	7,684.96
				<u>20,800.00</u>	<u>8,319.17</u>

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<i>Illinois Department of Public Health:</i> Dental Sealant Grant	93.994	33480563	6,400.00 <u>6,400.00</u>	507.90 <u>507.90</u>
<i>Dine College:</i> Support in Navajo Diabetics Self Care	(2) 93.375	S06GM08163-23	41,801.00 <u>41,801.00</u>	6,228.52 <u>6,228.52</u>
			<u>989,201.47</u>	<u>327,841.42</u>
Total U.S. Department of Health and Human Services			28,964,933.47	10,622,803.56
CORPORATION FOR NATIONAL AND COMMUNITY SERVICE				
Flow-Thru Grants and Contracts:				
<i>Illinois Department of Human Services:</i> Americorps 02/03	94.006/94.002	311G3679000	655,157.00	75,912.35
Americorps 03/04	94.006/94.002	11G4825000	460,800.00 <u>1,115,957.00</u>	323,996.19 <u>399,908.54</u>
<i>Illinois Campus Compact:</i> Illinois Metro East Volunteer Development	94.005	OOLHEIN015	3,000.00 <u>3,000.00</u>	6.00 <u>6.00</u>
Total Corporation for National and Community Service			1,118,957.00	399,914.54
TOTAL FEDERAL			\$ 82,923,829.37	\$ 27,075,958.26

State of Illinois
Southern Illinois University

NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

Year ended June 30, 2004

NOTE A – BASIS OF PRESENTATION

The accompanying schedule of expenditures of federal awards includes the federal grant activity of Southern Illinois University (the “University”) and is presented on the accrual basis of accounting. The information in this schedule is presented in accordance with the requirements of OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Therefore, some amounts presented in this schedule may differ from amounts presented in, or used in the preparation of, the basic financial statements.

NOTE B – STUDENT LOAN PROGRAMS ADMINISTERED BY THE UNIVERSITY

The University administered the following federal loan programs during the year ended June 30, 2004:

	Carbondale	Edwardsville	Total
Perkins Loan Program CFDA #84.038			
Outstanding balance, July 1, 2003	\$ 14,381,898	\$ 2,095,135	\$ 16,477,033
Additions:			
Interest income	277,906	46,715	324,621
Decrease in provision for notes receivable	-	-	-
Contributions:			
U.S. Government	319,059	52,857	371,916
University - General funds	<u>106,353</u>	<u>17,619</u>	<u>123,972</u>
Total contributions	<u>425,412</u>	<u>70,476</u>	<u>495,888</u>
Total additions	<u>703,318</u>	<u>117,191</u>	<u>820,509</u>
Deductions:			
Loans canceled or written-off, net	20,323	10,381	30,704
Administrative charges	145,071	81,849	226,920
Decrease in provision for notes receivable	<u>(206,522)</u>	<u>-</u>	<u>(206,522)</u>
Total deductions	<u>(41,128)</u>	<u>92,230</u>	<u>51,102</u>
Outstanding balance, June 30, 2004	<u>\$ 15,126,344</u>	<u>\$ 2,120,096</u>	<u>\$ 17,246,440</u>

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NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CONTINUED

