

**STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION**

COMPLIANCE EXAMINATION

For the Year Ended June 30, 2009

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
COMPLIANCE EXAMINATION
For the Year Ended June 30, 2009

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<p>The Department's financial statement report for the year ended June 30, 2009, which includes the report of the independent auditors, basic financial statements, supplementary information, and the independent auditor's report on internal control over financial reporting and on compliance and other matters based on an audit of basic financial statements performed in accordance with <i>Government Auditing Standards</i> has been issued in a separately bound document.</p>	
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STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
COMPLIANCE EXAMINATION
For the Year Ended June 30, 2009

AGENCY OFFICIALS

Secretary of Transportation	Mr. Gary Hannig
Chief of Staff	Mr. Bill Grunloh
Assistant Secretary	Mr. David D. Phelps
Chief of Counsel	Ms. Ellen Schanzle-Haskins
Director, Office of Finance and Administration	Ms Ann L. Schneider
Acting Director, Office of Business and Workforce Diversity	Mr. Lawrence Parrish
Director, Office of Communications	Mr. John A. Webber
Acting Director, Office of Planning and Programming	Mr. Charles J. Ingersoll
Director, Office of Quality Compliance and Review	Mr. Daniel Kennelly
Director, Division of Aeronautics	Ms. Susan R. Shea
Director, Division of Highways	Ms. Christine M. Reed
Director, Division of Public and Intermodal Transportation	Mr. Joseph P. Clary
Director, Division of Traffic Safety	Mr. Michael R. Stout

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Illinois Department of Transportation

Office of the Secretary
2300 South Dirksen Parkway / Springfield, Illinois / 62764
Telephone 217/782-5597

April 14, 2010

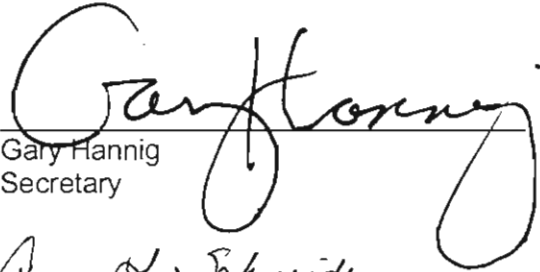
MEMORANDUM TO WILLIAM G. HOLLAND, AUDITOR GENERAL
STATE OF ILLINOIS

SUBJECT: Illinois Department of Transportation's
Management Assertion Letter

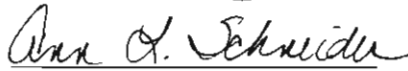
We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the Illinois Department of Transportation. We are responsible for and we have established and maintained an effective system of, internal controls over compliance requirements. We have performed an evaluation of the Illinois Department of Transportation's compliance with the following assertions during the period ended June 30, 2009. Based on this evaluation, we assert that during the year ended June 30, 2009, the Illinois Department of Transportation has materially complied with the assertions below.

- A. The Illinois Department of Transportation has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Illinois Department of Transportation has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Illinois Department of Transportation has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the Illinois Department of Transportation are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

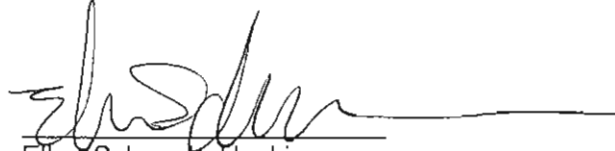
- E. Money or negotiable securities or similar assets handled by the Illinois Department of Transportation on behalf of the State or held in trust by the Illinois Department of Transportation have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.



Gary Hannig
Secretary



Ann L. Schneider
Director of Finance and Administration



Ellen Schanzle-Haskins
Chief Counsel

COMPLIANCE REPORT

SUMMARY

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

ACCOUNTANTS' REPORTS

The Independent Accountants' Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

SUMMARY OF FINDINGS

<u>Number of</u>	<u>Current</u>	<u>Prior</u>
Findings	20	30
Repeated findings	14	21
Prior recommendations implemented or not repeated	16	5

Details of findings are presented in the separately tabbed report section of this report.

SCHEDULE OF FINDINGS

<u>Item No.</u>	<u>Page</u>	<u>Description</u>	<u>Finding Type</u>
CURRENT FINDINGS (<i>STATE COMPLIANCE</i>)			
09-1	12	Weaknesses in preparation of GAAP reporting forms submitted to the Illinois Office of the Comptroller and preparation of year-end Department financial statements.	Significant Deficiency and Noncompliance
09-2	15	Inaccurate commodities inventory records	Significant Deficiency and Noncompliance
09-3	18	Weaknesses in the reporting of capital assets	Material Weakness and Material Noncompliance
09-4	20	Inadequate controls over employee attendance	Significant Deficiency and Noncompliance
09-5	22	Inadequate controls over employee overtime	Material Weakness and Material Noncompliance

09-6	25	Inadequate internal controls over personnel functions and policies	Material Weakness and Material Noncompliance
09-7	27	Failure to comply with interagency agreement and Order	Material Weakness and Material Noncompliance
09-8	30	Inadequate controls over the administration of State vehicles	Significant Deficiency and Noncompliance
09-9	33	Inadequate process to monitor interagency agreements	Significant Deficiency and Noncompliance
09-10	35	Evaluation of consultants and payments not in compliance with contract	Significant Deficiency and Noncompliance
09-11	37	Inadequate controls over voucher processing	Significant Deficiency and Noncompliance
09-12	39	Subcontractor invoices not in compliance with contract	Significant Deficiency and Noncompliance
09-13	41	Inadequate controls to prevent inappropriate payments to vendors	Significant Deficiency and Noncompliance
09-14	43	Inadequate controls over property	Significant Deficiency and Noncompliance
09-15	45	Inadequate support and untimely deposit of receipt transactions	Significant Deficiency and Noncompliance
09-16	46	Inadequate controls over accounts receivable	Significant Deficiency and Noncompliance
09-17	48	Lack of supporting documentation for payments from the Comprehensive Regional Planning Fund	Significant Deficiency and Noncompliance
09-18	50	Lack of adequate disaster contingency planning or testing to ensure recovery of applications and data	Significant Deficiency and Noncompliance
09-19	53	Noncompliance with provisions of an Illinois Commerce Commission Order	Significant Deficiency and Noncompliance
09-20	54	Failure to submit required report to the National Highway Safety Advisory Committee	Significant Deficiency and Noncompliance

PRIOR FINDINGS NOT REPEATED

- A. 55 Revenue Recognition/Deferred Revenue
- B. 55 Restatement of Shared Revenues
- C. 55 Insufficient documentation to substantiate the selection of architect and engineer consultants
- D. 56 Failure to maintain adequate documentation to substantiate the awarding of contracts for land acquisition services
- E. 56 Failure to timely perform bridge inspections
- F. 56 Failure to properly monitor payments to land acquisition appraisers and architect-engineer consultants
- G. 57 Inadequate control over procurement activities and failure to receive required reports
- H. 57 Inadequate support for payments made for consolidated services
- I. 57 Inadequate documentation of fees reported on the Agency Fee Imposition Report
- J. 58 Inadequate computer security controls
- K. 58 Failure to properly complete employee performance evaluations
- L. 58 Grant monitoring reports not received timely
- M. 58 Failure to appoint an employee or determine the establishment of the Disadvantaged Business Enterprise Program Committee
- N. 59 Failure to establish an operable permanent noise monitoring system
- O. 59 Failure to obtain required reports from capital assistance grantees
- P. 59 Failure to have a member serve on the Mississippi River Coordinating Council

EXIT CONFERENCE

The findings and recommendations appearing in this report were discussed with Department personnel at an exit conference on March 25, 2010. Attending were:

Illinois Department of Transportation

Ms. Lori Beeler, Audit Coordination and Risk Manager
Ms. Jan Pisani, Acting Preliminary Engineering Section Chief
Mr. John Baranzelli, ISO Quality Assurance Officer
Mr. Ron McKechnan, Audit Section Manager
Mr. Charles Ingersoll, Acting Director of the Office of Planning and Programming
Ms. Sandra Klein, Deputy Director of Traffic Safety
Mr. Bill Frey, Deputy Director of Highways
Mr. Steve Warren, Program Management Section Chief
Ms. Christine Reed, Director of Highways
Mr. Jeff Harpring, Rail Safety and Project Engineer
Mr. Greg Lupton, Project Support Engineer
Ms. Becky Koehler, Employee Support Manager
Mr. Matt Hughes, Bureau Chief of Personnel Management
Ms. Ellen Schanzle-Haskins, Chief Counsel
Ms. Ann L. Schneider, Director of Finance & Administration
Mr. Mark Kinkade, Bureau Chief of Information Processing

Office of the Auditor General

Ms. Peggy Hartson, Audit Manager

Responses to the recommendations were provided by Lori Beeler in an email dated April 1, 2010.

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OFFICE OF THE AUDITOR GENERAL
WILLIAM G. HOLLAND

INDEPENDENT ACCOUNTANTS' REPORT ON STATE COMPLIANCE,
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

Honorable William G. Holland
Auditor General
State of Illinois

Compliance

We have examined the State of Illinois, Department of Transportation's compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the year ended June 30, 2009. The management of the State of Illinois, Department of Transportation is responsible for compliance with these requirements. Our responsibility is to express an opinion on the State of Illinois, Department of Transportation's compliance based on our examination.

- A. The State of Illinois, Department of Transportation has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, Department of Transportation has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The State of Illinois, Department of Transportation has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the State of Illinois, Department of Transportation are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the State of Illinois, Department of Transportation on behalf of the State or held in trust by the State of Illinois, Department of Transportation have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

We conducted our examination in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the State of Illinois, Department of Transportation's compliance with those requirements listed in the first paragraph of this report and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the State of Illinois, Department of Transportation's compliance with specified requirements.

As described in findings 09-3 and 09-5 through 09-7 in the accompanying schedule of findings, the State of Illinois, Department of Transportation did not comply with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations. Compliance with such requirements is necessary, in our opinion, for the State of Illinois, Department of Transportation to comply with the requirements listed in the first paragraph of this report.

In our opinion, except for the noncompliance described in the preceding paragraph, the State of Illinois, Department of Transportation complied, in all material respects, with the requirements listed in the first paragraph of this report during the year ended June 30, 2009. However, the results of our procedures disclosed other instances of noncompliance, which are required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of findings as findings 09-1, 09-2, 09-4, and 09-8 through 09-20.

Internal Control

The management of the State of Illinois, Department of Transportation is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the State of Illinois, Department of Transportation's internal control over compliance with the requirements listed in the first paragraph of this report as a basis for designing our examination procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of the State of Illinois, Department of Transportation's internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State of Illinois, Department of Transportation's internal control over compliance.

Our consideration of internal control over compliance was for the limited purpose described in the preceding paragraph and was not designed to identify all deficiencies in internal control over compliance that might be significant deficiencies or material weaknesses and therefore, there can be no assurance that all deficiencies, significant deficiencies, or material weaknesses have been identified. However, as described in the accompanying schedules of findings we identified certain deficiencies in internal control over compliance that we consider to be material weaknesses and other deficiencies that we consider to be significant deficiencies.

A *deficiency* in an entity's internal control over compliance exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct noncompliance with the requirements listed in the first paragraph of this report on a timely basis. A *material weakness* is a deficiency, or combination of deficiencies, in internal control such that there is a reasonable possibility that a material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected on a timely basis. We consider the deficiencies in internal control over compliance as described in the accompanying schedule of findings as 09-3 and 09-5 through 09-7 to be material weaknesses.

A *significant deficiency* is a deficiency, or combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance. We consider the deficiencies in internal control over compliance described in findings 09-1, 09-2, 09-4 and 09-8 through 09-20 in the accompanying schedule of findings to be significant deficiencies.

As required by the Audit Guide, immaterial findings excluded from this report have been reported in a separate letter.

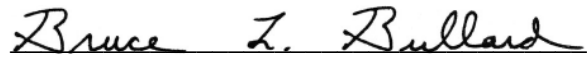
The State of Illinois, Department of Transportation's responses to the findings identified in our examination are described in the accompanying schedule of findings. We did not examine the State of Illinois, Department of Transportation's responses and, accordingly, we express no opinion on them.

Supplementary Information for State Compliance Purposes

Our examination was conducted for the purpose of forming an opinion on compliance with the requirements listed in the first paragraph of this report. The accompanying supplementary information as listed in the table of contents as Supplementary Information for State Compliance Purposes is presented for purposes of additional analysis. We have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the 2009 Supplementary Information for State Compliance Purposes, except for the Schedule of Illinois First Projects, Memorandums of Understanding and Service Efforts and Accomplishments on which we did not perform any procedures. However, we do not express an opinion on the supplementary information.

We have not applied procedures to the 2008 Supplementary Information for State Compliance Purposes, and accordingly, we do not express an opinion thereon.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, and agency management and is not intended to be and should not be used by anyone other than these specified parties.

A handwritten signature in cursive script that reads "Bruce L. Bullard". The signature is written in black ink and is positioned above a horizontal line.

Bruce L. Bullard, CPA

Director of Financial and Compliance Audits

April 14, 2010

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
SCHEDULE OF FINDINGS
For the Year Ended June 30, 2009

CURRENT FINDINGS (STATE COMPLIANCE)

- 09-1. **FINDING** (Weaknesses in preparation of GAAP reporting forms submitted to the Illinois Office of the Comptroller and preparation of year-end Department financial statements)

The Department of Transportation's (Department's) year-end financial reporting in accordance with Generally Accepted Accounting Principles (GAAP) to the Illinois Office of the Comptroller contained weaknesses and inaccuracies. These problems, if not detected and corrected, could materially misstate the Department's financial statements and negatively impact the statewide financial statements prepared by the Illinois Office of the Comptroller.

During our audit of the June 30, 2009 Department financial statements, we noted:

- GAAP reporting packages were not submitted to the Comptroller in a timely manner. GAAP reporting packages were due to the Comptroller on September 11, 2009. The Department submitted 7 of its 32 (22%) packages late. The final package was submitted on October 14, 2009, approximately (1) months late. Due to discussions and communication between the Department, Comptroller, and other State agencies and universities, the forms did not receive the Comptroller's final review until December 15, 2009 and the final draft of the financial statements, after adjustments for the implementation of GASB Statement No. 49, *Accounting and Financial Reporting for Pollution Remediation*, which establishes standards for accounting and financial reporting for pollution remediation obligations, was provided on February 19, 2010.
- The Department could not provide supporting documentation from its accounting records for \$59,423,000 (4%) of \$1,412,088,000 federal expenditures reported to the Office of the State Comptroller on its Grant/Contract Analysis Form (SCO-563) for five federal programs. The expenditure totals reported in the SCO-563 for those programs were derived by subtracting prior year grant receivables from the current year grant receipts.
- We noted weaknesses in the financial accounting for the Department's inventory balance due to inaccurate commodities inventory records. These weaknesses are explained in more detail in Finding 09-2.

STATE OF ILLINOIS
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SCHEDULE OF FINDINGS
For the Year Ended June 30, 2009

- We noted weaknesses in the financial accounting for, and reporting of, capital assets which led to a \$21,259,000 correction of the beginning balance (which was adjusted through the current year) and the revision to the Department's SAMS to GAAP Reconciliation Form (SCO-537) and Capital Asset Summary Form (SCO-538) due to \$135,188,000 of errors discovered as a result of our audit testing. These weaknesses are explained in more detail in Finding 09-3.
- The Department's liability estimation model does not permit for the calculation of encumbrances. Rather, it considers liabilities for expenditures incurred prior to year end pertaining to tangible items to be accounts payable. The encumbrances recorded in the Department's financial statements were calculated using Comptroller's Statewide Accounting Management System (SAMS) Procedure 27.20.50, which does not consider the impact of reappropriated funds. During fieldwork, the Department performed an analysis of its encumbrances at June 30, 2009 and determined that it was approximately \$2,321,000 more than what was recorded in its financial statements. The amount underestimated was not material to its financial statements for the year ended June 30, 2009, but could be in the future.

In recent years, the Department has endured numerous retirements of key employees and, in 2009, the individual responsible for overseeing the financial reporting process unexpectedly passed away. As a result, the Department lost a significant amount of institutional knowledge. To fulfill those voids, the Department contracted with a public accounting firm for management consulting and has begun to hire employees to replace those who are no longer with the Department.

Department management attributed the noted weaknesses to the loss of these key individuals and the difficulty of implementing a new GASB Statement.

The Comptroller requires State agencies to prepare GAAP Reporting Packages for each of their funds to assist in the annual preparation of the statewide financial statements and the Department financial statements. GAAP Reporting Package instructions are specified in the Comptroller's Statewide Accounting Management System (SAMS) Manual, Chapter 27. The Comptroller sets due dates for the financial information to be submitted in order for the statewide financial statements and statewide Schedule of Expenditures of Federal Awards (SEFA) to be prepared and audited within a specified timeline to provide users of these statements information in a timely manner.

In addition, the Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires all State agencies to establish and maintain a system of internal fiscal control to

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
SCHEDULE OF FINDINGS
For the Year Ended June 30, 2009

provide assurance that revenues, expenditures and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of reliable financial reports.

Accurate and timely preparation of the Department's financial information for GAAP reporting purposes is important due to the complexity of the Department and the impact adjustments have on the statewide financial statements. (Finding Code No. 09-1)

RECOMMENDATION:

We recommend the Department implement procedures and cross-training measures to ensure GAAP Reporting Packages are prepared in a timely, accurate and complete manner. This should include allocating sufficient staff resources and the implementation of formal procedures to ensure GAAP financial information is prepared and submitted to the Office of the Comptroller in a timely and accurate manner, and that all supporting documentation is maintained in a contemporaneous manner. Additionally, the Department should modify its accounts payable model to include the dates tangible items such as commodities and fixed assets are ordered and received so that the encumbrances can be accurately computed. Please refer to Findings 09-2 and 09-3 for specific recommendations concerning inventory and fixed assets.

DEPARTMENT RESPONSE:

The Department agrees with the finding.

The Department experienced the unexpected loss of key personnel during the GAAP reporting process. As a result, cross training of personnel has begun in the Fiscal Operations Units in order to address the issues in this finding and facilitate timely preparation of GAAP reporting. After the completion of the audit field work, the Department identified additional reports that support the expenditure amounts reported on the SCO-563. In addition, these reports will be able to be used for future year reporting and the written GAAP procedures will be updated to identify this process. The Department will discuss possible modifications to the accounts payable model in order to adequately identify encumbrances.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
SCHEDULE OF FINDINGS
For the Year Ended June 30, 2009

09-2. **FINDING** (Inaccurate commodities inventory records)

The Department maintained inaccurate commodities inventory records for the year ended June 30, 2009.

The Department performed year-end commodities inventory counts at each location to determine the value of commodities inventory to be reported in the financial statements. These counts were performed around June 30, 2009.

Inventory Counts

During our physical inventory counts, we counted 650 inventory items and noted discrepancies between audit test counts and Department inventory counts for 240 (37%) items. The errors resulted in an understatement of the year end inventory balance of \$327,000 which, when extrapolated over the entire inventory population, resulted in an estimated understatement of \$4,093,000. The Department was not able to reconcile between audit test counts and Department physical inventory counts for these differences.

Inventory Pricing

During our price testing, we sampled 67 inventory items. We were not provided with price documentation for 6 (9%) items in our sample. Of the documentation provided, 23 (34%) items contained an inaccurate price. It was determined that certain commodities were given equal pricing across the State although actual commodity costs varied by location. In other instances, the inventoried commodities costs did not agree to the actual invoice at the time the commodities were purchased. The discrepancies between final inventory prices and invoice prices, including the 6 items for which no documentation was provided, resulted in an overstatement of the year end inventory amount of \$308,000. When extrapolated over the entire inventory population, this discrepancy resulted in an estimated overstatement of \$7,478,000.

The Department did not adjust its financial statements for these errors as they were considered immaterial to the Department's overall financial statements.

The Comptroller's Statewide Accounting Management System (SAMS) (Procedure 02.50.20) (page 6 of 12) requires detailed subsidiary records be maintained for inventories and periodically reconciled to control accounts; in addition, it requires issues, transfers, retirements and losses be reported and accounted for timely. Good business practices require that internal controls be in place and operating to maintain effective accounting control over assets and to ensure they are properly reported.

STATE OF ILLINOIS
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SCHEDULE OF FINDINGS
For the Year Ended June 30, 2009

In addition, Chapter 7 of the Department's Property Control and Inventory Procedures Manual mandates an annual physical inventory of consumable commodity items as part of the external audit process. Year-end physical inventories are to be taken and the Department's records are to be adjusted accordingly.

In response to this continued prior year finding, the Department stated the Division of Highways staff would prepare a spreadsheet that includes the prior year counts to distribute to all districts on which they could record the inventory counts and explain any significant variances from the prior year. Additionally, the Department stated it would encourage greater attention to detail of correct pricing. Despite these measures, the inventory count and pricing errors continued to ensue.

Department management acknowledged that discrepancies were noted between the audit test counts and Department physical inventory counts which were caused by coordination weaknesses between the parties involved. Furthermore, due to the high volume of purchases for various commodity items, an extensive overhaul would be required in order to provide multiple unit price information for common items. Therefore, certain commodities were given equal pricing.

Failure to maintain accurate commodities records could result in lost or misappropriated items, inaccurate financial statements, and noncompliance with SAMS and internal Department procedures. (Finding Code No. 09-2, 08-02, 07-03, 06-04, 05-12, 04-04, 03-05, 02-09, 00-04, 99-05, 98-04, 96-07, 94-06)

RECOMMENDATION:

We recommend the Department strongly emphasize the importance of maintaining accurate inventory quantity and cost records throughout the year. Additionally, the Department should perform periodic physical inventory counts throughout the year and reconcile those to Department records. Finally, we recommend the Department implement a review at year-end to compare costs assigned per inventory listings to the most recent inventory amounts to ensure accurate unit costs.

DEPARTMENT RESPONSE:

The Department agrees with the finding.

The Department is committed to resolving the issues involved with the commodities inventory process. The Department recognized that communication of expectations is key to resolve count issues. A written inventory procedure has been drafted and will be implemented for future counts. This process outlines the expectations of the

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
SCHEDULE OF FINDINGS
For the Year Ended June 30, 2009

Central Office, the procedures by which the count is to be conducted and identified key personnel. A written procedure for the inventory pricing is being drafted and will incorporate detailed pricing spreadsheets that will allow for pricing at the district level with an emphasis of maintaining adequate documentation to support all pricing.

STATE OF ILLINOIS
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SCHEDULE OF FINDINGS
For the Year Ended June 30, 2009

09-3. **FINDING** (Weaknesses in the reporting of capital assets)

The Department of Transportation (Department) did not accurately report capital assets to the Illinois Office of the Comptroller for fiscal year 2009.

We noted the following errors and weaknesses in the Department's capital asset reporting process:

- The Department determined that its June 30, 2008 government activity capital assets balance, net, was understated by \$21,259,000 due to errors in the preparation of its quarterly State property balance reported in the Agency Report of State Property (C-15). The balance reported on this form to the Illinois Office of the Comptroller is the starting point of the calculation of SAMS to GAAP Reconciliation Form (SCO-537). When the Department discovered the error in fiscal year 2009, it determined to record the activity necessary to correct the understatement in fiscal year 2009 rather than restating the balance as of July 1, 2008. This treatment, while significant, did not result in materially misstating the Department's financial statements for the year ended June 30, 2009. However, the error represents deficiencies in the operation of the Department's control over the capital asset reporting process. The Department attributed this error to the loss of several key employees in recent years due to retirements, which led to a loss of institutional knowledge. The inexperience of the employees later assigned to complete the C-15 resulted in omitting certain assets from consideration in the C-15 preparation.
- Our testing noted errors of \$135,188,000 in the initial SAMS to GAAP Reconciliation Form (SCO-537) and Capital Asset Summary Form (SCO-538) submitted by the Department to the Comptroller. These errors included rounding infrastructure accounts payable to millions rather than thousands, resulting in a three-digit balance instead of a six-digit balance; mathematical inaccuracies of data input; and improper determination of the cost basis of Right of Way land assets disposed of during fiscal year 2009. The Department attributed these problems to human error.

The Comptroller's Statewide Accounting Management System (SAMS) procedures 29.20.10, 27.20.37 and 27.20.38 outline the instructions for preparing the C-15, SCO-537, and SCO-538, respectively. This reporting process is necessary for the Comptroller to complete Department wide and Statewide financial statements in accordance with Generally Accepted Accounting Principles (GAAP).

STATE OF ILLINOIS
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SCHEDULE OF FINDINGS
For the Year Ended June 30, 2009

In addition, the Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires all State agencies to establish and maintain a system of internal fiscal control to provide assurance that revenues, expenditures and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of reliable financial reports.

Inadequate control over maintaining accurate capital assets records prevents the Department from preparing financial information in accordance with GAAP and results in inaccurate, incomplete and untimely preparation of financial information. (Finding Code No. 09-3, 08-14)

RECOMMENDATION:

We recommend the Department devote sufficient resources to its financial accounting function such that the capital asset information is properly recorded and accounted for to permit the preparation of reliable financial information and reports to the Office of the Comptroller.

DEPARTMENT RESPONSE:

The Department agrees with the finding.

The Department identified errors in the prior year capital assets reporting and as a result had significant corrections to the capital assets balance during FY 2009. Appropriate staff has been assigned to the reporting process and written procedures are being drafted in order to ensure accurate and timely reporting of reliable financial information and reports to the Illinois Office of the Comptroller.

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09-4. **FINDING** (Inadequate controls over employee attendance)

The Illinois Department of Transportation (Department) did not exercise adequate controls over employee attendance to ensure employees' work hours and benefit time were properly recorded and documented.

During testing, we noted the following:

- Three of 35 (9%) employees tested did not complete leave slips for 63 hours of benefit time taken and no leave time was entered into the Department's timekeeping system (TKS) resulting in the overstatement of employees' accrued compensated balances by that amount.
- The Department could not locate all employee sign-in sheets for 4 of 35 (11%) employees tested. The Department had no FY09 weekly sign-in sheets for one employee who separated in August. In addition, 26 weekly sign-in sheets were missing for the other 3 employees. Further, 6 of 35 (17%) employees' tested timesheets were inaccurate in that the employees signed-in and out on their regular day off, on State holidays, or they did not document benefit time used when signing out.
- Three of 35 (9%) employees tested did not prepare timesheets until 36 to 148 days after the end of the pay period.
- Four of 35 (11%) employees' tested supervisors did not approve the timesheets for the 3 months tested. In addition, 1 of 35 (3%) tested employee's timesheets were approved by the supervisor from 37 to 83 days after the end of the pay period for the 3 months tested.
- Six of 35 (17%) employees tested did not complete leave slips timely for the 3 months tested. The leave requests tested were submitted from 14 to 153 days after the time off occurred.

The Illinois Administrative Code (80 Ill. Adm. Code 303.340) implemented and authorized by the Personnel Code (20 ILCS 415/1 et seq.) states each operating agency shall maintain accurate, daily attendance records. Departmental Order 3-1, the Department's Personnel Policy, section 7-4 requires all supervisors to take necessary measures to provide that leave and overtime is properly accounted for on the employee's time and attendance records, and section 7-1d requires all work schedules, including flexible schedules, to be submitted to and approved by the Bureau Chief of Personnel Management prior to implementation. In addition, good internal controls require

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agencies to ensure daily attendance and payroll records are adequately documented and reconciled to ensure proper payroll expenditures.

Department management stated as they did in the last engagement that employees were not always mindful of the attendance policies.

Failure to maintain adequate controls over employee attendance and attendance records increases the risk of the Department paying for services not rendered by employees. (Finding Code No. 09-4, 08-23, 07-7)

RECOMMENDATION

We recommend the Department implement controls to ensure employees complete leave requests for time off, accurately complete the sign-in sheets and agree those records to the timekeeping system to ensure accrued absence balances are accurate. We further recommend the Department ensure employee time records are complete and approved by their supervisor. In addition, we recommend the Department correct any employee's accrued absence balance noted as incorrect.

DEPARTMENT RESPONSE

The Department agrees with the finding.

The Personnel Policy Manual (9-2 RESPONSIBILITIES) has been updated stating that employees are responsible for submitting Leave Requests to their supervisors in advance when possible, but not later than one week after the absence. A memorandum will be sent by 4/1/10 reminding employees/supervisors to ensure the Timekeeping System accurately reflects the employee's work schedule and leave and overtime is properly accounted for on the employee's time and attendance records.

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09-5. **FINDING** (Inadequate controls over employee overtime)

The Illinois Department of Transportation (Department) did not exercise adequate controls over the employee use, accrual, and documentation of Equivalent Earned Time (EET) or overtime.

We noted the following:

- Nineteen of 35 (54%) employees tested overtime or Equivalent Earned Time (EET) was not properly tracked, recorded, and approved.
 - Thirteen employees reported a total of 508 hours of EET without the supervisor's pre-approval.
 - Six employees reported a total 575 hours of overtime on overtime cards without the supervisor's pre-approval.
 - Five employees did not complete leave slips for 41 hours of EET utilized.
 - Three employees' accrued balances in the Department's timekeeping system (TKS) for 2 hours of EET and 2 hours of overtime were overstated.
 - Three employees did not maintain overtime cards for 38 hours of overtime and 11 hours of EET earned.
 - Three employees worked 34 hours of EET that were accrued at 1 ½ times the standard rate. This resulted in an overstatement of 17 hours.
 - Two employees claimed 7 hours of EET and 20 hours of overtime and did not state the purpose on the overtime card.

Department management stated, as they did during the prior engagement, that personnel were not always mindful of the overtime policies and documentation requirements.

- Four of 35 (11%) employees tested had accrued EET balances totaling from 219 to 259 hours as of June 30, 2009. Departmental Order 3-1, the Department's Personnel Policy, section 7-9 states the EET accrual for Technical Employees Level

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V and Civil Engineers Level V and above should be capped at 90 hours straight time and maintained indefinitely on a rolling basis. Department personnel stated the Departmental Order had been updated; however, the documentation provided to the auditors was a memo dated August 17, 2007 from the former Secretary increasing the EET accrual for Technical staff salary grades 16 and above to 265. Departmental Order 1-1 provides for the process by which the Departmental Orders are updated and as of the end of fieldwork, no changes had been made to the noted Order for accrual of EET. In addition the Personnel Policy states the policies contained in the manual are current as of the date of issuance. Updates to the manual may be issued by way of memorandum from the Bureau of Personnel Management to directors, district engineers and central bureau chiefs and are also available on the Department's Intranet. We were unable to locate any other documentation for the policy and it was not available on the Intranet. Department management stated they were in the process of updating the Departmental Orders to reflect this policy and they were not aware it was not on the Intranet.

The Illinois Administrative Code (80 Ill. Adm. Code 303.340) implemented and authorized by the Personnel Code (20 ILCS 415/1 et seq.) states each operating agency shall maintain accurate, daily attendance records. Departmental Order 3-1, the Department's Personnel Policy, section 7-8 defines overtime as work in excess of a normal work schedule and requires it to be worked only when authorized. Section 7-9 requires EET to be requested and approved by the employee's supervisor in advance. The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires State agencies to establish and maintain a system, or systems, of internal fiscal and administrative controls to provide assurance that funds, property, and other assets and resources are safeguarded against waste, loss, unauthorized use, and misappropriation.

Failure to follow the Department's policies regarding overtime and EET increases the risk the Department will pay for services not rendered by employees. (Finding Code No. 09-5, 08-24, 07-18)

RECOMMENDATION

We recommend the Department ensure overtime and EET is approved in advance, properly documented, recorded and accrued.

DEPARTMENT RESPONSE

The Department agrees with the finding.

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The Personnel Policy Manual has been updated to clarify Employee Overtime and Earned Equivalent Time (EET). In addition, a memo providing clarification of the EET policy was sent to all employees on 3/25/10.

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09-6. **FINDING** (Inadequate internal controls over personnel functions and policies.)

The Illinois Department of Transportation (Department) did not have adequate internal controls over its personnel functions and policies.

During our testing of personnel files, we noted the following:

- Three of 35 (9%) employees tested received pay increases for interim assignments that were extended beyond the initial term without proper approval. In addition, one employee's interim assignment pay was \$216 per month higher than the policy allowed for twelve and one-half months. In a memorandum dated November 30, 2006, the Department implemented technical interim assignment pay for additional duties as an 8% increase in pay for employees under the Administrative/Executive Pay Plans salary grades 15 and above and the duration was not to exceed six months unless renewed by memo.
- Seven of 35 (20%) employees tested received pay increases up to 10% for additional duties effective January 15, 2009. We reviewed the individual's specific job descriptions and did not note any documented additional duties. These raises were subsequently rescinded on April 29, 2009.
- Departmental Order 02-2, Signature Authority, Section 3 Part E allows for the affixation of the Secretary's signature approval via stamp or autopen if the Secretary authorizes such approvals in writing. We noted these stamps were routinely used as approvals on changes in personnel transactions on the Notice of Personnel Action Form (PM 1) such as salary changes and employee status. The stamps are not differentiated and there were typically no initials present to distinguish which employees had affixed the stamp. In addition, the Department did not maintain documentation to substantiate any of the former Secretary's authorizations for the stamps. Further, we did not note written documentation of the current Secretary's authorization for one of the individuals we were informed had possession of and affixed the signature stamp on PM1s. Department management stated the lack of documentation was due to oversight.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires State agencies to establish and maintain a system, or systems, of internal fiscal and administrative controls to provide assurance that funds, property, and other assets and resources are safeguarded against waste, loss, unauthorized use, and misappropriation.

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Failure to maintain documentation of employees designated to use signature stamps and to ensure the employees utilizing signature stamps are identifiable increases the risk of improper personnel actions. Failure to ensure salary increases and adjustments are adequately documented increases the risk of improper salary adjustments. (Finding Code No. 09-6)

RECOMMENDATION

We recommend the Department ensure its signature authorizations are properly documented and the signature stamps are either differentiated or accompanied by documentation of the user. In addition, we recommend the Department ensure all salary adjustments are adequately documented and authorized as required in the Department's Personnel Policy.

DEPARTMENT RESPONSE

The Department agrees with the finding.

The Department will ensure that appropriate staff is reminded of the documentation and authorization requirements related to salary adjustments. The signature authorization process is currently being reviewed and a written process will be developed to ensure proper documentation of the authorization and use of signature stamps and authority.

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09-7. **FINDING** (Failure to comply with interagency agreement and Order)

The Illinois Department of Transportation did not comply with certain requirements of an interagency agreement and an Illinois Commerce Commission (Commission) Order when disbursing payments for a Grade Crossing Protection Fund Project.

The Department entered into an interagency agreement with the Commission on March 21, 2005 to administer Grade Crossing Protection Fund (GCPF) safety improvement projects. The agreement assigns certain responsibilities to the Commission and the Department. The Commission issued an Order on June 7, 2006 for improvements to a railroad carrier's street structure component in a large city in Illinois. The estimated cost was \$1.5 million with approximately 39% or \$582,000 to be paid by the GCPF and the railroad carrier paying the remaining 61%. A supplemental order was approved by the Commission on November 13, 2008 increasing the project cost by \$1,100,000, of which, the Department would be responsible for approximately 39% or \$429,000.

The interagency agreement and Order assigns the Department the responsibility to ensure the rail carrier provided sufficient documentation for all reimbursements and provided for minimum documentation requirements. The agreement further requires the Department to conduct audits of all GCPF projects. As of June 30, 2009, Department management stated the last such audit was conducted in FY07.

We reviewed the payments totaling \$1,011,000 made by the Department to the railroad carrier during FY09 and noted none of the invoices contained sufficient documentation. The following problems were noted:

- The Department was unable to provide adequate supporting documentation for a total of \$255,822 paid for labor charges including engineering and supervision and the overhead additive percentage of 82%. The interagency agreement and the Order required copies of all work hours charged to the project to be provided.
- The Department reimbursed a 60% overhead additive rate applied to the labor charges for equipment that totaled \$81,756 rather than paying the actual equipment costs. The interagency agreement and Order required copies of all equipment rental agreements including the number of hours the equipment was used and the railroad account code. We also noted equipment charges in addition to the overhead rate which were paid totaling \$5,727 with no documentation provided.

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- The Department was unable to provide supporting documentation for a total of \$158,458 paid for materials and supplies. The interagency agreement and the Order required an itemized list of all materials purchased and installed at the crossing location to be provided.
- Contractual payments totaling \$480,723 were not adequately documented or were supported by invoices generated by the railroad carrier rather than the contractors. The interagency agreement and the Order required sufficient documentation be provided for all bills including copies of all work hours charged to the project.
- We were unable to determine whether expenditures related to travel totaling \$67,060 and miscellaneous expenses totaling \$6,426 were related to the GCPF project. The travel expenses included meals, lodging, and mileage reimbursement in different states and locations that did not appear reasonable given the location of the project.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires that all State agencies establish internal fiscal and administrative controls to provide assurance that funds, property, and other assets and resources are safeguarded against waste, loss, unauthorized use, and misappropriation. Good internal controls require agencies to ensure that any expenditure made with State funds to be accurately documented and reconciled to ensure proper uses of funds.

Department management stated the invoices were reviewed for reasonableness prior to payment. In addition, Department management stated they were unable to conduct audits and more detailed reviews due to a lack of staffing.

Failure to ensure GCPF payments are adequately supported and in compliance with the interagency agreement and Order could result in improper payments. (Finding Code No. 09-7)

RECOMMENDATION

We recommend the Department ensure all payments are adequately supported and in compliance with the Order and interagency agreement. We further recommend the Department conduct audits as required by the interagency agreement.

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DEPARTMENT RESPONSE

The Department agrees with the finding.

The Department is currently conducting reviews in compliance with the Illinois Commerce Commission (ICC) Order and ICC/Department of Transportation Interagency agreement. The auditors' concerns, over the bill in question, have been forwarded to the railroad for explanation and additional documentation for charges billed. Further review of this project will be conducted.

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09-8. **FINDING** (Inadequate controls over the administration of State vehicles)

The Illinois Department of Transportation (Department) did not have adequate controls over tracking the usage and approvals for pool vehicles and its reporting of vehicle accidents to the Department of Central Management Services (DCMS).

We noted the following:

- The Department did not maintain an adequate written record of usage and approvals for 11 of 25 (44%) pool vehicles tested. The Department primarily tracks pool vehicle usage on the Vehicle Trip Ticket Form (Form BoBS 3710), which must contain the name and signature of the employee to whom the vehicle is assigned, the assigned vehicle's inventory number and license plate number, the time of vehicle checkout and the approximate time the vehicle is to be returned, and the vehicle's mileage at the beginning and upon completion of the assignment.

During testing of pool vehicles and the related usage documentation during FY09, we noted the following:

- The Department did not maintain log sheets, trip tickets or any documentation for 2 of 25 (8%) pool vehicles tested.
- Seven of 25 (28%) pool vehicles' trip tickets did not contain the date or time of check-out or return of the State vehicle.
- Four of 25 (16%) pool vehicles tested had trip tickets that did not contain the driver's signature acknowledging receipt of the vehicle for State business.
- Four of 25 (16%) pool vehicles' tested trip tickets did not contain the starting or ending mileage of the State vehicle.
- Three of 25 (12%) pool vehicles tested had trip tickets that did not include the employee's driver's license number and expiration date.
- Two of 25 (8%) pool vehicles tested had trip tickets that did not contain the assigned vehicle inventory number.
- One of 25 (4%) pool vehicles tested had missing vehicle trip tickets.
- One of 25 (4%) pool vehicles tested had trip tickets that did not contain the assigned vehicle license plate number.
- One of 25 (4%) pool vehicles tested had trip tickets that were not properly approved by the driver's supervisor.

Departmental Order 11-1 requires each Motor Pool Administrator to schedule vehicle use and preventive maintenance. It further requires short-term assignments

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of vehicles to be recorded in a log book or on a vehicle trip ticket with the required information and maintained by the Pool Administrator.

- Five of 25 (20%) vehicle accidents tested were reported to DCMS from 1 to 8 days late. The Department's Automobile Usage and Risk Management Summary requires a Department Liability Coordinator to forward all accident reports to DCMS within seven days of the occurrence.

Department management stated, as they did during the prior engagement, that although they continue to remind employees of recordkeeping responsibilities when using fleet vehicles and to report vehicle accidents timely, the lack of documentation and untimely reporting continues to be a problem.

