



STATE OF ILLINOIS  
**OFFICE OF THE  
AUDITOR GENERAL**

William G. Holland, Auditor General

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**SUMMARY REPORT DIGEST**

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**POLLUTION CONTROL BOARD**

**COMPLIANCE EXAMINATION**  
**For the Two Years Ended: June 30, 2012**

**Release Date: March 21, 2013**

**Summary of Findings:**

<b>Total this audit:</b>	<b>1</b>
<b>Total last audit:</b>	<b>0</b>
<b>Repeated from last audit:</b>	<b>0</b>

**SYNOPSIS**

- The Board failed to implement the provisions of the Identity Protection Act.

{Expenditures and Activity Measures are summarized on the reverse page.}

**POLLUTION CONTROL BOARD  
COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2012**

EXPENDITURE STATISTICS	2012	2011	2010
<b>Total Expenditures.....</b>	<b>\$ 3,133,341</b>	<b>\$ 2,706,625</b>	<b>\$ 2,796,635</b>
OPERATIONS TOTAL.....	\$ 3,133,341	\$ 2,706,625	\$ 2,796,635
% of Total Expenditures.....	100.0%	100.0%	100.0%
Personal Services.....	1,551,797	1,448,016	1,247,613
Other Payroll Costs (FICA, Retirement).....	1,019,359	843,259	726,366
All Other Operating Expenditures.....	562,185	415,350	822,656
<b>Total Receipts.....</b>	<b>\$ 505,392</b>	<b>\$ 407,413</b>	<b>\$ 507,016</b>
<b>Average Number of Employees.....</b>	<b>23</b>	<b>23</b>	<b>23</b>

SELECTED ACTIVITY MEASURES (Not Examined)	2012	2011	2010
<b>Cases handled by the Board</b>	<b>220</b>	<b>170</b>	<b>171</b>
<b>Regulations proposed</b>	<b>25</b>	<b>26</b>	<b>23</b>

AGENCY CHAIRMAN	
During Examination Period:	Mr. Thomas Holbrook (effective 10/28/11), Dr. G. Tanner Girard ((Acting) through 10/27/11))
Currently:	Mr. Thomas Holbrook

**FINDINGS, CONCLUSIONS, AND  
RECOMMENDATIONS**

**FAILURE TO IMPLEMENT PROVISIONS OF THE  
IDENTITY PROTECTION ACT**

The Board failed to implement provisions of the Identity Protection Act (Act).

**Identity protection policy not issued**

During our testing, we noted the Board had not issued an identity protection policy. (Finding 1, pages 7-8)

We recommended the Board develop and approve an identity protection policy as required in the Act.

**Board agrees with auditors**

The Board accepted our recommendation and stated they are in the process of developing a formal policy on the Act for approval by the Board's legal staff and members. They further stated they have in the past and will continue to maintain the practice of securing all social security numbers to prevent identity theft.

**AUDITORS' OPINION**

We conducted a compliance examination of the Board as required by the Illinois State Auditing Act. The Board has no funds that require an audit leading to an opinion of the financial statements.



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WILLIAM G. HOLLAND  
Auditor General

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**SPECIAL ASSISTANT AUDITORS**

E.C. Ortiz & CO., LLP were our special assistant auditors.