Year ended June 30, 2004

NOTE B – STUDENT LOAN PROGRAMS ADMINISTERED BY THE UNIVERSITY -
Continued

	Carbondale	Edwardsville	Total
Nursing Student Loan Program CFDA #93.364			
Outstanding balance, July 1, 2003	\$ -	\$ 131	\$ 131
Additions:			
Interest income	<u>-</u>	<u>259</u>	<u>259</u>
Total additions	<u>-</u>	<u>259</u>	<u>259</u>
Deductions:			
Loans canceled or written off, net	-	-	-
Refund to grantor	<u>-</u>	<u>-</u>	<u>-</u>
Total deductions	<u>-</u>	<u>-</u>	<u>-</u>
Outstanding balance, June 30, 2004	<u><u>\$ -</u></u>	<u><u>\$ 390</u></u>	<u><u>\$ 390</u></u>
 Health Professionals Loan Program CFDA #93.342			
Outstanding balance, July 1, 2003	\$ 1,652,304	\$ 237,982	\$ 1,890,286
Additions:			
Decrease in provision for notes receivable	-	-	-
Interest income	<u>31,646</u>	<u>2,807</u>	<u>34,453</u>
Total additions	<u>31,646</u>	<u>2,807</u>	<u>34,453</u>
Deductions:			
Refunds to grantors	-	(7,949)	(7,949)
Increase in provision for notes receivable	4,460	-	4,460
Contractual services – Primary Care Loan	<u>40,761</u>	<u>-</u>	<u>40,761</u>
Total deductions	<u>45,221</u>	<u>(7,949)</u>	<u>37,272</u>
Outstanding balance, June 30, 2004	<u><u>\$ 1,638,729</u></u>	<u><u>\$ 248,738</u></u>	<u><u>\$ 1,887,467</u></u>

State of Illinois
Southern Illinois University

NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CONTINUED

Year ended June 30, 2004

NOTE B – STUDENT LOAN PROGRAMS ADMINISTERED BY THE UNIVERSITY -
Continued

	Carbondale	Edwardsville	Total
Disadvantaged Student Loan Program			
CFDA #93.342			
Outstanding balance, July 1, 2003	\$ 170,755	\$ 712	\$ 171,467
Additions:			
Interest income	4,676	1	4,677
Nonmandatory Transfer-In	-	-	-
Total additions	<u>4,676</u>	<u>1</u>	<u>4,677</u>
Deductions:			
Refund to grantor	-	-	-
Increase in provision for notes receivable	(2,821)	-	(2,821)
Nonmandatory Transfer-Out	-	-	-
Total deductions	<u>(2,821)</u>	<u>-</u>	<u>(2,821)</u>
Outstanding balance, June 30, 2004	<u>\$ 178,252</u>	<u>\$ 713</u>	<u>\$ 178,965</u>

The above deductions include disbursements and expenditures such as loans to students and administrative expenditures.

The Schedule of Expenditures of Federal Awards only includes administrative costs of the loan programs.

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NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CONTINUED

Year ended June 30, 2004

NOTE C – FEDERAL DIRECT STUDENT LOAN PROGRAM CFDA #84.268:

During the fiscal year ended June 30, 2004, the University processed the following amounts of new loans under the Federal Direct Student Loan Program (which includes Stafford Loans, Parents' Loans for Undergraduate Students, and Supplemental Loans for Students):

	Carbondale	Edwardsville	Total
Year ended June 30, 2004	<u>\$ 70,504,454</u>	<u>\$ 41,181,672</u>	<u>\$ 111,686,126</u>

NOTE D – MAJOR PROGRAMS

The following federal program expenditures comprise major program expenditures under OMB Circular A-133 for the year ended June 30, 2004. (Major programs are indicated in the Schedule of Expenditures of Federal Awards by (1), (2) or (3)).

	Carbondale	Edwardsville	Total
(1) Student Financial Aid Cluster	\$ 19,972,996	\$ 9,059,937	\$ 29,032,933
(2) Research and Development Cluster	14,669,055	1,007,468	15,676,523
(3) Head Start Program	<u>3,106,282</u>	<u>10,117,705</u>	<u>13,223,987</u>
Total major program expenditures	37,748,333	20,185,110	57,933,443
(4) TRIO Cluster	752,868	1,807,493	2,560,361
Other program expenditures	<u>14,669,240</u>	<u>5,083,356</u>	<u>19,752,596</u>
Total federal expenditures	<u>\$ 53,170,441</u>	<u>\$ 27,075,959</u>	<u>\$ 80,246,400</u>

As part of the Student Financial Aid Program, Southern Illinois University administers loan proceeds under the Perkins Loan Program (as described in Note B) and the Federal Direct Student Loan Program (as described in Note C); however, the Schedule of Expenditures of Federal Awards only includes administrative costs of the loan programs.