Failure to maintain accurate pool vehicle usage records could result in unauthorized vehicle usage and expenditures. The failure to timely report vehicle accidents to DCMS increases the State's potential liability and risk of loss.

In addition, during the prior period, the Department did not include the date of vehicle assignment on its report on take home vehicles to DCMS and did not adequately document whether employees were following a policy enacted by the Secretary to revoke all take home vehicle privileges. During the current period, the Department included all required information on its vehicle report to DCMS and the policy revoking take home vehicle privileges was rescinded. (Finding Code No. 09-8, 08-8, 07-12)

RECOMMENDATION

We recommend the Department ensure adequate documentation of employees' use of pool vehicles is maintained and we further recommend all vehicle accidents be reported to DCMS within the required timeframe.

DEPARTMENT RESPONSE

The Department agrees with the finding.

The MMIS is still in use at this time and provides limited cost reporting. Costs were provided for a sample group of selected vehicles. Due to the number of issues with the non-documentation of vehicle usage two forms were created and one form revised. For

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the reporting of vehicle assignment changes to CMS/DoV a "Personal Vehicle Assignment Request" (BoBS 3707) was developed. For the recording of vehicle usage by "pool or multi-driver" type vehicles not located in a central motor pool setting, the "Multi-Driver Log Sheet" (BoBS 3708) was created. The vehicle "Motor Vehicle Trip Ticket" (revised 2/10) is used for recording vehicle usage for overnight or extended use. A memorandum is being prepared for distribution to stress the completion of all required information on the Multi-Driver Log Sheet, the Motor Vehicle Trip Ticket and the Personal Vehicle Assignment Request.

A Memorandum of Understanding has been crafted by CMS and executed by Secretary Hannig. The MOU redefines the reporting requirements to more properly reflect reporting to CMS after the Department is made aware of a reportable incident. A memorandum is being prepared to all Directors to confirm the timely reporting requirements of the State of Illinois Self-Insured Plan.

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09-9. **FINDING** (Inadequate process to monitor interagency agreements)

The Illinois Department of Transportation's (Department) process to monitor interagency agreements was inadequate.

We noted the following:

- Three of 5 (60%) interagency agreements tested were not signed by all parties prior to the effective date. The agreements were signed from 11 to 132 days late.
- For 1 of 5 (20%) agreements tested, entered into by the Office of the Governor (Office) and the Department, for the sharing of employee services ("liaisons") during FY09, the salary paid differed from the salary amount specified in the agreement. The agreement stated the employee's annual salary paid would be \$76,020; however, the annual salary paid by the Department totaled \$79,620, a difference of \$3,600.
- For 4 of 5 (80%) agreements tested, required documentation was not maintained by the Department. These agreements for liaisons, entered into by the Office and the Department, required the Department to maintain all documentation related to leave administration, payroll, and other personnel activities. We noted the following:
 - Three liaisons' sign-in sheets were not maintained by the Department.
 - One liaison did not submit leave requests for 37.5 hours of vacation and 15 hours of sick time taken. In addition, the Department's timekeeping system (TKS) balances were not adjusted and the employees' accrued absence balances were overstated by those amounts.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires that agencies establish internal fiscal and administrative controls to provide assurance that resources, obligations and costs are in compliance with applicable laws, rules, and agreements. Good internal controls require the approval of agreements prior to the effective date. In addition, good internal controls require the terms of the agreements to be followed by all parties.

Department management stated, as they did during the prior engagement, that due to the involvement of other agencies and lack of total control by the Department, issues such as those noted do occur.

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The Department enters into multiple agreements with other State agencies and units of government. The purpose of these agreements is to assist the Department in fulfilling its mandated mission. In order to assess whether the agreement sufficiently documents the responsibilities of the appropriate parties, the agreement needs to be approved prior to the effective date. In addition, the Department should monitor and track the agreements to ensure proper documentation is maintained and all parties' responsibilities are satisfied and in accordance with the terms of the agreement. (Finding Code No.09-9, 08-20, 7-13)

RECOMMENDATION

We recommend the Department ensure interagency agreements are approved prior to the effective date of the agreement. We also recommend the Department ensure terms of the agreement are followed.

DEPARTMENT RESPONSE

The Department agrees with the finding.

The Department is working with the IAA manager in the Governor's Office to ensure that all IAA's are properly executed before the employee begins work, that if during the term of the IAA, a salary increase is proposed, the appropriate IAA amendment will be executed and the employees will be asked to coordinate all leave requests with the Department's timekeeper.

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09-10. **FINDING** (Evaluation of consultants and payments not in compliance with contract)

The Illinois Department of Transportation (Department) did not perform land acquisition consultant evaluations as required and did not ensure payments complied with contract provisions and relocation claims.

During testing we noted the following:

- The Department did not perform the required Land Acquisition Consultant Evaluations (LACEs) as of November 5, 2009 for 2 of 5 (40%) land acquisition consultant contracts tested totaling \$3,718,295. The two districts received numerous reminders from the Central Bureau of Land Acquisition (CBLA) to complete the evaluations. Departmental Order (Order) 06-8 requires each land acquisition vendor performing services for the Department to be subject to an annual performance evaluation by the district where the contract was performed. The Order also requires the district to submit annual evaluations to the CBLA no later than July 1. Department management stated the districts failed to complete the evaluations despite numerous notifications from CBLA.
- One of 40 (3%) land acquisition vouchers tested contained an invoice from a vendor that included charges for 54 hours totaling \$7,446 for 2 individuals not included in the contractual agreement. In addition, the job titles and hourly rates paid did not agree to section 3.1 of the contractual agreement, which set the pricing and compensation for specific individuals and their titles. The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires that agencies establish internal fiscal and administrative controls to provide assurance that resources, obligations and costs are in compliance with applicable laws, rules, and agreements. In addition, good internal controls require the Department to monitor and review contractual payments to ensure billings are in compliance with the contract. Department management stated the discrepancies were not noted during the district's review due to oversight.
- One of 40 (3%) land acquisition vouchers tested, totaling \$3,601 for a relocation payment, was issued prior to the date the tenants vacated the premises. The relocation claim certified the claimants had moved all of their personal property to the replacement property as of 6/5/09, but the claimants occupied the property until 8/15/09. The Department's Land Acquisition Manual (Chapter 6.01-9) requires an accurate and up to date record of all relocation activities to be maintained for each relocation unit. The appropriate dates and information required in Land Acquisition System and the unit assistance record must be updated on an ongoing basis.

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Department management stated the district failed to identify the correct estimated occupancy end date.

The consultant evaluations are used for communication of performance results for both the Department and the consultants and could impact the continued use of the consultants. Failure to ensure vouchers are adequately documented and ensure vendors adhere to the related contractual agreement could result in improper expenditures. In addition, during the prior period, the Department did not perform the required interim performance evaluations for architect and engineer consultants tested in compliance with Departmental Order 06-2. During the current period, the Department updated Departmental Order 06-2 to require only an evaluation at the end of each project, so the interim evaluations are no longer required (Finding Code No. 09-10, 08-7)

RECOMMENDATION

We recommend the Department ensure land acquisition consultant evaluations are completed in a timely manner. We also recommend the Department properly document land acquisition relocation activities and monitor land acquisition contractual payments to ensure compliance with the contractual agreement.

DEPARTMENT RESPONSE

The Department agrees with the finding.

Departmental Order 6-8 has been revised to allow the district 45 days from July 1 to complete the evaluations. The appropriate staff has been notified that when individuals are listed in the contract only those individuals may work on the contract. In addition, staff have been reminded to use the correct claim form, LA 6041G Claim for Replacement Housing Supplement and placed the closing costs under #1(g) on the first page of the claim.

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09-11. **FINDING** (Inadequate controls over voucher processing)

The Illinois Department of Transportation (Department) did not exercise adequate controls over voucher processing.

During testing we noted the following:

- Seventy-three of 492 (15%) vouchers tested, totaling \$71,370,746, were approved for payment from 1 to 131 days late. The required interest of \$8,066 was not paid on 8 of these vouchers. The Illinois Administrative Code (Code) (74 Ill. Adm. Code 900.70) requires an agency to review a bill and either deny or approve the bill in whole or in part, within 30 days after physical receipt of the bill. In addition, the State Prompt Payment Act (30 ILCS 540/3-2) requires State agencies to determine whether interest is due and automatically pay interest penalties amounting to \$50 or more to the appropriate vendor when payment is not issued within 60 days after receipt of bill.
- Twenty-six of 492 (5%) vouchers tested, totaling \$2,156,972, were not signed and dated by the receiving officer. The Statewide Accounting Management System (SAMS) (Procedure 17.20.20) states each invoice-voucher, upon receipt of goods or services, must be signed by the receiving officer verifying goods or services meet the stated specifications.
- Nineteen of 492 (4%) vouchers tested, totaling \$21,438,318, were not approved by an authorized Department representative. The Illinois State Finance Act (30 ILCS 105/9.02(b)(1)) requires every voucher, as submitted by the agency or office in which it originates, to bear (i) the signature of the officer responsible for approving and certifying vouchers under this Act and (ii) if authority to sign the responsible officer's name has been properly delegated, also the signature of the person actually signing the voucher.
- Eight of 492 (2%) vouchers tested, totaling \$15,577,474, did not have support for the date received; therefore, we could not determine the timeliness of payment. The Code (74 Ill. Adm. Code 900.30) requires an agency to maintain written or electronic records reflecting the date on which the goods were received and accepted or the services were rendered and the proper bill was received by the State agency.

Department management stated during the current and prior engagement that vouchers were processed as timely as possible once they were received in the Central Office.

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They further stated all personnel at all districts and locations were not always mindful of the required protocols for timely and properly documented vouchers.

Failure to document the receipt date and promptly approve vouchers may result in late payment of bills to vendors which may result in interest charges owed by the Department. Failure to pay required interest charges is noncompliance with the State Prompt Payment Act. The lack of an authorized representative's signature or a receiving officer's signature, reduces the overall control over expenditures and may lead to inappropriate expenditures. (Finding Code No. 09-11, 08-17, 07-10, 06-1, 05-9, 04-2, 03-8)

RECOMMENDATION

We recommend the Department implement controls to ensure vouchers are approved timely and signed and dated by an appropriate representative and receiving officer. In addition, we recommend the Department ensure all required interest payments are made. We also recommend the Department implement controls to ensure the receipt date of the proper bill is recorded.

DEPARTMENT RESPONSE

The Department agrees with the finding.

The Department has implemented the requirement that all mail to be date-stamped for FY 2010. The Department is also in the process of working with BIP to discuss changes to FOA that would automatically prepare an invoice for prompt payment as necessary.

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09-12. **FINDING** (Subcontractor invoices not in compliance with contract)

The Illinois Department of Transportation (Department) did not ensure invoices submitted by vendors complied with contract provisions.

- The Department contracted with a vendor for land acquisition relocation assistance and property management services for the South Suburban Airport and paid \$2,924,933 to the vendor during FY09. We reviewed a sample of invoices and noted the Department paid the vendor \$284,190 for seven subcontractors' expenses in 4 of 5 (80%) vouchers tested; however, the Department could not provide written documentation that they had approved those subcontractors prior to the performance of services. The contractual agreement contained the approved subcontractors and the service to be performed. Department management stated they believed these subcontractors were preapproved by email or other communication but were unable to locate the documentation.

- The Department contracted with a consultant for professional engineering services on highway construction. The contract provisions included a subcontractor that was listed on the contract with a maximum payable amount of \$711,988 as of January 15, 2009. We noted the Department was billed and subsequently paid an amount exceeding the maximum listed on the contract by \$103,832 on 9 vouchers over 9 months. Department management stated the subcontractor was a small firm performing lighting inspections. Further, since the overall payments remained under the contracted amounts, these payments were allowed to keep the project moving.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires that agencies establish internal fiscal and administrative controls to provide assurance that resources, obligations and costs are in compliance with applicable laws, rules, and regulations.

Failure to monitor invoices by consultants to ensure the payments comply with contract provisions could result in improper payments to consultants or consultants not performing services as required in the contracts. (Finding Code No. 09-12)

RECOMMENDATION

We recommend the Department ensure consultant payments comply with the provisions of the contracts.

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DEPARTMENT RESPONSE

The Department agrees with the finding.

Procedures are in place to review and approve subcontractor agreements prior to the subcontractor is allowed to perform services. The Department's policy is that the Prime Consultant and subconsultants will not be paid over the upper limits of compensation in the contract. The Department will send notices to all those involved in paying consultant invoices to remind them that invoices showing compensation exceeding the upper limit for the subconsultants should not be paid. A notice will also be sent to Consultants. It will remind them that as a Prime consultant they are responsible for approving the subconsultant invoices before submitting them as part of their invoices to the Department, and that the subconsultants cannot invoice over their upper limit in the contract.

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09-13. **FINDING** (Inadequate controls to prevent inappropriate payments to vendors)

The Illinois Department of Transportation (Department) did not have adequate controls to prevent inappropriate payments to vendors. During testing, we noted eight instances where the Department issued \$103,172 in duplicate or erroneous payments to vendors during FY09.

We obtained a report of potential duplicate vouchers using auditing software and the following 2 of 25 (8%) payments tested were issued twice by the Department:

- \$956 to an employee for lodging, parking and meals reimbursement;
- \$3,400 reimbursement to a local government for overpayment on its share of construction costs on a joint improvement.

We also noted 6 of 25 (24%) refunds tested were received by the Department when vendors returned duplicate or erroneous payments:

- A vendor was paid \$2,367 twice for a scenic byway grant;
- A park district was paid \$1,994 twice for municipal maintenance of State highways;
- Two counties returned checks totaling \$53,027 and \$31,833 for duplicate payments for construction costs and operating assistance to provide public transportation;
- Two vendors returned checks totaling \$7,887 and \$1,708 and stated the Department did not owe them any money.

The Department's accounting system invokes a warning for duplicate payments for invoices if the invoice number already exists or if the payee identification and invoice dollar amount are the same, but the same individual who enters the voucher can override the alert. In addition, there is no centralized report to allow management to review all employee overrides for reasonableness. Further, the system only warns for duplicates within the same accounting entity and fiscal year, and the Department has 35 accounting entities entering vouchers and also has reappropriated accounts that do not lapse at the end of the fiscal year.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires State agencies to establish and maintain a system, or systems, of internal fiscal and administrative controls to provide assurance that funds, property, and other assets and resources are safeguarded against waste, loss, unauthorized use, and misappropriation. In addition, good internal controls require someone other than the

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individual entering a voucher to verify the propriety of the employee's overrides of the duplicate payment warning.

Department management stated during the prior and current engagements that the errors were mainly due to the accounting system's inability to cross check duplicate payments by two separate accounting entities.

Failure to identify duplicate payments and employee overrides of duplicate payments could result in inappropriate payments to vendors. (Finding Code No. 09-13, 08-12, 07-5)

RECOMMENDATION

We recommend the Department implement controls to review the employee override for duplicate payments. In addition, controls should be implemented to prevent duplicate payments between accounting entities and over different fiscal years for the reappropriated accounts. We further recommend the Department obtain reimbursement for any duplicate payments made if not already received.

DEPARTMENT RESPONSE

The Department agrees with the finding.

For Fiscal Year 2010, the Department now requires all Accounting Entities to keep copies of invoices received and sent to the accounting unit. This is to ensure that when a vendor sends a second billing; the Accounting Entity will check FOA to verify the invoice has been processed, if not the Accounting Entity will contact Central Office for verification prior to processing.

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09-14. **FINDING** (Inadequate controls over property)

The Illinois Department of Transportation (Department) did not maintain sufficient controls over its property control and related records.

We noted the following:

- The Department did not timely record 15 of 25 (60%) equipment additions tested, totaling \$658,971 on its property records. These items were recorded from 4 to 281 days late. In addition, 10 of 74 (14%) equipment vouchers tested totaling \$510,307 contained property items that were not recorded on the inventory listing as of 7/15/09. These items were received by the Department between 7/22/08 and 6/9/09. The Illinois Administrative Code (Code) (44 Ill. Adm. Code 5010.400) requires agencies to adjust property records within 30 days of acquisition, change or deletion of equipment items.
- The Department did not record 2 of 25 (8%) equipment additions tested on its property records at full cost as required. One item's recorded value was understated by \$3,300 due to a trade in allowance and the second item was recorded net of discounts, resulting in a \$3,985 understatement. The Code (44 Ill. Adm. Code 5010.240) states agencies must report the purchase price of equipment, must be inventoried, and that the value of trade-in items must not be used to reduce the purchase price.
- Nine of 70 (13%) equipment items tested, totaling \$53,255, were included on the Department's property control records but could not be physically located. In addition, 7 of 70 (10%) equipment items tested, totaling \$31,453, were sent to surplus but remained on the Department's property control records. Further, 1 of 25 (4%) deletions tested totaling \$12,241 was deleted from the property control records in error. The State Property Control Act (Act) (30 ILCS 605/4) requires the Department to be accountable for the supervision, control and inventory of all property under its jurisdiction and control. In addition, the Act (30 ILCS 605/6.02) requires each responsible officer to maintain a permanent record of all items of property under his or her jurisdiction and control.
- The Department did not file a surplus furniture affidavit with the State Surplus Administrator prior to purchasing one new furniture item totaling \$858. The Act (30 ILCS 605/7a) requires agencies to file an affidavit with the State surplus administrator for purchases of new furniture over \$500.

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- Two of 3 (67%) districts tested did not maintain sufficient documentation of items kept in a storage facility waiting to be surplus and picked up by the State contractor. Districts kept surplus items in a locked storage facility until the surplus equipment was enough to fill a truck-trailer load. The districts did not properly track these items from the time they were taken to the storage facility until they were placed on the trailer for surplus. The Act (30 ILCS 605/4) requires the Department to be accountable for the supervision, control and inventory of all property under its jurisdiction and control.

Department management stated, as they did during the prior engagement, the issues noted were mainly due to unresolved staffing issues. In addition, due to the multiple districts and entities within the Department, the Code's requirements for timely additions remains a challenge.

Failure to exercise adequate control over equipment increases the likelihood of inaccurate inventory records and the potential for fraud and possible loss or theft of State property. (Finding Code No. 09-14, 08-13, 07-16)

RECOMMENDATION

We recommend the Department strengthen controls over property control. Specifically, the Department should implement procedures to ensure all equipment additions are recorded timely and accurately. We also recommend the Department ensure all property control transactions are adequately supported and its inventory listing is accurate. We further recommend the Department properly accounts for equipment waiting for surplus.

DEPARTMENT RESPONSE

The Department agrees with the finding.

After the close of field work, the Department met with audit staff and all but one item noted were addressed and resolved. Every effort will be made to strengthen property controls as noted in the recommendation. The Department will be scheduling training for all districts on proper property control procedures.

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09-15. **FINDING** (Inadequate support and untimely deposit of receipt transactions)

We noted the following weaknesses:

- The timeliness of deposit could not be determined for 21 of 75 (28%) receipts tested totaling \$1,281,842 and 22 of 25 (88%) refunds tested totaling \$226,551 because the Department did not maintain documentation of the date received.
- Fourteen of 75 (19%) receipts tested totaling \$15,746,006 were deposited from 1 to 8 days late.

The State Officers and Employees Money Disposition Act (Act) (30 ILCS 230/2 (a)) generally requires each State agency to deposit into the State Treasury individual receipts exceeding \$10,000 in the same day received, an accumulation of receipts of \$10,000 or more within 24 hours, receipts valued between \$500 and \$10,000 within 48 hours, and cumulative receipts valued up to \$500 on the next first or fifteenth day of the month after receipt. The Department had been granted a deposit extension for 8 accounts for the two years ended October 25, 2010. The Act also requires each State agency to keep a detailed, itemized account of money received, including the date of receipt.

Department management stated the issues noted were primarily attributable to the district staff's unfamiliarity with the documentation requirements for receipts.

Untimely deposit of funds collected reduces the amount available to pay current costs. (Finding Code No. 09-15, 08-15, 07-17)

RECOMMENDATION

We recommend that the Department comply with the Act by making timely deposits into the State Treasury and documenting the receipt date.

DEPARTMENT RESPONSE

The Department agrees with the finding.

The Department will instruct appropriate staff to record the date that checks are received on associated remittance documents. Staff will also be instructed to follow applicable deposit requirements. In addition, the Department is in the process of implementing a new check scanning process via the Treasurer's office that will allow prompt deposit of all checks.

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09-16. **FINDING** (Inadequate controls over accounts receivable)

The Illinois Department of Transportation (Department) did not have adequate controls over the administration of its accounts receivables.

The Department did not make adequate collection attempts on 9 of 25 “other” or miscellaneous accounts receivables. During testing, we noted the following:

- The Department did not make any collection attempts on an account receivable totaling \$42,178 despite it being 395 days past due. In addition, 7 of 25 (28%) accounts tested were not referred to the Department’s Bureau of Claims as required. The accounts were from 44 to 1,028 days late before they were referred to the Department’s Bureau of Claims. The Department’s Revenue Accounting Procedures Manual requires the Department to send the receivable to the Bureau of Claims within 15 days after the 3rd demand letter.
- The Department failed to timely send demand notices for 5 of 25 (20%) accounts tested totaling \$3,033,571. The demand notices were sent from 18 to 569 days late. The Department’s Revenue Accounting Procedures Manual requires 3 demand letters to be sent at 30 day increments for delinquent outstanding receivables.
- The Department did not refer 3 of 25 (12%) accounts tested totaling \$584,671 to the Comptroller’s Offset system as required. The receivables were between 717 and 1,028 days late as of 6/30/09.
- Five of the 9 Department districts did not have an adequate method of tracking and monitoring complaints related to billings. The Department collects various fees including overweight fees, sign permits, diesel emissions, and certificates of safety.

The Statewide Accounting Management System (SAMS) Manual (Procedure 26.40.10) requires each agency to individually pursue all reasonable and appropriate procedures available to the agency to effectuate collection of past-due accounts. SAMS (Procedure 26.40.20) further requires agencies to place all debts over \$1,000 and more than 90 days past-due in the Comptroller’s Offset System. In addition, good business practices require procedures for the tracking and monitoring of complaints as they relate to billings.

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Department management stated, as they did during the prior engagement, that some invoices including those that are with other governmental units can be resolved through a more amicable and least costly means.

Failure to attempt or document attempts to collect accounts receivable could result in lost revenues to the State. Failure to timely refer receivables to the Bureau of Claims and to the Comptroller's offset system increases the likelihood that past due amounts owed to the Department will not be collected or the collection will be further delayed. Failure to track or monitor complaints related to billings could result in irregularities in the Department's billing and fee assessment not being detected. (Finding Code No. 09-16, 08-16)

RECOMMENDATION

We recommend the Department pursue all reasonable and appropriate procedures to collect on outstanding debts as required by the Department's Revenue Accounting Procedures Manual and SAMS. We also recommend the Department ensure all debts over \$1,000 and more than 90 days past due are referred to the Comptroller's Offset System. In addition, we recommend the Department establish and implement procedures for the tracking and monitoring of complaints related to billings in all districts.

DEPARTMENT RESPONSE

The Department agrees with the finding.

The Department will continue to pursue all reasonable and appropriate procedures to collect receivables as required by the current procedures. The Department will also continue to place all debts over \$ 1,000 and more than 90 days past due with the IOC Offset system.

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- 09-17. **FINDING** (Lack of supporting documentation for payments from the Comprehensive Regional Planning Fund)

The Illinois Department of Transportation (Department) did not maintain supporting documentation for payments made to recipients from the Comprehensive Regional Planning Fund.

The State Finance Act (Act) (30 ILCS 105/6z-69) was amended effective October 11, 2007, to require the Department to make lump sum distributions, subject to appropriation, from the Comprehensive Regional Planning Fund as soon as possible after each July 1 to certain planning organizations and agencies in the amounts specified in the Act. The Act requires recipients to use the money for comprehensive regional planning purposes. During FY09, the Department was appropriated \$5 million and expended \$4.85 million as stipulated by the Act. We were unable to determine if the funds were used appropriately for planning purposes as the Department did not maintain supporting documentation for the payments made.

The Statewide Accounting Management System (SAMS) Procedure 17.10.20 requires that each State agency maintain all supporting documentation necessary to substantiate their expenditures.

Department management stated, as it did during the prior engagement, that the mandate requiring the lump sum distributions was followed and it relied on the Department's review of single audits to monitor costs during FY09.

Failure to maintain supporting documentation for expenditures made from the Comprehensive Regional Planning Fund could result in those funds being used for other than comprehensive regional planning purposes. (Finding Code No. 09-17, 08-9)

RECOMMENDATION

We recommend the Department ensure proper supporting documentation is maintained for payments made to recipients to ensure that the moneys are used for comprehensive regional planning purposes.

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DEPARTMENT RESPONSE

The Department agrees with the finding.

This program was not funded during this Fiscal Year and will likely be replaced with a new Regional Transportation Planning Program. We will be implementing a requirement of an annual report to the Department with this replacement program.

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- 09-18. **FINDING** (Lack of adequate disaster contingency planning or testing to ensure recovery of applications and data.)

Although some progress had been made since the prior period, the Illinois Department of Transportation (Department) still had not finalized planning efforts for the recovery of its applications and data. Additionally, recovery testing of the applications had not been performed during the audit period.

The Department carries out its daily operations through the use of information technology. Computer systems that support the Department's mission include the Accounting Job Cost System, Bureau of Construction Management System, Fiscal Operations and Administration System, and the Federal Project Control System.

Many of the Department's IT functions were consolidated into the Department of Central Management Services (DCMS), with a physical move of equipment in October 2006. As a result, the Department and DCMS have a shared responsibility over disaster contingency planning.

The Department's Emergency Management Team (EMT) maintained a plan to coordinate overall disaster recovery activities. The Department also maintained approximately 100 Business Recovery Plans (BRPs) for individual business units.

The Bureau of Information Processing (BIP) handled the maintenance and support of existing mainframe applications and client/server applications for the Department. To assess the recovery capability of the Department's application and data, we reviewed the BIP Operations Business Recovery Plan (BRP).

Upon review of the BIP Operations BRP, we noted the BRP did not identify, document and provide for communication of DCMS roles and responsibilities. In addition, the BRP did not provide for procedures and requirements specific to its applications and data, and the communication of these procedures and requirements, to ensure proper coordination of recovery efforts between the Department, DCMS and the user community.

Additionally, at the time of review, the Department was in the process of developing a comprehensive disaster recovery/business continuity program. As a part of the program it was developing a system which would automate the Department's approximately 100 BRPs and per the Department would incorporate roles and responsibilities of both the Department and DCMS at a later phase in the project. The Department had also exchanged informal communications with DCMS regarding roles and responsibilities and started providing DCMS with a listing of its

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applications and their associated recovery priority.

Although the Department shares some responsibility with DCMS, the Department has the ultimate responsibility to ensure it has the capability to recover its applications and data. Even though the Department has improved its planning activities, it was incumbent upon the Department to ensure corrective actions were implemented to ensure its applications and data could be recovered to meet business requirements.

Information technology guidance (including the National Institute of Standards and Technology and Government Accountability Office) endorse the formal development and testing of disaster recovery plans. Tests of disaster recovery plans (and the associated documentation of the test results) verify that the plan, procedures, and resources provide the capability to recover critical systems within the required timeframe.

Department officials represented that the Department continues to develop and update recovery documentation. However, pursuant to 20 ILCS 405/405-410, the environment is now owned, managed, and maintained by DCMS and DCMS had not finalized its recovery documentation associated with the Department's environment. Thus, the Department has been unable to ensure all roles and responsibilities are appropriately established and documented within its recovery plans and that these plans are synchronized with the DCMS plans.

Additionally, the Department continues to provide additional documentation for the DCMS Business Application Database related to Disaster Recovery timeframes and needs of the Department. The Department has been working with DCMS since January 2007 to schedule testing of specific BRPs; however, they have been unable to perform recovery testing of specific applications and data.

The lack of an adequate and tested disaster contingency plan leaves the Department exposed to the possibility of major disruptions of services. A comprehensive test of the plan across all platforms utilized will assist management in identifying weaknesses to ensure recovery procedures are adequate in the event a disaster. Continuous reviews and tests of plans would help management ensure the plans are appropriately modified, as the Department's computing environment and disaster recovery needs change. (Finding Code No. 09-18, 08-22, 07-20, 06-10)

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RECOMMENDATION

The Department should continue developing its comprehensive disaster recovery/business continuity program. The Department should formally communicate to DCMS its recovery requirements, and establish and document guidelines that outline both the Department's and DCMS responsibilities. Specifically, the Department should upgrade its BRPs to include details specific to applications and data.

The Department should coordinate with DCMS and perform and document tests of its BRPs at least once a year. In addition, the BRPs should be continuously updated to reflect environmental changes and improvements identified from tests.

DEPARTMENT RESPONSE

The Department agrees with the finding.

The Department continues to work with DCMS pursuant to Public Act 93-0839 in which DCMS has assumed responsibility for the statewide Information Technology Infrastructure. The Department acknowledges the fact that DCMS is fully responsible for ensuring there is an adequate plan for infrastructure recovery. The Department is demonstrating due diligence by developing Business Recovery plans that will synchronize with DCMS plans to recover/restore the infrastructure. DCMS/BCCS has full responsibility for backup schedules and procedures for all Consolidated Agencies. At such time that DCMS is able to provide an adequate recovery plan, IDOT Business Recovery Plans will be modified to synchronize these plans. The Department continues to provide additional documentation for the DCMS Business Application database related to Disaster Recovery timeframe and needs of the Department. The Department has been working with DCMS since January 2007 to schedule testing of specific BRP.

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- 09-19. **FINDING** (Noncompliance with provisions of an Illinois Commerce Commission Order)

The Illinois Department of Transportation (Department) did not comply with the provisions of an Illinois Commerce Commission (Commission) Order.

The Commission issued an Order on February 25, 2009 for provisions of a Grade Crossing Protection Fund (GCPF) project for improving public safety at a highway rail grade crossing. The Order required payment of \$50,000 by the Department from the GCPF within 60 days of the receipt of a notice from the municipality that the road had been closed. The Department received notification of the road closure on April 27, 2009; however, they issued payment on March 18, 2009, 40 days prior to receipt of the required notice.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires that agencies establish internal fiscal and administrative controls to provide assurance that resources, obligations and costs are in compliance with applicable laws, rules, and regulations.

Department management stated they wanted to ensure they followed the requirement of the Order that required the payment to be issued within 60 days.

Receipt of the required notice of road closure prior to payment was required by the Order and would ensure the public safety project was proceeding as planned. (Finding Code No. 09-19)

RECOMMENDATION

We recommend the Department ensure it issues GCPF project payments in accordance with the related Orders.

DEPARTMENT RESPONSE

The Department agrees with the finding.

The Department will work with the Illinois Commerce Commission to identify and resolve areas of possible conflict.

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- 09-20. **FINDING** (Failure to submit required report to the National Highway Safety Advisory Committee)

The Illinois Department of Transportation (Department) did not submit a required report to the National Highway Safety Advisory Committee (Committee).

The Illinois Vehicle Code (Code) (625 ILCS 5/11-414) requires the Department to submit a report of school bus accidents and accidents resulting in personal injury to or the death of any person within 50 feet of a school bus while awaiting or preparing to board the bus or immediately after exiting the bus to the Committee annually or as requested by the Committee. The Department did not submit a report to the Committee during FY09.

Department management stated the National Highway Safety Advisory Committee has not existed for several years; therefore, reports have not been sent to or requested by the Committee.

Failure to submit the report of school bus accidents to the Committee is noncompliance with the Code. (Finding Code No. 09-20)

RECOMMENDATION

We recommend the Department submit the required report or seek legislation changes to eliminate this reporting requirement if the Committee no longer exists.

DEPARTMENT RESPONSE

The Department agrees with the finding.

According to the National Highway Traffic Safety Administration, The National Highway Safety Advisory Committee no longer exists. The Department will work with its Legislative Office to eliminate this requirement from the Code.

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PRIOR FINDINGS NOT REPEATED
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A. **FINDING** (Revenue Recognition / Deferred Revenue)

During the prior period, the Illinois Department of Transportation (Department) failed to pro-rate Federal receipts between FY08 and FY09 for projects that had costs incurred during both fiscal periods. Also, a material amount of revenue which was earned prior to year end but received after the Department's cut-off date of its review of lapse period receipts, was not recorded until the following year.

During the current period, the Department accepted the recommendation to review accounts receivable monthly to ensure that reimbursement projects are billed on a current basis and that receipts, where applicable, are prorated between periods for accurate recognition in the appropriate accounting period. The Department now captures data on federal revenues collected in July and August and the related expenditure data, including the service dates, which generated the revenue, to accurately prorate that data into the fiscal year in which it was earned. We noted no exceptions during our testing of revenue recognition/deferred revenue during the current audit. (Finding Code No. 08-1)

B. **FINDING** (Restatement of Shared Revenues)

During the prior period, the Department restated the beginning fund balance for fuel tax revenues which were not properly accrued as revenues for FY07.

During the current period, the Department accepted the recommendation that procedures were needed to ensure disbursements of shared revenues were accrued to the extent the revenues were earned. At June 30, 2009, the shared revenues pertaining to fuel taxes appeared properly accrued in all material respects. No restatements were made to the financial statements. (Finding Code No. 08-3)

C. **FINDING** (Insufficient documentation to substantiate the selection of architect and engineer consultants)

During the prior period, the Department did not maintain the detailed information regarding need for architect and engineer (a-e) consultants, did not maintain all bidding vendors' statements of interests, and did not have all the required members in the Selection Committee.

During the current period, the Department revised its written procedures (Departmental Order) for the awarding of a-e consultants. Our sample testing showed the Department maintained all required documentation and complied with the revised procedures. (Finding Code No. 08-4)

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- D. **FINDING** (Failure to maintain adequate documentation to substantiate the awarding of contracts for land acquisition services)

During the prior period, District Engineers did not submit a memorandum justifying the need for such services to the Director of Highways (Director) before the request for proposal (RFP) was issued. In addition, the Director did not approve all members of the Selection Committee, the Department did not maintain vendors' proposals that were not awarded the contract, and the Department did not give the appropriate notification to a vendor not considered responsive.

During the current period, the Department revised its written procedures (Departmental Order) for the awarding of land acquisition services. Our sample testing showed the Department was in compliance with the revised procedures. (Finding Code No. 08-5)

- E. **FINDING** (Failure to timely perform bridge inspections)

During the prior period, the Department and local agencies were delinquent in performing 307 bridge inspections. In addition, bridges changed to a 36 month inspection interval did not meet federally approved methodology because of the condition of the deck, super structure or sub structure. Furthermore, the Department needed to continue to improve the quality of the bridge inspection data.

During the current period, the Department was delinquent in performing only one bridge inspection and local agencies were delinquent in performing 102 bridge inspections, significantly decreasing the total of delinquent bridge inspections to 103. Our testing showed all bridge inspection intervals met the federally approved methodology for rating the condition of the desk, super structure, or sub structure. In addition, the Department improved the quality of its bridge inspection data by allowing the districts to update incorrect information, which appeared to improve accuracy of the data. (Finding Code No. 08-6)

- F. **FINDING** (Failure to properly monitor payments to land acquisition appraisers and architect-engineer (a-e) consultants)

During the prior period, the Department allowed land acquisition appraisers to begin work from 7 to 117 days after the date of the executed work orders. In addition, the Department allowed vendors to begin work before the receipt of the executed work orders. Further, work orders did not include the submittal date, files did not contain an appraisal review certification or a review appraiser's signature, and a-e consultants began work before the date of authority to proceed.

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During the current period, our sample testing showed the Department monitored payments to land acquisition appraisers and a-e consultants to ensure they were in compliance with the contract. (Finding Code No. 08-10)

- G. **FINDING** (Inadequate control over procurement activities and failure to receive required reports)

During the prior period, the Department did not maintain adequate documentation in its procurement file, failed to post the appropriate notification on the Illinois Procurement Bulletin (Bulletin), and did not timely receive reports required by the contract.

During the current period, our sample testing showed adequate documentation was maintained in procurement files, required notifications were posted on the Bulletin and the Department received reports required by the contract timely. (Finding Code No. 08-11)

- H. **FINDING** (Inadequate support for payments made for consolidated services)

During the prior period, the Department made payments for Information Technology (consolidated services) to the Department of Central Management Services (DCMS) without supporting documentation.

During the current period, our sample testing showed the Department maintained adequate support for payments made for consolidated services. (Finding Code No. 08-18)

- I. **FINDING** (Inadequate documentation of fees reported on the Agency Fee Imposition Report)

During the prior period, the Department did not maintain supporting documentation for the fees reported on its Agency Fee Imposition Report (Report).

During the current period, the Department maintained support for the fees reported on its Report. (Finding Code No. 08-19)

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PRIOR FINDINGS NOT REPEATED
For the Year Ended June 30, 2009

J. **FINDING** (Inadequate computer security controls)

During the prior period, the Department had not established adequate controls for securing its computer resources.

During the current period, we noted the Department had made improvements in controls by updating security policies and implementing a security awareness program. (Finding Code No. 08-21)

K. **FINDING** (Failure to properly complete employee performance evaluations)

During the prior period, the Department did not properly complete or did not timely complete employee performance evaluations.

During the current period, our sample testing noted fewer instances of performance evaluations not completed or not timely completed; therefore, the issue was reported in our letter of Immaterial Findings. (Finding Code No. 08-25)

L. **FINDING** (Grant monitoring reports not received timely)

During the prior period, the Department did not receive grant monitoring reports timely.

During the current period, the Department improved their procedures and had fewer reports not received timely; therefore, the issue was reported in our letter of Immaterial Findings. (Finding Code No. 08-26)

M. **FINDING** (Failure to appoint an employee or determine the establishment of the Disadvantaged Business Enterprise Program Committee)

During the prior period, the Department did not appoint an employee or determine the establishment of Disadvantaged Business Enterprise Program Committee (Committee).

During the current period, legislation was passed to remove the requirement for the Department to appoint a member to the Committee. (Finding Code No. 08-27)

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PRIOR FINDINGS NOT REPEATED
For the Year Ended June 30, 2009

- N. **FINDING** (Failure to establish an operable permanent noise monitoring system)

During the prior period, the Department failed to establish and operate a permanent noise monitoring system and file required semiannual reports.

During the current period, legislation was passed to require the airport sponsor or municipality to operate the permanent noise monitoring system and file the semiannual reports. (Finding Code No. 08-28)

- O. **FINDING** (Failure to obtain required reports from capital assistance grantees)

During the prior period, the Department did not require municipalities, districts or carriers applying for capital assistance to provide an annual audit report or annual statement of assets, revenues and expenditures

During the current period, there was a change in legislation and annual statements and audit reports are required to be submitted only when requested by the Department as part of an inspection rather than during the application process. (Finding Code No. 08-29)

- P. **FINDING** (Failure to have a member serve on the Mississippi River Coordinating Council)

During the prior period, the Department's Secretary or designee did not serve on the Mississippi Coordinating Council (Council).

During the current period, the Secretary appointed a designee to serve on the Council.
(Finding Code No. 08-30)

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DEPARTMENT OF TRANSPORTATION
MANAGEMENT AUDIT FOLLOW-UP
For the Year Ended June 30, 2009

As part of the FY09 Financial Audit and Compliance Examination of the Illinois Department of Transportation (Department), we followed up on the status of several management audits. These included the:

- Management audit of the Department's Aeronautics Operations (Released January 2007);
- Management audit of the State's Business Enterprise Program and the Department's certification of businesses as Disadvantaged Business Enterprise (DBE) program through the Illinois Unified Certification Program (ILUCP) (Released June 2006); and
- Management and Program audit of the Department's Traffic Safety Programs (Released March 2006).

This is the third time follow-up has been conducted for the management audits of the Department's Aeronautics Operations and DBE program. This is the fourth time follow-up has been conducted for the recommendations contained in the management and program audit of Traffic Safety. The follow-up we conducted was only for those recommendations that have not been fully implemented by the Department. The exhibit on the following page summarizes the recommendations from these audits that have not been fully implemented and the status of those recommendations. A more detailed summary of each recommendation and its status is also included on the following pages.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
MANAGEMENT AUDIT FOLLOW-UP
For the Year Ended June 30, 2009

STATUS OF PAST MANAGEMENT AUDIT RECOMMENDATIONS					
FY 2009 Financial Audit and Compliance Examination					
Audit	#	Recommendation Description	Status		
			Implemented	Partially Implemented	Not Implemented
Aeronautics	2	Review Rates Charged to Users		√	
Aeronautics	3	Examine Cost Effectiveness of Air Operations	√		
Aeronautics	4	Flight Requests Made in Writing			√
DBEs	18	Certification Timeliness			√
DBEs	19	File Documentation	√		
DBEs	21	Tracking and Decertifications		√	
Traffic Safety	2	DTS Staffing and Organization		√	
Traffic Safety	9	Maximizing Federal Reimbursements		√	
Traffic Safety	10	Efficiency and Effectiveness Reviews		√	
TOTAL			2	5	2
Source: Summary of Department Follow-up.					

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
MANAGEMENT AUDIT FOLLOW-UP
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ILLINOIS DEPARTMENT OF TRANSPORTATION’S AERONAUTICS OPERATIONS

The Illinois Office of the Auditor General (OAG) conducted a management audit of the Illinois Department of Transportation’s (Department) Aeronautics Operations pursuant to Legislative Audit Commission Resolution Number 135. The audit was released in January 2007 and contained 6 recommendations to the Department. Three of the recommendations from the original audit (#1, #5, and #6) had been implemented prior to this follow-up. The remaining recommendations are listed below.

Summary of Recommendation Status As of June 30, 2009			
Recommendations	Implemented?		
	Yes	Partially	No
Review Rates Charged to Users		√	
Examine Cost Effectiveness of Air Operations	√		
Flight Requests Made in Writing			√
Total	1	1	1

Recommendation 2 - Review Rates Charged to Users

The Department should develop a written policy that requires a periodic review of its rates charged to users of the State’s aircraft. The Department should also develop a methodology to set the rates charged to users and determine the costs that should be recovered. If the Department develops a rate that does not recover the full cost of operating the State’s aircraft, the full cost information should be made available so that it will make transparent the amount of subsidy involved in providing aircraft services.

Recommendation 3 - Examine Cost Effectiveness of Air Operations

The Department should periodically examine the cost effectiveness of its air operations. The analysis should also determine the optimum fleet size and whether all current aircraft are needed.

Recommendation 4 - Flight Requests Made in Writing

The Department should ensure that all flight requests are made in writing as required by statute or seek legislative change to alter the requirement.

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DEPARTMENT OF TRANSPORTATION
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For the Year Ended June 30, 2009

Recommendation:	Review Rates Charged to Users
Recommendation No.:	2

Synopsis of
Management Audit
Finding:

The amounts billed by the Department to users of the State’s aircraft were not sufficient to cover the cost of operating the State’s aircraft for fiscal years 2003 – 2006. On average the amounts billed covered only 14.3 percent of the cost of operating the State’s aircraft over the four-year period. Over the four-year period, costs totaled \$19.97 million while amounts billed to users totaled \$2.85 million. Since amounts billed to users did not cover costs, money from other sources such as the Road Fund and the General Revenue Fund were being used to subsidize the cost of air transportation services.

The business rate charged to users of the State’s aircraft has not been increased since 1981. The rate for personal and political users was last increased in 1995. The Department lacked a system or methodology to set the rates that are charged to users. The Department also lacked a written policy that would require a periodic examination of the rate structure to determine if rates need to be adjusted.

The Travel Regulation Council has established Administrative Rules that require that “*All travel shall be by the most economical mode of transportation available considering travel time, costs, and work requirements.*” (80 Ill. Adm. Code 3000.300) If agencies do not know the true cost of using the State’s aircraft, they cannot determine if using the State’s aircraft provides the most economical mode of transportation.

We recommended that the Department should:

- Develop a written policy that requires a periodic review of its rates charged to users of the State’s aircraft;
- Develop a methodology to set the rates charged to users; and
- Determine the costs that should be recovered.

We also recommended that if the Department developed a rate that does not recover the full costs of operating the State’s aircraft, the full cost information should be made available so that it will make transparent the amount of subsidy involved in providing aircraft services.

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MANAGEMENT AUDIT FOLLOW-UP

For the Year Ended June 30, 2009

Status:

Partially Implemented

As stated in previous follow-up, the Department has not developed a written methodology to set the rates charged to users or determine the costs that should be recovered. The Department also has not developed a formal written policy that requires a periodic review of its rates charged to the users of the State's aircraft.

Although a formal policy has not been developed to review rates, a Preventive Action Request (an internal form used by Department auditors) has been prepared. The Preventive Action Request states: "The Bureau Chiefs of Administrative Services and Air Operations will make recommendations regarding the cost of aircraft operations available to the Director of Aeronautics by July 15 of each year. The Director of Aeronautics will forward information on cost and recommendations (if any) to the Director of Finance and Administration by August 1. The Director of Finance and Administration will forward recommendations (if any) to the Secretary of Transportation by August 15 of each year for the following year's budgeting process." According to the Department's Division of Aeronautics officials, the Department considers the Preventive Action Request to be policy. According to Department officials, no recommendation memo was sent for July 2009. Department officials stated that they have proposed changing the July 15th date to August 15th because of the fiscal year lapse period in order to capture all applicable expenses.

In FY08 the Department reviewed the rates charged for use of the Executive King Air Aircraft. Beginning July 1, 2007, the business rate for State flights on the King Air Aircraft was raised from \$0.41 to \$0.68 per nautical mile. This increase was based on the State negotiated fare with a private airline for the same route, not on the actual cost of the service.

In FY08 the Department also increased the rate charged for personal and political use of the State aircraft. Effective September 1, 2007, the rate for personal/political use of the King Air Aircraft increased from \$6.00 to \$8.16 per nautical mile or 36 percent. Our FY09 analysis showed that the actual cost of operating the King Air Aircraft was \$16.86 per passenger mile.

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The mixed rate was increased in FY08 from \$0.75 to \$1.02 per passenger mile or about 36 percent. Our FY09 analysis showed that the cost per passenger seat-mile based on a full capacity (9) was \$1.87. The rate for use of the Executive Sikorsky helicopters dropped significantly in FY09. Total passenger hours dropped from 90.3 hours in FY08 to 45.6 hours in FY09.

Recommendation:	Examine Cost Effectiveness of Air Operations
Recommendation No.:	3

Synopsis of
Management Audit
Finding:

Department officials have stated in the past that their goal is not to recover the cost of operating the State's aircraft. However, if the goal was to recover the cost, the Department would need to raise the rate charged for the executive aircraft from \$0.41 per seat-mile to \$1.85 per seat-mile. For the executive helicopters, the Department would need to increase the amount charged from \$84.00 per seat-hour to \$1,861.50 per seat-hour.

The Department has not fully analyzed the cost effectiveness of its air operations and has not examined whether alternative means exist to fulfill the travel needs of State employees. The Department has also not analyzed the optimum fleet size needed. Periodically examining its cost effectiveness and optimal fleet size is critical in identifying opportunities to reduce aircraft costs and in identifying inefficiencies.

In fiscal year 2006, for the 4 Beechcraft aircraft, there were 82 days where none were used, 90 days when 1 aircraft was used, 108 days when 2 of the aircraft were used, 74 days when 3 of the aircraft were used, and 11 days when all 4 aircraft were used. For the 2 Sikorsky helicopters, in fiscal year 2006, there were 288 days when neither helicopter was used, 74 days when 1 helicopter was used, and 3 days when both helicopters were used.

We recommended that the Department should periodically examine the cost effectiveness of its air operations and that this analysis should determine the optimum fleet size and whether all aircraft are needed.

Status:
Implemented

According to Department officials, the Department reviews optimal fleet size and appropriateness for the State's Aeronautical mission and it is an integral part of the review of costs of aircraft operations.

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The Department provided a one paragraph memo dated July 10, 2009 that stated a review had been conducted of “the current make-up of the executive aircraft fleet and assessment of its effectiveness.” The memo recommended that all existing aircraft be retained at this time. However, when auditors requested documentation of this analysis that had been conducted, the Department could not provide any. Although the memo documented that a review had been conducted, it is unclear how the Department arrived at their conclusions.

Although the fleet size has not changed and the Department recommended that the fleet size remain the same, passenger miles are down 25 percent from FY08 to FY09. Total passenger miles for FY08 totaled 273,566 miles but dropped to 206,106 miles for FY09. The cost of operating the Beechcraft King Air Aircraft was also down from \$4,851,475 in FY08 to \$3,473,976 for FY09, a decrease of 28 percent. The cost per passenger seat-mile based on average passengers decreased from \$3.62 in FY08 to \$3.37 in FY09.

The cost of operating the Sikorsky helicopters increased 23 percent from FY08 to FY09 (\$464,368 to \$571,605). The cost per seat-hour based on average passengers increased significantly from \$1,390 in FY08 to \$3,408 in FY09.

The following exhibits present data for the operations of the Beechcraft King Air Aircraft and Sikorsky helicopters for the period FY06 through FY09.

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COST PER PASSENGER MILE OF OPERATING THE BEECHCRAFT KING AIR AIRCRAFT					
Fiscal Years 2006 – 2009					
	<u>FY06</u>	<u>FY07</u>	<u>FY08</u>	<u>FY09</u>	<u>Total</u>
Cost of Operating the Beechcraft King Air Aircraft					
Beechcraft N961LL	\$768,471	\$820,477	\$1,739,627	\$838,988	\$4,167,563
Beechcraft N971LL	\$837,441	\$791,707	\$981,405	\$831,097	\$3,441,650
Beechcraft N981LL	\$775,166	\$804,463	\$1,073,430	\$796,395	\$3,449,453
Beechcraft N991LL	<u>\$770,881</u>	<u>\$889,595</u>	<u>\$1,057,014</u>	<u>\$1,007,496</u>	<u>\$3,724,985</u>
Total costs	<u>\$3,151,958</u>	<u>\$3,306,242</u>	<u>\$4,851,475</u>	<u>\$3,473,976</u>	<u>\$14,783,651</u>
Cost Per Passenger Mile Calculation					
Total passenger miles	276,727	272,042	273,566	206,106	1,028,441
Cost per passenger mile	\$11.39	\$12.15	\$17.73	\$16.86	\$14.37
Cost per passenger seat-mile based on capacity of 9 seats	<u>\$1.27</u>	<u>\$1.35</u>	<u>\$1.97</u>	<u>\$1.87</u>	<u>\$1.60</u>
Average passengers	5.3	5.1	4.9	5.0	5.1
Cost per passenger seat-mile based on average passengers	<u>\$2.15</u>	<u>\$2.38</u>	<u>\$3.62</u>	<u>\$3.37</u>	<u>\$2.83</u>
Business Rate Per Seat-Mile					
Business rate per seat-mile	<u>\$0.41</u>	<u>\$0.41</u>	<u>\$0.68</u>	<u>\$0.68</u>	
Note: Totals may not add due to rounding.					
Source: OAG analysis of Department cost information and flight data.					

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COST PER PASSENGER HOUR OF OPERATING THE SIKORSKY HELICOPTERS					
Fiscal Years 2006– 2009					
	<u>FY06</u>	<u>FY07</u>	<u>FY08</u>	<u>FY09</u>	<u>Total</u>
Cost of Operating the Sikorsky Helicopters					
Sikorsky N751LL	\$453,357	\$372,905	\$183,763	\$365,500	\$1,375,526
Sikorsky N761LL	<u>\$477,984</u>	<u>\$511,078</u>	<u>\$280,604</u>	<u>\$206,105</u>	<u>\$1,475,771</u>
Total costs	<u>\$931,342</u>	<u>\$883,982</u>	<u>\$464,368</u>	<u>\$571,605</u>	<u>\$2,851,297</u>
Cost Per Passenger Hour Calculation					
Total passenger hours	106.1	90.9	90.3	45.6	332.9
Cost per hour	\$8,780.72	\$9,724.78	\$5,142.50	\$12,530.62	\$8,565.45
Cost per seat-hour based on capacity of 6 seats	<u>\$1,463.45</u>	<u>\$1,620.80</u>	<u>\$857.08</u>	<u>\$2088.44</u>	<u>\$1,427.58</u>
Average passengers	3.4	4.0	3.7	3.7	3.7
Cost per seat-hour based on average passengers	<u>\$2,582.56</u>	<u>\$2,431.19</u>	<u>\$1,389.86</u>	<u>\$3,408.33</u>	<u>\$2,318.67</u>
Rate Per Seat-Hour					
Rate per seat-hour	<u>\$84.00</u>	<u>\$84.00</u>	<u>\$84.00</u>	<u>\$84.00</u>	
Note: Totals may not add due to rounding.					
Source: OAG analysis of Department cost information and flight data.					

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
MANAGEMENT AUDIT FOLLOW-UP
For the Year Ended June 30, 2009

Recommendation:	Flight Requests Made in Writing
Recommendation No.:	4

Synopsis of
Management Audit
Finding:

Flight requests from State agencies were not made in writing as required by statute. State statute specifies that “*All requests for air transportation shall be made in writing and shall be signed by the executive officer or employee of the office, department, or agency.*” (20 ILCS 2705/2705-225) Contrary to State statute, all flight requests were made by calling the Department. A written request form would document proper authorization of all flights.

We recommended that the Department should ensure that all requests be made in writing as required by statute or seek legislative change to alter the requirement.

Status:
Not Implemented

According to Division of Aeronautics officials, the Department has prepared a legislative package to revise the Statute requiring that flight requests be made in writing.

However, flight requests are still not being made in writing and the requirement remains in law. As long as this requirement is contained in the State statutes and requests are not made in writing, this recommendation will continue to be repeated as not implemented.

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DEPARTMENT OF TRANSPORTATION
MANAGEMENT AUDIT FOLLOW-UP
For the Year Ended June 30, 2009

DISADVANTAGED BUSINESS ENTERPRISE PROGRAM

The Illinois Office of the Auditor General (OAG) conducted a management audit of the Illinois Department of Transportation's (Department) Disadvantaged Business Enterprise (DBE) program pursuant to Senate Resolution Number 102. The audit was released in June 2006 and contained 6 recommendations to the Department. Three of the recommendations in the original audit (#16, #17, and #20) had been implemented prior to this follow-up. The remaining recommendations are listed below.

Summary of Recommendation Status As of June 30, 2009			
Recommendations	Implemented?		
	Yes	Partially	No
Certification Timeliness			√
File Documentation	√		
Tracking and Decertifications		√	
Total	1	1	1

Recommendation 18 - DBE Certification Timeliness

The Department should take the steps necessary to complete certifications within required timeframes. Furthermore, controls should be implemented so that officials can effectively monitor the timeliness of certifications and the certification analyst assigned.

Recommendation 19 - DBE File Documentation

The Department should ensure that complete and current documentation is obtained from applicants during the certification process and included in the certification files. The Department should also consider revisions to its record keeping process in order to make files more manageable.

Recommendation 21 - DBE Tracking and Decertifications

The Department should more closely track when No Change Affidavits and recertifications are due and decertify vendors that do not file the required applications and affidavits in a timely manner.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
MANAGEMENT AUDIT FOLLOW-UP
For the Year Ended June 30, 2009

Recommendation:	DBE Certification Timeliness
Recommendation No.:	18

Synopsis of
Management Audit
Finding:

The Department did not comply with federal timeliness requirements. We found that only 43 percent of DBE applications (21 of 49) were processed within the required 90-day federal timeframe. In addition to not complying with federal regulations, the untimely processing also impacts the effectiveness of the analyst's review.

The Department took, on average, 131 days (from the date the Department received all required information from the applicant to the effective date of certification) to complete their review and certify DBE applicants. Five applications took over 250 days to process, with 2 applications taking over 400 days. This does not include the days spent by external auditors (on contract with the Department) reviewing an applicant's personal net worth.

We asked Department officials how they track timeliness and analyst assignments. Department officials responded that they had a database and reports that could be used to track cases. According to Department officials, the Certification Analyst is responsible for moving the certification process forward, providing data for input into the database, and reporting to the section manager/unit manager.

From our testing results and the reports provided, it did not appear that the Department was adequately tracking certifications, renewals, or analyst assignments to determine if the time requirements were being met. The Certification Section Manager eventually completed some of the cases that took an inordinate amount of time; including the 2 cases that we tested that took more than 400 days to complete.

We recommended that the Department should take the steps necessary to complete certifications within required timeframes. Furthermore, controls should be implemented so that officials can effectively monitor the timeliness of certifications and the certification analyst assigned.

STATE OF ILLINOIS
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MANAGEMENT AUDIT FOLLOW-UP
For the Year Ended June 30, 2009

Status:
Not Implemented

The Department still needs to improve the timeliness of processing certifications and recertifications. We reviewed 20 DBE certification files. These certifications included 10 firms that were certified for the first time during FY09, 5 firms that were recertified during FY09, and 5 firms that were more than 90 days past the 5-year anniversary date of their last certification.

Of the 15 firms that were certified or recertified during FY09, none of the certifications or recertification was completed within the 90 day requirement. For these firms, the time to complete the certification or recertification ranged from 117 days to 438 days.

According to Department officials the majority of timeliness issues are a direct result of a lack of resources (e.g. staff and overtime). The timeliness issues have been compounded by the number of firms who's DBE Continued Eligibility Affidavits became due as well as an increase in the number of initial applications submitted. According to Department officials, for FY09 the section received 544 cases for adjudication.

Recommendation:	DBE File Documentation
Recommendation No.:	19

Synopsis of
Management Audit
Finding:

The Department's DBE certification files tested did not always contain all the required information. Our testing of file documentation was complicated because of the extensive timeliness problems in processing certifications and changing documentation requirements. The Department and the other members of the Illinois Unified Certification Program (IL UCP) agreed to formulate a set of standard policies and procedures for processing certifications and annual no-change affidavits. The Department implemented these procedures in September 2003.

Although the Department's requirements may have changed in some instances, the Department had not conducted follow-up during the regular certification cycle to bring certification files into compliance with current requirements. In addition, in cases in which applicants filed an extension to file either corporate or individual tax returns, the tax returns were not filed with the Department after the extension had expired and staff did not follow up to obtain these items.

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Timeliness of processing may have led to some of the files missing financial information, such as the statements of personal net worth (PNW). Some files took so long to certify or recertify that more recent tax, gross receipts, and PNW information should have been available. Of the files that took more than 200 days to certify, 5 of the 9 were missing a current statement of personal net worth. In these cases, the analyst did not obtain an updated statement.

For 5 of the 50 files sampled, we questioned whether the file contained adequate documentation of ethnicity/gender. We also questioned whether there was adequate documentation of citizenship in 4 of the 50 files sampled. Three of these 4 were the same cases for which we questioned proof of ethnicity/gender.

In September 2003, the Department began requiring all applicants to submit at least 2 forms of identification for proof of citizenship and ethnicity and gender. Only 6 of the 50 files we tested were certified after September 2003. Two of the 6 certifications processed after September 2003 did not have the required 2 forms of identification.

Two of the 50 files did not contain documentation that a site visit was conducted within the last 5 years. According to Department officials, for 1 of the 2 files missing a site visit, the visit was conducted but was not documented in the file.

Although the Department was missing required information in some certification files, all 50 certification files contained an audit/summary. The audits/summaries discussed each certification, concerns regarding control or ownership, and the basis for issuing the certification.

We recommended that the Department ensure that complete and current documentation is obtained from applicants during the certification process and included in the certification files. The Department should also consider revisions to its record keeping process in order to make files more manageable.

Status:
Implemented

The Department has improved its file documentation for DBEs. Of the 10 new certifications we tested during FY09, all files contained the required file documentation for proof of eligibility (documentation of ethnicity, personal net worth, gross receipts, etc.).

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
MANAGEMENT AUDIT FOLLOW-UP
For the Year Ended June 30, 2009

Recommendation:	DBE Tracking and Decertifications
Recommendation No.:	21

Synopsis of
Management Audit
Finding:

Of the 50 certifications we tested, there were 3 vendors that did not file the required no-change affidavit or recertification application and information with the Department in a timely manner.

One certification had expired months prior to the applicant applying for recertification. This firm's certification expired in June 2005; however, the recertification application was not received by the Department until January 2006. The firm remained certified during the interim.

In 2 other cases, the firm did not file the required no-change affidavit in a timely manner. In one case a no-change affidavit, which was due in January 2005, was not received by the Department until July 13, 2005. This firm remained a certified DBE despite filing a no-change affidavit 6 months late. In the other case a no-change affidavit was due in September 2005. The Department sent the firm a notice to submit the no-change affidavit in July 2005. At the time of our testing in February 2006, the Department still had not received the no-change affidavit but the firm continued to be listed as a certified DBE.

According to the Department's responses to our testing, this firm should have been decertified.

We recommended that the Department should more closely track when no-change affidavits and recertifications are due and decertify vendors that do not file the required applications and affidavits in a timely manner.

Status:
Partially Implemented

The Department's tracking of recertifications continues to be a problem. DBEs are required to recertify their eligibility every five years. We identified 51 firms that, as of July 1, 2009, were more than 90 days past their five-year anniversary date and had yet to be recertified. The number of days past due for these 51 firms varied from 91 days to 516 days past due. Of the 51 firms, 30 were more than six months past their five year anniversary date.

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According to Department officials, staffing shortages significantly impact this finding. The section's senior analyst, who had an existing caseload of 100+ firms, was not assigned any cases from May 2008 – December 2008. Two new analysts, hired in April/May 2008, did not receive a full caseload (initial and renewal cases) until August 2008. Due to the complexity of some cases they were not prepared to adjudicate them in a timely manner due to their level of experience. According to Department officials, they are continuing to work with the Department's Bureau of Information Processing to develop an enhancement to the DBE database which may result in a system that will allow the Department to more closely track when No Change Affidavits and Continuing Eligibility Affidavits are due and remove the eligibility of firms that do not file the required documentation in a timely manner.

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MANAGEMENT AUDIT FOLLOW-UP
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ILLINOIS DEPARTMENT OF TRANSPORTATION’S TRAFFIC SAFETY PROGRAMS

The Illinois Office of the Auditor General (OAG) conducted a management and program audit of the Illinois Department of Transportation’s (Department) Traffic Safety Programs pursuant to Legislative Audit Commission Resolution Number 129. The audit was released in March 2006 and contained a total of 11 recommendations to the Department. Follow-up conducted for these recommendations concluded that 3 of the 11 original recommendations had not been fully implemented (recommendations 2, 9, and 10). The remaining recommendations are listed below.

Summary of Recommendation Status As of June 30, 2009			
Recommendations	Implemented?		
	Yes	Partially	No
DTS Staffing and Organization		√	
Maximizing Federal Reimbursements		√	
Efficiency and Effectiveness Reviews		√	
Total	0	3	0

Recommendation 2 – Department of Traffic Safety (DTS) Staffing and Organization

The Department should:

- *clarify the lines of authority and responsibility in the organization and update the organizational charts and program descriptions; and*
- *determine what qualifications and educational experience are required for positions within DTS.*

Recommendation 9 – Maximizing Federal Reimbursements

The Department should maximize federal reimbursement by:

- *seeking reimbursement for all eligible staff from NHTSA; and*
- *capturing and billing for all allowable direct and indirect costs for eligible DTS personnel.*

Recommendation 10 – Efficiency and Effectiveness Reviews

The Department should begin a program of assessing the effectiveness and efficiency of Motor Carrier Safety Assistance programs. The Department should also conduct a review of the DTS to assess the efficiency of traffic safety operations.

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MANAGEMENT AUDIT FOLLOW-UP
For the Year Ended June 30, 2009

Recommendation:	DTS Staffing and Organization
Recommendation No.:	2

Synopsis of
Management Audit
Finding:

Changes that occurred within DTS led to several organizational issues. In some cases the lines of responsibility had become unclear and some functions were being performed by individuals in a manner that was inconsistent with their organizational placement and responsibilities. An April 2005 National Highway Traffic Safety Administration (NHTSA) management review also found that there were individuals with DTS responsibilities that did not appear on DTS's organizational chart or in the program descriptions. Project monitoring and claims reimbursement were fragmented among 4 Department organizational units.

Staff Qualifications

The Department laid off 15 individuals from the Safety Projects Section as part of its reorganization on July 1, 2004. Some staff hired to replace the laid off employees had limited educational backgrounds and experience related to the desired qualifications. Department documentation did not clearly show that new employees possessed the desired educational qualifications and/or the experience requirements listed on the Department's position descriptions.

Department position descriptions had "desired" qualifications that included "required" education and experience requirements. Given that the "required" requirements were under the "desired" heading, the descriptions did not clearly define what qualifications, if any, were required.

We recommended that the Department should:

- clarify the lines of authority and responsibility in the organization and update the organizational charts and program descriptions; and
- determine what qualifications and educational experience are required for positions within the DTS.

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DEPARTMENT OF TRANSPORTATION
MANAGEMENT AUDIT FOLLOW-UP
For the Year Ended June 30, 2009

Status:

Partially Implemented

In the previous follow-up, we reported that the Department had reassigned staff and clarified the lines of authority for others that we questioned in the management audit. The Department officially assigned the individual who worked for Finance and Administration to DTS. The duties of two other DTS employees have been defined to more accurately meet their actual job title. DTS has also updated its organizational charts to reflect the location and duties of employees.

As part of our FY09 follow-up, the Department provided us with updated program descriptions for FY09. Although the Department also provided position descriptions, these descriptions were last updated in 1996 and do not include required qualifications related to education and experience. According to DTS officials, this was to be completed by December 31, 2007. However, as of June 2009, this had not been accomplished.

Recommendation: Maximizing Federal Reimbursements Recommendation No.: 9
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Synopsis of
Management Audit
Finding:

The Department was not maximizing its federal reimbursements. Unlike other states, the Department's DTS did not use fringe or indirect cost rates when claiming federal reimbursement. While DTS billed NHTSA for some costs incurred (such as retirement and social security), others such as healthcare and other indirect costs were not billed. If the Department billed NHTSA and the Federal Motor Carrier Safety Administration (FMCSA) using the 90.85 percent overhead rate it charged the Federal Highway Administration, the Department could have claimed an additional \$1,136,900 annually. The Department could have billed NHTSA an additional \$465,600 and FMCSA an additional \$671,300 annually.

We recommended that the Department should maximize federal reimbursement by:

- seeking reimbursement for all eligible staff from NHTSA; and
- capturing and billing for all allowable direct and indirect costs for eligible DTS personnel.

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DEPARTMENT OF TRANSPORTATION
MANAGEMENT AUDIT FOLLOW-UP
For the Year Ended June 30, 2009

Status:
Partially Implemented

In the previous follow-up, we reported that the Department had identified additional employees with salaries that were reimbursable by federal funds. The Department billed NHTSA for a total of 21 employees. However, there were other employees that the Department was not billing for including the Director of DTS. According to responses received from the Director of DTS, the Department could not bill for his position because then he would not be able to lobby for traffic safety issues.

The Department has not determined other costs related to employees that could be billed and is not charging all overhead costs to the safety programs. The Department responded that “instead of charging every dollar of overhead costs to safety programs, DTS has opted to program to grantees as much traffic safety funds as possible.” Although the Department was not charging these costs, the Department estimated the NHTSA rollover at nearly \$12 million for the federal fiscal year ending September 30, 2009.

Recommendation:	Efficiency and Effectiveness Reviews
Recommendation No.:	10

Synopsis of
Management Audit
Finding:

Although DTS had conducted reviews of initiatives conducted using NHTSA funds, the Department had not reviewed the operational efficiency of the Department’s traffic safety programs. In addition, no effectiveness or efficiency reviews had been conducted of the Motor Carrier Safety Assistance Programs (MCSAP).

We recommended that the Department should begin a program of assessing the effectiveness and efficiency of MCSAP. The Department should also conduct a review of DTS to assess the efficiency of traffic safety operations.

Status:
Partially Implemented

During the previous follow-up, the Department provided auditors with an annual review conducted by FMCSA in which the Department’s MCSAP plan was reviewed. This review contained several findings related to the Department’s MCSAP program including: MCSAP rollover funds, untimely data reporting, not reviewing new entrant audits, untimely uploading of inspection reports, and high error rates in accident reporting.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
MANAGEMENT AUDIT FOLLOW-UP
For the Year Ended June 30, 2009

Although the Department continues to perform reviews of initiatives conducted using NHTSA funds, the Department has not reviewed the overall operational efficiency of the Department's traffic safety programs. The status of this recommendation remains unchanged from the previous year.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
For the Year Ended June 30, 2009

SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

SUMMARY

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

- Fiscal Schedules and Analysis:

- Schedule of Expenditures of Federal Awards
- Notes to the Schedule of Expenditures of Federal Awards
- Schedule of Appropriations, Expenditures and Lapsed Balances
- Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances
- Schedule of Changes in State Property
- Comparative Schedule of Cash Receipts and Reconciliation of Cash Receipts to Deposits Remitted to the State Comptroller
- Analysis of Significant Variations in Expenditures
- Analysis of Significant Variations in Receipts
- Analysis of Significant Lapse Period Spending
- Analysis of Accounts Receivable

- Analysis of Operations:

- Agency Functions and Planning Program
- Average Number of Full Time Employees
- Annual Cost Statistics by Major Object Classification
- Emergency Purchases
- Schedule of Illinois First Projects (Not Examined)
- Memorandums of Understanding (Not Examined)
- Service Efforts and Accomplishments (Not Examined)

The accountants' report that covers the Supplementary Information for State Compliance Purposes presented in the Compliance Report Section states the auditors have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General, except for information on the Schedule of Illinois First Projects, the Memorandums of Understanding and the Service Efforts and Accomplishments on which they did not perform any procedures. However, the accountants do not express an opinion on the supplementary information.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
(expressed in thousands)
For the Year Ended June 30, 2009

Federal Agency/Program or Cluster	Federal CFDA #	Fund	Expenditures	Passed- through to subrecipients (Not Examined)
U.S. Department of Transportation:				
American Recovery and Reinvestment Act (ARRA) Airport Improvement	20.106	011	\$ 498	\$ -
ARRA Airport Improvement	20.106	095	89,164	30,156
ARRA Highway Projects	20.205	011	48,115	-
Highway Planning and Construction	20.205	011	1,307,431	113,227
National Motor Carrier Safety Program	20.218	011	8,258	1,856
Recreational Trails Program	20.219	011	669	-
Federal Transit - Capital Investment Grants	20.500	853	1,871	926
Federal Transit - Metropolitan Planning Grants	20.505	011	2,776	-
Federal Transit - Metropolitan Planning Grants	20.505	853	611	452
Federal Transit - Formula Grants	20.507	011	7	-
Formula Grants for Other Than Urbanized Areas	20.509	001	230	-
Formula Grants for Other Than Urbanized Areas	20.509	853	14,121	9,034
Capital Assistance Program for Elderly Persons and Persons with Disabilities	20.513	001	268	-
Capital Assistance Program for Elderly Persons and Persons with Disabilities	20.513	853	1,080	-
Public Transportation Research	20.514	853	-	-
State Planning and Research	20.515	853	76	-
Job Access Reverse Commute	20.516	853	118	118
New Freedom Program	20.521	853	-	-
Highway Safety Cluster:				
State and Community Highway Safety	20.600	011	5,569	5,569
Alcohol Traffic Safety and Drunk Driving Prevention Incentive Grants	20.601	011	3,261	2,732
Occupant Protection	20.602	011	2,253	2,253
Safety Incentive Grants to Prevent Operation of Motor Vehicles by Intoxicated Persons	20.605	011	114	114
Safety Belt Performance Grants	20.609	011	3,974	-
Traffic Safety Information System Improvement Grants	20.610	011	506	-
Racial Profiling	20.611	011	109	-
Incentive Grant Program to Increase Motorcycle Safety	20.612	011	209	-
Child Safety and Child Booster Seats Incentive Grants	20.613	011	143	19
Total Highway Safety Cluster			<u>\$ 16,138</u>	<u>\$ 10,687</u>
U.S. Department of Transportation Total			<u>\$ 1,491,431</u>	<u>\$ 166,456</u>
U.S. Department of Homeland Security:				
Passed through programs from:				
Illinois Emergency Management Agency				
Homeland Security	97.067	011	\$ 814	\$ -
Disaster Grants - Public Assistance	97.036	011	2,435	-
U.S. Department of Homeland Security Total			<u>3,249</u>	<u>-</u>
Total Expenditures of Federal Awards			<u>\$ 1,494,680</u>	<u>\$ 166,456</u>

The accompanying notes to the Schedule of Expenditures of Federal Awards are an integral part of this Schedule.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the Year Ended June 30, 2009

1. Significant Accounting Policies

(a) Basis of Presentation

The Schedule of Expenditures of Federal Awards presents the activity of all federal award programs of the State of Illinois, Illinois Department of Transportation (Department), for the year ended June 30, 2009.

(b) Basis of Accounting

The Schedule of Expenditures of Federal Awards is prepared on the cash basis of accounting.

2. Description of Grant Programs

The following is a brief description of the grant programs included in the Schedule of Expenditures of Federal Awards:

Airport Improvement Program – CFDA # 20.106

The objective of this program is to assist sponsors, owners, or operators of public-use airports in the development of a nationwide system of airports adequate to meet the needs of civil aeronautics.

Highway Planning and Construction – CFDA # 20.205

The objective of this program is to assist State transportation agencies in planning and developing an integrated, interconnecting transportation system important to interstate commerce and travel by constructing and rehabilitating the National Highway System, including Interstate highways; for transportation improvements to most other public roads; and to provide aid in the repair of Federal-aid roads and streets following disasters. This program also provides transportation engineering services for planning, design, construction and rehabilitation of the highways and bridges providing access to federally owned lands. It also provides training, technology, development, engineering services, and products to other customers.

National Motor Carrier Safety Program – CFDA # 20.218

The objective of this program is to reduce the number and severity of accidents and hazardous material incidents involving commercial motor vehicles by substantially increasing the level and effectiveness of enforcement activity and the likelihood that safety defects, driver deficiencies, and unsafe carrier practices will be detected and corrected.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the Year Ended June 30, 2009

Recreational Trails Program – CFDA # 20.219

The objective of this program is to provide funds to the States to develop and maintain recreational trails and trail-related facilities for both nonmotorized and motorized recreational trail uses.

Federal Transit - Capital Investment Grants – CFDA # 20.500

The objective of this program is to assist in financing the acquisition, construction, reconstruction, and improvement of facilities, rolling stock and equipment for use in public transportation service.

Federal Transit - Metropolitan Planning Grants – CFDA # 20.505

The objective of this program is to assist in development of transportation improvement programs, long-range transportation plans, and other technical studies in metropolitan areas.

Federal Transit – Formula Grants – CFDA # 20.507

The objective of this program is to support public transportation services in urbanized areas (cities over 50,000 population).

Formula Grants for Other Than Urbanized Areas – CFDA # 20.509

The objective of this program is to improve, initiate, or continue public transportation service in nonurbanized areas (rural and small areas under 50,000 population) and to provide technical assistance for rural transportation providers.

Capital Assistance Program for Elderly Persons and Persons with Disabilities – CFDA # 20.513

The objective of this program is to provide financial assistance in meeting the transportation needs of elderly persons and persons with disabilities where public transportation services are unavailable, insufficient or inappropriate. The program is also designed to supplement Federal Transit Administration's other capital assistance programs by funding transportation projects for elderly persons and persons with disabilities in all areas - urbanized, small urban, and rural.

Public Transportation Research – CFDA # 20.514

The objective of this program is to increase transit ridership, improve safety and emergency preparedness, improve capital operating efficiencies, protect the environment and promote

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the Year Ended June 30, 2009

energy independence, and provide transit research leadership.

State Planning and Research – CFDA # 20.515

The objective of this program is to assist in the development of cost effective, multimodal transportation improvement programs which include the planning, engineering, and designing of Federal Transit projects, and other technical studies in a program for a unified and officially coordinated Statewide Transportation system.

Job Access Reverse Commute – CFDA # 20.516

The objective of this program is to provide grants to local governments, nonprofit organizations, and designated recipients of Federal transit funding to develop transportation services to connect welfare recipients and low-income persons to employment and support services.

New Freedom Program – CFDA # 20.521

The objective of this program is to provide grants to recipients for new public transportation services and public transportation alternatives beyond those required by the Americans with Disabilities Act of 1990 that assists individuals with disabilities with transportation, including transportation to and from jobs and employment support services.

State and Community Highway Safety – CFDA # 20.600

The objective of this program is to provide a coordinated national highway safety program to reduce traffic crashes, deaths, injuries, and property damage.

Alcohol Traffic Safety and Drunk Driving Prevention Incentive Grants – CFDA # 20.601

The objective of this program is to encourage States to adopt effective programs to reduce crashes resulting from persons driving while under the influence of alcohol.

Occupant Protection Incentive Grants – CFDA # 20.602

The objective of this program is to encourage States to adopt effective programs to reduce highway deaths and injuries resulting from individuals riding unrestrained or improperly restrained in motor vehicles.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the Year Ended June 30, 2009

Safety Incentives to Prevent Operation of Motor Vehicles by Intoxicated Persons – CFDA # 20.605

The objective of this program is to encourage States to establish a .08 percent blood alcohol concentration as the legal limit for drunk driving.

Safety Belt Performance Grants – CFDA # 20.609

The objective of this program is to increase safety belt use by encouraging States to enact and enforce primary safety belt laws.

State Traffic Safety Information System Improvement Grants – CFDA # 20.610

The objective of this program is to encourage States to adopt and implement effective programs to improve the timeliness, accuracy, completeness, uniformity, integration and accessibility of State data; to evaluate the effectiveness of efforts to make such improvements; to link these State data systems with national data systems and data systems of other States to enhance the ability to observe and analyze national trends in crash occurrences, rates, outcomes and circumstances.

Racial Profiling – CFDA # 20.611

The objective of this program is to encourage States to enact and enforce laws that prohibit the use of racial profiling in the enforcement of traffic laws on Federal-aid highways, and to maintain and allow public inspection of statistics on motor vehicle stops.

Incentive Grant Program to Increase Motorcycle Safety – CFDA # 20.612

The objective of this program is to encourage States to adopt and implement effective programs to reduce the number of single and multi-vehicle crashes involving motorcyclists.

Child Safety and Child Booster Seats Incentive Grants – CFDA # 20.613

The objective of this program is to encourage States to enact and enforce a child restraint law that requires children up to 65 pounds and under 8 years of age to be properly restrained in a child restraint, unless they are 4'9" tall.

Homeland Security – CFDA # 97.067

The objective of this program is to enhance the ability of the State, local and tribal governments to prepare, prevent, respond to, and recover from terrorist attacks and other disasters.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the Year Ended June 30, 2009

Disaster Grants - Public Assistance – CFDA # 97.036

The objective of this program is to assist State and local governments in recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, restoration, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

3. Pass-through and Subrecipient Awards

The Department provided amounts to subrecipients as presented on the accompanying Schedule of Expenditures of Federal Awards.