State of Illinois
Southern Illinois University

NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CONTINUED

Year ended June 30, 2004

NOTE E – SUBRECIPIENTS

Of the federal expenditures presented in the Schedule of Expenditures of Federal Awards, the University provided federal awards to subrecipients as follows:

<u>Program Title</u>	<u>Federal CFDA Number</u>	<u>Amount Provided to Subrecipients</u>
Carbondale:		
Higher Education Challenge Grants	10.217	\$ 20,095
Grants for Agricultural Research, Special Research Grants	10.200	268,498
Grants for Agricultural Research	10.206	4,536
Wildlife Restoration	15.611	27,467
Educational Exchange		
Fulbright American Studies Institutes	19.418	14,510
Social, Behavioral, and Economic Sciences	47.075	64,783
Dept. of Defense	12.000	133,874
USDE - Teacher Training in Technology	84.342	<u>1,000</u>
Subrecipients - Carbondale		<u>\$ 534,763</u>

<u>Program Title</u>	<u>Federal CFDA Number</u>	<u>Amount Provided to Subrecipients</u>
Edwardsville:		
Agricultural Research - Basic and Applied	10.001	\$ 268,501
Promotion of Humanities - Research	45.161	9,186
Mathematical and Physical Sciences	47.049	21,134
Social, Behavioral & Economic Sciences	47.075	2,289
Teacher Quality Enhancement Program	84.366A	45,400
Head Start	93.600	640,836
Americorps & Retired/Senior Volunteer Program	94.006/94.002	<u>125,883</u>
Subrecipients - Edwardsville		<u>\$ 1,113,229</u>

State of Illinois
Southern Illinois University

NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CONTINUED

Year ended June 30, 2004

NOTE F - NON-CASH ASSISTANCE AND FEDERAL INSURANCE

During the year ended June 30, 2004, the University received no non-cash assistance. Also, there was no federally funded insurance in effect during the year ended June 30, 2004.

NOTE G - RATIOS OF FEDERAL EXPENDITURES TO TOTAL UNIVERSITY EXPENDITURES

	<u>Carbondale</u>		<u>Edwardsville</u>		<u>Total</u>	
	Amount	Percent	Amount	Percent	Amount	Percent
Total federal awards	\$ 53,489,500	8.87 %	\$ 27,128,816	11.25 %	\$ 80,618,316	9.55 %
Loans	(319,059)	(.05)	(52,857)	(.02)	(371,916)	(.04)
Federal expenditures	53,170,441	8.82	27,075,959	11.23	80,246,400	9.51
Nonfederal funds*	549,729,688	91.18	214,013,530	88.77	763,743,218	90.49
	<u>\$ 602,900,129</u>	<u>100.00 %</u>	<u>\$ 241,089,489</u>	<u>100.00 %</u>	<u>\$ 843,989,618</u>	<u>100.00 %</u>

* Nonfederal funds are calculated by taking "Total Operating Expenses" for the University on the Statement of Revenues, Expenses and Changes in Net Assets and deducting the amounts relating to Federal expenditures.

Breakout of Major and Nonmajor Programs

	<u>Carbondale</u>		<u>Edwardsville</u>		<u>Total</u>	
	Amount	Percent	Amount	Percent	Amount	Percent
Major programs	\$ 37,748,333	70.99 %	\$ 20,185,110	74.55 %	\$ 57,933,443	72.19 %
Nonmajor programs	15,422,108	29.01	6,890,849	25.45	22,312,957	27.81
Total federal expenditures	<u>\$ 53,170,441</u>	<u>100.00 %</u>	<u>\$ 27,075,959</u>	<u>100.00 %</u>	<u>\$ 80,246,400</u>	<u>100.00 %</u>