4. Noncash Awards

The Department did not receive any noncash awards.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Year Ended June 30, 2009

	Appropriations (Net of Transfers)			Expenditures through June 30, 2009	Expenditures through August 31, 2009	Total Expenditures 14 Months Ended August 31, 2009	Balance Reappropriated July 1, 2009	Balance Lapsed August 31, 2009
	New	Reappropriated	Total					
<u>Appropriated Funds</u>								
General Revenue (001):								
Division of Traffic Safety:								
Emissions Testing/Inspection Program	\$ 300,000	\$ -	\$ 300,000	\$ 151,696	\$ 714	\$ 152,410	\$ -	\$ 147,590
Total Division of Traffic Safety	\$ 300,000	\$ -	\$ 300,000	\$ 151,696	\$ 714	\$ 152,410	\$ -	\$ 147,590
Division of Aeronautics:								
Aeronautics - Executive Air Transport	\$ 280,000	\$ -	\$ 280,000	\$ 189,671	\$ 22,236	\$ 211,907	\$ -	\$ 68,093
Grants For Air Navigation Facilities	-	1,870,590	1,870,590	337,238	-	337,238	1,533,352	-
Aeronautics	35,000	-	35,000	-	-	-	-	35,000
Total Division of Aeronautics	\$ 315,000	\$ 1,870,590	\$ 2,185,590	\$ 526,909	\$ 22,236	\$ 549,145	\$ 1,533,352	\$ 103,093
Public Transportation:								
Technical and Transit Studies	\$ 119,900	\$ 1,214,283	\$ 1,334,183	\$ 440,536	\$ -	\$ 440,536	\$ 893,647	\$ -
Intertownship Transportation	-	818	818	816	-	816	-	2
Public Trans. Sec. 18 Admin. Expenses - Fed. Reimb.	250,000	-	250,000	167,541	7,934	175,475	-	74,525
Intercity Rail Passenger Service	28,000,000	-	28,000,000	24,085,134	82,451	24,167,585	-	3,832,415
Total Public Transportation	\$ 28,369,900	\$ 1,215,101	\$ 29,585,001	\$ 24,694,027	\$ 90,385	\$ 24,784,412	\$ 893,647	\$ 3,906,942
Total General Revenue (001)	\$ 28,984,900	\$ 3,085,691	\$ 32,070,591	\$ 25,372,632	\$ 113,335	\$ 25,485,967	\$ 2,426,999	\$ 4,157,625

(Continued)

Note: Appropriations, expenditures, reappropriated balances and lapsed balances were obtained from Department records and have been reconciled to records of the State Comptroller.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Year Ended June 30, 2009

	Appropriations (Net of Transfers)			Expenditures	Expenditures	Total	Balance	Balance
	New	Reappropriated	Total	through June 30, 2009	through August 31, 2009	Expenditures 14 Months Ended August 31, 2009	Reappropriated July 1, 2009	Lapsed August 31, 2009
Road Fund (011):								
Central Administration and Planning:								
Central Administration and Planning	\$ 33,379,200	\$ 923,011	\$ 34,302,211	\$ 28,889,515	\$ 1,545,506	\$ 30,435,021	\$ -	\$ 3,867,190
Hazardous Materials Abatement	300,000	793,348	1,093,348	190,628	-	190,628	902,720	-
Planning, Research and Development	500,000	2,611,898	3,111,898	332,349	-	332,349	2,779,550	-
Metro Planning - Federal/Local	42,000,000	59,116,672	101,116,672	32,850,550	-	32,850,550	68,266,122	-
Metro Planning - State Share	1,800,000	8,463,040	10,263,040	1,259,197	-	1,259,197	9,003,843	-
SAFETEA-LU - Federal Reimb.	1,750,000	-	1,750,000	1,350,640	2,040	1,352,680	-	397,320
IDOT ITS Priority Program - Federal Reimb.	3,500,000	20,919,251	24,419,251	3,055,776	-	3,055,776	21,363,475	-
IDOT ITS Priority Program - State	3,150,000	14,839,988	17,989,988	1,632,703	-	1,632,703	16,357,285	-
Railroad Crossing Safety Monitoring - ICC	155,000	-	155,000	-	101,455	101,455	-	53,545
Information Processing	26,723,163	177,292	26,900,455	19,571,168	4,129,300	23,700,468	-	3,199,987
Central Administration Tort Claims	540,300	-	540,300	234,879	212,469	447,348	-	92,952
Enhance, Congest and Air Quality Projects	9,500,000	27,816,073	37,316,073	4,488,175	-	4,488,175	32,827,897	-
Claims For Civil Lawsuits	250,000	-	250,000	-	-	-	-	250,000
Auto Liability Claims	2,200,000	-	2,200,000	1,228,904	505,507	1,734,411	-	465,589
Shared Services Center	7,655,400	-	7,655,400	6,423,252	433,500	6,856,752	-	798,648
Total Central Administration and Planning	\$ 133,403,063	\$ 135,660,573	\$ 269,063,636	\$ 101,507,736	\$ 6,929,777	\$ 108,437,513	\$ 151,500,892	\$ 9,125,231
Division of Highways:								
Central Division of Highways	\$ 44,075,900	\$ 1,127,331	\$ 45,203,231	\$ 39,811,634	\$ 1,313,549	\$ 41,125,183	\$ -	\$ 4,078,048
Motorist Damage to State Vehicles and Equipment	650,000	425,242	1,075,242	688,990	-	688,990	386,252	-
State Radio Communications (STARCOM)	1,000,000	1,153,042	2,153,042	1,680,206	-	1,680,206	472,836	-
Technology Transfer Center	100,000	49,167	149,167	69,499	-	69,499	79,668	-
Homeland Security	3,425,000	-	3,425,000	712,600	-	712,600	2,712,400	-
Day Labor	8,770,400	809,928	9,580,328	7,561,273	817,039	8,378,312	-	1,202,016
District 1	180,270,400	10,448,394	190,718,794	168,393,575	11,574,308	179,967,883	-	10,750,911
District 2	53,431,900	2,493,525	55,925,425	49,844,883	3,265,080	53,109,963	-	2,815,462
District 3	49,935,600	2,447,440	52,383,040	44,671,791	4,484,810	49,156,601	-	3,226,439
District 4	48,954,600	2,080,402	51,035,002	44,038,865	3,935,569	47,974,434	-	3,060,568
District 5	39,997,100	2,213,394	42,210,494	33,887,786	4,539,276	38,427,062	-	3,783,432
District 6	47,118,100	2,313,969	49,432,069	41,179,033	3,727,171	44,906,204	-	4,525,865
District 7	39,641,800	2,764,890	42,406,690	36,605,692	2,496,789	39,102,481	-	3,304,209
District 8	63,077,600	2,539,635	65,617,235	56,109,572	4,455,820	60,565,392	-	5,051,843
District 9	34,971,900	1,120,349	36,092,249	31,702,064	2,484,059	34,186,123	-	1,906,126
County Engineers Compensation Program	2,836,800	-	2,836,800	2,836,800	-	2,836,800	-	-
Maintenance Agreements	13,000,000	26,172,661	39,172,661	15,244,945	-	15,244,945	23,927,715	-
Land and Buildings	6,000,000	23,264,075	29,264,075	5,139,385	-	5,139,385	24,124,691	-
Hazardous Materials	1,158,600	8,176,121	9,334,721	1,821,285	-	1,821,285	7,513,436	-
Maintenance, Traffic and Physical Research Purposes	43,279,100	15,043,727	58,322,827	36,397,530	1,973,046	38,370,576	18,576,516	1,375,735
Motorist Damage to Highway Structures	5,500,000	4,635,843	10,135,843	6,166,570	-	6,166,570	3,969,273	-
Central Division of Highways Refunds	50,000	-	50,000	11,016	-	11,016	-	38,984
Total Division of Highways	\$ 687,244,800	\$ 109,279,135	\$ 796,523,935	\$ 624,574,994	\$ 45,066,516	\$ 669,641,510	\$ 81,762,787	\$ 45,119,638

(Continued)

Note: Appropriations, expenditures, reappropriated balances and lapsed balances were obtained from Department records and have been reconciled to records of the State Comptroller.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Year Ended June 30, 2009

	Appropriations (Net of Transfers)			Expenditures through June 30, 2009	Expenditures through August 31, 2009	Total Expenditures 14 Months Ended August 31, 2009	Balance Reappropriated July 1, 2009	Balance Lapsed August 31, 2009
	New	Reappropriated	Total					
Road Fund (011), continued:								
Construction:								
Township Bridge	\$ 15,000,000	\$ 13,066,379	\$ 28,066,379	\$ 13,918,393	\$ -	\$ 13,918,393	\$ 14,147,986	\$ -
Apportionment Consultation With County Superintendents	35,814,300	-	35,814,300	35,814,300	-	35,814,300	-	-
Highway Construction - Consultant and Preliminary Eng.	-	27,286,253	27,286,253	9,077,860	-	9,077,860	18,208,393	-
Highway Construction & Refunds - State Share	580,788,000	705,382,833	1,286,170,833	367,800,013	-	367,800,013	918,370,820	-
Highway Construction & Refunds - Local Projects	556,978,000	828,387,720	1,385,365,720	390,871,146	-	390,871,146	994,494,574	-
Highway Construction-Federal	15,459,900	300,542,199	316,002,099	36,115,447	-	36,115,447	279,886,652	-
Highway Construction-State Share	-	441,750,092	441,750,092	103,057,845	-	103,057,845	338,692,247	-
American Recovery and Reinvestment-Highways	-	1,275,000,000	1,275,000,000	48,529,685	-	48,529,685	1,226,470,315	-
Total Construction	\$ 1,204,040,200	\$ 3,591,415,476	\$ 4,795,455,676	\$ 1,005,184,689	\$ -	\$ 1,005,184,689	\$ 3,790,270,987	\$ -
Division of Traffic Safety:								
Traffic Safety	\$ 9,658,200	\$ 189,704	\$ 9,847,904	\$ 8,705,458	\$ 69,092	\$ 8,774,550	\$ -	\$ 1,073,354
Primary Seatbelt Enforcement Incentive Grant	5,800,000	11,091,944	16,891,944	5,681,846	-	5,681,846	11,210,098	-
Traffic Safety Implementation Program	2,857,400	40,040	2,897,440	1,714,290	9,641	1,723,931	-	1,173,509
Commercial Motor Vehicle Safety	4,939,600	285,726	5,225,326	3,785,124	110,570	3,895,694	-	1,329,632
Impaired Driving Incentive Program	855,200	-	855,200	82,418	-	82,418	-	772,782
Alcohol Traffic Safety Program (410)	1,374,700	-	1,374,700	1,103,500	133,131	1,236,631	-	138,069
	60,800	153	60,953	16,063	1,470	17,533	-	43,420
IL Liquor Control Commission - Alcohol Traffic Safety (410)								
Department of Natural Resources - Alcohol Traffic Safety (410)	309,700	6,125	315,825	117,489	133,398	250,887	-	64,938
Secretary of State	547,600	6,956	554,556	450,704	35,583	486,287	-	68,269
Secretary of State - Impaired Driving Incentive Program	137,500	-	137,500	-	-	-	-	137,500
Secretary of State - Alcohol Traffic Safety (410)	378,100	1,341	379,441	165,735	87,661	253,396	-	126,045
Department of Public Health	102,600	-	102,600	-	-	-	-	102,600
Department of Public Health - Impaired Driving Incentive Program	150,000	-	150,000	69,961	25,329	95,290	-	54,710
Department of State Police	6,323,500	151,368	6,474,868	2,822,440	493,740	3,316,180	-	3,158,688
Department of State Police - Commercial Motor Vehicle	11,637,300	1,503,389	13,140,689	6,081,313	760,512	6,841,825	-	6,298,864
Department of State Police - Impaired Driving Incentive	150,000	-	150,000	13,477	113,718	127,195	-	22,805
Department of State Police - Alcohol Traffic Safety (410)	1,679,900	38,705	1,718,605	1,237,363	143,733	1,381,096	-	337,509
Illinois Local Law Enforcement Standards Training Board	100,200	-	100,200	48,988	18,734	67,722	-	32,478
Illinois Local Law Enforcement Standards Training BD (410)	200,000	-	200,000	119,511	23,116	142,627	-	57,373
Administrative Office of Illinois Courts (410)	55,000	-	55,000	36,037	461	36,498	-	18,502
Highway Safety Programs - Local Government Projects	9,300,000	6,705,837	16,005,837	7,348,878	-	7,348,878	8,656,959	-
Impaired Driving Incentive Program - Local Government Projects	2,300,000	5,761,425	8,061,425	3,838,024	-	3,838,024	4,223,401	-
Alcohol Safety Programs (410)	5,200,000	5,434,979	10,634,979	2,801,818	-	2,801,818	7,833,161	-
Traffic Safety (Refunds)	8,800	-	8,800	3,010	-	3,010	-	5,790
Total Division of Traffic Safety	\$ 64,126,100	\$ 31,217,692	\$ 95,343,792	\$ 46,243,447	\$ 2,159,889	\$ 48,403,336	\$ 31,923,619	\$ 15,016,837

(Continued)

Note: Appropriations, expenditures, reappropriated balances and lapsed balances were obtained from Department records and have been reconciled to records of the State Comptroller.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Year Ended June 30, 2009

	Appropriations (Net of Transfers)			Expenditures through June 30, 2009	Expenditures through August 31, 2009	Total Expenditures 14 Months Ended August 31, 2009	Balance Reappropriated July 1, 2009	Balance Lapsed August 31, 2009
	New	Reappropriated	Total					
P.A. 95-732								
P.A. 95-734								
P.A. 95-1017								
P.A. 96-0004								
Road Fund (011), continued:								
Division of Aeronautics:								
Division of Aeronautics	\$ 10,991,262	\$ 157,151	\$ 11,148,413	\$ 8,401,485	\$ 213,962	\$ 8,615,447	\$ -	\$ 2,532,966
Total Division of Aeronautics	\$ 10,991,262	\$ 157,151	\$ 11,148,413	\$ 8,401,485	\$ 213,962	\$ 8,615,447	\$ -	\$ 2,532,966
Division of Public Transportation								
Division of Public Transportation	\$ 3,211,000	\$ 79,906	\$ 3,290,906	\$ 2,993,516	\$ 158,680	\$ 3,152,196	\$ -	\$ 138,710
Chicago Region Environ. & Trans Efficiency	-	79,141,163	79,141,163	5,774,124	-	5,774,124	73,367,037	2
ARRA-Mass Transportation	-	300,000,000	300,000,000	-	-	-	300,000,000	-
ARRA-Rail	-	291,000,000	291,000,000	-	-	-	291,000,000	-
Total Division of Public Transportation	\$ 3,211,000	\$ 670,221,069	\$ 673,432,069	\$ 8,767,640	\$ 158,680	\$ 8,926,320	\$ 664,367,037	\$ 138,712
Total Road Fund (011)	\$ 2,103,016,425	\$ 4,537,951,096	\$ 6,640,967,521	\$ 1,794,679,991	\$ 54,528,824	\$ 1,849,208,815	\$ 4,719,825,322	\$ 71,933,384
Motor Fuel Tax Fund (012):								
Motor Fuel Tax Fund (413)	\$ 10,623,200	\$ 226,253	\$ 10,849,453	\$ 9,146,807	\$ 380,163	\$ 9,526,970	\$ -	\$ 1,322,483
Motor Fuel Tax Fund (414)	232,600,000	-	232,600,000	194,534,458	11,697,994	206,232,452	-	26,367,548
Motor Fuel Tax Fund (415)	326,300,000	-	326,300,000	272,833,333	16,405,926	289,239,259	-	37,060,741
Motor Fuel Tax Fund (415)	105,600,000	-	105,600,000	88,293,418	5,309,372	93,602,790	-	11,997,210
Total Motor Fuel Tax Funds	\$ 675,123,200	\$ 226,253	\$ 675,349,453	\$ 564,808,016	\$ 33,793,455	\$ 598,601,471	\$ -	\$ 76,747,982
Grade Crossing Protection Fund (019)	\$ 24,750,000	\$ 62,593,637	\$ 87,343,637	\$ 24,053,250	\$ -	\$ 24,053,250	\$ 63,290,387	\$ -
Aeronautics Fund (046):								
Funds With A-object Descriptions Only	\$ 74,500	\$ -	\$ 74,500	\$ 71,823	\$ -	\$ 71,823	\$ -	\$ 2,677
Aeronautics Refunds	500	-	500	5	\$ -	5	-	495
Total Aeronautics Fund (046):	\$ 75,000	\$ -	\$ 75,000	\$ 71,828	\$ -	\$ 71,828	\$ -	\$ 3,172
Federal/Local Airport Fund (095)								
Division of Aeronautics								
Grant for Chicago/Rockford Intl Airport	\$ -	\$ 1,728,410	\$ 1,728,410	\$ -	\$ 1,711,125	\$ 1,711,125	\$ -	\$ 17,285
Grants for Air Navigation Facilities	\$ 137,000,000	\$ 357,695,806	\$ 494,695,806	\$ 94,312,418	\$ -	\$ 94,312,418	\$ 400,383,388	\$ -
Total Division of Aeronautics	\$ 137,000,000	\$ 359,424,216	\$ 496,424,216	\$ 94,312,418	\$ 1,711,125	\$ 96,023,543	\$ 400,383,388	\$ 17,285
American Recovery and Reinvestment Act								
American Recovery/Reinvestment Act-Aeronautics	\$ -	\$ 150,000,000	\$ 150,000,000	\$ -	\$ -	\$ -	\$ 150,000,000	\$ -
Total American Recovery and Reinvestment Act	\$ -	\$ 150,000,000	\$ 150,000,000	\$ -	\$ -	\$ -	\$ 150,000,000	\$ -
Total Federal/Local Airport Fund (095)	\$ 137,000,000	\$ 509,424,216	\$ 646,424,216	\$ 94,312,418	\$ 1,711,125	\$ 96,023,543	\$ 550,383,388	\$ 17,285

(Continued)

Note: Appropriations, expenditures, reappropriated balances and lapsed balances were obtained from Department records and have been reconciled to records of the State Comptroller.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Year Ended June 30, 2009

	Appropriations (Net of Transfers)			Expenditures through June 30, 2009	Expenditures through August 31, 2009	Total Expenditures 14 Months Ended August 31, 2009	Balance Reappropriated July 1, 2009	Balance Lapsed August 31, 2009
	New	Reappropriated	Total					
P.A. 95-732								
P.A. 95-734								
P.A. 95-1017								
P.A. 96-0004								
DOT Special Projects Fund (174)								
Central Administration and Planning								
Non-appropriated OSA - Transportation conference	\$ -	\$ 12,000	\$ 12,000	\$ -	\$ -	\$ -	\$ 12,000	\$ -
Total Central Administration and Planning	\$ -	\$ 12,000	\$ 12,000	\$ -	\$ -	\$ -	\$ 12,000	\$ -
Construction								
Non-appropriated OSA - DCEO	\$ -	\$ 1,185,005	\$ 1,185,005	\$ -	\$ 56,482	\$ 56,482	\$ 1,128,523	\$ -
Total Construction	\$ -	\$ 1,185,005	\$ 1,185,005	\$ -	\$ 56,482	\$ 56,482	\$ 1,128,523	\$ -
Total DOT Special Projects Fund (174)	\$ -	\$ 1,197,005	\$ 1,197,005	\$ -	\$ 56,482	\$ 56,482	\$ 1,140,523	\$ -
Intercity Passenger Rail Fund (233)	\$ 750,000	\$ -	\$ 750,000	\$ 735,000	\$ -	\$ 735,000	\$ -	\$ 15,000
State Rail Freight Loan Repayment Fund (265)	\$ 2,700,000	\$ 12,934,597	\$ 15,634,597	\$ 721,180	\$ -	\$ 721,180	\$ 14,913,417	\$ -
Air Transportation Revolving Fund (309)	\$ 1,000,000	\$ -	\$ 1,000,000	\$ 652,733	\$ 68,665	\$ 721,398	\$ -	\$ 278,602
Tax Recovery Fund (310)	\$ 350,000	\$ -	\$ 350,000	\$ 294,957	\$ 55,043	\$ 350,000	\$ -	\$ -
Comprehensive Regional Planning Fund (406)	\$ 5,000,000	\$ -	\$ 5,000,000	\$ 4,850,000	\$ -	\$ 4,850,000	\$ -	\$ 150,000
Federal High Speed Rail Trust (433)								
Public Transportation								
Federal High Speed Rail	\$ -	\$ 10,000,000	\$ 10,000,000	\$ -	\$ -	\$ -	\$ 10,000,000	\$ -
Total Public transportation	\$ -	\$ 10,000,000	\$ 10,000,000	\$ -	\$ -	\$ -	\$ 10,000,000	\$ -
American Recovery and Reinvestment Act								
American Recovery/Reinvestment Act- Rail	\$ -	\$ 500,000,000	\$ 500,000,000	\$ -	\$ -	\$ -	\$ 500,000,000	\$ -
Total American Recovery and Reinvestment Act	\$ -	\$ 500,000,000	\$ 500,000,000	\$ -	\$ -	\$ -	\$ 500,000,000	\$ -
Total Federal High Speed Rail Trust Fund (433)	\$ -	\$ 510,000,000	\$ 510,000,000	\$ -	\$ -	\$ -	\$ 510,000,000	\$ -

(Continued)

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Year Ended June 30, 2009

	Appropriations (Net of Transfers)			Expenditures through June 30, 2009	Expenditures through August 31, 2009	Total Expenditures 14 Months Ended August 31, 2009	Balance Reappropriated July 1, 2009	Balance Lapsed August 31, 2009
	New	Reappropriated	Total					
Bond Series "A" Fund (553)	\$ -	\$ 560,337,987	\$ 560,337,987	\$ 3,303,595	\$ -	\$ 3,303,595	\$ 557,034,392	\$ -
Bond Series "B" Fund (554)								
Division of Aeronautics:								
Grants For Air Navigation Facilities	\$ -	\$ 19,173,791	\$ 19,173,791	\$ 3,195,188	\$ -	\$ 3,195,188	\$ 15,978,603	\$ -
Third Chicago Area Airport	-	14,821,921	14,821,921	3,175,708	-	3,175,708	11,646,213	-
Total Division of Aeronautics	\$ -	\$ 33,995,712	\$ 33,995,712	\$ 6,370,896	\$ -	\$ 6,370,896	\$ 27,624,816	\$ -
Public Transportation:								
Public Transportation Grants - FY 2009	\$ -	\$ 1,000,000,000	\$ 1,000,000,000	\$ -	\$ -	\$ -	\$ 1,000,000,000	\$ -
Public Transportation Grants - Prior to FY 2007	-	135,603,112	135,603,112	38,976,600	-	38,976,600	96,626,512	-
Rail Freight Services Assistance	-	28,770,929	28,770,929	185,941	-	185,941	28,584,988	-
Total Public Transportation	\$ -	\$ 1,164,374,041	\$ 1,164,374,041	\$ 39,162,541	\$ -	\$ 39,162,541	\$ 1,125,211,500	\$ -
Total Bond Series "B" Fund (554)	\$ -	\$ 1,198,369,753	\$ 1,198,369,753	\$ 45,533,437	\$ -	\$ 45,533,437	\$ 1,152,836,316	\$ -
Transportation Safety Highway Hireback Fund (589)	\$ 500,000	\$ -	\$ 500,000	\$ 275,000	\$ -	\$ 275,000	\$ -	\$ 225,000
Public Transportation Fund (627)	\$ 437,500,000	\$ 16,000,000	\$ 453,500,000	\$ 377,318,883	\$ 23,839,884	\$ 401,158,767	\$ -	\$ 52,341,233
Downstate Public Transportation Fund (648)	\$ 131,114,065	\$ 10,131,206	\$ 141,245,271	\$ 126,404,665	\$ 2,170,856	\$ 128,575,521	\$ -	\$ 12,669,750
Federal Mass Transit Fund (853)								
Public Transportation Transit Studies - Federal Reimb.	\$ 873,200	\$ 2,761,027	\$ 3,634,227	\$ 687,288	\$ -	\$ 687,288	\$ 2,946,939	\$ -
Federal Share of Capital & Operating Grants	16,000,000	40,189,767	56,189,767	19,023,442	-	19,023,442	37,166,325	-
Total Public Transportation	\$ 16,873,200	\$ 42,950,794	\$ 59,823,994	\$ 19,710,730	\$ -	\$ 19,710,730	\$ 40,113,264	\$ -
American Recovery and Reinvestment Act								
American Recovery/Reinvest Act- Mass Transportation	\$ -	\$ 40,000,000	\$ 40,000,000	\$ -	\$ -	\$ -	\$ 40,000,000	\$ -
Total American Recovery and Reinvestment Act	\$ -	\$ 40,000,000	\$ 40,000,000	\$ -	\$ -	\$ -	\$ 40,000,000	\$ -
Total Federal Mass Transit Fund (853)	\$ 16,873,200	\$ 82,950,794	\$ 99,823,994	\$ 19,710,730	\$ -	\$ 19,710,730	\$ 80,113,264	\$ -
Cycle Rider Safety Fund (863)								
Division of Traffic Safety:								
Funds With A-Object Descriptions Only	\$ 348,800	\$ 6,951	\$ 355,751	\$ 154,118	\$ 1,369	\$ 155,487	\$ -	\$ 200,264
Funds With Approp/Reapprop Descriptions Only	4,000,000	3,593,554	7,593,554	2,937,134	-	2,937,134	4,656,420	-
Total Cycle Rider Safety Fund (863)	\$ 4,348,800	\$ 3,600,505	\$ 7,949,305	\$ 3,091,252	\$ 1,369	\$ 3,092,621	\$ 4,656,420	\$ 200,264

(Continued)

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Year Ended June 30, 2009

	Appropriations (Net of Transfers)			Expenditures through June 30, 2009	Expenditures through August 31, 2009	Total Expenditures 14 Months Ended August 31, 2009	Balance Reappropriated July 1, 2009	Balance Lapsed August 31, 2009
	New	Reappropriated	Total					
State Construction Fund (902)								
Hwy Construction - Consultant & Preliminary Engineering	\$ -	\$ 22,581,176	\$ 22,581,176	\$ 10,279,469	\$ -	\$ 10,279,469	\$ 12,301,707	\$ -
State Construction	758,000,000	925,502,879	1,683,502,879	936,107,999	-	936,107,999	747,394,880	-
Total State Construction Fund (902)	<u>\$ 758,000,000</u>	<u>\$ 948,084,055</u>	<u>\$ 1,706,084,055</u>	<u>\$ 946,387,468</u>	<u>\$ -</u>	<u>\$ 946,387,468</u>	<u>\$ 759,696,587</u>	<u>\$ -</u>
Rail Freight Loan Repayment Fund (936)	\$ 1,045,000	\$ 4,561,055	\$ 5,606,055	\$ 665,000	\$ -	\$ 665,000	\$ 4,941,055	\$ -
Total Appropriations (All Funds)	<u>\$ 4,328,130,590</u>	<u>\$ 8,461,447,850</u>	<u>\$ 12,789,578,440</u>	<u>\$ 4,033,242,035</u>	<u>\$ 116,339,038</u>	<u>\$ 4,149,581,073</u>	<u>\$ 8,421,258,070</u>	<u>\$ 218,739,297</u>
Central Administration and Planning	\$ 138,403,063	\$ 135,672,573	\$ 274,075,636	\$ 106,357,736	\$ 6,929,777	\$ 113,287,513	\$ 151,512,892	\$ 9,275,231
Division of Highways	1,387,618,000	172,099,025	1,559,717,025	1,213,711,260	78,859,971	1,292,571,231	145,053,174	122,092,620
Construction	1,962,040,200	5,101,022,523	7,063,062,723	1,954,875,752	56,482	1,954,932,234	5,108,130,489	-
Division of Traffic Safety	68,774,900	34,818,197	103,593,097	49,486,395	2,161,972	51,648,367	36,580,039	15,364,691
Division of Aeronautics	149,731,262	545,447,669	695,178,931	110,631,226	2,071,031	112,702,257	579,541,556	2,935,118
Public Transportation	621,563,165	2,472,387,863	3,093,951,028	598,179,666	26,259,805	624,439,471	2,400,439,920	69,071,637
Total	<u>\$ 4,328,130,590</u>	<u>\$ 8,461,447,850</u>	<u>\$ 12,789,578,440</u>	<u>\$ 4,033,242,035</u>	<u>\$ 116,339,038</u>	<u>\$ 4,149,581,073</u>	<u>\$ 8,421,258,070</u>	<u>\$ 218,739,297</u>

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Note: Appropriations, expenditures, reappropriated balances and lapsed balances were obtained from Department records and have been reconciled to records of the State Comptroller.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES
AND LAPSED BALANCES
For the Two Years Ended June 30, 2009

P.A. 95-0732
P.A. 95-0734
P.A. 95-1017
P.A. 96-0004
P.A. 95-0348
P.A. 95-0722

	FY 2009	FY 2008
Expenditures:		
Division of Highways and General Administration:		
Operations:		
Personal Services	\$ 322,284,815	\$ 327,177,597
Extra Help	26,413,138	26,777,096
State Contribution to State Employees' Retirement System	73,620,513	58,795,127
Contribution to Social Security	25,914,583	26,292,958
Group Insurance	1,425,794	1,422,906
Contractual Services	74,117,657	72,271,315
Travel	1,291,256	1,569,830
Commodities	55,858,749	32,213,662
Printing	317,485	337,418
Cars and Trucks	28,093,548	19,200,721
Equipment	3,465,423	5,835,505
Electronic Data Processing	6,686,614	5,922,834
Telecommunications	6,507,091	7,462,207
Operation of Auto Equipment	34,565,432	41,588,717
Central Admin/Tort Claims	447,348	421,396
Shared Services Center	6,856,752	8,328,516
Division of Highways - Refunds	11,016	5,292
	\$ 667,877,214	\$ 635,623,097
Highway Construction:		
General Revenue	\$ -	\$ 1,275,000
Road Fund - Total Construction	956,655,004	1,132,552,247
DOT Special Projects Fund	56,482	27,215
Bond Series "A"	3,303,595	14,658,172
State Construction	946,387,468	548,148,009
American Recovery and Reinvestment Act - Highways	48,529,685	-
	\$ 1,954,932,234	\$ 1,696,660,643
Special Purposes:		
Asbestos Abatement	\$ 190,628	\$ 470,944
Planning, Research and Development	332,349	213,625
Metro Planning - Federal/Local	32,850,550	29,278,382
Metro Planning - State Share	1,259,197	683,652
TEA-21 - Federal Reimbursement	1,352,680	1,350,544
Advance Demo Phase II	-	16,109
IDOT ITS Priority Program - Federal Reimb.	3,055,776	2,766,293
IDOT ITS Priority Program - State	1,632,703	5,203,875
Railroad Crossing Safety Monitoring - ICC	101,455	155,000
Motorist Damage to State Vehicles and Equipment	688,990	902,831
State Radio Communications (STARCOM)	1,680,206	806,959
Technology Transfer Center	69,499	50,833
Transportation Safety Highway Hire-Back	275,000	350,000
Federal Civil Preparedness	-	879,893
Homeland Security	712,599	-
Enhance, Congest and Air Quality Projects	4,488,175	1,753,729
Claims for Civil Lawsuits	-	9,000
Auto Liability Claims	1,734,411	1,859,612
County Engineers Compensation Program	2,836,800	2,721,300
Maintenance Agreements	15,244,945	13,165,857
Comprehensive Regional Planning	4,850,000	5,000,000
Motor Fuel Tax Distributions Funds	589,074,501	631,706,849
Land and Buildings	5,139,385	4,658,966
Hazardous Materials	1,821,285	741,517
Maintenance, Traffic and Physical Research Purposes	38,370,576	41,884,834
Motorist Damage to Highway Structures	6,166,570	6,726,770
Grade Crossing Protection	24,053,250	34,365,669
	\$ 737,981,530	\$ 787,723,043
Total Expenditures - Division of Highways and General Administration	\$ 3,360,790,978	\$ 3,120,006,783
Reappropriated Balances	5,404,696,555	3,528,422,407
Lapsed Balances	131,367,852	72,308,328
	\$ 8,896,855,385	\$ 6,720,737,518

(Continued)

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES
AND LAPSED BALANCES**
For the Two Years Ended June 30, 2009

P.A. 95-0732
P.A. 95-0734
P.A. 95-1017
P.A. 96-0004
P.A. 95-0348
P.A. 95-0722

Expenditures:	FY 2009	FY 2008
Division of Traffic Safety:		
Operations:		
Personal Services	\$ 8,495,403	\$ 8,531,216
State Contribution to State Employees' Retirement System	1,790,202	1,414,427
Contribution to Social Security	633,193	631,417
Cycle Rider Safety - Group Insurance	22,407	24,691
Contractual Services	3,429,185	3,701,640
Travel	369,696	303,310
Commodities	333,165	397,999
Printing	422,136	349,130
Equipment	199,444	10,987
Telecommunications	173,881	142,296
Traffic Safety - Refunds	3,010	3,846
	<u>\$ 15,871,722</u>	<u>\$ 15,510,959</u>
Grants:		
Other Agency Grants	<u>\$ 13,016,536</u>	<u>\$ 12,560,833</u>
Special Purposes:		
Primary Seatbelt Enforcement Incentive Grant	\$ 5,681,846	\$ 5,404,226
Emissions Testing/Inspection Program	152,410	142,936
Highway Safety Programs - Local Government Projects	7,348,878	7,826,279
Impaired Driving Incentive Program - Local Government Projects	3,838,024	2,652,144
Alcohol Safety Programs (410)	2,801,818	2,922,780
Cycle Rider Safety Training Fund	2,937,134	3,295,587
	<u>\$ 22,760,110</u>	<u>\$ 22,243,952</u>
Total Expenditures - Division of Traffic Safety	\$ 51,648,367	\$ 50,315,744
Reappropriated Balances	36,580,039	34,097,737
Lapsed Balances	<u>15,364,691</u>	<u>11,213,812</u>
Total Appropriations (Net After Transfers)	<u>\$ 103,593,097</u>	<u>\$ 95,627,293</u>

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STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES
AND LAPSED BALANCES**
For the Two Years Ended June 30, 2009

	P.A. 95-0732 P.A. 95-0734 P.A. 95-1017 P.A. 96-0004	P.A. 95-0348 P.A. 95-0722
Expenditures:	FY 2009	FY 2008
Division of Public Transportation:		
Operations:		
Personal Services	\$ 2,375,420	\$ 2,242,630
State Contribution to State Employees' Retirement System	500,708	371,655
Contribution to Social Security	174,157	163,819
Contractual Services	41,537	44,325
Travel	20,658	31,494
Commodities	2,602	1,685
Equipment	-	649
Telecommunications	37,113	37,043
	\$ 3,152,195	\$ 2,893,300
Special Purposes:		
Technical and Transit Studies	\$ 440,536	\$ 157,826
Intertownship Transportation	816	277,552
Public Trans SEC. 18 Admin. Expenses - Fed. Reimb.	175,475	169,101
Public Transportation Transit Studies - Federal Reimb.	687,288	444,779
Downstate/Regional Public Transportation - Reduced Fares	-	37,660,900
Mass Transportation Facilities Improvement	-	54,251,555
Intercity Rail Passenger Service	24,167,585	27,999,978
Intercity Passenger Rail	735,000	735,000
State Rail Freight Loan Repayment	721,180	2,243,737
Rail Freight Loan Repayment	665,000	27,500
Metro-East Public Transportation	-	9,661,701
Public Transportation Grants - FY 2009	-	-
Public Transportation Grants - Prior to FY 2009	38,976,600	49,582,362
Rail Freight Services Assistance	185,941	1,443,430
Public Transportation Funds	529,734,288	389,715,977
Federal Share of Capital and Operating Grants	19,023,442	16,516,829
Chicago Region Environmental & Trans Efficiency (CREATE)	5,774,124	858,837
	\$ 621,287,275	\$ 591,747,064
Total Expenditures - Division of Public Transportation	\$ 624,439,470	\$ 594,640,364
Reappropriated Balances	2,400,439,920	315,176,744
Lapsed Balances	69,071,637	41,083,956
	\$ 3,093,951,027	\$ 950,901,064

(Continued)

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES
AND LAPSED BALANCES**
For the Two Years Ended June 30, 2009

	P.A. 95-0732 P.A. 95-0734 P.A. 95-1017 P.A. 96-0004	P.A. 95-0348 P.A. 95-0722
	FY 2009	FY 2008
Expenditures:		
Division of Aeronautics:		
Operations:		
Personal Services	\$ 4,800,701	\$ 4,759,081
State Contribution to State Employees' Retirement System	1,016,779	789,001
Contribution to Social Security	358,453	355,937
Contractual Services	2,397,196	3,009,709
Travel	282,914	313,722
Commodities	612,289	909,293
Equipment	19,589	73,453
Telecommunications	101,400	84,497
Operation of Auto Equipment	31,255	24,563
	\$ 9,620,576	\$ 10,319,257
Special Purposes:		
Grants for Air Navigation Facilities	\$ 3,195,188	\$ 3,266,392
Grant for Chicago/Rockford Int. Airport	1,711,125	-
Tax Recovery Fund	350,000	46,945
Federal/Local Airport	94,649,656	98,768,892
Airport Construction	3,175,708	3,670,605
Aeronautics Refunds	5	8,854
	\$ 103,081,682	\$ 105,761,688
Total Expenditures - Division of Aeronautics	\$ 112,702,258	\$ 116,080,944
Reappropriated Balances	579,541,556	393,562,106
Lapsed Balances	2,935,118	2,255,291
	\$ 695,178,932	\$ 511,898,341
Non-Appropriated Expenditures:		
DOT Special Projects Fund	\$ 56,482	\$ 27,215

(Continued)

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES
AND LAPSED BALANCES**
For the Two Years Ended June 30, 2009

P.A. 95-0732
P.A. 95-0734
P.A. 95-1017
P.A. 96-0004

P.A. 95-0348
P.A. 95-0722

	FY 2009	FY 2008
Expenditure Summary by Fund - All Divisions:		
General Revenue	\$ 25,485,967	\$ 122,460,919
Road Fund	1,849,208,815	1,934,335,532
Motor Fuel Tax	9,526,970	8,979,654
Grade Crossing Protection	24,053,250	34,365,669
Aeronautics	71,828	117,934
Federal/Local Airport	96,023,543	96,173,892
Intercity Passenger Rail	735,000	735,000
State Rail Freight Loan Repayment	721,180	2,243,737
I-FLY Fund	-	2,595,000
Air Transportation Revolving	721,398	718,873
Tax Recovery Fund	350,000	46,945
Comprehensive Regional Planning Fund	4,850,000	5,000,000
Motor Fuel Tax County District	206,232,452	221,160,568
Motor Fuel Tax Municipal District	289,239,259	310,168,063
Motor Fuel Tax Road District	93,602,790	100,378,218
Federal High Speed Rail Trust	-	-
Federal Civil Preparedness	-	879,893
Bond Series "A"	3,303,595	14,658,172
Bond Series "B"	45,533,437	57,664,917
Trans Safety Highway Hire-back Fund	275,000	350,000
Public Transportation	401,158,767	304,498,530
Downstate Public Transportation	128,575,521	85,217,447
Metro-East Public Transportation	-	9,661,701
Federal Mass Transit	19,710,730	16,961,608
Cycle Rider Safety	3,092,621	3,468,838
State Construction	946,387,468	548,148,009
Rail Freight Loan Repayment	665,000	27,500
DOT Special Projects Fund	56,482	27,215
Total Expenditures - Expenditure Summary by Fund - All Divisions	\$ 4,149,581,073	\$ 3,881,043,834
Reappropriated Balances	8,421,258,070	4,271,258,994
Lapsed Balances	218,739,297	126,861,388
Total Appropriations (Net After Transfers)	\$ 12,789,521,958	\$ 8,279,137,001
Salary of Department Officials as Reported Within the Comptroller's State Officers Appropriation:		
Salary of the Secretary	\$ 150,228	\$ 115,287
Salary of the Assistant Secretary	127,739	123,063
Total Expenditures - Salary of State Officers	\$ 277,967	\$ 238,350

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
SCHEDULE OF CHANGES IN STATE PROPERTY
For the Two Years Ended June 30, 2009

	Total	Land and Land Improvements	Buildings and Building Improvements	Equipment	Capital Lease Assets Buildings and Building Improvements	Construction in Progress
Balance at July 1, 2008	\$ 650,971,474	\$ 41,857,991	\$ 114,478,591	\$ 450,835,634	\$ 43,036,412	\$ 762,846
Additions	75,083,834	9,105,432	34,022,865	30,657,240	20,397	1,277,900
Deletions	52,698,712		-	9,662,300	43,036,412	-
Net Transfers	<u>(7,372,504)</u>	-	<u>(15,861)</u>	<u>(7,356,643)</u>	-	-
Balance at June 30, 2009	<u>\$ 665,984,092</u>	<u>\$ 50,963,423</u>	<u>\$ 148,485,595</u>	<u>\$ 464,473,931</u>	<u>\$ 20,397</u>	<u>\$ 2,040,746</u>

Note: The above schedule has been derived from Agency records which have been reconciled to property reports submitted to the Office of the Comptroller.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
COMPARATIVE SCHEDULE OF CASH RECEIPTS
AND RECONCILIATION OF CASH RECEIPTS TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
For the Years Ended June 30, 2009 and 2008

Receipts	General Revenue		Road Fund		Grade Crossing Protection	
	Fund 001		Fund 011		Fund 019	
	2009	2008	2009	2008	2009	2008
Reported receipts by source:						
Federal aid reimbursements	\$ 952,209	\$ -	\$ 1,282,627,828	\$ 1,255,965,335	\$ -	\$ -
Local governmental units	-	-	65,460,450	64,336,344	-	-
Highway traffic and sign permits	-	-	19,000,254	20,828,521	-	-
Fees and licenses	-	-	719	-	-	-
Fines and penalties	-	-	241,805	423,981	-	-
Other IL state agencies	-	-	-	100,000	-	-
Vehicle safety inspection	-	-	401,554	410,408	-	-
Damage claims	-	-	9,369,852	6,111,682	-	-
Sale of used vehicles and equipment	-	-	-	-	-	-
Rental of real property	130	10,895	1,025,295	974,969	-	-
Sale of land	-	-	1,944,001	7,135,744	-	-
Miscellaneous	-	-	626,555	615,343	-	-
Repayment of federally funded projects from grantees	10,818	319,429	-	-	-	-
Repayment from Mass Transit Districts	-	-	-	-	-	-
Joint Hwy. Improvements - Il. Tollway & others	-	-	25,841,300	14,178,475	-	-
Loan repayments	103,934	160,055	-	-	-	-
Subscriptions or Publications	-	-	38,224	56,933	-	-
Reimbursement of costs incurred on behalf of the federal government	-	-	282,033	529,261	-	-
Reimbursement/recoveries - Private sector	-	-	5,015,412	5,795,891	41,031	640
Private organization/individuals	-	-	-	-	-	-
Other departmental funds	-	24,794	-	14,414	-	-
Deposits remitted to the Comptroller	\$ 1,067,091	\$ 515,173	\$ 1,411,875,282	\$ 1,377,477,301	\$ 41,031	\$ 640
Prior year refunds	-	-	121,076	739,238	171	2,011
Prior year expenditure adjustments	-	-	-	-	-	-
Deposit correction	-	-	-	17,453	-	-
Deposits recorded by the Comptroller	\$ 1,067,091	\$ 515,173	\$ 1,411,996,358	\$ 1,378,233,992	\$ 41,202	\$ 2,651
Deposits in transit:						
Beginning of year	10	-	4,632,947	5,733,964	-	-
End of year	-	10	904	4,632,947	-	-
Recorded receipts per Department	\$ 1,067,081	\$ 515,183	\$ 1,407,364,315	\$ 1,377,132,975	\$ 41,202	\$ 2,651

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STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
COMPARATIVE SCHEDULE OF CASH RECEIPTS
AND RECONCILIATION OF CASH RECEIPTS TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
For the Years Ended June 30, 2009 and 2008

Receipts	Aeronautics Fund 046		Federal/Local Airport Fund 095		General Obligation B.R.&I. Fund 101	
	2009	2008	2009	2008	2009	2008
Reported receipts by source:						
Federal aid reimbursements	\$ -	\$ -	\$ 82,497,072	\$ 91,569,307	\$ -	\$ -
Local governmental units	-	-	10,695,670	6,897,619	-	-
Highway traffic and sign permits	-	-	-	-	-	-
Fees and licenses	29,461	244,338	-	-	-	-
Fines and penalties	-	-	-	-	-	-
Other state agencies	-	-	-	-	-	-
Vehicle safety inspection	-	-	-	-	-	-
Damage claims	-	-	-	-	-	-
Sale of used vehicles and equipment	-	-	-	-	-	-
Rental of real property	-	-	-	-	-	-
Sale of land	-	-	-	-	-	-
Miscellaneous	20,300	12,000	-	-	-	-
Repayment of federally funded projects from grantees	-	-	-	-	-	-
Repayment from Mass Transit Districts	-	-	-	-	-	-
Joint Improvements - II. Tollway & others	-	-	-	-	-	-
Loan repayments	-	-	-	-	1,744,299	1,714,001
Subscriptions or Publications	-	-	-	-	-	-
Reimbursement of costs incurred on behalf of the federal government	-	-	-	-	-	-
Reimbursement/recoveries - Private sector	-	-	-	-	-	-
Private organization/individuals	-	-	-	-	-	-
Other departmental funds	-	-	-	-	108,171	159,218
Deposits remitted to the Comptroller	\$ 49,761	\$ 256,338	\$ 93,192,742	\$ 98,466,926	\$ 1,852,470	\$ 1,873,219
Prior year refunds	-	-	8,272	-	-	-
Prior year expenditure adjustments	-	-	-	-	-	-
Deposit correction	-	-	-	(17,453)	-	-
Deposits recorded by the Comptroller	\$ 49,761	\$ 256,338	\$ 93,201,014	\$ 98,449,473	\$ 1,852,470	\$ 1,873,219
Deposits in transit:						
Beginning of year	2,524	155	-	151,122	-	-
End of year	-	2,524	68,866	-	-	-
Recorded receipts per Department	\$ 47,237	\$ 258,707	\$ 93,269,880	\$ 98,298,351	\$ 1,852,470	\$ 1,873,219

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
COMPARATIVE SCHEDULE OF CASH RECEIPTS
AND RECONCILIATION OF CASH RECEIPTS TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
For the Years Ended June 30, 2009 and 2008

Receipts	IDOT Special Projects		State Rail Freight Loan Repayment		Air Transportation	
	Fund 174		Fund 265		Fund 309	
	2009	2008	2009	2008	2009	2008
Reported receipts by source:						
Federal aid reimbursements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Local governmental units	-	-	-	-	-	-
Highway traffic and sign permits	-	-	-	-	-	-
Fees and licenses	-	-	-	-	665,961	863,794
Fines and penalties	-	-	-	-	-	-
Other state agencies	56,482	27,215	-	-	-	-
Vehicle safety inspection	-	-	-	-	-	-
Damage claims	-	-	-	-	-	-
Sale of used vehicles and equipment	-	-	-	-	-	-
Rental of real property	-	-	-	-	-	-
Sale of land	-	-	-	-	-	-
Miscellaneous	4,099	-	-	-	-	-
Repayment of federally funded projects from grantees	-	-	-	-	-	-
Repayment from Mass Transit Districts	-	-	-	-	-	-
Joint Improvements - Il. Tollway & others	-	-	-	-	-	-
Loan repayments	-	-	2,754,356	4,379,762	-	-
Subscriptions or Publications	-	-	-	-	-	-
Reimbursement of costs incurred on behalf of the federal government	-	-	-	-	-	-
Reimbursement/recoveries - Private sector	-	-	-	-	-	-
Private organization/individuals	-	-	-	-	-	-
Other departmental funds	-	-	-	-	-	-
Deposits remitted to the Comptroller	\$ 60,581	\$ 27,215	\$ 2,754,356	\$ 4,379,762	\$ 665,961	\$ 863,794
Prior year refunds	-	-	-	-	-	-
Prior year expenditure adjustments	-	-	-	-	-	-
Deposit correction	-	-	-	-	-	-
Deposits recorded by the Comptroller	\$ 60,581	\$ 27,215	\$ 2,754,356	\$ 4,379,762	\$ 665,961	\$ 863,794
Deposits in transit:						
Beginning of year	-	-	-	79,980	1,191	179
End of year	-	-	31,798	-	-	1,191
Recorded receipts per Department	\$ 60,581	\$ 27,215	\$ 2,786,154	\$ 4,299,782	\$ 664,770	\$ 864,806

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
COMPARATIVE SCHEDULE OF CASH RECEIPTS
AND RECONCILIATION OF CASH RECEIPTS TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
For the Years Ended June 30, 2009 and 2008

Receipts	Tax Recovery		Motor Fuel Tax - Municipalities		Federal Civil Preparedness	
	Fund 310		Fund 414		Fund 497	
	2009	2008	2009	2008	2009	2008
Reported receipts by source:						
Federal aid reimbursements	\$ -	\$ -	\$ -	\$ -	\$ 14,999	\$ 881,311
Local governmental units	-	-	-	-	-	-
Highway traffic and sign permits	-	-	-	-	-	-
Fees and licenses	-	-	-	-	-	-
Fines and penalties	-	-	-	-	-	-
Other state agencies	-	-	-	-	-	-
Vehicle safety inspection	-	-	-	-	-	-
Damage claims	-	-	-	-	-	-
Sale of used vehicles and equipment	-	-	-	-	-	-
Rental of real property	373,522	331,856	-	-	-	-
Sale of land	-	-	-	-	-	-
Miscellaneous	-	-	-	-	-	-
Repayment of federally funded projects from grantees	-	-	-	-	-	-
Repayment from Mass Transit Districts	-	-	-	-	-	-
Joint Improvements - II. Tollway & others	-	-	-	-	-	-
Loan repayments	-	-	-	-	-	-
Subscriptions or Publications	-	-	-	-	-	-
Reimbursement of costs incurred on behalf of the federal government	-	-	-	-	-	-
Reimbursement/recoveries - Private sector	-	-	-	-	-	-
Private organization/individuals	-	-	-	-	-	-
Other departmental funds	-	-	-	-	-	-
Deposits remitted to the Comptroller	\$ 373,522	\$ 331,856	\$ -	\$ -	\$ 14,999	\$ 881,311
Prior year refunds	-	-	7,229	-	478	-
Prior year expenditure adjustments	-	-	-	-	-	-
Deposit correction	-	-	-	-	-	-
Deposits recorded by the Comptroller	\$ 373,522	\$ 331,856	\$ 7,229	\$ -	\$ 15,477	\$ 881,311
Deposits in transit:						
Beginning of year	15,060	-	-	-	-	-
End of year	-	15,060	-	-	-	-
Recorded receipts per Department	\$ 358,462	\$ 346,916	\$ 7,229	\$ -	\$ 15,477	\$ 881,311

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
COMPARATIVE SCHEDULE OF CASH RECEIPTS
AND RECONCILIATION OF CASH RECEIPTS TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
For the Years Ended June 30, 2009 and 2008

Receipts	Bond Series "A"		Bond Series "B"		Trans. Safety Hwy Hire-Back	
	Fund 553		Fund 554		Fund 589	
	2009	2008	2009	2008	2009	2008
Reported receipts by source:						
Federal aid reimbursements	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Local governmental units	-	-	-	-	-	-
Highway traffic and sign permits	-	-	-	-	-	-
Fees and licenses	-	-	-	-	-	-
Fines and penalties	-	-	-	-	279,025	274,523
Other state agencies	-	-	-	-	-	-
Vehicle safety inspection	-	-	-	-	-	-
Damage claims	-	-	-	-	-	-
Sale of used vehicles and equipment	-	-	-	-	-	-
Rental of real property	-	-	-	-	-	-
Sale of land	-	-	-	-	-	-
Miscellaneous	-	-	-	-	-	-
Repayment of federally funded projects from grantees	-	-	-	-	-	-
Repayment from Mass Transit Districts	-	-	-	-	-	-
Joint Improvements - II. Tollway & others	-	-	-	-	-	-
Loan repayments	-	-	-	-	-	-
Subscriptions or Publications	-	-	-	-	-	-
Reimbursement of costs incurred on behalf of the federal government	-	-	-	-	-	-
Reimbursement/recoveries - Private sector	-	-	-	-	-	-
Private organization/individuals	-	-	-	-	-	-
Other departmental funds	-	-	-	-	-	-
Deposits remitted to the Comptroller	\$ -	\$ -	\$ -	\$ -	\$ 279,025	\$ 274,523
Prior year refunds	13,245	890	-	5,484	-	-
Prior year expenditure adjustments	-	-	-	-	-	-
Deposit correction	-	-	-	-	-	-
Deposits recorded by the Comptroller	\$ 13,245	\$ 890	\$ -	\$ 5,484	\$ 279,025	\$ 274,523
Deposits in transit:						
Beginning of year	-	-	-	-	6,285	10,151
End of year	-	-	-	-	2,394	6,285
Recorded receipts per Department	\$ 13,245	\$ 890	\$ -	\$ 5,484	\$ 275,134	\$ 270,657

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
COMPARATIVE SCHEDULE OF CASH RECEIPTS
AND RECONCILIATION OF CASH RECEIPTS TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
For the Years Ended June 30, 2009 and 2008

Receipts	Federal Mass Transit Fund 853		Cycle Rider Safety Fund 863	
	2009	2008	2009	2008
	Reported receipts by source:			
Federal aid reimbursements	\$ 18,123,431	\$ 17,779,052	\$ -	\$ -
Local governmental units	-	-	-	-
Highway traffic and sign permits	-	-	-	-
Fees and licenses	-	-	-	-
Fines and penalties	-	-	-	-
Other state agencies	-	-	-	-
Vehicle safety inspection	-	-	-	-
Damage claims	-	-	-	-
Sale of used vehicles and equipment	-	-	-	-
Rental of real property	-	-	-	-
Sale of land	-	-	-	-
Miscellaneous	-	-	15	-
Repayment of federally funded projects from grantees	-	-	-	-
Repayment from Mass Transit Districts	-	-	-	-
Joint Improvements - Il. Tollway & others	-	-	-	-
Loan repayments	-	-	-	-
Subscriptions or Publications	-	-	-	-
Reimbursement of costs incurred on behalf of the federal government	-	-	-	-
Reimbursement/recoveries - Private sector	-	18,184	-	-
Private organization/individuals	-	-	-	-
Other departmental funds	-	-	-	-
Deposits remitted to the Comptroller	\$ 18,123,431	\$ 17,797,236	\$ 15	\$ -
Prior year refunds	-	-	1,467	-
Prior year expenditure adjustments	-	-	-	-
Deposit correction	(838,819)	-	-	-
Deposits recorded by the Comptroller	\$ 17,284,612	\$ 17,797,236	\$ 1,482	\$ -
Deposits in transit:				
Beginning of year	-	-	-	-
End of year	-	-	-	-
Recorded receipts per Department	\$ 17,284,612	\$ 17,797,236	\$ 1,482	\$ -

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
COMPARATIVE SCHEDULE OF CASH RECEIPTS
AND RECONCILIATION OF CASH RECEIPTS TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
For the Years Ended June 30, 2009 and 2008

Receipts	State Construction		Rail Freight Loan Repayment	
	Fund 902		Fund 936	
	2009	2008	2009	2008
Reported receipts by source:				
Federal aid reimbursements	\$ -	\$ -	\$ -	\$ -
Local governmental units	-	-	-	-
Highway traffic and sign permits	-	-	-	-
Fees and licenses	-	-	-	-
Fines and penalties	-	-	-	-
Other state agencies	-	-	-	-
Vehicle safety inspection	-	-	-	-
Damage claims	-	-	-	-
Sale of used vehicles and equipment	-	-	-	-
Rental of real property	-	-	-	-
Sale of land	-	-	-	-
Miscellaneous	-	-	-	-
Repayment of federally funded projects from grantees	-	-	-	-
Repayment from Mass Transit Districts	-	-	-	-
Joint Improvements - II. Tollway & others	-	-	-	-
Loan repayments	-	-	433,411	504,366
Subscriptions or Publications	-	-	-	-
Reimbursement of costs incurred on behalf of the federal government	-	-	-	-
Reimbursement/recoveries - Private sector	-	-	-	-
Private organization/individuals	-	-	-	-
Other departmental funds	-	-	-	-
Deposits remitted to the Comptroller	\$ -	\$ -	\$ 433,411	\$ 504,366
Prior year refunds	84,097	89,040	-	-
Prior year expenditure adjustments	-	-	-	-
Deposit correction	-	-	-	-
Deposits recorded by the Comptroller	\$ 84,097	\$ 89,040	\$ 433,411	\$ 504,366
Deposits in transit:				
Beginning of year	-	-	-	-
End of year	-	-	70,454	-
Recorded receipts per Department	\$ 84,097	\$ 89,040	\$ 503,865	\$ 504,366

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Year Ended June 30, 2009

Significant variances in expenditures were determined to be changes of \$ 500,000 and at least 20% between fiscal years, and are explained below.

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2009 AND 2008

Division of Highways and General Administration

Operations

State Contribution to State Employees' Retirement System – The increase was due to the State's contribution rate increasing from 16.561% in FY08 to 21.049% in FY09.

Commodities – The increase was due to road salt prices increasing on average 66% from FY08 to FY09 and the need to replenish road salt inventory from the harsh winter conditions in FY08.

Cars and Trucks – The increase was primarily due to the purchase of dump trucks. A contract for the purchase of dump trucks was not approved by DCMS until March 2008. Because it takes 6 months to get a truck ordered and delivered, the Department rolled the funds over from FY08 to FY09 for the purchase of the dump trucks which can cost on average at least \$ 100,000 each.

Equipment – The decrease was due to the Department deferring equipment purchases and replacements due to budget constraints.

Highway Construction

General Revenue – There was an FY08 appropriation for discretionary grants to local governments for bike trails, water main infrastructure and matching grants for flood problems. This appropriation was not made for FY09.

Bond Series "A" - The expenditures continued to decrease in FY09 as the last new appropriation was in FY04. Spending from this line item is wrapping up so expenditures continue to decline each year.

State Construction – The increase was primarily a result of more appropriation authority for construction spending that was utilized on State highway projects.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Year Ended June 30, 2009

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2009 AND 2008 (CONTINUED)

Division of Highways and General Administration (Cont.)

Special Purposes

Metro Planning – State Share – The increase was primarily due to a contract with the U.S. Department of Energy for a study of plans for the emergency evacuation of Chicago, Rockford, Peoria, Springfield and East St. Louis in FY09. Traffic management equipment was installed to ensure free traffic flow from danger zones. Forty-two organizations participated in a Transportation Emergency Preparedness Exercise in the Chicago area to test evacuation planning on the expressway system.

IDOT ITS Priority Program – State - The decrease was due to the spending in the prior year on the Dynamic Message Sign Program for placing dynamic message sign boards on various roadways and highways as an alert to motorists.

State Radio Communications (STARCOM) – FY09 was the second year for the program to replace Department radios to allow communication with the Illinois State Police and other entities. More radios were purchased and each radio costs approximately \$3,000 for the initial purchase and \$60 per month for air time.

Federal Civil Preparedness - There were no FY09 expenditures for Homeland Security grants for security and local emergency management operations as funding for those programs has continued to decline.

Enhance, Congest and Air Quality Projects – The increase was due to the Congestion Mitigation and Air Quality (CMAQ) Improvement Program as a result of increased efforts to attain the National Ambient Air Quality Standards (NAAQS). The Department increased its investment in projects in FY09 that contributed to air quality improvements through higher reimbursements to the City of Chicago.

Hazardous Materials – The increase was due to expenditures for an engineering company that was hired to perform a Phase II Special Waste Survey for a project for the North-side Yard.

Grade Crossing Protection – The decrease was mainly due to the costs incurred and paid in FY08 for the construction of the MacArthur Street extension in Springfield that did not occur in FY09.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Year Ended June 30, 2009

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2009 AND 2008 (CONTINUED)

Division of Traffic Safety

Special Purposes

Impaired Driving Incentive Program – Local Government Projects - This increase was due to an increase in federal funding levels for the related grant programs. The money was used to implement programs to reduce problems associated with individuals driving under the influence (DUI) including additional DUI enforcement. Effective January 1, 2009, the Breath Alcohol Ignition Interlock Device (BAIID) law went into effect for first-time DUI offenders.

Division of Public Transportation

Special Purposes

Downstate Public Transportation Fund – The decrease was due to the General Assembly appropriating State funds directly to the Regional Transportation Authority (RTA) in FY09 instead of routing them as a pass through the Department as in the previous year.

Mass Transportation Facilities Improvement – The decrease was due to the change in appropriating funds directly to the RTA for this program.

State Rail Freight Loan Repayment Fund and the Rail Freight Loan Repayment Fund– The decreases were due to only one active contract making payments during FY09.

Metro-East Public Transportation Fund- This appropriation was used to pay Madison County Mass Transit District's portion of the Downstate Operating Assistance Program funding in FY08. In FY09, the State statute was changed to move the District's funding source from the Metro East Fund to the Downstate Public Transportation Fund.

Public Transportation Grants – Prior to FY09 – The decrease was due to less spending each succeeding year for the capital grants as the projects are completed.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Year Ended June 30, 2009

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2009 AND 2008 (CONTINUED)

Division of Public Transportation (Cont.)

Special Purposes (Cont.)

Rail Freight Services Assistance – In FY09, there was no construction activity on Railroad contracts. One open contract had only engineering expenditures for two fiscal years and another contract was completed in FY09. It has been difficult for the Department to get funding released for new projects due to State budgetary constraints; therefore, there has been reduced financial activity related to Rail Freight Services.

Public Transportation Funds – In FY09, the General Assembly changed RTA legislation and increased funding and started paying the Madison County Mass Transit District through this appropriation and fund. These unrestricted funds are for operating assistance.

Chicago Region Environmental & Transportation Efficiency Program (CREATE) - This was a new program to improve freight and passenger rail traffic with limited expenditures in FY08. FY09 was the second year and expenditures increased due to the completion of railroad modernization project along the Indiana Harbor Belt Corridor.

Division of Aeronautics

Operations

Contractual Services – The decrease was primarily due the overhaul of two King Air 350 aircraft engines in FY08 for a total cost of \$580,000.

Special Purposes

Grant for Chicago/Rockford International Airport – The increase was due to new legislation enacted in FY09 to reimburse the Greater Rockford Airport Authority for expenditures for Cargo Apron Construction.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Year Ended June 30, 2009

The following is a summary of explanations for significant fluctuations in receipts received by the Department for fiscal year ended June 30, 2009 as compared to the fiscal year ended June 30, 2008. Included are explanations for variances to the Road Fund greater than \$100,000 and 20% and explanations to other funds presented greater than \$50,000 and 20%. The Department's receipts are presented in the "Comparative Schedule of Cash Receipts and Reconciliation of Cash Receipts to Deposits Remitted to the State Comptroller."

**ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN FISCAL YEARS
2009 AND 2008**

General Revenue Fund – 001

Federal Aid Reimbursements

The increase was due to the Department receiving reimbursement in FY09 under two federal programs for costs expended from the General Revenue Fund in FY06 and FY07. \$708,278 was received under the Formula Grant for Other than Urbanized Areas (CFDA 20.509) and \$243,931 was received under the Capital Assistance Program for Elderly Persons and Persons with Disabilities (CFDA 20.513). Long term construction costs may span multiple fiscal years and later be deemed reimbursable by the federal government.

Repayment of Federally Funded Projects from Grantees

The decrease was mainly due to a reduction in the number of construction projects where costs were less than estimated and a reduction in the Department audit staff resulting in fewer recoveries.

Loan Repayments

The decrease was due primarily to a loan repayment totaling \$30,299 that was received in December 2008 and deposited in error into fund # 101. The error was corrected in July 2009.

Road Fund – 011

Fines and Penalties

The decrease was due to motor carrier companies following the guidelines more closely and thus creating fewer instances of non-compliance resulting in fewer fines.

Other State Agencies

The decrease was due to the FY08 receipt from the Department of Natural Resources (DNR) to offset costs incurred in conjunction with the bike trail on the MacArthur extension project in Springfield. This was a onetime payment from DNR for this project.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Year Ended June 30, 2009

**ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN FISCAL YEARS
2009 AND 2008 (continued)**

Road Fund – 011 (continued)

Damage Claims

The increase was due to several large bridge damage claims being settled in FY09 and the Department recovering a few substantial construction cost recovery claims from these settlements.

Sale of Land

The decrease was due to the non-routine sale of a few pieces of high-value properties in the Chicago area in FY08.

Joint Highway Improvements – IL Tollway & Others

The increase was due to an increase in the amount received from the State of Indiana for construction projects related to the Gary-Chicago-Milwaukee corridor.

Reimbursement of Costs Incurred on behalf of the Federal Government

The monies in this account represent the administrative costs billed to the federal government on projects. There were no reimbursements received during FY09 due to a low amount of closed construction projects.

Prior Year Refunds

The decrease was due low amount of closed construction projects and due to the closing of several construction projects from FY06 and FY07 that had less construction costs than originally projected resulting in the receipt of amounts held in escrow in FY08. In addition, in FY08, the Department received \$379,945 from three closed DNR construction projects.

Aeronautics Fund – 046

Fees and Licenses

The decrease was primarily due to the receipts of pilot and aircraft registrations which occur on a two year cycle in the even number year.

Federal / Local Airport Fund – 095

Local Government Units

The increase was due to the Department being granted authority in FY09, to start 96 local airport projects. \$3 million was the local share for these projects. It had been over a year since the Department was granted the authority to release funds for local airport projects.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Year Ended June 30, 2009

**ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN FISCAL YEARS
2009 AND 2008 (continued)**

General Obligation B.R. & I. Fund – 101

Other Departmental Funds

The decrease was due to fewer refunds in the last two fiscal years explained by a reduction in the number of instances that require refunds to be deposited into fund 101. This fund receives refunds for expenditures originally paid from Transportation Series A Fund 553 and Transportation Series B Fund 554 which have had decreasing expenditures.

State Rail Freight Loan Repayment Fund – 265

Loan Repayments

The decrease was due to a large loan payoff totaling \$1.6 million by a company in June 2008. There were no accelerated loan payments in FY09.

Air Transportation Fund – 309

Fees and Licenses

The decrease was due to a decrease in State funding for travel across all State agencies resulting in fewer fees received for air travel.

Federal Civil Preparedness Fund – 497

Federal Aid Reimbursements

The decrease was due to the elimination of programs for port security, transit security, and local emergency management operations which are now the responsibility of the Illinois Emergency Management Agency.

Federal Mass Transit Fund – 853

Deposit Correction

The decrease was due to an incorrect deposit into this fund in February 2008 that was not corrected until FY09.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Year Ended June 30, 2009

Significant variances in lapse period expenditures were determined to be at least \$ 50,000 and 20% between lapse period and total expenditures, and are explained below.

FISCAL YEAR 2009

Road Fund – 011

Central Administration and Planning

Railroad Crossing Safety Monitoring Illinois Commerce Commission (ICC)– ICC billed the Department in July for 50% of the costs of a Rail Update Study completed during the fiscal year pursuant to an interagency agreement.

Central Administration Tort Claims and Auto Liability Claims – In the process of settling claims, negotiations with claimants against the State for on road and off road incidents can involve the timing of reaching agreement in the current fiscal year or having the claimant delayed until the subsequent fiscal year to settle a claim. In FY09, the Department had several settlements that occurred during the lapse period.

Division of Traffic Safety

Department of Natural Resources (DNR) - Alcohol Traffic Safety –Equipment expenditures totaling \$19,202 incurred during the fiscal year along with payroll costs for the DNR Conservation Officers participating in the Alcohol Safety Program for November 2008 and June 2009 totaling \$114,196 were reimbursed during the lapse period.

Illinois Secretary of State (SOS) – Alcohol Traffic Safety – The Department reimbursed the SOS \$40,429 for support staff for the Breath Alcohol Ignition Interlock Device (BAIID) program and \$47,232 for the purchase of ignition interlock devices purchased during the fiscal year.

Illinois State Police (ISP) – Impaired Driving Program – The Department reimbursed \$113,718 for contractual service workers who helped during the fiscal year to implement the Crash Information System (CIS) and Mobile Capture Reporting (MCR) system during the lapse period.

Division of Aeronautics

Grant for Chicago//Rockford International Airport – The costs from the Greater Rockford Airport Authority for Phase 1 Site preparation incurred during the fiscal year were billed and paid during the lapse period.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Year Ended June 30, 2009

FISCAL YEAR 2009 (CONTINUED)

Road Fund – 011 (Cont.)

Division of Aeronautics

IDOT Special Projects Fund – 174

Other State Agency –DCEO – Invoices for engineering services rendered in August and September 2008 in connection with the Third Airport Project were received and paid during the lapse period.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
ANALYSIS OF ACCOUNTS RECEIVABLE
(expressed in thousands)
For the Year Ended June 30, 2009

	<u>Total</u>	<u>Current</u>	<u>1-30 days</u>	<u>31-90 days</u>	<u>91-180 days</u>	<u>181-365 days</u>	<u>Over 1 year</u>
General Revenue Fund (001):							
Due from Federal	\$ 973	\$ 973	\$ -	\$ -	\$ -	\$ -	\$ -
Due from other Funds	30	30	-	-	-	-	-
Railroad Loans	843	843	-	-	-	-	-
Total receivables	<u>\$ 1,846</u>	<u>\$ 1,846</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Road Fund (011):							
Reimbursement from Federal Aid programs							
Due from National Traffic Safety Administration	\$ 4,477	\$ 4,477	\$ -	\$ -	\$ -	\$ -	\$ -
Due from Federal Transit Administration	-	-	-	-	-	-	-
Due from U.S. Department of Homeland Security	856	856	-	-	-	-	-
Due from Federal Hwy Administration	489,650	489,650	-	-	-	-	-
Total reimbursement from Federal Aid programs	<u>\$ 494,983</u>	<u>\$ 494,983</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Reimbursement from counties and municipals under participation agreements							
Counties/Municipalities	\$ 14,152	\$ 6,352	\$ 2,835	\$ 618	\$ 1,368	\$ 1,459	\$ 1,520
Other receivables	7,695	2,475	294	156	282	121	4,367
Total receivables	<u>\$ 516,830</u>	<u>\$ 503,810</u>	<u>\$ 3,129</u>	<u>\$ 774</u>	<u>\$ 1,650</u>	<u>\$ 1,580</u>	<u>\$ 5,887</u>
Federal/Local Airport (095):							
Due from Federal	\$ 26,825	\$ 26,825	\$ -	\$ -	\$ -	\$ -	\$ -
Due from Local	85	85	-	-	-	-	-
Total receivables	<u>\$ 26,910</u>	<u>\$ 26,910</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Bond Retirement And Interest Fund (101):							
Railroad Loans	<u>\$ 15,859</u>	<u>\$ 15,859</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
State Rail Freight Loan Repayment (265):							
Railroad Loans	<u>\$ 19,422</u>	<u>\$ 18,219</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 1,203</u>
Federal Mass Transit (853):							
Reimbursements from Federal Transit Administration for Federal Aid programs	<u>\$ 1,543</u>	<u>\$ 1,543</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
State Construction (902):							
Other Receivables	<u>\$ 27</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 27</u>
Rail Freight Loan Repayment (936):							
Railroad Loans	\$ 2,288	\$ 2,288	\$ -	\$ -	\$ -	\$ -	\$ -
Other accounts receivable	2	2	-	-	-	-	-
Total Receivables	<u>\$ 2,290</u>	<u>\$ 2,290</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
ANALYSIS OF OPERATIONS
For the Year Ended June 30, 2009

AGENCY FUNCTIONS

The Department of Transportation (Department) was created by the 77th General Assembly with legislation effective January 1, 1972. The Department is responsible for administrating and supervising the State's transportation activities, including highways, public transportation and aeronautics. The Department is accredited by the federal government for receiving federal funds for transportation programs; is responsible for drafting a State Master Plan for transportation facilities; and also provides State assistance to local public transportation agencies.

The Department's main office is located in the Harry R. Hanley Building, 2300 South Dirksen Parkway, Springfield, Illinois. An average of 5,136 full-time employees worked for the Department during FY09.

The principal divisions of the Department are the Division of Highways, the Division of Traffic Safety, the Division of Public and Intermodal Transportation and the Division of Aeronautics. The principal offices of the Department are the Office of Finance and Administration, the Office of the Chief Counsel, the Office of Operations and Communications, the Office of Quality Compliance and Review, the Office of Business and Workforce Diversity, the Office of Governmental Affairs, and the Office of Planning and Programming. The functions performed by these Divisions and Offices are briefly summarized below:

DIVISION OF HIGHWAYS

The Division of Highways is responsible for developing, designing, maintaining and operating the State highway system in an efficient, timely and economical manner. It ensures Statewide uniformity of policy interpretation and compliance of program coordination with federal, State and local agencies and administers the State's Motor Fuel Tax (MFT) local roads and streets program.

The State is divided into five regions consisting of nine districts, which are responsible for carrying out all of the Division of Highways' operational functions within their assigned geographical areas. The Division's central bureaus are responsible for developing policies, procedures, standards and guidelines to accomplish the Department's highway system improvement objectives. The central bureaus monitor district programs to ensure Statewide conformity of policy interpretation and compliance and to ensure program coordination with federal, State and local agencies.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
ANALYSIS OF OPERATIONS
For the Year Ended June 30, 2009

DIVISION OF TRAFFIC SAFETY

The Division of Traffic Safety is responsible for providing Illinois motorists, cyclists and pedestrians with the safest possible highway environment by concentrating available resources in a cost-efficient manner and promoting the reduction of traffic fatalities, injuries and crashes. Additionally, the Division is responsible for the development and promulgation of regulations in areas of crash reporting, hazardous materials transportation, vehicle inspection, safety responsibility, and cycle rider training. The Division is also responsible for administering local agency traffic safety grants that assist the Department in achieving its traffic safety goals.

DIVISION OF PUBLIC AND INTERMODAL TRANSPORTATION

The Division of Public and Intermodal Transportation's (DPIT) mission is to promote safe, efficient, affordable, reliable and coordinated transportation of people and goods through rail, mass transit, and other related modes of transportation.

The Division is responsible for developing, implementing and advocating for policies and practices that promote safe, efficient, affordable, reliable and coordinated mass and rail transit. DPIT also administers state and federal operating and capital funds to more than 50 public transportation systems in Illinois, Amtrak, and freight railroads.

DIVISION OF AERONAUTICS

The Division is responsible for regulating and supervising aeronautical activity within the State, including the coordination and implementation of programs concerning air safety, airport construction and other aeronautical-related areas. Specific responsibilities include the following:

- Conducting a continuing inspection and certification program of landing facilities to ensure adherence to minimum physical standards and safety criteria.
- Providing aviation and aerospace education through the conduct of seminars and conferences.
- Ensuring that all airport improvement projects are programmed, specified, designed and constructed in a professional, cost effective manner and are in accordance with State and federal criteria.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
ANALYSIS OF OPERATIONS
For the Year Ended June 30, 2009

DIVISION OF AERONAUTICS (Cont.)

- Operating and maintaining the State-owned executive air service and providing emergency or disaster-related air service as needed using the State fleet of utility aircraft.
- Developing an Airport Master Plan for a new commercial service airport in Chicago's south suburbs with the coordination of the Federal Aviation Administration.
- Providing support for the Civil Air Patrol activities in Illinois.

OFFICE OF FINANCE AND ADMINISTRATION

The Office of Finance and Administration is responsible for developing and administering the Department's budget and providing Department-wide support services. It is broken into four sections that are responsible for its different Department-wide functions. The Bureau of Business Services is accountable for contract management and procurement tracking as well as Department-wide business services. The Bureau of Budget and Fiscal Management is accountable for directing the evaluation of Departmental funding through fiscal and budget analysis, managing federal accounts for highway program reimbursements, and performing contract and special audits. The Bureau of Information Processing is accountable for administering a comprehensive Statewide data processing system to meet Departmental administrative and engineering needs. The Bureau of Personnel Management is accountable for administering Departmental technical personnel policies and programs, employee services and overseeing Labor Relations issues.

OFFICE OF THE CHIEF COUNSEL

The Office of the Chief Counsel is responsible for providing legal counsel to the Department on both policy issues and proposed actions affecting any of its operations. The Office is also responsible for the prosecution and defense of all litigation involving the Department in cooperation with the Attorney General. The Office provides administration of tort liability claims, property damage claims and uncollectible receivables as well as processing lien and bond claims against contractors. The Office also administers the Bureau of Civil Rights, Americans with Disability Act claims and accommodations and the self-insurance program.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
ANALYSIS OF OPERATIONS
For the Year Ended June 30, 2009

OFFICE OF OPERATIONS AND COMMUNICATIONS

The Office of Operations and Communications is primarily responsible for developing and implementing the Department's public outreach policy, plans, and programs. The objective is to provide the traveling public with vital information necessary to make necessary informed decisions in transportation choices. It also communicates the status of various reconstruction projects to motorists affected. The Office is also responsible for preparing and disseminating news releases and information on transportation programs and policies. It works to establish Public-Private Partnerships as a source of alternate funding that helps to provide economic opportunities for the private sector to extend the reach of the Department's safety messages at a cost savings to taxpayers.

OFFICE OF QUALITY COMPLIANCE AND REVIEW

The Office of Quality Compliance and Review is responsible for the independent testing of the Department's internal control system and serving as the Department's law enforcement liaison. Performance of these functions provides assurance to the Secretary and the public that the Department's policies, procedures, and regulations are adequate. The Office is also responsible for facilitating the implementation of intra- and inter- Office/Division program improvements.

OFFICE OF BUSINESS AND WORKFORCE DIVERSITY

The Office of Business and Workforce Diversity is responsible for fostering equal opportunity for minority-owned, woman-owned and other disadvantaged small businesses in the Department's federal and State-funded highway, rail, transit and airport contracts. It monitors the Department's Disadvantaged Business Enterprise (DBE) program and the Equal Employment Opportunity / On-the-Job training (OJT) program. The Office is committed to the reaffirmation and support of equal employment opportunity and Americans with Disabilities Act (ADA) requirements impacting personnel, policies, practices, and procedures.

OFFICE OF GOVERNMENTAL AFFAIRS

The Office of Governmental Affairs is responsible for developing the Department's policy goals and positions on State legislative programs and strategies. It ensures that Departmental policy consistently supports and enhances Illinois transportation interests. It develops and implements the Department's annual legislative program and analyzes all transportation-related legislation introduced by the General Assembly.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
ANALYSIS OF OPERATIONS
For the Year Ended June 30, 2009

OFFICE OF GOVERNMENTAL AFFAIRS (Cont.)

The Office also assists legislators and the Office of the Governor with transportation related constituent inquiries while maintaining an effective internal and external liaison system to fulfill this responsibility.

OFFICE OF PLANNING AND PROGRAMMING

The Office of Planning and Programming is responsible for coordinating the long and short- range planning needs and assessment, analysis and development of transportation improvement programs. Specific responsibilities include:

- Developing the Department's positions for federal legislative programs and strategies;
- Developing annual and multi-year capital programs for highways, rail, public transportation, and airports;
- Directing the metropolitan planning programs;
- Analyzing roadway condition information;
- Maintaining roadway and bridge data systems for the State.

AGENCY PLANNING

The Department, through the Office of Planning and Programming (Office), as noted above, is responsible for the identification of transportation needs and objectives, coordination and preparation of work plans to accomplish such objectives, and compilation of the costs to complete activities defined in the work plans. The Office is responsible for preparing annual and long-range plans to meet Departmental planning goals.

In accordance with Illinois Highway Code (605 ILCS 5/4-303), the Office prepares a Multiyear Proposed Highway Improvement Program and an Annual Proposed Improvements for Illinois Highways for the current fiscal year, which considers the State's highway needs and serves as the action plan for the coming fiscal year. This program is a listing of specific projects to constitute a reasonable year's work. The Office also prepares multi-year capital programs for Illinois airports, public transportation and rail systems.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
ANALYSIS OF OPERATIONS
For the Year Ended June 30, 2009

AGENCY PLANNING (Cont.)

In addressing general and specific planning issues, the Office works closely with Metropolitan Planning Organizations (MPOs). MPOs are comprised of representatives from local governments and transportation implementers. The MPOs provide an overall coordination role in planning and programming funds for transportation projects.

The Bureau of Budget and Fiscal Management in the Office of Finance and Administration is responsible for developing and administering the Department's budget. The Bureau also monitors expenditures and provides financial analysis for capital program proposals and transit funding proposals.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
ANALYSIS OF OPERATIONS
AVERAGE NUMBER OF FULL TIME EMPLOYEES
For the Year Ended June 30, 2009

	<u>2009</u>	<u>2008</u>
Cycle Rider Safety	1	2
Information Processing	74	77
Diesel Emissions	2	2
Central Division of Highways	400	415
Day Labor	19	19
Central Administration & Planning	238	317
Traffic Safety	85	105
Aeronautics	65	65
Local Roads & Streets	91	92
District 1	1,119	1,171
District 2	381	392
District 3	346	364
District 4	346	357
District 5	296	311
District 6	378	398
District 7	319	329
District 8	508	518
District 9	291	295
Intelligent Transportation System (ITS)	1	1
Public Trans & Rail	32	32
Shared Services Center	75	-
Subtotal, State Funded Positions	<u>5,067</u>	<u>5,262</u>
Federally Funded Positions:		
Traffic Safety -		
Highway Safety	16	17
Motor Carrier Safety	36	30
Central Administration & Planning -		
Transportation Equity Act (TEA-21) Advance	14	15
Public Trans & Rail -		
Rural/Small Urban	2	2
Subtotal, Federally Funded Positions	<u>68</u>	<u>64</u>
GRAND TOTAL	<u><u>5,135</u></u>	<u><u>5,326</u></u>

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
ANALYSIS OF OPERATIONS
ANNUAL COST STATISTICS BY MAJOR OBJECT CLASSIFICATION
For the Year Ended June 30, 2009

	2009
Operations	\$ 760,780,904
% of total expenditures	18.33%
Personal Services	\$ 379,246,955
% of operational expenditures	49.85%
Other Payroll Costs (FICA, retirement)	\$ 109,166,895
% of operational expenditures	14.35%
Contractual Services	\$ 127,121,897
% of operational expenditures	16.71%
All Other Operational Items	\$ 145,245,157
% of operational expenditures	19.09%
Grants	\$ 1,399,099,730
% of total expenditures	33.72%
Construction	\$ 1,984,561,054
% of total expenditures	47.83%
Capital Improvements	\$ 5,139,385
% of total expenditures	0.12%
Total expenditures (all funds)	\$ 4,149,581,073

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
ANALYSIS OF OPERATIONS
EMERGENCY PURCHASES
For the Year Ended June 30, 2009

The Department reported the following emergency purchases to the Office of the Auditor General during FY09:

DESCRIPTION OF EMERGENCY PURCHASE	Cost
Expediting renewed access to US 34 during Mississippi River flooding	\$ 28,561
Construction of temporary roadway to keep US 136 open	343,205
Temporary grade rise for IL Route 100 due to Mississippi River flooding	25,222
Replacement of 10 ft. diameter corrugated metal pipes due to June 2008 flooding	535,705
Rock salt for the Department's north side maintenance facility/Cook County	8,394,312
Repair to hot mix asphalt overlays on I-57/70 around Effingham, IL	299,637
Repair of District 7 structures due to flooding	1,930,663
Repairs to beam webs of the bridge along Interstate 57 over 147th Street	585,797
Repair damages to gutters, inlets and grates; silt removal of drainage system	87,265
Milling & resurfacing of IL Rte. 100 and bike trail & cleaning of storm drainage system	119,319
Repair of stress fractures in deck beams of I-80 bridge	14,410
Repair of damage to armament on the embankment slope along IL Rte. 100	5,764,817
Additional road salt purchased for the Chicago area due to increased winter storms	1,232,828
ARRA spending oversight services	250,000 *
ARRA spending oversight services	250,000 *
Rental of 4 skid steer loaders w/ grapple attachments to clean up storm damage	17,820
Purchase of 2 grapple attachments to clean up storm damage	33,720
Rental of 4 skid steer loaders w/ grapple attachments to clean up storm damage	12,320
Services to repair undermined pavement on EB I-90 and adjacent shoulder	110,184
TOTAL COST	\$ 20,035,785

* Denotes estimated cost

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
SCHEDULE OF ILLINOIS FIRST PROJECTS (NOT EXAMINED)
For the Year Ended June 30, 2009 (Previously Unreported)

Project Identification Number	Appropriation Account Code	Brief Description of Project per Appropriation	Project Award Amount	Amount	
				Current Year Expended	Reappropriated
LAV1C	902-49442-7700-0028	THE CONSULTANT WILL PERFORM ALL NECESSARY SERVICES TO NEGOTIATE ACQUISITION OF PROPERTIES REQUIRED FOR COMPLETION OF THE DUTIES ASSIGNED IN THE INDIVIDUAL WORK ORDERS	\$ 172,850	\$ 39,200	\$ -
LAV1J	902-49442-7700-0028	THE CONSULTANT WILL PERFORM ALL NECESSARY SERVICES TO PREPARE REVIEW APPRAISALS OF PROPERTIES REQUIRED FOR COMPLETION OF THE DUTIES ASSIGNED IN THE INDIVIDUAL WORK ORDERS	64,000	7,500	-
LAV1M	011-49442-7900-0027 902-49442-7700-0028	THE CONSULTANT WILL PERFORM ALL NECESSARY SERVICES TO PREPARE APPRAISALS, SPECIALTY REPORTS AND NEGOTIATE ACQUISITION OF PROPERTIES AS ASSIGNED IN THE INDIVIDUAL WORK ORDERS	453,375	24,350	-
LAV1N	902-49442-7700-0028	THE CONSULTANT WILL PERFORM ALL NECESSARY SERVICES TO PREPARE APPRAISALS OF PROPERTIES AS ASSIGNED IN THE INDIVIDUAL WORK ORDERS	369,275	72,500	-
LAV1O	902-49442-7700-0000 902-49442-7700-0026	THE CONSULTANT WILL PERFORM ALL NECESSARY SERVICES TO PREPARE REVIEW APPRAISALS OF PROPERTIES AS ASSIGNED IN THE INDIVIDUAL WORK ORDERS	195,060	52,325	60,400
LAV1P	902-49442-7700-0026	THE CONSULTANT WILL PERFORM ALL NECESSARY SERVICES TO PREPARE SPECIALTY REPORTS TO ASSIST APPRAISERS IN THE VALUATION OF PROPOSED R.O.W. AS ASSIGNED IN THE INDIVIDUAL WORK ORDERS	94,425	24,600	-
LAV1Q	011-49442-7900-0027 902-49442-7700-0028	PARTIALLY OBLIGATE FUNDS FOR THE CONSULTANTS CONTRACT TO PERFORM ALL NECESSARY SERVICES TO NEGOTIATE ACQUISITION OF PROPERTIES AS ASSIGNED IN THE INDIVIDUAL WORK ORDERS	200,000	17,200	59,450
LAV2C	902-49442-7700-0028	THE CONSULTANT WILL PERFORM ALL NECESSARY SERVICES TO PREPARE APPRAISALS, APPRAISAL REVIEWS AND PROVIDE RELOCATION SERVICES AS ASSIGNED IN THE INDIVIDUAL WORK ORDERS	172,750	17,600	-
LAV3B	902-49442-7700-0028	PARTIALLY OBLIGATE FUNDS TO PAY FOR ALL NECESSARY SERVICES TO PREPARE APPRAISALS, REVIEW APPRAISALS, AND/OR NEGOTIATE ACQUISITION OF PROPERTIES FOR COMPLETION OF DUTIES ASSIGNED IN WORK ORDERS	160,775	-	50,000
LAV3C	902-49442-7700-0000 902-49442-7700-0028	THE CONSULTANT WILL PERFORM ALL NECESSARY SERVICES TO PREPARE APPRAISALS, APPRAISAL REVIEWS AND NEGOTIATE ACQUISITION OF PROPERTIES AS ASSIGNED IN THE INDIVIDUAL WORK ORDERS	199,400	28,800	113,700
LAV1DI	011-49442-7900-0027 902-49442-7700-0000	PARTIALLY OBLIGATE FUNDS FOR THE CONSULTANTS CONTRACT TO PERFORM ALL NECESSARY SERVICES TO NEGOTIATE ACQUISITION OF PROPERTIES AS ASSIGNED IN THE INDIVIDUAL WORK ORDERS	139,100	81,700	-
LAV1II	902-49442-7700-0000 902-49442-7700-0026 902-49442-7700-0028	THE CONSULTANT WILL PERFORM ALL NECESSARY SERVICES TO PREPARE REVIEW APPRAISALS OF PROPERTIES AS ASSIGNED IN THE INDIVIDUAL WORK ORDERS	399,300	92,475	121,850
LAV1K1	902-49442-7700-0000 902-49442-7700-0028	THE CONSULTANT WILL PERFORM ALL NECESSARY SERVICES TO PREPARE APPRAISALS OF PROPERTIES AS ASSIGNED IN THE INDIVIDUAL WORK ORDERS	251,050	43,550	-
LAV8B1	902-49442-7700-0000 902-49442-7700-0028	PARTIALLY OBLIGATE FUNDS TO PAY THE CONTRACTOR TO PERFORM SERVICES TO PREPARE APPRAISALS AND/OR APPRAISAL REVIEWS OF PROPERTIES FOR COMPLETION OF ASSIGNED WORK ORDERS	549,200	254,250	174,950
AE03003	011-49442-7700-0023	FUNDING NECESSARY TO CONTINUE DATA RECOVERY IN CONJUNCTION WITH FAP 310, IL 140 TO FOSTERBURG RD MADISON, CO. IN ACCORDANCE WITH STATE AND FEDERAL LAWS	1,500,000	172,854	30,761
AE06008	902-49442-7700-0026	FUNDING NECESSARY TO PROVIDE CULTURAL RESOURCE SURVEYS FOR FAP 315 ALONG PROPOSED PEORIA TO MACOMB CORRIDOR IN ACCORDANCE WITH STATE AND FEDERAL LAWS	750,000	171,076	5
AE07003	011-49442-7900-0027	FUNDING NECESSARY TO PROVIDE CULTURAL RESOURCE SURVEYS FOR VARIOUS LOCATIONS FOR IL 3 RELOCATION FROM VENICE TO SAUGET IN ST. CLAIR & MADISON COUNTIES IN ACCORDANCE WITH STATE & FEDERAL LAWS	1,500,000	69	-
AL00143	011-49442-7700-0022	PH II PRELIMINARY ENGINEERING FOR THE RELOCATION & RECONSTRUCTION OF TORRENCE AVE. BETWEEN THE CALUMET RIVER & 130TH ST. & EXTENSION OF 126TH ST. IN CHICAGO, COOK CO., IL. D-88-044-99 99-B9368-00-PV	1,134,000	-	116,623
AL00380	011-49442-7700-0022	CONSTRUCTION OF LANDSCAPING ON MAIN STREET IN THE VILLAGE OF GLENWOOD, COOK CO, IL C91-546-99 99-00043-00-LS D1	75,000	-	75,000
AL01133	011-49442-7700-0022	TO IMPROVE THE RANDOLPH ST VIADUCT OVER THE KENNEDY EXPRESSWAY AND THE ADJACENT ENTRANCE EXIT RAMPS JACKSON BLVD VIADUCT IN CHICAGO,IL 99-E0501-00-BR C88-010-00	16,060,000	-	3,740,033
AL01138	011-49442-7700-0022	PRELIMINARY ENGINEERING IMPROVEMENT OF LASALLE DR BETWEEN CLARK ST AND LAKE SHORE DR IN THE CITY OF CHICAGO,IL 00-B0259-00-PV P88-009-01	400,000	-	26,224

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				Current Year Expended	Reappropriated
AL01386	011-49442-7700-0022	MODERNIZATION OF TRAFFIC CONTROL SIG. ALONG U. S. RTE. 41 (SO. SHORE DR.) @ 75TH ST. & @ 83RD ST. IN CHICAGO, IL. C-88-002-01 00-B0270-00-TL	300,000	-	1,295
AL01411	011-49442-7700-0022	TO IMPROVE MILWAUKEE AVE. VIADUCT OVER THE KENNEDY EXPRESSWAY IN CHICAGO, IL. C-91-097-97 00-E0556-00-BR	5,045,000	-	1,094,710
AL01412	011-49442-7700-0022	TO IMPROVE THE ORIOLE AVE. VIADUCT OVER THE KENNEDY EXPRESSWAY IN CHICAGO, IL. C-91-129-98 00-E0554-00-BR	4,685,000	-	4,685,000
AL02222	011-49442-7700-0022	RECONSTRUCT TORRENCE AVE. EXTEND 126TH PLACE AND EXTEND A NORTH/SOUTH INDUSTRIAL RD. FROM 126TH PLACE IN CHICAGO, COOK CO., IL. C-88-044-99 99-B9368-00-PV	16,226,000	-	287,359
AL03217	011-49442-7700-0023	CONSTRUCT BASE LINE RD. FROM ARSENAL RD. TO DRUMMOND RD. USING EDP FUNDS IN WILL CO., IL. C-91-419-00 00-00117-17-RP NOT TO EXCEED PER THE AGREEMENT	3,341,573	-	39,274
AL03257	011-49442-7700-0026 011-49442-7900-0028 011-49442-7900-1027	LAND ACQUISITION, RELOCATION ASSISTANCE, AND RELOCATION CONSULTANTS @ CC&P/STEARNS ROAD IN KANE CO., IL. 98-00214-02-BR R-91-083-01 NOT TO EXCEED PER AGREEMENT FOR FEDERAL FUNDS	29,991,307	1,671,832	1,481,688
AL04126	011-49442-7700-0024	RECONSTRUCTION/CONST. ENGR. OF 75TH ST. OVER I-94 CHICAGO 01-E2005-00-BR C-91-121-97	5,060,000	367,749	1,091,972
AL04136	011-49442-7700-0024	REHABILITATION OF WASHINGTON AND MONROE ST. BRIDGES OVER I-90/94 / CHICAGO 01-E1001-00-BR C-88-031-00	14,827,500	521,454	1,054,071
AL04174	011-49442-7700-0024 011-49442-7900-1027	CONSTRUCTION AND CONSTRUCTION ENGINEERING FOR DRUMMOND RD FROM BASELINE RD TO DIAGONAL RD AND MISSISSIPPI AVE FROM DIAGONAL RD TO LINCOLN AVE ELWOOD 01-00008-00-FP C-91-351-01	3,583,500	-	203,596
AL04257	011-49442-7700-0024 011-49442-7900-0027	CONSTRUCTION & CONSTRUCTION ENGINEERING FOR MICHIGAN AVE. VIADUCT OVER BISHOP FORD EXPY./I-94 CHICAGO 02-E2010-00-BR C-91-314-97	7,025,000	864,856	1,300,382
AL04331	011-49442-7700-0023	CONSTRUCTION, CONSTRUCTION ENGINEERING AND LAND ACQUISITION FOR US 40 AT FORMOSA RD., FORMOSA RD. AT COLLINSVILLE RD. AND IL 162 AT TROY BLVD. TROY 02-00023-00-RP C-98-330-03 R-98-017-04	1,481,875	123,888	-
AL04374	011-49442-7700-0024	CONSTRUCTION ENGINEERING FOR BELVIDERE ROAD FROM IL 131 TO SHERIDAN ROAD WAUKEGAN 03-00225-00-TL C-91-097-03	83,515	-	83,515
AL05041	011-49442-7700-0024	CONSTRUCTION & CONSTRUCTION ENGINEERING FOR WPA STREETS SLEEPY HOLLOW PROJECT #2 CHICAGO 98-U8139-01-PV C-88-011-00	1,830,000	-	35,945
AL05044	011-49442-7700-0025	CONSTRUCTION & CONSTRUCTION ENGINEERING FOR 130TH ST AT TORRENCE AVE & 130TH ST AT NS TRACKS CHICAGO 99-B9369-02-PV C-88-025-04	36,667,000	3	6,667,000
AL05045	019-49446-7700-0028	CONSTRUCTION & CONSTRUCTION ENGINEERING FOR 14TH ST OVER NORFOLK SOUTHERN TRACKS TILTON 00-00026-00-BR C-95-091-01	10,404,157	2,219,640	-
AL05093	011-49442-7700-0025	CONSTRUCTION OF NEW ROAD FROM REDCO DR TO SKYLINE DR MARION 04-00096-00-FP C-99-500-05 D-99-501-05	1,391,900	-	121,470
AL05239	011-49442-7700-0025	PRELIMINARY ENGINEERING FOR BOUGHTON RD FROM KING RD TO WOODWARD RD BOLINGBROOK 04-00043-00-TL D-91-106-05	64,400	63,778	622
AL05242	011-49442-7700-0025	PRELIMINARY ENGINEERING FOR 87TH ST FROM WESTERN AVE TO I-90/94 CHICAGO 04-B4103-00-TL P-88-013-05	250,000	-	235,719
AL05246	011-49442-7700-0025	PRELIMINARY ENGINEERING FOR CICERO AVE FROM PETERSON AVE TO LEXINGTON AVE CHICAGO 04-B4105-00-TL P-88-012-05	560,000	-	375,922
AL05286	011-49442-7700-0025	CONSTRUCTION, CONSTRUCTION ENGINEERING & FORCE ACCOUNT WORK ON RACINE AVE AND ON 37TH ST CHICAGO 99-U9136-00-RS C-88-056-99	3,580,000	2,300,062	1,279,938
AL05288	011-49442-7700-0025	PRELIMINARY ENGINEERING FOR IL 56 AT KIRK RD/FARNSWORTH RD KANE COUNTY 01-00273-00-CH D-91-073-05	256,000	-	99
AL06100	011-49442-7700-0023 011-49442-7700-0026 011-49442-7900-0027	CONSTRUCTION & CONSTRUCTION ENGINEERING ON 71ST STREET OVER I-94 CHICAGO 05-E2003-00-BR C-91-124-97	10,330,000	831,159	1,402,902
AL06197	011-49442-7700-0026	LAND ACQUISITION FOR EAST PLEASANT HILL RD FROM WALL ST TO GIANT CITY RD CARBONDALE R D/JACKSON COUNTY 99-02118-00-FP R-99-027-02	192,000	-	70,374
AL07035	011-49442-7900-1028	CONSTRUCTION ON KIRK RD AT IL 38 KANE COUNTY 01-00281-00-CH C-91-034-05	2,134,600	-	54,600
AL99286	011-49442-7700-0022 011-49442-7700-0023 011-49442-7700-0024	PRELIMINARY ENGINEERING FOR THE SYSTEM OF ACCESS ROADS	8,055,000	-	3,055,017
C950097	011-49405-7700-0023 011-49442-7700-0022	RECONSTRUCTION OF IL FROM WEST OF IL 59 TO WEST OF COUNTY FARM ROAD	242,489	-	771
C960087	011-49405-7700-0023 011-49442-7700-0022	DESIGN NEW PUMPING STATION AT US 41 AND DEERPATH ROAD IN LAKE COUNTY	460,887	13,827	59,915
0605370	011-49442-7700-0023	CONSTRUCTION AT ON FAI-55, WILMINGTON RD BRIDGE OVER I-55	3,479,049	13,158	74,881
0607980	902-49442-7700-0024	CONSTRUCTION AT FAP-559 & 341, 2.7MI OF IL 58 & 2MI OF IL 72; W OF MOON LAKE RD TO ROSELLE RD & W OF FAIRMOND RD TO ROSELLE RD	596,419	-	38,529
0608590	553-49444-7700-0024	CONSTRUCTION AT IL 22 FROM IL 21/US 45 TO WEST OF HEWITT DR IN LINCOLNSHIRE	12,222,345	-	17,792
0608810	011-49442-7900-0027 902-49442-7700-0026	IL 22 OVER INDIAN CREEK IL 22 OVER DES PLAINES RIVER CONSTRUCTION AT FAP-337, W OF IL 83 EXTENDING E ALONG IL 22 (HALF DAY RD)	23,488,838	897,447	1,069,908

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				Current Year Expended	Reappropriated
0609610	011-49442-7900-0027 902-49442-7700-0026	CONSTRUCTION AT FAP-575, US 30, JOLIET & CREST HILL, BETWEEN STATEVILLE RD & A POINT W OF LARKIN AVE & @ ROCK RUN CREEK & SUNNY LAND DRAIN	11,263,845	38,841	262
0609970	011-49442-7900-0027	CONSTRUCTION AT FAP-337, IL RT 22 FROM W OF US RT 12 TO E OF BUESCHING RD; & @ IL RT 22 & ELA RD/WHITNEY RD IN VILLAGE OF LAKE ZURICH.	28,760,540	71,585	247,298
0620300	902-49442-7700-0026	CONSTRUCTION AT FAP-337, IL RT 22 FROM E OF BEUSCHING RD TO QUENTIN RD; OAKWOOD RD/OLD MILL RD & QUENTIN RD IN VILLAGE OF LAKE ZURICH	13,103,392	303,491	134,956
0620980	902-49442-7700-0026	CONSTRUCTION AT FAP-575, US30 @ LILY CACHE RD & OVER LILY CACHE CREEK IN VILLAGE OF PLAINFIELD, 0.5MI NW OF I-55	5,114,648	366,945	17,055
0621050	011-49442-7900-0027	CONSTRUCTION AT FAL-80/94, FROM E OF THE TOLLWAY'S OASIS TO W OF IL 83 IN VILLAGES OF LANSING, SOUTH HOLLAND, & THORNTON.	16,794,024	272,123	-
0621070	011-49442-7900-0027	CONSTRUCTION AT FAL-94 & FAP-332, FROM S OF 159TH ST TO S OF THORNTON-LANSING RD. IN VILLAGE OF LANSING & SOUTH HOLLAND	37,224,022	69,213	-
0621080	011-49442-7900-0027	CONSTRUCTION AT FAL-94 & FAP-332, I-94 EB, IL 394 SB FROM S OF 159TH ST TO S OF THORNTON-LANSING RD IN LANSING & SOUTH HOLLAND	44,946,849	2,065,068	236
0621100	902-49442-7700-0025	CONSTRUCTION AT FAL-80, I-80/94/US-6; W/O IL 83 TO E/O BURNHAM AVE, VILLAGE OF LANSING	21,095,154	27,435	-
0621110	902-49442-7700-0026	CONSTRUCTION AT FAL-80/94, FROM W OF IL 83 TO E OF BURNHAM RD IN LANSING	32,531,046	146,735	-
0621140	011-49442-7700-0026 011-49442-7900-0027	CONSTRUCTION AT I-80/94 OVER HOHMAN AVENUE, LITTLE CALUMET RIVER, HARRISON AVENUE AND I80/94/US6 FROM BURNHAM ROAD TO US41 IN LANSING, IL AND HAMMOND AND MUNSTER, IN	70,703,440	299,981	1
0621240	011-49442-7900-0026	CONSTRUCTION AT FAU-2831, KEDZIE AVE FROM 103RD ST TO 127TH ST IN CHICAGO, VILLAGE OF MERRIONETTE PK & CITY OF BLUE ISLAND	782,973	440	-
0622950	011-49442-7900-0027	CONSTRUCTION AT FAU-3537, US RT 20 (LAKE ST) FROM E OF ROHLWING RD TO W OF ADDISON RD	19,621,884	16,270	373
0623000	011-49442-7900-0027	CONSTRUCTION AT FAL-94/90, DAN RYAN EXPWY BETWEEN 71ST ST AND 31ST ST IN CHICAGO	75,103,059	67,314	1
0623040	011-49442-7900-0027	CONSTRUCTION AT FAL-94, NB DAN RYAN EXP FROM 79TH ST TO I57 INT & ALONG I57 TO HALSTED IN CHICAGO	76,002,441	84,681	558
0623490	553-49444-7700-0024	CONSTRUCTION AT FAL-80/94, IL 394 TO US 41 LOCATED IN LANSING & SOUTH HOLLAND	2,748,080	-	30,137
0623500	902-49442-7700-0025	CONSTRUCTION AT FAL-80, I-80/94 FROM E/O IL 83 TO W/O WENTWORTH AVE IN VILLAGE OF LANSING	32,928,519	10,080	1,100
0623520	553-49444-7700-0024	CONSTRUCTION AT FAL-80, VILLAGES OF LANSING & SOUTH HOLLAND I80/94	7,680,681	5,850	-
0623530	553-49444-7700-0024 902-49442-7700-0027	CONSTRUCTION AT FAL-80, I-80/94/294/IL394. LOCATED OVER IL394 AND I-94 AND OVER THORN CREEK ONE MILE N OF I-80	31,310,723	186,437	208
0623760	011-49442-7900-0027	CONSTRUCTION AT FAU-1487, MAPLE/CHICAGO AVE FROM CUMNOR RD TO IL 83 IN VILL. OF WESTMONT & CLARENDON HILLS	10,353,208	189,615	-
0626640	553-49444-7700-0024 902-49442-7700-0028	CONSTRUCTION AT FAL-80, VILLAGE OF LANSING COOK CO) & HAMMOND & MUENSTER (LAKE CO) FROM IL 394 IN IL TO US 41 IN INDIANA	17,533,798	2,268,510	3,735,127
0626940	902-49442-7700-0024 902-49442-7700-0026	CONSTRUCTION AT FAL-94, HALSTED, MICHIGAN, 95TH & 87TH ST ENT/EXT RAMPS ON DAN RYAN EXPWY BTWN I-57 INT. & 71ST NB IN CHICAGO	33,510,091	-	10,415
0626950	011-49442-7900-0027	CONSTRUCTION AT FAL-94, HALSTED, WENTWORTH, 95TH & 87TH ENT/EXT RAMPS ON DAN RYAN EXPWY BTWN I-57 & 71ST ST SB IN CHICAGO	37,410,031	341	-
0627280	011-49442-7700-0022	CONSTRUCTION AT FAP-372, BEGINS @ NORTH BR JOINT OF I-290 & EXTENDS NORTHERLY TO W LAKE ST IN MAYWOOD, IL171 (FIRST AVE)	1,017,621	13,068	-
0627980	902-49442-7700-0025	CONSTRUCTION AT FAL-94/90, ALONG S WENTWORTH AVE & S WELLS ST FROM 59TH ST TO 47TH ST IN CHICAGO (DAN RYAN EXPRESSWAY)	97,738	-	35,547
0628780	902-49442-7700-0025	CONSTRUCTION AT FAP-305, W OF IL 83 EASTERLY ALONG PALATINE RD. S OF IL 68 IN PROSPECT HEIGHTS	5,367,963	30,758	-
064C320	011-49442-7700-0026	CONSTRUCTION AT FAP-505, IL 75 FROM 0.3MI N OF US 20 NE OF FREEPORT TO 0.1MI W OF ROCK CITY RD IN ROCK CITY	4,022,219	3,715,573	50,497
064D060	011-49442-7700-0026	CONSTRUCTION AT FAP-595, IL 5/MILAN BELTWAY/52ND AVE INTERCHANGE IN ROCK ISLAND	356,793	-	55,343
0645600	902-49442-7700-0027	CONSTRUCTION AT FAP-17, E OF PLUM RIVER @ SAVANA TO E OF MT CARROLL. US 52/IL64	18,496,236	1,406,604	2,867,506
0645770	011-49442-7900-0027	CONSTRUCTION AT FAP-301, US 20 FREEPORT BYPASS FROM E OF IL 75 TO W OF SPRINGFIELD RD & E OF IL 26 TO E OF IL 75	11,187,894	20,106	-
0645940	902-49442-7700-0026	CONSTRUCTION AT FAP-303, IL 173 IN LOVES PARK 3MI S OF ROSCOE	19,819,312	90,140	60,842
0646400	902-49442-7700-0026	CONSTRUCTION AT FAP-22, IL78 & IL 81 IN KEWANEE	3,322,817	7,806	-
0646470	011-49442-7900-0027	CONSTRUCTION AT FAP-595, OVER THE ROCK RIVER TO JOHN DEERE RD & BLACKHAWK AVE (52ND AVE EXTENSION)	13,301,749	163,807	90
0649690	902-49442-7700-0026	CONSTRUCTION AT FAP-309, US 30 FROM 0.2MI E OF JACKSON ST IN MORRISON TO FRENCH CREEK, ONE MI E OF MORRISON	5,232,393	191,351	38,971
0663500	553-49444-7700-0024	CONSTRUCTION AT FAP-591, REMOVE & REPLACE STRUCTURE CARRYING US 34 OVER LITTLE ROCK CREEK 2.2 MI E OF SANDWICH	1,434,558	-	9,117

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0666170	902-49442-7700-0027	CONSTRUCTION AT FAP-623, OVER LITTLE VERMILLION RIVER ON US 6 EASTSIDE OF LASALLE	3,476,476	25,613	21,843
0681950	902-49442-7700-0024	CONSTRUCTION AT ON FAI-74, RIVER FRONT DR INTERCHANGE, IL RIVER TO TP&W RR IN EAST PEORIA	33,350,891	32,500	24,669
0681960	902-49442-7700-0025	CONSTRUCTION AT FAI-74, FROM MONROE AVE TO 0.4KM W OF INDUSTRIAL SPUR, INCLUDING MURRAY BAKER BRIDGE	58,023,213	39,992	9,448
0681980	011-49442-7900-0027	CONSTRUCTION AT FAI-74, FROM NEBRASKA AVE TO MONROE AVE IN PEORIA	68,788,222	70,342	30,693
0682000	011-49442-7900-0027 902-49442-7700-0026	CONSTRUCTION AT FAI-74, FROM W OF STERLING AVE TO NEBRASKA AVE & WAR MEMORIAL DR INTERCHANGE FROM STERLING TO W OF UNIVERSITY AVE	48,412,866	371,835	6,241
0682010	011-49442-7700-0026 902-49442-7700-0026	CONSTRUCTION AT FAI-74, WB LANES FROM IL RIVER TO E OF WASHINGTON ST INCLUDING CAMP ST, MAIN ST, WASHINGTON N ST & STRUCTURES OVER RT	37,757,049	569,509	283,817
0725350	553-49444-7700-0024	CONSTRUCTION AT ON FAP-75, FROM 2.1 MI N OF CHRISTIAN CO LN TO JOHN ST IN ROCHESTER	17,024,121	-	-
0725360	902-49442-7700-0025	CONSTRUCTION AT FAP-75&753, IL 29 FROM 1.2 MI S OF EDINBURG TO CHENEY ST IN TAYLORVILLE	24,788,743	3,825	-
0725410	011-49442-7900-0027 019-49446-7700-0028	CONSTRUCTION AT @ 171, NEW SEGMENT OF MACARTHUR BLVD & RELOCATED PORTION OF RECREATION DR	23,840,728	6,494,133	1,375,895
0741280	011-49442-7900-0027	CONSTRUCTION AT FAP-774, IL 32/33 FROM NAZARENE CHURCH RD TO FORD AVE IN EFFINGHAM	620,580	68,793	-
0741290	011-49442-7900-0027	CONSTRUCTION AT FAP-774, IL RT 32/33 FROM NAZARENE CHURCH RD TO FORD AVE	69,649	-	1,940
076A710	902-49442-7700-0027	CONSTRUCTION AT FAP-310, IL 255 IN GODFREY, IL (US 67/IL 267)	291,189	-	676
0760120	553-49444-7700-0024 902-49442-7700-0000	CONSTRUCTION AT FAP-600, FROM N OF I-55/70 TO S OF IL RT 162 LOCATED IN MARYVILLE	5,888,901	247,064	-
0760140	902-49442-7700-0024 902-49442-7700-0025	CONSTRUCTION AT ON FAP-600, IL RT 159 FROM I-270 TO HARVARD DR(EDWARDSVILLE)	11,664,883	143,109	-
0763000	011-49442-7700-0026 902-49442-7700-0026	IL 159 FROM KETTLE RIVER DR TO N OF CENTER GROVE RD CONSTRUCTION AT FAP-103, GREENMOUNT RD TO PEABODY RD, IL13/15	15,221,017	5,425,974	925,897
0763010	553-49444-7700-0024 902-49442-7700-0025	CONSTRUCTION AT FAP-586, IL RT 162 IN TROY FROM WEST OF FORMOSA RD TO US 40	7,427,460	3,313,578	405,122
0763210	011-49442-7900-0027	CONSTRUCTION AT FAP-310, FROM W OF FOSTERBURG RD TO WEST OF SEMINARY RD, IL 255	5,382,790	404,401	-
0763840	011-49442-7700-0023	CONSTRUCTION AT ON FAP-310, IL RT 255 FROM IL RT 143 TO IL RT 140	25,732,982	820,597	-
0764290	553-49444-7700-0024	CONSTRUCTION AT FAI-64, FROM IL RT 157 TO GREENMOUNT RD (IL159) TO LINCOLN TRAIL EXIT	55,573,062	7,568	966,384
0769860	902-49442-7700-0025	CONSTRUCTION AT FAP-310, IL 255, 4LN ROADWAY FROM W OF FOSTERBURG RD TO W OF SEMINARY RD, V10N12	9,492,553	2,992,275	6,625
0837820	011-49442-7700-0026	CONSTRUCTION AT INTERSECTIONS OF ILLINOIS ROUTE 64 AT RANDALL ROAD AND AT DEAN STREET	15,878,581	10,000	75,675
0848410	902-49442-7700-0026	CONSTRUCTION AT FAP-309, US 30 MISSISSIPPI RIVER @ FULTON.	3,524,585	12,230	-
0906320	902-49442-7700-0026	CONSTRUCTION AT US ROUTE 136 - EAST OF PINE STREET TO WEST SIDE OF STONEY CREEK BRIDGE IN DANVILLE	6,995,560	30,354	-
0913530	011-49442-7900-2027	CONSTRUCTION AT FAS 642 AT INTERSTATE 57 NORTH OF MATTOON	11,436,773	108,215	2,544
0933460	011-49442-7700-0024	CONSTRUCTION AT MEREDITH DRIVE FROM BUSINESS ROUTE 55 TO FIRST STREET IN SHERMAN	3,101,643	-	1,285
0947000	902-49442-7700-0026	CONSTRUCTION AT FAP-327/322, 2.5MI ON US 50 FROM THE CLINTON CO LN TO US51 IN SANDOVAL & ON US 51 FROM THE N CITY LIMITS S TO TEXAS ST	6,891,822	1,122,395	-
0948270	011-49442-7700-0023	CONSTRUCTION AT FAP-774, IL 32/33 FROM THE SHUMWAY WYE TO FORD AVE IN EFFINGHAM	10,040,384	1	-
0986300	553-49444-7700-0024	CONSTRUCTION AT FAP-332, US 45, 1.4 MI THRU VILLAGE FROM MIDDLE FORK SALINE RIVER TO N EDGE OF MUDDY CRK	4,118,902	-	12,025
0986310	011-49442-7900-0027 902-49442-7700-0026	CONSTRUCTION AT FAP-332, CITY OF ELDORADO FROM TROLLEY RD TO IL 142.	5,253,402	17,315	-
0992190	011-49442-7900-1027	CONSTRUCTION FROM 300' EAST OF WALL STREET TO GIANT CITY ROAD NEAR CARBONDALE	2,738,569	1,258,485	-
AD990006	011-49442-7700-0022	PH 1 AND PH 2 FOR IL-64 FROM IL-59 TO KAUTZ RD FA-307 1998-019I DUPAGE CO	1,315,000	-	301,368
AL042135	011-49442-7700-0024	PRELIMINARY ENGINEERING FOR LASALLE DRIVE FROM STOCKTON DR. TO LAKE SHORE DR. (PHASE II) CHICAGO 00-B0259-00-PV D-88-001-04	800,000	-	255,153
JJ100476	902-49442-7700-0024	FAU-1321 (IL-19) 32L-3 DUPAGE C-91-476-99 IMPROVEMENT OF INTERSECTION OF IL-19 AT WOOD DALE ROAD	2,000,000	-	1,000,000
JN100256	011-49442-7700-0026	FAU 3756 2000-060R COOK C-91-256-00 JOINT AGREEMENT. CONSTRUCTION OF NEW HIGHWAY TO BE KNOWN AS ORLAND PARKWAY	3,659,320	-	1,620
JN102515	011-49442-7700-0023	FAP 345 (US-20), SECTION 7Y-N-3, COOK CO C-91-249-01. MAKE SURVEYS, OBTAIN R.O.W., PREPARE PLANS AND SPECS, RECEIVE BIDS, ENGINEERING INSPECTION	600,000	-	30,000
JN102516	902-49442-7700-0024	FAI 94 (EDENS EXPRESSWAY) SEC 2001-136I, COOK CO C-91-442-01, NOISE ABATEMENT FEASIBILITY STUDY	25,000	-	25,000
JN104031	902-49442-7700-0024	IL 22, SEC Y-R-2, C-91-209-03, LAKE COUNTY, DEVELOP COMPENSATORY STORAGE SITE TO COMPENSATE FOR FILL IN THE FLOOD PLAIN AS A RESULT OF IL 22 IMPROVEMENTS	450,000	-	64,372

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				Current Year Expended	Reappropriated
JN105520	902-49442-7700-0026	DEMOLISHING TWO (2) HOMES, FAP 338/ILLINOIS ROUTE 59 @ 100 & 102 CHANNAHON STREET IN WILL COUNTY, STATE JOB # C-91-222-05, SECTION # 2005-029DM	9,000	-	2,275
JN107068	011-49442-7900-0026	FAI 94(I-94/90), COOK CO, C-91-068-07, RELOCATION OF NATIVE SOILS FROM DAN RYAN RIGHT OF WAY	19,000,000	9,024,715	-
JN303014	902-49442-7700-0024	OR 170, CH 50 & CH 18, SEC (32-15D)W&RS, (70-15D)W&RS, IROQUOIS CO, C-93-101-03, JURISDICTIONAL TRANSFER, PLANS, SPECS, SURVEYS, RECEIVE BIDS, MAKE AWARDS	904,000	-	169,570
JN602017	902-49442-7700-0026	FAI 72 (MACARTHUR BLVD), SEC (84-9-4)HBK;BY,BY-1, SANGAMON CO, C-96-523-04, PRELIMINARY ENGINEERING ONLY FOR PROPOSED EXTENSION OF MACARTHUR BLVD.	2,386,000	-	169,224
PR200501	902-49442-7700-0024	PUBLIC RELATIONS CONTRACT FOR SUPPLIES AND/OR SERVICES FOR THE DAN RYAN/KINGERY EXPRESSWAY	3,300,945	-	5,481
PR200502	902-49442-7700-0025	PUBLIC RELATIONS CONTRACT FOR SUPPLIES AND/OR SERVICES FOR THE DAN RYAN/KINGERY EXPRESSWAY	999,990	-	76,768
RR100326	902-49442-7700-0025	FAP 337(IL 22), SEC 19R-1, LAKE CO, C-91-326-00, FED PROJ#NHF-0337 (006), PREPARE CIRCUIT DRAWINGS, ASSEMBLE, INSTALL OR RELOCATE WARNING DEVICES & THEIR OPERATING CIRCUITS	300,756	-	25,740
RR100327	902-49442-7700-0025	FAU 3504(OLD RAND RD), SEC 19R-1, LAKE COUNTY, C-91-326-00, FED PROJ #NHF-0337(006), PREPARE CIRCUIT DRAWINGS, PS&E, ASSEMBLE & INSTALL WARNING DEVICES, REMOVE XING, RAISE TRACK, ETC.	318,669	-	109,128
RR100328	011-49442-7700-0025 902-49442-7700-0025	FAP 337(IL 22), SEC 19R-1, LAKE CO, C-91-326-00, ALL TEMP AND PERM ALTERATIONS OR RELOCATIONS OF COMM & SIGNAL WIRE LINES, SIGNALS & APPURTENANCES, FURNISHING & PLACEMENT OF BALLAST, REMOVE TRACK	305,024	-	8,999
RR101013	902-49442-7700-0025	FAI 94(IL 394), SEC {(0204.1 & 0312-708W) & R-3}, COOK CO, C-91-013-01, RELOCATION OF COMM AND SIGNAL WIRE LINES, SIGNALS AND RAILROAD APPURTENANCES, ENGINEERING AND INSPECTION	75,356	-	4,500
RR103009	902-49442-7700-0024	FAI 80/94, SECTION (0303.6&0303.6A)B, COOK COUNTY, C-91-514-01,ALTERATION OR RELOCATION OF COMMUNICA-TION AND SIGNAL WIRE LINES, SIGNALS AND RAILROAD APPURTENANCES ON ITS RIGHT OF WAY AS NECESSARY	4,500	-	4,500
RR103072	011-49442-7700-0023	FAP 360 (IL-56), SEC 2003-25T, KANE COUNTY C-91-072-03, REMOVE/RELOCATE ELECTRIC SERVICE POLE SERVING RR PROTECTION DEVICE, REINSTALL METER, CONNECT ELEC SERVICE, BACH-0360(006)	20,000	-	4,113
RR103221	902-49442-7700-0024	FAP 360&FAU 2503(IL 56&IL 25),SEC 2002-070N, KANE CO, C-91-211-02,PREPARE CIRCUIT DRAWINGS,LENGTHEN CROSSINGS,RELOCATE INSTRUMENT HOUSE,REMOVE SIGNAL, RELOCATE GATE ARMS, INSTALL FLASHING LIGHT SIGNALS	426,271	-	20,448
RR103552	902-49442-7700-0026	FAP 337(IL 22), SEC 20R-5, LAKE CO, C-91-552-99, PREPARE CIRCUIT DRAWINGS, ESTIMATE AND SPECS, REMOVE EXISTING GRADE XING, RAISE TRACK, INSTALL PREFAB CROSSING, TRAFFIC CONTROL	361,795	6,238	44,051
RR193354	011-49442-7700-0023	REPLACE CIRCUITRY AT RR CROSSING AT IL 120 IN MCHENRY, FAP-333 2001-125R MCHENRY CO C-91-354-93	244,348	-	91,214
RR195438	011-49442-7700-0023	FAP 344(127TH ST/BURR OAK AVE), SEC 0101.1 BR-2, COOK CO, C-91-438-96, TEMPORARY OR PERMANENT ALTERATION OR RELOCATION OF COMMUNICATION AND SIGNAL WIRE LINES, SIGNALS, APPURTENANCES	3,313	-	3,313
RR196438	902-49442-7700-0024	FAP 344(127TH ST/BURR OAK AVE), SEC 0101.1 BR-2, COOK CO, C-91-438-96, TEMPORARY AND PERMANENT ALTERATIONS OR RELOCATIONS OF COMMUNICATIONS AND SIGNAL WIRE LINES SIGNALS AND APPURTENANCES	42,149	-	32,454
RR197194	011-49442-7700-0023	FAP 344(127TH ST/BURR OAK AVE), SEC 0101.1 BR-2, COOK CO, C-91-438-96, REPLACEMENT OF PORTIONS OF EXISTING XING EXTENSIONS WITH PREFAB MATERIALS, RE LOCATION OF WARNING DEVICES, TEMP SIGNAL & GATE	218,514	-	56,939
RR199149	902-49442-7700-0024	FAP 307 (IL-64), SEC 131-WRS-1, DUPAGE CO C-91-149-99, DRAWINGS, ESTIMATES, SPECS, INSTALL CROSSING, ETC	929,396	34,039	46,284
RR199249	011-49442-7700-0026 011-49442-7900-0027	FAP 351(US 6), SEC 3277R, COOK CO, C-91-249-99, REVIEW PS&E, REVIEW MAIN CONTRACT DESIGN PLANS, RELOCATE GAS HOUSE, MAKE TIE-INS, CONSTRUCTION INSPECTION, FLAGGING	1,987,139	46,216	1,230,919
RR200080	902-49442-7700-0025	FAP 505(IL 75), SEC (76,77)RS-4, WINNEBAGO COUNTY, C-92-118-05, REMOVE EXISTING GRADE XING, INSTALL CROSSING, RAILS, TIES, BALLAST, ETC., REPLACE EXISTING SIGNALS WITH LED SIGNALS, ETC	184,713	-	3,389
RR502003	902-49442-7700-0026	FAP 729(US 136), SEC 34Z-4, VERMILION COUNTY, C-95-034-98, INSTALL NEW FLASHING LIGHT SIGNAL AND GATE UNITS ON NEW FOUNDATIONS, UPGRADE ALL FLASHER UNITS RELOCATE EXISTING CANTILEVERS, ETC	223,805	103	-
RR604505	902-49442-7700-0025	FAP 310(US 67) SECT 31-10:69-12-1:86-11(11HVB), MORGAN, SCOTT AND GREENE COUNTIES,C-96-505-04,ICC ORDER #T03-0062, INSTALL CROSSING SURFACES, RELOCATE UTILITIES AND FACILITIES, REMOVE CROSSING, P.E.	138,969	49,411	-
RR604523	902-49442-7700-0024	FAI 72(MACARTHUR EXTENSION), SEC 84-9-4, SANGAMON CO, C-96-523-04, PRELIMINARY & CONSTRUCTION ENGINEERING, FLAGGING	387,000	172,723	5,194
RR604524	902-49442-7700-0025	FAI 72(MACARTHUR EXTENSION), SEC 84-9-4, SANGAMON	65,700	-	58,944

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				Current Year Expended	Reappropriated
RR705001	011-49442-7700-0026	CO, C-96-523-04, ALTERATION OR RELOCATION OF COMMUNICATION &/OR SIGNAL WIRELINES, SIGNALS AND RR APPURTENANCES, PRELIMINARY & CONSTRUCTION ENG FAP 322/327(US 50/51), SEC 18Z & 18Y, RS & 28Z, MARION CO, C-97-072-02, RELOCATION OF SIGNAL STANDARDS & GATES, SIGNAL REPLACEMENT, PREFAB XING INSTALLATION,	185,560	8,926	-
RR800920	011-49442-7700-0022 902-49442-7700-0026	FAP 14 (IL-3) SEC (520-1,2)R, ST. CLAIR COUNTY C-98-142-0 PLANS FOR EXISTING RR TRACKAGE AND STRUCTURES, INCLUDING R.O.W., ETC.	329,800	-	2,503
RR800921	902-49442-7700-0024	FAP14 (IL3),SO DESIGN PT 2, ST CLAIR COUNTY, C-98-142-01, PRELIMINARY AND FINAL DESIGN PLANS, SPECIFICATIONS AND CONSTRUCTION COST ESTIMATE FOR ALL WORK TO BE PERFORMED	62,000	-	60,769
RR801002	902-49442-7700-0024	FAP 600(IL 159), SEC 129R, ST CLAIR CO,C-98-002-01 PRELIMINARY AND CONSTRUCTION ENGINEERING & INSPECTION (SN 082-0208)	22,908	-	17,802
RR801919	902-49442-7700-0024	FAP 14(IL 3), SEC (520-1,2)R,ST CLAIR CO, C-98-142-01,PRELIMINARY AND FINAL DESIGN PLANS, SPECIFICATIONS AND CONSTRUCTION COST ESTIMATES	175,000	-	97,842
RR801921	011-49442-7700-0022 902-49442-7700-0026	FAP 14 (IL-3), SEC (65-510)-1, ST. CLAIR CO C-98-142-01 PLANS FOR RR TRACK, STRUCTURES, CRITERIA FOR DESIGN/CONSTRUCTION OF TRACK	20,000	-	10,947
RR801924	902-49442-7700-0024	FAP 14(IL 3), PART II-SOUTH DESIGN, MADISON COUNTY C-98-142-01, DESIGN PLANS, SPECIFICATIONS AND CONSTRUCTION COST ESTIMATES	175,000	31,739	93,332
RR801929	902-49442-7700-0024	FAU 9105, SEC 119BR, MADISON CO, C-98-023-02, ALL TEMPORARY AND PERMANENT ALTERATIONS OR RELOCATIONS OF COMMUNICATION AND SIGNAL WIRE LINES, ETC., PRELIMINARY & CONSTRUCTION ENGINEERING & INSPECTION	37,400	-	37,148
RR803023	902-49442-7700-0024	FAU 9105, SEC 119BR, MADISON CO, C-98-023-02, ALTERATION OR RELOCATION OF COMM AND SIGNAL WIRE LINES, SIGNALS & APPURTENANCES ON ITS R.O.W.,PRELIMINARY AND CONSTRUCTION ENGINEERING AND INSPEC.	22,400	-	8,043
RR803066	902-49442-7700-0024	FAP 788 (IL-3) SEC (520-1,2), ST. CLAIR CO C-98-142-01 RELOCATION OF POWER SWITCH, CONSTRUCT TRACK, CROSSOVER, INSTALL CIRCUITRY	247,000	-	5,503
RR804900	902-49442-7700-0024	FAP 788(IL 3), SEC 520-2, 83-1-1HB(B), MADISON & ST CLAIR COS, C-98-051-04, RELOCATION OF AN EQUIPMENT TRACK AND TEAM TRACK	2,700,000	-	2,700,000
RR805900	902-49442-7700-0025	FAP 788, SEC 520-2-1, MADISON CO, C-98-004-04, DEMOLITION OF A PORTION OF VENICE HIGHLINE FROM EASTERN ABUTMENT OVER EXISTING IL 3 TO THE WESTERN ABUTMENT OVER MADISON YARD OF THE FIRM	500,000	-	187,008
RR902001	902-49442-7700-0024	FAP 322 (US-51), SEC 9R-1, JACKSON CO C-99-023-00 REMOVE CROSSING SURFACES, INSTALL NEW CROSSING	557,566	-	32,240
UT101011	011-49442-7700-0022	FAP 338 (IL-59), SEC 113R-2, WILL, C-91-010-94 RELOCATING BURIED CABLE, VARIOUS LOCATIONS	14,062	-	14,062
UT101012	011-49442-7700-0022	FAP 338 (IL-59), SEC 113R-2, WILL, C-91-010-94 RELOCATING A DRIVEWAY AND SECURITY FENCE	11,820	-	11,820
UT103001	011-49442-7700-0023	FAI 290 (I-190/IL-53), SEC (22-1-2,0103-301&0305-3 ETAL), COOK CO C-91-316-97. REMOVAL OF RETIRED GAS MAIN	43,416	-	17,376
UT104003	902-49442-7700-0024	FAU 1611(I-94/IL 394), SEC 2001-166B, COOK COUNTY C-91-009-01, ADJUST A 12" WATER MAIN TO AVOID CONFLICT WITH PROPOSED STORM SEWER	4,963	-	4,963
UT104008	902-49442-7700-0024	FAP 332&FAI 80/94, SEC (0303(1,(6&6A))B, COOK CO, C-91-514-01, RELOCATION OF A COMPANY AND U.S. SPRINT FIBER OPTIC CABLE WHICH IS IN CONFLICT WITH PIER NUMBER ONE ON CANADIEN NAT'L RR R.O.W.	36,281	-	13,380
UT104009	902-49442-7700-0024	FAP 332&FAI 80/94, SEC (0303(1,6&6A))B, COOK CO, C-91-514-01, RELOCATION OF FIBER OPTIC CABLE IN CONFLICT WITH CONSTRUCTION OF PIER NUMBER ONE OF PROPOSED STRUCTURE	44,299	-	10,697
UT104010	902-49442-7700-0024	FAI 90/94, SEC 2323-R-1, COOK CO, C-91-089-03, WENTWORTH BRIDGE AT I-90/94, BRIDGE ATTACHMENT & APPROACH ROADWAY, CABLE RELOCATIONS TO UNDERGROUND CROSSINGS OF DAN RYAN EXPRESSWAY	224,928	-	224,928
UT104016	902-49442-7700-0024	FAI 80(I-80/94/US 6), SEC 2425R-3 & 2425-711B, COOK COUNTY, C-91-015-01, EXTENSION OF EXISTING CASING PIPE	199,279	-	279
UT104017	902-49442-7700-0024	FAP 870 (IL 53), SEC (22-5&533)WRS-2, DUPAGE CO, C-91-363-97, RELOCATION OF APP 720' OF 6" STEEL PIPE ALONG FULLERTON AVE AND 105' OF 6" STEEL PIPE ALONG IL 53	108,689	-	53,313
UT105004	902-49442-7700-0025	FAP 332 & FAI 94/ILLINOIS ROUTE 394/I-94 IN COOK COUNTY, INTERCHANGE & ROADWAY RECONSTRUCTION, NEW BRIDGE CONSTRUCTION AND RELOCATION OF FIBER OPTIC CABLES	167,292	-	90,767
UT105005	902-49442-7700-0025	FAP 337/ILLINOIS ROUTE 22 FROM WEST OF U.S. ROUTE 12 TO EAST OF BUESCHING ROAD, RELOCATION OF 23 POWER POLES AND AERIAL CABLE	386,955	237,023	-

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UT105007	902-49442-7700-0025	FAP ROUTE 337/ILLINOIS ROUTE 22 FROM WEST OF U.S. ROUTE 12 TO EAST OF BUESCHING ROAD. MAKING ADJUSTMENTS TO EXISTING FACILITIES LOCATED WITHIN LIMITS. STATE JOB #C-91-326-00, SECTION #19R-1	217,396	-	51,211
UT105009	902-49442-7700-0025	FAP ROUTE 337/ILLINOIS ROUTE 22: WEST OF U.S. ROUTE 12 TO EAST OF BUESCHING ROAD IN LAKE COUNTY. RELOCATION OF AERIAL FACILITIES, SECTION 19R-1 STATE JOB # C-91-326-00	16,990	-	16,990
UT105012	902-49442-7700-0025	FAP 870/ILLINOIS ROUTE 53: ARMY TRAIL ROAD TO ILLINOIS ROUTE 64 RELOCATION OF 670 LF OF BURIED CABLE, DUPAGE COUNTY, SECTION # (22.5-533)WRS-2, STATE JOB # C-91-363-97	17,961	-	17,961
UT105015	902-49442-7700-0026	FAU 1487, SEC 66R, DUPAGE COUNTY, C-91-025-02, RELOCATING SANITARY SEWERS AND REPLACING MAN-HOLES	207,753	-	4,501
UT105017	902-49442-7700-0026	UTILITY ADJUSTMENTS FOR FAP 575/U.S. ROUTE 30 FROM STATEVILLE ROAD/ESSINGTON ROAD TO LARKIN AVENUE IN WILL COUNTY, STATE JOB # C-91-217-00, SECTION # (B & 14)R-3	42,791	-	17,322
UT105018	902-49442-7700-0026	UTILITY ADJUSTMENTS FOR FAP 337/ILLINOIS ROUTE 22 FROM EAST OF BUESCHING ROAD TO EAST OF QUENTIN ROAD IN LAKE COUNTY, STATE JOB # C-91-431-00, SECTION # 20WRS-6	98,880	-	7,254
UT106001	902-49442-7700-0026	UTILITY ADJUSTMENTS FOR FAP 575/U.S. ROUTE 30 AT LILY CACHE ROAD AND AT LILY CACHE CREEK IN WILL COUNTY, STATE JOB # C-91-002-01, SECTION # 14-B-R-1 & 15N-3	27,470	-	3,175
UT106002	902-49442-7700-0026	UTILITY ADJUSTMENT FOR FAP 575/U.S. ROUTE 30 FROM STATEVILLE ROAD/ESSINGTON ROAD TO WEST OF LARKIN AVENUE IN WILL COUNTY, STATE JOB # C-91-217-00, SECTION # (B & 14)R-3	153,876	-	122,357
UT106004	011-49442-7900-0027	UTILITY ADJUSTMENTS ON FAP 351/U.S. ROUTE 6-159TH STREET AT C.N. RAILROAD IN COOK COUNTY, STATE JOB # C-91-068-05, SECTION #3277B	160,050	-	33,416
UT107002	011-49442-7700-0026 011-49442-7900-0027	UTILITY RELOCATION ON FAP 351/US ROUTE 6 (159TH STREET) FROM I-294 TO ILLINOIS ROUTE 1 (HALSTED AVENUE) IN COOK COUNTY STATE JOB# C-91-219-99 SECTION # 3277R	287,738	287,738	-
UT200005	011-49442-7700-0022	FA 561 31-3 LEE C-92-061-00 RELOCATION OF 2" AND 16" GAS MAIN	312,702	-	212,702
UT203006	011-49442-7700-0023	FAP 615 (US6/IL-26), SEC (35-CS)RS-2, BUREAU CO C-92-065-03. RELOCATE ELECTRIC POWER POLES AND REPLACEMENT OF WATER MAIN	70,195	70,195	-
UT206006	011-49442-7900-0027	RELOCATE UNDERGROUND FIBER OPTIC CABLE ALONG NORTH SIDE OF IL 173 FROM STATION 142+00 TO 233+00	75,955	-	75,955
UT604009	902-49442-7700-0024	FAP 310(US 67), 31-10;69-12;1:86-11(11HV), MORGAN SCOTT & GREENE COS., C-96-505-04, RELOCATION AND REPLACEMENT OF AN 8" GAS MAIN	119,938	-	46,194
UT604020	902-49442-7700-0024	FAP 75(IL 29), SEC 11-2(2B,B-1)143(RS-5,W-2), CHRISTIAN CO, C-96-507-04, LOWERING OF A 14 INCH PIPELINE AT MAINLINE ROADWAY STATION 1299+00	195,415	-	108,107
UT604023	902-49442-7700-0025	FAP 75/753(IL 29/104), SEC 11-2(2B,B-1)&143(RS-5, W-2), CHRISTIAN CO, C-96-507-04, REMOVAL AND REPLACEMENT OF EXISTING POWER POLES AND LINE, WITH PARTIAL REPLACEMENT BEING UNDERGROUND LINE	163,287	-	58,010
UT607006	011-49442-7900-0027	FAU 8071 (MACARTHUR BLVD) SECTION 84-9-4 HBK BY BY-1 JOB NO C-96-523-04 SANGAMON COUNTY RELOCATING & REPLACEMENT OF FIBER OPTIC LINE	96,895	-	27,323
UT704008	902-49442-7700-0024	FAP 774(IL 32/33), SEC 107 WRS-1, 107BY, 107 BY-1, 107B-2, EFFINGHAM CO, C-97-099-01, RELOCATION OF EXISTING COPPER DISTRIBUTION CABLE	9,845	-	9,845
UT707005	011-49442-7900-0027	IMPROVEMENT OF FAP 774 (IL RT 32/33), SECTION 107WRS-2,L JOB NO. C-97-006-06 EFFINGHAM COUNTY	2,650	-	1,162
UT801018	902-49442-7700-0024	FAP 310 (IL-255), SEC 60-12HB-4, MADISON CO C-98-033-01 RELOCATION OF BURIED TELEPHONE CABLE AND REMOVE AERIAL TELEPHONE CABLES	58,250	-	58,250
UT803040	902-49442-7700-0024	FAP 592(IL 157), SEC 65R,65BR,65-IB, MADISON CO. C-98-085-01,RELOCATION OF GAS DISTRIBUTION MAIN	245,000	-	245,000
UT803047	902-49442-7700-0024	FAP 310 (IL-255), SEC 60-13HB, MADISON CO C-98-009-02. RELOCATION OF GAS DISTRIBUTION MAIN	93,000	-	586
UT803055	011-49442-7900-0027	FAP 592(IL 157), SEC 65R,65BR,65-IB,MADISON COUNTY C-98-085-01, RELOCATE 8" WATER MAIN	126,000	-	9,709
UT803061	902-49442-7700-0024	FAP 310 (IL-255), SEC 60-13, MADISON CO C-98-006-02. RELOCATION OF GAS TRANSMISSION MAIN	135,800	-	54,013
UT804009	902-49442-7700-0025	FAP 103(IL 13/15), SEC 27-1R-1, ST CLAIR CO, C-98-090-03, REMOVAL & RELOCATION OF ELECTRICAL LINES DUE TO THE PROPOSED WIDENING OF IL 15/13	276,000	-	6,395
UT804011	902-49442-7700-0024	FAP 103(IL 13/15), SEC 27-1R-1, ST CLAIR COUNTY, C-98-090-03, ABANDONMENT OF APP 900' OF 1.25" GAS DIST MAIN & INSTALLATION OF APP 950' OF 2" GAS DISTRIBUTION MAIN AND ASSOCIATED EQUIPMENT	57,098	-	57,098
UT805004	902-49442-7700-0025	FAP 103, SEC 27-1R, ST CLAIR CO, C-98-089-03 REMOVAL OF EXISTING WATER MAIN. INSTALLATION OF WATER MAIN AND ALL ASSOCIATED EQUIPMENT	604,000	-	65,482
UT805006	902-49442-7700-0025	FAP 310, SEC. 60-12, MADISON COUNTY, C-98-034-01 REMOVAL AND INSTALLATION OF POWER POLES AND ASSOCIATED EQUIPMENT	53,633	-	53,633

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UT805008	902-49442-7700-0025	FAP 103, SEC. 27-1R, ST CLAIR COUNTY, C-98-089-03 REMOVAL AND INSTALLATION OF POWER POLES AND ASSOCIATED EQUIPMENT	210,000	-	210,000
UT805009	902-49442-7700-0025	FAP 103, SEC. 27-1R, ST CLAIR COUNTY, C-98-089-03 REMOVAL AND INSTALLATION OF GAS DISTRIBUTION MAIN	5,342	-	5,342
UT903014	902-49442-7700-0024	FAP 312(IL 3), SEC 102RS-5,(102-B-Y)-1, UNION & ALEXANDER COUNTIES, C-99-005-04, RELOCATION OF TELEPHONE LINES ADJACENT TO WORK ON FAP 312	7,172	7,172	-
UT904001	011-49442-7700-0024	FAS 903/FAU 9588, SEC (39Q,36-1)W-1,RS-1, WILLIAMSON CO, D-99-044-01, RELOCATION OF CATHODIC PROTECTION ANODES, PIPELINE MARKERS AND TEST STATIONS, AND ADD'L PROTECTION OF CONCRETE CAPS	78,600	-	78,600
UT904002	902-49442-7700-0025	RELOCATION OF EXISTING TELEPHONE LINES FAS/FAU ROUTE 903/9588	18,802	-	18,802
UT904003	902-49442-7700-0025	RELOCATION OF EXISTING TELEPHONE LINES FAS/FAU ROUTE 903/9588	146,982	-	146,982
UT905003	902-49442-7700-0025	RELOCATION OF FIBER OPTIC LINE ALONG RAILROAD R.O.W. ON US 51	40,615	-	14,697
PSB078013	011-49405-7700-0023 011-49442-7900-0028	PTB 078-013, PHASE II, START-UP AGR. D-94-008-97 PLAN PREPARATION FOR RESURFACING A 2 LANE ADDITION ON US-24 FROM W OF PEYTON CREEK TO KINGSTON MINES	2,646,461	41,583	582,707
PSB086017	011-49405-7700-0023 902-49405-7700-0025	PTB 086-017, PH 2, STARTUP AGMT, D-93-054-03,AP FAP 704, SEC (1)N&TS-1, MCLEAN COUNTY, PS&E FOR RECONSTRUCTION, RELOCATION AND TRAFFIC SIGNAL INSTALLATION	662,197	-	45,415
PSB103004	011-49405-7700-0023 011-49442-7700-0022 011-49442-7900-0028	PTB 103-004, PHASE II, START-UP AGR. D-91-263-97 FAI 55, WILL CO. CONTRACT PLANS FOR NEW STRUCTURE RAMP	3,263,850	218,287	17,807
PSB110032	011-49405-7700-0023 902-49405-7700-0025	PTB 110-032, PHASE II, START-UP AGR. EFFINGHAM CO D-97-012-01. FAP 774 CONSTRUCTION PLANS FOR REHAB OF FAP 774 FROM W OF THE SHUMWAY WYE INTERSECTION TO IL-32/33 TO FORD COUNTY	950,825	-	40,368
PSB110042	902-49405-7700-0024	PTB 110-042, PH 2 STARTUP, D-98-168-99, FAP 103, ST CLAIR CO, DRAINAGE STUDY, ROADWAY PLANS, STRUCTURE PLANS, R.O.W. PLANS AND DOCUMENTS FOR WIDENING OF IL 13/15	1,101,171	-	300
PSB112031	011-49405-7700-0023 902-49405-7700-0024	PTB 112-031, PHASE II, START-UP D-98-102-99 FAI-64, PLANS, SPECS, ESTIMATES FOR WIDENING OF I-64	1,259,407	-	26,842
PSB114034	011-49442-7900-0027	PTB 114-034, PH 2, STARTUP, D-94-069-00,IL94/IL116 SEC (109B)BR-2,BR-3, HENDERSON CO, PLANS & CONTRACT DOCUMENTS FOR RECONSTRUCTION OF STRUCTURES OVER DIXON & WOLF CREEKS AND ROADWAY APPROACHES	201,537	3,586	2,077
PSB114046	011-49405-7700-0023 011-49405-7700-0026 902-49405-7700-0025	PTB 114-046, PH 2, STARTUP, D-97-057-05, FAP 805 (IL 161), SEC (101, 102)R, MARION CO, CONTRACT PLANS/SPECS AND R.O.W. PLATS/PLANS FOR UPGRADING THE EXISTING 2 LANES TO MULTI LANES	1,486,338	30,811	359,389
PSB115027	011-49405-7700-0023 902-49405-7700-0024	PTB 115-027, PH2 STARTUP AGMT, D-93-033-03,FAS 250 LASALLE CO., CONTRACT PLANS, SPECS AND ESTIMATES FOR RESURFACING, GRADING AND SHOULDER RECONSTRUCT OF US 52/IL 251 FROM N OF I80 TO S OF MENDOTA	404,174	-	6,065
PSB116019	011-49442-7900-0027	PTB 116-019, PH 2, STARTUP, D-94-072-00, FAU 6757, SEC (105B)BR-3, HENDERSON CO, PLANS AND CONTRACT DOCUMENTS FOR RECONSTRUCTION OF STRUCTURES OVER LITTLE FARM CREEK AND ROADWAY APPROACHES	165,831	16,096	2,351
PSB890135	011-49405-7700-0023 902-49405-7700-0024 902-49405-7700-0025	PTB 890-135, PHASE II, START-UP AGR. MADISON CO FAU 9105. ROADWAY AND STRUCTURE PLANS FOR RECONSTRUCTION AND REHAB OF MCKINLEY BRIDGE	3,812,714	10,283	31,434
PTB085021	011-49405-7700-0023	PTB 085-021, PRIME AGR. PHASE II. PUTNAM D-93-098-99, PRELIMINARY ENGINEERING FOR IL-71 FROM E OF I-180 TO IL-89	372,425	28,616	3,344
PTB102014	011-49442-7700-0022 011-49442-7900-0028	PTB 102-014, PRIME AGR. PHASE II. FAP-781, CRAWFORD COUNTY, D-97-035-97, RECONSTRUCTION IL-33 FROM 950 M E. OF JASPER/CRAWFORD CO LINE TO 954 M EAST OF TOWNSHIP ROAD 900E	1,019,934	64,834	3,755
PTB104004	011-49405-7700-0023 011-49442-7700-0022	PREPARATION OF PLANS FOR THE REHAB OF I-90/94 AT WASHINGTON BLVD AND MONROE ST. PH-2	519,472	-	10,717
PTB106011	011-49405-7700-0023	PTB 106-011, PRIME AGR. PHASE II. FAI-90 D-91-300-98, COOK, PLANS, SPECS AND ESTIMATES FOR ROADWAY IMPROVEMENT AND STRUCTURE REHAB AT FAI-90 AT CENTRAL AVENUE	343,443	-	1,768
PTB108022	011-49405-7700-0023	PTB 108-022, PRIME AGR. PHASE II. D-98-115-99, WORK ORDERS ON VARIOUS PROJECTS IN DISTRICT 8. PRELIMINARY ENGINEERING	500,000	-	11,390
PTB108037	011-49442-7700-0022 902-49405-7700-0025	PTB 108-037, START-UP AGR. PHASE I. IL-53, P-91-145-99, DUPAGE. COMBINED DESIGN REPORT AND ECAD FOR IMPROVEMENT OF IL-53 FROM IL-64 TO S OF IL-56 IN DUPAGE CO	2,921,052	248,526	338,076
PTB108038	011-49405-7700-0023 011-49442-7900-0027	PTB 108-038, START-UP AGR. PHASE I. P-91-146-99 DUPAGE CO. IL-56. COMBINED DESIGN REPORT AND ECAD FOR IL-59 TO NAPERVILLE ROAD	1,401,189	-	1,111
PTB108042	902-49405-7700-0025	PTB 108-042, START-UP AGREEMENT, PHASE I. COOK P-91-147-99, PREPARE ECAD AND COMBINED LOCATION/DESIGN REPORT FOR DES PLAINES RIVER ROAD FAP-2710	1,285,416	-	273
PTB108047	011-49405-7700-0023 902-49405-7700-0024	PTB 108-047, START-UP AGR. PHASE I. IL-31, P-91-135-99, MCHENRY, PROFESSIONAL SERVICES IN CONNECTION WITH	2,116,090	132,193	455,360

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				Current Year Expended	Reappropriated
PTB108050	902-49405-7700-0025 011-49405-7700-0023 011-49442-7700-0022	IL-31 FROM BULL VALLEY ROAD TO IL-171 - PHASE I STUDY PTB 108-050, PRIME AGR. PHASE II, PART ONE, COOK D-91-222-99, SN 016-518, 0208. US-12/20/45 FAP-330 PRELIMINARY ENGINEERING FOR US-45 (LAGRANGE ROAD) FROM IL-171 TO 87TH STREET	1,971,844	-	43,475
PTB108060	011-49405-7700-0023 011-49442-7900-0028	PTB 108-060, PRIME AGR. PHASE I. IL-163, ST. CLAIR P-98-105-99, PROJECT REPORT FOR REHABILITATION OF IL-163 FROM IL-157 TO IL-158	871,666	136,838	48,903
PTB109004	011-49405-7700-0023 011-49442-7900-0027	PTB 109-004, PRIME AGR. PHASE II, FA-351, COOK ENGINEERING FOR RECONSTRUCTION OF US-6 FROM I-294 TO IL-1.	2,942,996	157,636	1,682
PTB109006	011-49405-7700-0023 011-49442-7700-0022 902-49405-7700-0025	PTB 109-006, PRIME AGR. PHASE II. IL-83, LAKE D-91-341-96. SN 049-0004. PLANS FOR REHAB OF IL-83 FROM WISCONSIN STATE LINE TO PETTIE LAKE RD. PARTIAL OB \$500,000. TOTAL AGR. = \$1,023,555	1,524,419	85,932	41,715
PTB109007	011-49405-7700-0023 011-49405-7700-0026	PTB 109-007A&B, PRIME AGR. PHASE II. FAI-90, COOK. D-91-096-97, D-91-300-97. CONTRACT PLANS FOR SUPERSTRUCTURE REMOVAL AND REPLACEMENT SN 016-0708, 016-0836	919,234	-	5
PTB109017	011-49442-7700-0022	PTB 109-017, PRIME AGREEMENT, PHASE II. FAI-90/94 COOK. REHABILITATION OF THE ROOT STREET STRUCTURE OVER I-90/94,	307,422	-	182
PTB109024	011-49405-7700-0023	PTB 109-024, START-UP AGR. PHASE I, P-91-283-99 DUPAGE CO. IL-19, PRELIMINARY ENGINEERING AND ENVIRONMENTAL STUDIES FOR IMPROVEMENT OF IL-19 IRVING PARK ROAD FROM E OF I-290 TO PROSPECT AVE	1,373,945	-	81
PTB109033	011-49405-7700-0023	PTB 109-033, PRIME AGR. PHASE II, D-94-103-89, MISC ENGINEERING WORK ON VARIOUS PROJECTS, VARIOUS ROUTES IN DISTRICT 4 - WORK ORDERS	315,000	20,074	10,527
PTB109034	011-49405-7700-0023	PTB 109-034, PRIME AGR. PHASE II. VARIOUS ROUTES VARIOUS COUNTIES, DISTRICT FOUR. WORK ORDERS FOR MISCELLANEOUS ENGINEERING. D-94-104-99	635,000	-	158,422
PTB110001	011-49405-7700-0023 011-49442-7900-0028	PTB 110-001, PRIME AGR. PHASE II. FAP-305, COOK D-91-298-99. PLANS FOR WILLOW ROAD FROM US-45 TO I-294.	2,416,697	104,520	68,267
PTB110002	011-49405-7700-0023 902-49405-7700-0024	PTB 110-002, PRIME AGR. PHASE II. COOK. FA-305 PLANS, SPECS, ESTIMATES FOR RECONSTRUCTION OF PALATINE ROAD W OF IL-83. PARTIAL OB. \$800,000 TOTAL AGR. = \$2,859,596	5,394,524	325,883	222,337
PTB110007	011-49405-7700-0023	PTB 110-007, PRIME AGR. PHASE II. FAI-94 COOK D-91-322-99. PUMP STATION REPORT AND PLANS, SPECS, ESTIMATES FOR REHAB OF EXISTING STORM SEWER PARTIAL OB. BAL IN FY-2002. TOTAL = \$603,601	662,165	-	202,291
PTB110009	011-49442-7900-0027 902-49405-7700-0024	PTB 110-009, PHASE I. START-UP AGR. IL-47, MCHENRY P-91-195-99. DESIGN REPORT AND ECAD FOR IMPROVEMENT OF IL-47 FROM REED RD TO KRUEZTER ROAD	1,195,972	91,782	922
PTB110025	011-49405-7700-0023	PTB 110-025, PRIME AGR. PHASE II, WORK ORDERS D-94-001-00, ENGINEERING FOR PHASE II WORK ON VARIOUS ROUTES, VARIOUS COUNTIES IN DISTRICT FOUR	1,000,000	-	74,515
PTB110026	011-49405-7700-0023	PTB 110-026, PRIME AGR. PHASE I. WORK ORDERS FOR MISC ENGINEERING. VARIOUS. P-94-003-00	1,000,000	42,507	1,711
PTB110036	011-49405-7700-0023 011-49405-7700-0026 011-49442-7900-0027	PTB 110-036, ADVANCED AGR. PHASE II D-98-013-00, FA-999, ST. CLAIR/MADISON. CONSTRUCTION PLANS AND DOCUMENTS FOR NEW MISSISSIPPI RIVER BRIDGE AND ROAD WAY WORK.	21,393,164	563,996	2,077,569
PTB110037	011-49405-7700-0023 011-49442-7700-0022	PTB 110-037, PRIME AGR. PHASE II. FAI-70. ST. CLAIR. D-98-014-00. PLANS FOR SEISMIC AND RETROFITTING OF PIGGOTT AND TUDOR RAMPS	1,455,125	19,133	14,519
PTB110044	011-49405-7700-0023 011-49442-7700-0022	PTB 110-044, PRIME AGR PHASE I. FAP-42, JACKSON P-99-100-00 PROJ ACHPD-HPD-0712(1)COMBINED DESIGN REPORT/ECAD IMPROVEMENT OF IL-13/172 TO 4-LANE PARTIAL OB. BAL OF \$250,164 IN FY-2002	2,488,718	127,480	30,813
PTB111023	011-49405-7700-0023 011-49442-7700-0022 902-49405-7700-0025	PTB 111-023, FAP-310, MORGAN. D-96-508-00 START-UP AGREEMENT, PHASE II. CONSTRUCTION OF SEGMENT OF US-67, A NEW 4-LANE EXPRESSWAY ON NEW ALIGNMENT BETWEEN GREENE COUNTY LINE AND I-72	2,732,067	-	47,324
PTB111025	011-49405-7700-0023 011-49442-7900-0027 902-49405-7700-0025	PTB 111-025, PRIME AGR. PHASE II, FAP-310 MADISON D-98-037-92 PLANS, SPECS, ESTIMATES FOR CONSTRUCTION OF IL-255 FROM W OF FOSTERBURG RD TO W OF SEMINARY RD. PARTIAL OB. BAL = \$391,235	2,066,749	-	13,439
PTB111026	011-49405-7700-0023 011-49442-7700-0022 011-49442-7900-0027	PTB 111-026, PRIME AGR. PHASE II. FAP-310 D-98-038-92, MADISON. PREPARE CONTRACT PLANS FOR CONSTRUCTION OF 4-LANE HIGHWAY FROM SEMINARY ROAD TO IL-267. PARTIAL OBLIGATION. BAL = \$1,052,731	2,471,669	15,967	28,613
PTB111027	011-49405-7700-0023 902-49405-7700-0024	PTB 111-027 PRIME AGR. PHASE II, D-98-034-00 FAP-310 MADISON PRELIMINARY ENGINEERING FOR REHAB OF IL-267 FROM 3 MILES NORTH OF GODFREY TO JERSEYVILLE	3,634,646	131,826	168,972
PTB111028	902-49405-7700-0024	PTB 111-028, PRIME AGR. PHASE I. P-98-169-99 MADISON. FA-586. PROJECT REPORT AND CONGESTION MANAGEMENT ON IL 162. PARTIAL OB. REMAINING BALANCE = \$704,446	1,802,754	-	5,081
PTB112001	011-49405-7700-0023 902-49405-7700-0024	PTB 112-001, PRIME AGR. PHASE II, LAKE. FAP-337 D-91-531-99. PLANS, SPECS, ESTIMATES INCLUDING STRUCTURE PLANS FOR RECONSTRUCTION AND WIDENING OF IL-22 FROM E OF I-94 TO W OF US 41	1,449,657	78,692	59,692
PTB112002	011-49405-7700-0023 011-49442-7700-0022	PTB 112-002, START-UP AGR. PHASE II, FAI-290 COOK. D-91-316-97. CONTRACT PLANS, SPECS, ESTIMATES, SIGNING PLANS,	3,508,318	-	380,854

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				Current Year Expended	Reappropriated
PTB112004	902-49405-7700-0024 011-49405-7700-0023	SURVEY, DRAINAGE DESIGN, DEVON AVE TO IL-72 PTB 112-004, START-UP AGREEMENT, PHASE I. US-20 P-91-502-99. KANE. PROFESSIONAL SERVICES FOR US-20 AT MCLEAN BOULEVARD PHASE I STUDY	609,194	-	1,218
PTB112005	011-49405-7700-0023 011-49442-7700-0022 011-49442-7900-0027	PTB 112-005 B, C. START-UP AGR. PHASE II. FAI-57 COOK.D-91-533-99, D-91-534-99. PLANS, SPECS, ESTIMATES FOR REHAB OF STRUCTURES CARRYING 125TH STREET AND CICERO AVE OVER FAI-57	735,661	-	48,743
PTB112028	011-49405-7700-0023 902-49405-7700-0025	PTB 112-028, ADVANCED AGR. PHASE II. FAP-788 ST. CLAIR/ MADISON. D-98-066-00. RELOCATION OF IL-3 FROM MONSANTO AVE IN SUGET TO BROADWAY IN VENICE	8,941,679	200,841	1,332,310
PTB112029	011-49405-7700-0023 902-49405-7700-0024 902-49405-7700-0025	PTB 112-029, ADVANCED AGR. PHASE II. ST. CLAIR D-98-067-00. ENGINEERING FOR I-55/70 INTERCHANGE	13,768,866	67,175	248,539
PTB112036	011-49442-7700-0022 011-49442-7900-0028	PTB 112-036, PRIME AGR. PHASE I. FAS-752 JERSEY P-98-042-99. PROFESSIONAL SERVICES FOR LAND SURVEYS AND R.O.W. PLATS FOR IL-3 FROM IL-100 TO GRAFTON TO IL-109	485,568	127,820	43,039
PTB113005	011-49405-7700-0023 011-49405-7700-0026 011-49442-7700-0022 902-49405-7700-0025	PTB 113-005, START-UP AGR. PHASE II. FAI-57, COOK D-91-110-00. PLANS SPECS ESTIMATES, TS&LS FOR RESURFACING I-57 FROM LITTLE CALUMET RIVER TO I-90/94 AND REHAB OF 10 STRUCTURES	5,036,211	93,314	16,771
PTB113007	011-49405-7700-0023 011-49442-7700-0022 902-49405-7700-0025	PTB 113-007, START-UP AGR. PHASE II. LAKE. D-91-552-99. WIDENING AND RECONSTRUCTION OF 2 LANE ROADWAY OF IL-22 FROM IL-83 TO US-45	1,245,916	-	1,809
PTB113008	011-49405-7700-0023 011-49442-7700-0022 011-49442-7900-0027	PTB 113-008, START-UP AGR. PHASE II. LAKE. FAP-337 D-91-530-99. ENGINEERING FOR WIDENING AND RECONSTRUCTION OF EXISTING 2-LANE ROADWAY OF IL-22 FROM US-45 TO E OF I-94	3,743,533	152,836	2,649
PTB113026	011-49405-7700-0023	PTB 113-026, START-UP AGR. PHASE I & II, STEPHENSON, P-92-037-00. ENGINEERING FOR UPGRADING A PARTIALLY CONSTRUCTED EXPRESSWAY TO A FREEWAY	1,564,433	-	56,830
PTB113044	011-49405-7700-0023	PTB 113-044, PHASE I, PRIME AGR. FAS-747 JERSEY P-98-031-00 PROFESSIONAL ENGINEERING FOR LAND SURVEYS 90 PARCELS ALONG IL-109 FROM IL-3 TO IL-267 IN JERSEYVILLE	318,448	266	1,997
PTB114003	011-49405-7700-0026 011-49442-7700-0022	PTB 114-003, PHASE II, START-UP AGR. US-45 LAKE D-91-209-00 CONTRACT PLANS, SPECS, ESTIMATES FOR RECONSTRUCTION OF US-45 FROM IL-137 TO S OF IL-120	653,896	46,824	2,894
PTB114004	011-49405-7700-0023 011-49442-7700-0022	PTB 114-004A, B. START-UP AGR. PHASE II. WILL D-91-222-00(A) D-91-223-00 (B) PLANS, SPECS, ESTIMATES RECONSTRUCTION FROM E OF I&M CANAL TO I-55 INTERCHANGE	1,249,377	-	62,086
PTB114026	011-49405-7700-0023	PTB 114-026, PHASE I, PRIME AGR. FAP-332 KANKAKEE P-93-081-97. PROJECT REPORT FOR REHAB OF IL-1/17 FROM NORTH CORPORATE LIMITS OF MOMENCE TO IL-114 IN MOMENCE	272,652	-	19,709
PTB114039	011-49442-7700-0022	PTB 114-039, START-UP AGR. PHASE II. D-96-001-01 MISCELLANEOUS ENGINEERING FOR VARIOUS PROJECTS IN VARIOUS COUNTIES IN DISTRICT SIX - WORK ORDERS	1,000,000	21,568	34,233
PTB115004	011-49405-7700-0023 011-49442-7900-0027	PTB 115-004, PHASE II, START-UP AGREEMENT. LAKE. FAP-337 D-91-326-00. ENGINEERING FOR WIDENING AND RECONSTRUCTION OF IL-22 FROM US-12 TO BEUSCHING ROAD	1,960,124	-	10,191
PTB115006	011-49405-7700-0023 011-49442-7700-0022	PTB 115-006, PHASE I, START-UP AGR. COOK P-91-239-99, FAU-3512, ENGINEERING FOR PHASE I STUDY FOR RE-ALIGNMENT OF US-14 AT WISCONSIN CENTRAL AND UNION PACIFIC RAILROADS	526,236	-	46,626
PTB115039	011-49442-7700-0022	PTB 115-039, PHASE II, PRIME AGR. WORK ORDERS VARIOUS ROUTES, DISTRICT SIX. ENGINEERING SERVICES FOR PHASE I AND/OR PHASE II WORK	1,000,000	-	45,062
PTB115041	011-49405-7700-0026	PTB 115-041, PRIME AGR. PHASE I, WORK ORDERS R-96-015-01, ENGINEERING FOR SURVEYING, VARIOUS ROUTES, VARIOUS COUNTIES, DISTRICT SIX	100,000	-	5,626
PTB116001	011-49405-7700-0023 011-49442-7700-0022 902-49405-7700-0024	PTB 116-001, PHASE II, START-UP AGR. COOK D-91-148-93 FAP-308. PROF SERVICES FOR RECONSTRUCTION OF PALATINE ROAD FROM E OF US-12 TO W OF IL-83 IN VILLAGE OF PALATINE	796,947	-	58,824
PTB116003	011-49442-7700-0022 902-49405-7700-0025	PTB 116-003, START-UP AGR. PHASE II D-91-431-00 PROFESSIONAL SERVICES FOR WIDENING, RECONSTRUCTION OF IL-22 FROM E OF BEUCHING RD TO QUENTIN RD	606,326	869	2
PTB116029	011-49442-7900-0027	PTB 116-029, PHASE II, START-UP AGR. FAP-870 DUPAGE. D-91-323-00. ENGINEERING FOR RECONSTRUCTION OF IL-53 FROM IL-64 TO S OF IL-56	1,081,737	614,947	55,901
PTB117003	011-49405-7700-0023 011-49442-7900-0027 902-49405-7700-0024	PTB 117-003, PHASE II, START-UP AGR. D-91-018-01 ENGINEERING SERVICE FOR CONTRACT PLANS FOR RECONSTRUCTION OF KINGERY EXPRESSWAY/BORMAN EXPRESSWAY	12,085,805	-	52,129
PTB117004	902-49405-7700-0024	PTB 117-004, PHASE II, START-UP AGR. D-91-015-01 COOK. CONTRACT PLANS FOR RECONSTRUCTION OF KINGERY EXPRESSWAY.	13,422,051	-	4,177
PTB117005	011-49442-7900-0027 902-49405-7700-0024	PTB 117-005, PHASE II, START-UP AGR. D-91-009-01 CONTRACT PLANS FOR RECONSTRUCTION OF EXISTING 6 LANE INTERSTATE. COOK CO. I-80/194	10,690,293	-	170
PTB117006	011-49405-7700-0023 011-49442-7900-0027 902-49405-7700-0024 902-49405-7700-0025	PTB 117-006, PHASE II, START-UP AGR. D-91-012-01 FAI-94/FAP-332, COOK, ENGINEERING FOR CONTRACT PLANS FOR RECONSTRUCTION OF 4-LANE EXPRESSWAY	11,905,028	-	76,536
PTB117013	011-49405-7700-0023 902-49405-7700-0025	PTB 117-003(A)(B), START-UP AGR. PHS II. COOK CO., ROOSEVELT RD. D-91-534-00, B=5TH AVE D-91-022-01 PROF. SERVICES FOR REMOVE/REPLACE ROOS. RD OVER ADDISON CREEK & 5TH AVE OVER SILVER CREEK	314,824	5,300	26,127

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PTB117021	011-49405-7700-0023 011-49442-7900-0027	PTB 117-021(B), PHASE II, START-UP AGR. LAKE D-91-031-01. PROFESSIONAL SERVICES - CONTRACT PLANS, SPECS AND ESTIMATES FOR JOLIET ROAD OVER B&O AND IHB RAILROADS	460,575	1,361	31,033
PTB118010	902-49405-7700-0025	PTB 118-010, PHASE II, START-UP AGR. D-91-533-00 DUPAGE US-20 LAKE STREET. PROFESSIONAL SERVICES FOR RECONSTRUCTION OF US-20 FROM WALNUT STREET TO YORK ROAD AND I-290 INTERCHANGE	615,601	-	3,372
PTB118012	011-49405-7700-0023	PTB 118-012, PHASE II, START-UP AGR. D-91-184-01 KANE, FAP 307, IL-64, PROFESSIONAL SERVICES FOR WIDENING AND RESURFACING IL-64 FROM 7TH AVE. TO DUNHAM ROAD	650,087	-	81,816
PTB118022	011-49405-7700-0023	PTB 118-022, PRIME AGR. PHASE II, R-91-069-00 FAP-870 DUPAGE PROFESSIONAL SERVICES - FIELD AND OFFICE ASSISTANCE FOR LAND SURVEYING	423,211	-	95,684
PTB118026	011-49405-7700-0023	PTB 118-026, PHASE I/II, START-UP AGR. P-92-088-01 VARIOUS ROUTES, COUNTIES, WORK ORDERS PLANS, SPECS, FOR CONSTRUCTION IMPROVEMENTS, DISTRICT 2	1,000,000	32,727	12,593
PTB119017	011-49405-7700-0023	PTB 119-017, PHASE I, START-UP AGR. WORK ORDERS R-92-047-01. VARIOUS, ROUTES, COUNTIES, PROFESSIONAL SERVICES IN CONNECTION WITH ROUTE SURVEYS	200,000	81,422	1,833
PTB119028	011-49405-7700-0023	PTB 119-028, PHASE II, START-UP AGR. D-95-010-99 FAI-74, CHAMPAIGN. ENGINEERING SERVICES FOR SURVEY AND PS&E FOR REPLACEMENT OF 2 STRUCTURES OVER I-74 AT IL-49	322,735	-	5,986
PTB120002	011-49405-7700-0023 011-49442-7700-0022 011-49442-7900-0027	PTB 120-002, PHASE I, START-UP AGR. P-91-065-01 US-45, LAKE CO. PHASE I STUDY	1,973,299	472,273	438,102
PTB120004	011-49405-7700-0023 011-49442-7900-0027 902-49405-7700-0024 902-49405-7700-0025	PTB 120-004 STARTUP AGR. PREP OF PLANS FOR I-90/94 FROM 16TH ST TO 70TH ST.	30,696,201	204,942	2,534,266
PTB120005	011-49405-7700-0023 011-49405-7700-0026 011-49442-7900-0027 902-49405-7700-0024 902-49405-7700-0025	PTB 120-005, PH 2 STARTUP AGMT, D-91-421-01, COOK CO, I-94, PLANS, SPECS ESTIMATES FOR COLLECTOR DISTRIBUTOR ROADWAY, DAN RYAN EXPRESSWAY	16,359,151	461,386	1,022,550
PTB120006	011-49405-7700-0023	PTB 120-006, PHASE II, START-UP AGR. D-91-397-97 FAP 870 (IL-53), DUPAGE CO. PROFESSIONAL SERVICES FOR RECONSTRUCTION OF IL-53 FROM ARMY TRAIL ROAD TO ELGIN O'HARE EXPRESSWAY	1,546,984	110,039	218,170
PTB120007	011-49405-7700-0023 011-49442-7700-0022 902-49405-7700-0024	PTB 120-007, PHASE II, START-UP AGR. COOK CO D-91-285-01. FAU 2710. ENGINEERING AND GEOTECHNICAL INVESTIGATION AND REPORT, SURVEY, TS&LS AND PLAN PREPARATION	1,495,521	-	747,736
PTB120008	011-49405-7700-0023 011-49405-7700-0026	PTB 120-008, PHASE II, START-UP AGREEMENT. DUPAGE CO. D-91-281-02, US-20. PROFESSIONAL SERVICES FOR RECONSTRUCTION OF US-20 FROM E OF ROHLWING ROAD TO W OF ADDISON ROAD	875,879	7,568	965
PTB120009	011-49405-7700-0023 011-49442-7700-0022	PTB 120-009, PHASE II, START-UP AGR. D-91-384-01 PLANS, TS&L PLANS, DRAINAGE PLANS, SURVEY, TRAFFIC STAGING, LIGHTING FOR REHAB OF IL-43	959,586	-	138,157
PTB120020	011-49405-7700-0023 011-49442-7900-0027	PTB 120-020, PHASE II, START-UP AGR. KENDALL COUNTY D-93-025-01. PLANS, SPECS, ESTIMATES FOR RECONSTRUCTING EXISTING 2 AND 3 LANE RURAL AND URBAN PAVEMENT	1,635,392	207,829	124,936
PTB120024	902-49405-7700-0024	PTB 120-024, PHASE III, START-UP AGR. C-94-004-02 TAZEWEEL CO. CONSTRUCTION INSPECTION ON I-74 IN EAST PEORIA	2,448,654	-	3,253
PTB120025	902-49405-7700-0024	PTB 120-025, PHASE II, START-UP AGR. D-94-043-02 FAP 313, WARREN. CONTRACT PLANS FOR 4-LANE IMPROVEMENT OF PROPOSED US-34 FROM KIRKWOOD TO US-67 INTERCHANGE AT SW EDGE OF MONMOUTH	1,844,018	255,589	114,943
PTB121021	011-49405-7700-0023	PTB 121-021, PRIME AGMT, PH 3, C-98-034-01, FAP310 MADISON CO., CONSTRUCTION INSPECTION	1,624,467	-	178,658
PTB122003	011-49405-7700-0023	PTB 122-003(A)(B), PHASE III, START-UP AGR. A = C-91-149-99, B = C-91-150-99. CONSTRUCTION INSPECTION. DUPAGE COUNTY	3,087,123	6,589	-
PTB122005	011-49405-7700-0023	PTB 122-005, PH 2 STARTUP, D-91-025-02, FAU 1487, DUPAGE CO, RECONSTRUCTION OF MAPLE AVE FROM CUMNOR RD TO IL 83 TO INCLUDE SUPPLEMENTAL SURVEY AND PRELIMINARY PLAN PREPARATION	944,463	-	39,072
PTB123005	011-49405-7700-0023 011-49442-7900-0028	PTB 123-005, PHASE II, START-UP AGR. D-91-127-02 FAP 365, DUPAGE CO. CONTRACT PLANS FOR RECONSTRUCTION AND WIDENING OF IL-56	1,293,153	179,585	917,001
PTB123022	902-49405-7700-0024 902-49405-7700-0025	PTB 123-022, PH I STARTUP AGMT, P-94-025-00, FAP 315, PEORIA CO, CORRIDOR STUDY, NEW HIGHWAY	11,272,319	2,076,098	547,971
PTB124011	902-49405-7700-0025	PTB 124-011 START UP AGR. ENG SERVICES FOR IL 5 FROM 16TH ST TO 70 TH ST IN MOLINE	1,590,838	2,551	42,268
PTB124017	011-49405-7700-0026	PTB 124-017 STARTUP AGR. PREP OF STUDY FOR US 20 FROM MERIDIAN RD TO ROCKTON AVE IN ROCKFORD.	1,210,988	59,796	13,080
PTB124024	011-49405-7700-0023	PTB 124-024, PHASE II, WORK ORDER D-96-007-03 VARIOUS ROUTES, COUNTIES, DISTRICT 6. MISCELLANEOUS ENGINEERING	500,000	-	14

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
SCHEDULE OF ILLINOIS FIRST PROJECTS (NOT EXAMINED)
For the Year Ended June 30, 2009 (Previously Unreported)

Project Identification Number	Project Appropriation Account Code	Brief Description of Project per Appropriation	Project Award Amount	Amount	
				Current Year Expended	Reappropriated
PTB125004	011-49405-7700-0023	PTB 125-004, PH 1, PRIME AGMT, P-91-016-02, I-57 STUENKEL ROAD, WILL COUNTY, LOCATION/DESIGN REPORT FOR IMPROVEMENT OF I-57 AT STUENKEL RD	942,740	58,444	371,760
PTB125013	011-49405-7700-0023	PTB 125-013 STARTUP AGR. VARIOUS PH I & II WORK AT VARIOUS LOCATIONS IN DISTRICT 2	500,000	3,465	152
PTB125016	011-49405-7700-0023	PTB 125-016 PH 1&2 STARTUP, D-96-009-03, VARIOUS COUNTIES AND ROUTES, MISCELLANEOUS ENGINEERING	500,000	-	48,390
PTB125017	011-49405-7700-0023	PTB 125-017 PH 2 STARTUP, D-96-010-03, VARIOUS RTS VARIOUS COUNTIES IN D-6. MISCELLANEOUS ENG. FOR VARIOUS WORK ORDER PROJECTS	500,000	1,889	19,038
PTB125020	011-49442-7900-0028 902-49405-7700-0024	PTB 125-020, PH 3, PRIME AGMT, C-98-023-02,FAU9105 (SALISBURY ST), SEC 119BR, 92-00042-00-BR, MADISON CO, CONSTRUCTION INSPECTION FOR REHABILITATION OF MCKINLEY BRIDGE IN VENICE	3,048,734	122,946	34
PTB125022	011-49405-7700-0023	PTB 125-022, PHASE III, START-UP AGR. C-98-006-03 FAP-310, MADISON CO. CONSTRUCTION INSPECTION	1,505,603	-	414,536
PTB125024	011-49405-7700-0026 011-49405-7900-0028	PTB 125-024 STARTUP AGR. ENG SERVICES FOR WASTE ASSESSMENT INVESTIGATIONS ETC.	4,669,517	-	52,787
PTB126001	011-49405-7700-0023 011-49405-7700-0026 011-49442-7900-0027	PTB 126-001, PH 2, STARTUP, FAU 2843, DIXIE HWY@ BUTTERFIELD CREEK, SEC 3249B-R, COOK COUNTY D-91-358-02,BRIDGE REMOVAL & REPLACEMENT, CONST. OF RETAINING WALLS, APPROACH RECONSTRUCTION	409,834	25,551	17,889
PTB126002	011-49405-7700-0023 011-49405-7700-0026 902-49405-7700-0025	PTB 126-002, PH 2, STARTUP, D-91-351-02, FAP 336 (IL 31), SEC 112R-N, MCHENRY CO, RECONSTRUCTION & WIDENING OF IL 31 AT IL 176 & AT TERRA COTTA RD	587,829	89,977	50,587
PTB126003	902-49405-7700-0024 902-49405-7700-0025	PTB 126-003, PH 2, STARTUP AGMT, D-91-356-02, FAP 348(IL 43) OVER I-94 SPUR, SEC 3271B-R, COOK CO, PS&E TO REPLACE BRIDGE DECK	459,376	-	33
PTB126010	011-49405-7700-0023	PTB 126-010, PHASE III, PRIME AGR. C-92-035-03 ROCK ISLAND. CONSTRUCTION INSPECTION FOR MILAN BELTWAY EXTENSION, PARTIAL OB BAL = \$2,097,254	4,151,444	131,103	-
PTB126012	011-49442-7900-0027 902-49405-7700-0025	PTB 126-012, PH 1, STARTUP AGMT, P-93-066-02,FAP 591 (US 34), KENDALL CO, PREPARE LOCATION DESIGN REPORT FOR REHABILITATION OR RECONSTRUCTION OF US 34 FROM IL 47 TO ORCHARD ROAD	702,390	-	12,500
PTB126016	011-49405-7700-0023	PTB 126-016, PH 2, STARTUP AGMT D-94-040-03, VARIOUS ROUTES, SECTIONS AND COUNTIES IN DISTRICT 4, WORK ORDERS	500,000	57,514	8,668
PTB126020	011-49405-7700-0023	PTB 126-020, PH 1, PRIME AGREEMENT, P-30-013-03, VARIOUS ROUTES, SECTIONS AND COUNTIES, PERFORM NOISE ANALYSES STATEWIDE	225,000	25,307	29,601
PTB127001	902-49405-7700-0024	PTB 127-001, PHASE III, C-91-512-01, FAI-80/94 START-UP AGREEMENT. CONSTRUCTION ENGINEERING	5,521,062	-	105,227
PTB127003	011-49442-7900-0027	PTB 127-003, I-80/94; IL 394 TO US 41 (KINGERY ADVANCE CONTRACT COOK COUNTY, C-91-018-01, CONSTRUCTION ENGINEERING FOR RECONSTRUCTION OF I80 /94 IL 394 TO US 41	7,337,158	878,765	454,599
PTB127024	011-49405-7700-0023	PTB 127-024, PHASE II, START-UP AGR. D-96-024-03 MISCELLANEOUS ENGINEERING VARIOUS PROJECT, VARIOUS ROUTES, WORK ORDERS	200,000	-	12,583
PTB127025	011-49405-7700-0023	PTB 127-025, PH 2, STARTUP AGMT, D-96-025-03, WORK ORDERS	200,000	-	866
PTB127029	902-49405-7700-0024	PTB 127-029, PH 3, STARTUP AGMT, C-98-088-01, FAI-70, SEC (82-3HVB-2R-1)-2/82-3HVB-3R-3, ST CLAIR CO CONSTRUCTION INSPECTION	3,387,641	358,843	158,953
PTB128001	902-49405-7700-0024 902-49405-7700-0025	PTB 128-001, PH 3, STARTUP AGMT, C-91-085-03, CONSTRUCTION ENGINEERING FOR THE RECONSTRUCTION OF I-94/90: 31ST TO I-57	11,909,566	536,852	152,912
PTB128002	011-49405-7700-0023 011-49405-7700-0026 902-49405-7700-0025	PTB 128-002, PH 3, STARTUP, I-94/90: 31ST TO I-57, SEC (1919&1919.15A)K/2323-R-1, ETC..., COOK COUNTY CONSTRUCTION ENGINEERING FOR RECONSTRUCTION OF I-94/90, C-91-092-03	8,665,864	-	14,051
PTB128003	902-49405-7700-0024 902-49405-7700-0025	PTB 128-003, PH 3, STARTUP, I-94/90, 31ST ST TO I-57, SEC 2003-032T/(1717 & 1818)K, COOK CO., C-91-093-03 & C-91-096-03, CONSTRUCTION ENGINEERING FOR THE RECONSTRUCTION OF I-94/90	5,184,037	-	679,346
PTB128010	902-49405-7700-0024 902-49405-7700-0025	PTB 128-010, PH 1, STARTUP, FA 309(US 30), SEC 16 RS-2, WHITESIDE CO, R-92-035-01, PREPARE PROPOSED R.O.W. DOCUMENTS FOR US 30 FROM FRENCH CREEK TO JACKSON ST IN MORRISON	561,285	3,861	87,010
PTB128026	902-49405-7700-0024	PTB 128-026, PH 2 STARTUP, D-98-061-03, VARIOUS RTES, SECTIONS, MADISON & ST CLAIR COUNTIES, WORK ORDERS, NEW MISSISSIPPI RIVER BRIDGE WORK	500,000	23,277	13,299
PTB129013	011-49405-7700-0023	PTB 129-013, PH 3, STARTUP, C-94-007-02, I-74, SEC 72(7.8.9.9-1)R-2, PEORIA COUNTY, INSPECTION, SUPERVISION, CHECKING OF CONST STAKING, LAYOUT, QA FIELD MATERIALS INSPECTION, DOCUMENTATION, ETC	6,070,732	1,449	227,530
PTB129016	902-49405-7700-0024	PTB 129-016, PH 2, STARTUP, D-96-003-04, VARIOUS RTES, SECTIONS AND COUNTIES IN D-6. WORK ORDERS	500,000	-	336
PTB130004	011-49405-7700-0023 011-49405-7700-0026 011-49442-7900-0027 902-49405-7700-0024 902-49405-7700-0025	PTB 130-004, PH 2, STARTUP, FAP 301(US 20), SEC 46 JO DAVIESS CO, FIELD SURVEY, GEOTECHNICAL INVESTIGATIONS, DEVELOPING CONTEXT SENSITIVE SOLUTIONS STRATEGIES, REFINEMENT OF ALIGNMENT FOR GALENA BPS	5,217,021	425,878	261,877

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
SCHEDULE OF ILLINOIS FIRST PROJECTS (NOT EXAMINED)
For the Year Ended June 30, 2009 (Previously Unreported)

Project Identification Number	Appropriation Account Code	Brief Description of Project per Appropriation	Project Award Amount	Amount	
				Current Year Expended	Reappropriated
PTB130010	902-49405-7700-0024	PTB 130-010, PH 2 STARTUP, D-96-008-04, VARIOUS ROUTES, SECTIONS & COUNTIES, WORK ORDERS FOR MISCELLANEOUS ENGINEERING SERVICES IN D-6	500,000	4,737	36,198
PTB130012	902-49405-7700-0024	PTB 130-012, PH 2, PRIME AGMT, D-96-007-04, VARIOUS RTES, SEC & COS, WORK ORDERS, VARIOUS PH I/II ENGINEERING	500,000	1,365	21
PTB131018	902-49405-7700-0024	PTB 131-018, PH 1, STARTUP, P-98-017-04, VARIOUS RTES, SEC & COS, WORK ORDERS, MISCELLANEOUS SURVEY WORK, VARIOUS LOCATIONS IN D-8	200,000	-	90,430
PTB131023	011-49405-7700-0026	PTB 131-023, PH 1, STARTUP, VARIOUS RTES, SECS,	5,000,000	242,551	513,573
	011-49405-7900-0028	COS, P-30-003-04, WASTE ASSESSMENT			
PTB132001	011-49405-7700-0023	PTB 132-001, PH 3, PRIME AGMT, C-91-016-01, CONSTRUCTION	4,835,339	-	3,081
	011-49442-7900-0027	INSPECTION FOR KINGERY MAINLINE, PARTIAL OB, BALANCE = \$3,421,450.00, I-80/94, COOK COUNTY, SEC (2425 & 2626)R-1/(2425 & 2626)R-2			
PTB132002	011-49405-7700-0026	PTB 132-002, PH 3 PRIME, I-80/94(KINGERY), COOK CO,	4,274,922	210	159,694
	902-49405-7700-0025	SEC (0203.1&0304)R5/(0203.1&0304)R-5, C-91-010-01, CONSTRUCTION INSPECTION, PARTIAL OB, REMAINING BALANCE = \$2,774,422			
PTB132003	902-49405-7700-0025	PTB 132-003, PH 3, PRIME AGMT, C-91-019-01, COOK CO, FAI 80/94, SEC 2626.2-R-1/2626.2-R-2, PARTIAL OBLIGATION, REMAINING BALANCE = \$4,141,537.00 CONSTRUCTION INSPECTION FOR KINGERY MAINLINE	6,646,591	48,893	15,638
PTB132004	011-49442-7900-0027	PTB 132-004, PH 3 PRIME, FAI 94, SEC (0203.1 & 0312-708W)ETC., COOK CO, CONSTRUCTION INSPECTION PARTIAL OB,	7,131,220	40,659	67,715
	902-49405-7700-0024	REMAINING BAL=\$4,775,331			
PTB132008	011-49405-7700-0023	PTB 132-008, PH 2, STARTUP, IL 97(CLEAR LAKE AVE)/	536,679	9,674	32,702
	902-49405-7700-0025	IL 29/I-72/DIRKSEN PKWY, SANGAMON CO, D-96-538-97, DESIGN PROJECT REPORT FOR IMPROVEMENT OF CLEAR LAKE AVE FROM MAGNOLIA TO .4M E OF I-55			
PTB132011	902-49405-7700-0024	PTB 132-011, PH 3, PRIME AGMT, C-98-087-03, FAP586 (IL 162), SEC 60R-2, 37R-1, MADISON CO, CONSTRUCTION INSPECTION FOR IL 162 FROM E OF FORMOSA ROAD TO U.S. 40 IN TROY	578,512	-	8,946
PTB133013	011-49405-7700-0026	PTB 133-013, PH 3, PRIME AGREEMENT, C-94-010-02,	3,354,507	101,522	216,971
	902-49405-7700-0025	FAI 74(I-74), SEC (90-11)R-2.90(13,14,14-1)R-1, PEORIA CO, CONSTRUCTION INSPECTION, PARTIAL OB ON PRIME, BALANCE OF PRIME = \$2,754,507.00			
PTB134002	902-49405-7700-0025	PTB 134-002, PH 3, PRIME, C-91-064-05, FAP 305 (PALATINE RD OVER IL 83), COOK CO, SEC 0913.1 RS-1 CONSTRUCTION INSPECTION	297,736	-	25,755
PTB134005	902-49405-7700-0025	PTB 134-005, PH 3, C-91-096-97, STARTUP, COOK CO., SEC 1314B, I-90 @ NAGLE AVE, CONSTRUCTION INSPECTION	329,499	-	8,945
PTB134010	902-49405-7700-0025	PTB 134-010, PH 3, STARTUP, C-94-009-02, I-74, SEC (72-7)R3, PEORIA CO, CONSTRUCTION INSPECTION	3,481,168	90,341	11,199
PTB135001	902-49405-7700-0025	PTB 135-001, PH 3, STARTUP, C-91-217-00, US-30/LINCOLN HIGHWAY, SEC (B&14)R-3, WILL CO, CONSTRUCTION INSPECTION	1,066,727	-	-
PTB135018	011-49405-7700-0023	PTB 135-018, STARTUP, PH 2, VARIOUS RTES, COS AND	600,000	64,572	34,408
	011-49442-7900-0027	SECS, D-96-511-05, WORK ORDERS, MISCELLANEOUS			
	902-49405-7700-0024	ENGINEERING FOR VARIOUS PROJECTS IN D-6			
	902-49405-7700-0025				
PTB135027	902-49405-7700-0025	PTB 135-027, PH I, PRIME AGMT, VARIOUS RTES, SECS, COS, P-98-003-05, LAND AND ROUTE SURVEYS FOR VARIOUS WORK ORDER PROJECTS IN D-8	250,000	2,502	5,895
PTB136003	011-49405-7700-0026	PTB 136-003, PH 3, STARTUP, C-91-552-99, FAP337	2,002,868	348,032	34,102
	902-49405-7700-0024	(IL-22)HALF DAY ROAD) SEC 20R-5, LAKE COUNTY, CONSTRUCTION INSPECTION FOR IMPROVEMENT OF IL-22 FROM E OF IL-83 TO W OF US-45/IL-21			
PTB137011	011-49405-7700-0026	PTB 137-011, PH 3 STARTUP, C-92-098-05, FAP 303 (IL 173/I-90), SEC 129K, WINNEBAGO CO, PH III ENGINEERING SERVICES FOR IL 173/I-90 PROJECT IN WINNEBAGO CO	1,056,037	34,337	-
PTB139012	011-49405-7700-0026	PTB 139-012, PH 1, STARTUP, R-92-121-01, FAP 303	1,051,690	20,227	860,574
	011-49442-7900-0027	(US BR 20), SEC (40R, 41)R, WINNEBAGO CO, R.O.W. PLATS, ETC., FOR PROJECT ON US BR 20 FROM APP 1000 FEET W OF PIERPONT AVE TO KILBURN AVE IN ROCKFORD			
PTB140004	011-49442-7900-0027	PTB 140-004, PH 3, STARTUP, C-91-207-01, US-6 EAST OF PARK AVE UNDER CANADIAN NATL RR BRIDGE, COOK CO, SEC 3277R, CONSTRUCTION ENGINEERING	760,807	-	25
PTB140030	011-49405-7700-0026	PTB 140-030, PH 3, STARTUP, C-98-167-01, FAP 310 (IL 255), SEC 60-14,	1,374,529	153,112	242,685
	011-49442-7900-0027	60-14-1B, 60-14-2, 60-14-2B, MADISON CO, CONSTRUCTION OF IL 255 FROM FOSTERBURG ROAD TO SEMINARY ROAD			
PTB142001	011-49442-7900-0027	PTB 142-001, PHASE II, PRIME AGR, R-91-018-01 IL-47, MCHENRY CO., REED RD TO KRUEZTER RD. LAND SURVEYING TO PREPARE LAND ACQ DOCUMENTS	342,763	-	8,297
RR800920A	902-49442-7700-0024	SAFETY IMPROVEMENTS FOR THE IL-3 OVERPASS IN THE CITY OF EAST ST LOUIS FA-14 (520-1.2)R ST CLAIR CO C-98-142-01	74,000	-	31,539
UT6007003	011-49442-7900-0027	UTILITY AGREEMENT FAU ROUTE 8071 (MAC ARTHUR BLVD) SECTION (84-9-4) HBK, BY-1 JOB NO C96-523-04 SANGAMON COUNTY RELOCATING AND REPLACING OF FIBER OPTIC LINE	17,366	-	5,719
TOTAL			\$ 1,909,411,742	\$ 68,781,862	\$ 72,102,230

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
ANALYSIS OF OPERATIONS
For the Year Ended June 30, 2009

MEMORANDUMS OF UNDERSTANDING (NOT EXAMINED)

The Illinois Department of Transportation enters into numerous memorandums of understanding (MOUs) on an ongoing basis. An MOU is utilized if the Department is involved in a project with other states, State agencies or local governments. The MOU assigns responsibilities in the early planning stages of a project and as the project develops the Department would execute one or more agreements with specific costs based on the MOU. Due to the large number of MOUs in which the Department enters into, the details of some of the significant agreements in effect during the examination period are provided below:

UNION PACIFIC RAILROAD

The MOU between the Illinois Department of Transportation (Department) and the Union Pacific Railroad requires both entities to participate in the planning and development of a High Speed Rail (HSR) project in the State of Illinois. The Department intends to apply for funding from the American Recovery and Reinvestment Act's (ARRA) appropriation and operate a total of 8 trains per day each way between Chicago and St. Louis on one-way schedules.

UNITED STATES DEPARTMENT OF TRANSPORTATION

The MOU between the Department and the United States Department of Transportation was for the purpose of conducting a study in FY09 and FY10 to determine the feasibility of dedicated truck lanes along an 800-mile corridor on I-70 from Kansas City, Missouri to the Ohio-West Virginia border.

MISSOURI HIGHWAYS AND TRANSPORTATION COMMISSION, INDIANA DEPARTMENT OF TRANSPORTATION, AND OHIO DEPARTMENT OF TRANSPORTATION

As a result of the MOU with the United States Department of Transportation, the Department has an MOU with the Missouri Highways and Transportation Commission, Indiana Department of Transportation, and the Ohio Department of Transportation to combine efforts to conduct the study to determine feasibility of the I-70 dedicated truck lanes. Upon the conclusion and results of the study each State may elect to continue to participate in the development.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
ANALYSIS OF OPERATIONS
For the Year Ended June 30, 2009

MEMORANDUMS OF UNDERSTANDING (NOT EXAMINED) (continued)

UNITED STATES DEPARTMENT OF TRANSPORTATION, FEDERAL HIGHWAY
ADMINISTRATION

The MOU between the Department and the United States Department of Transportation, Federal Highway Administration (FHWA) on the current billing and concurrent audit program was implemented to develop a billing procedure which facilitates Federal-aid reimbursement to the State for expenditures under the Federal-aid Highway Program. This MOU addresses the manner in which State claims will be submitted and supported, the payment of claims, other mutually agreeable criteria pertinent to the successful operation of the billing procedure and the concurrent audit program.

METRO EAST BLACK CONTRACTORS ORGANIZATION AND MOKAN C.C.A.C.

The MOU between the Department, the Metro East Black Contractors Organization and MoKan Construction Contractors Assistance Center (C.C.A.C) was developed to work cooperatively to solve the problem of minority businesses and workers being underrepresented on Department projects in the Metro East area.

FOREST PRESERVE DISTRICT OF WILL COUNTY

The purpose of this MOU between the Department and the Forest Preserve District of Will County (District) was developed to address mitigation measures arising from the environmental impacts of staged development of an airport in eastern Will County and the relation of those impacts to existing and planned public uses of the District's facilities.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
SERVICE EFFORTS AND ACCOMPLISHMENTS (Not Examined)
For the Year Ended June 30,

	2009	2008
Maintenance:		
Inputs:		
Total repair/maintenance expenditures (000s)	\$ 444,104	\$ 423,053
Overall numbers of personnel	2,252	2,393
Outputs:		
Tons of asphalt applied for pavement repair	26,769	29,109
Tons of road salt applied (snow/ice control)	489,384	840,749
Acres mowed	121,557	155,452
Number of lane miles of pavement maintained	42,875	42,875
Outcome Indicators:		
Percent of roads rated "fair to excellent"	87.0 %	85.6 %
Percent of bridges rated "fair to excellent"	91.0 %	90.6 %
Efficiency/Cost Effectiveness:		
Roadway maintenance cost per lane mile (in dollars)	\$ 4,463	\$ 4,431
Total snow removal cost per lane mile hour (in dollars)	\$ 1,993	\$ 2,065
Mowing cost per lane mile (in dollars)	\$ 194	\$ 233

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
SERVICE EFFORTS AND ACCOMPLISHMENTS (Not Examined)
For the Year Ended June 30,

	2009	2008
Construction:		
Inputs:		
Overall expenditures (000s)	\$ 2,918,348	\$ 2,698,535
State construction capital program expenditures (000s)	\$ 1,929,196	\$ 1,679,866
Numbers of personnel	2,544	2,610
 Outputs:		
Miles of pavement maintained/improved	2,528	933
Number of bridges maintained/improved	293	272
Highway safety improvements accomplished	221	202
Percentage of annual program under contract	81.5 %	74.0 %
 Outcome Indicators:		
Percent of State roads in acceptable condition	87.0 %	85.6 %
Percent of roads in need of repair	13.0 %	14.4 %
Percent of bridges in acceptable condition	91.0 %	90.6 %
Percent of bridges in need of repair	9.0 %	9.4 %
 Efficiency/Cost Effectiveness:		
Construction investment/lane mile of State-controlled road (IL) (in dollars)	\$ 44,996	\$ 39,295
 External Benchmarks:		
U.S. construction investment per lane mile of State-controlled highway	N/A	\$ 36,578
 Explanatory Information:		
Lane miles of State-controlled highways in Illinois (2008) - 42,875. U.S. capital road construction funds, not including local road funds (000s, 2007) - \$67,766,604; Lane miles of State-controlled highways in U.S., not including local roads and streets, (2007) - 1,852,655.		

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
SERVICE EFFORTS AND ACCOMPLISHMENTS (Not Examined)
For the Year Ended June 30,

	<u>2009</u>	<u>2008</u>
Traffic Safety:		
Inputs:		
Traffic safety expenditures, overall (000s)	\$ 49,932	\$ 48,707
Traffic safety personnel	141	152
Outputs:		
Commitments from law enforcement agencies within specified counties (grantees)	241	179
Commitments from law enforcement agencies during mobilizations within specified counties (grantees)	320	120
Total commitments from law enforcement agencies during holiday mobilizations	400	507
Number of motor carrier/hazmat compliance or Notice of Apparent Violation reviews	3,862	1,599 *
Outcome Indicators:		
Statewide fatality rate per 100 million Vehicle Miles Traveled (VMT) in IL	1.0	1.1
Statewide safety belt usage rate in Illinois	91.7 %	90.5 %
Alcohol-related fatalities in IL	470	566 *
External Benchmarks:		
U.S. fatality rate/ 100 million VMT	1.3	1.4
Statewide alcohol-related fatality rate/ 100 million VMT	0.5	0.5
Efficiency/Cost Effectiveness:		
Percent change in fatal crashes compared to previous 5-year average in Illinois	(21.1) %	(8.8) %
Percent change in traffic fatalities compared to previous 5-year average in Illinois	(22.0) %	(8.8) %
Percent change in alcohol-related fatal crashes compared to 5-year average in Illinois	(21.5) %	(3.0) %

*Note: Prior Year figures were revised

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
SERVICE EFFORTS AND ACCOMPLISHMENTS (Not Examined)
For the Year Ended June 30,

	<u>2009</u>	<u>2008</u>
Public Transportation:		
Inputs:		
Public Transportation expenditures, overall (000s)	\$ 591,211	\$ 560,332
Public Transportation personnel	27	23
Outputs:		
Capital/Operating expenditures (Northeast Illinois) (000s)	\$ 462,636	\$ 465,453
Capital/Operating expenditures (Downstate Illinois) (000s)	\$ 128,576	\$ 94,879
Percent of annual program complete	91.8 %	95.7 %
Outcome Indicators		
Bus Ridership (Northeast Illinois, millions)	364	358
Rail Ridership (Northeast Illinois, millions)	256	248
Bus Ridership (Downstate Illinois, millions)	37	36
Rail-car miles (Northeast Illinois, millions)	107	103
Bus miles (Northeast Illinois, millions)	128	119
Bus miles (Downstate Illinois, millions)	36	32
Efficiency/Cost Effectiveness		
Overall capital/operating investment per bus and rail rider (in dollars)	\$ 0.98	\$ 0.93

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
SERVICE EFFORTS AND ACCOMPLISHMENTS (Not Examined)
For the Year Ended June 30,

	<u>2009</u>	<u>2008</u>
Aeronautics		
Inputs:		
Aeronautics expenditures, overall (000s)	\$ 112,702	\$ 116,081
Aeronautics personnel	64	64
 Outputs:		
Airport Safety Inspections	116	112
Percent of annual airport program under contract (a)	58 %	36 %
Total airport improvement projects under contract (a)	57	40
 Outcome Indicators		
Commercial air passengers enplaned at Chicago airports (b)	41,687,883	* 45,654,421
Commercial air passengers enplaned outside Chicago (b)	1,319,679	* 1,340,699
Percent of public airport runway pavements rated satisfactory or better	87 %	86 %
Percent of taxiways rated satisfactory or better	77 %	77 %
Percent of airport aprons rated satisfactory or better	78 %	76 %
Air operations (takeoffs/landings) at Chicago airports (b)	1,153,473	1,232,000
Air operations (takeoffs/landings) at public airports with traffic control towers other than Chicago O'Hare and Midway (b)	410,644	395,000
Percent compliance following IDOT airport safety inspections	84.5 %	68.0 %
 Efficiency/Cost Effectiveness		
State capital investment per commercial air passenger (in dollars)	\$ 2.62	\$ 2.36

*Note: Prior Year figures were revised

Footnotes

- (a) The accomplishment rate refers only to projects programmed for funded and placed under contract in the same fiscal year. Because the federal and State fiscal years overlap for only three quarters, the percent of program under contract is artificially low.
- (b) Source: Federal Aviation Administration (FAA) reports on enplanements and operations for the previous calendar year at Illinois public airports with commercial air service and air-traffic control capability.

STATE OF ILLINOIS
DEPARTMENT OF TRANSPORTATION
SERVICE EFFORTS AND ACCOMPLISHMENTS (Not Examined)
For the Year Ended June 30,

	<u>2009</u>	<u>2008</u>
Rail		
Inputs:		
Rail expenditures, overall (000s)	\$ 33,228	\$ 34,308
Rail personnel	9	8
 Outputs:		
High-speed rail track improvements (Phase 2) (a)	50 %	N/A
Rail freight service projects accomplished	33 %	33 %
Percent of annual program under contract	50 %	39 %
State operations investments in Amtrak downstate service (in thousands)	\$ 26,000	\$ 28,700
 Outcome Indicators		
On-time performance of passenger rail service (Amtrak)	73 %	58 %
Amtrak ridership	1,585,404	1,474,800
 Efficiency/Cost Effectiveness		
State capital investment per Amtrak rider (in dollars)	\$ 16.39	\$ 19.46

Footnotes

- (a) The 1st Phase of the high-speed rail improvement program was completed in early fiscal year 2007. Phase 2 of the high-speed rail improvement program was deferred to fiscal year 2009 because of budget constraints in 2008.