



OFFICE OF THE
AUDITOR GENERAL

June 9, 2026
Performance Audit

Follow-Up Report

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Performance Audit of the
State Moneys Provided to the Illinois Criminal Justice Information Authority for Community-Based Violence Prevention Programs, the After-School Program, and the Chicago Area Project
Audit Follow-Up

The Office of the Auditor General conducted a performance audit of the State moneys provided to the Illinois Criminal Justice Information Authority (ICJIA) for Community-Based Violence Prevention Programs, the After-School Program (ASP), and the Chicago Area Project under contracts or grant agreements in Fiscal Year 2013 and in Fiscal Year 2014. The audit was conducted pursuant to House Resolution Number 888, which was adopted on April 7, 2014. The audit was released in April 2016 and contained 28 recommendations. As **all of the community-based violence prevention programs, the After-School Program, and the Chicago Area Project tested during the performance audit ended prior to the beginning of our examination period**, as part of ICJIA’s Fiscal Years 2024 and 2025 compliance examination, we followed up on ICJIA’s implementation of its corrective action plans as they pertained to the new and continuing programs administered by ICJIA during Fiscal Years 2024 and 2025 through its various individual grant agreements. As such, the terms in the original recommendations relating to lead agencies and partner agencies/community partners will now refer to grantees and subgrantees/subcontractors, respectively, when references are made in the recommendation status descriptions. The current status of the recommendations is shown in the table below. The testing for each recommendation that is not fully implemented is now included within the testing for two compliance findings (noted below); therefore, this will be the last separately released audit follow-up report for the performance audit.

STATUS OF PERFORMANCE AUDIT RECOMMENDATIONS

As of June 30, 2025

Rec. No.	Recommendation Description	Agency	Current Status		
			Implemented	Partially Implemented	Not Implemented
1	NRI/CVPP Due Diligence in Provider Selection	ICJIA	X		
2	NRI/CVPP Fund Transfer to Department of Human Services	ICJIA		X	
3	NRI/CVPP Payments in FY15	ICJIA	X		
4	NRI/CVPP Failure to Require and Maintain Contracts	ICJIA			X
5	NRI/CVPP Contract Execution Timeliness	ICJIA		X	
6	NRI/CVPP Quarterly Reporting	ICJIA		X	
7	NRI/CVPP Salary Differences	ICJIA		X	
8	NRI/CVPP Site Visits by ICJIA	ICJIA			X
9	NRI/CVPP Background Checks	ICJIA	X		
10	NRI/CVPP Reentry Services to Ineligible Individuals	ICJIA		X	
11	NRI/CVPP Equipment Purchases	ICJIA			X
12	NRI/CVPP Evaluation Efforts	ICJIA		X	
13	NRI/CVPP Timekeeping Contract	ICJIA	X		
14	NRI/CVPP Expense Testing	ICJIA	X		
15	NRI/CVPP Use of Separate Accounts for Grant Funds	ICJIA	X		

STATUS OF PERFORMANCE AUDIT RECOMMENDATIONS

As of June 30, 2025

Rec. No.	Recommendation Description	Agency	Current Status		
			Implemented	Partially Implemented	Not Implemented
16	NRI/CVPP Recovery Efforts	ICJIA	X		
17	ASP Contract Execution Timeliness	ICJIA			X
18	ASP Quarterly Reporting	ICJIA		X	
19	ASP Site Visits	ICJIA			X
20	ASP Equipment Purchases	ICJIA	X		
21	ASP Expense Testing	ICJIA	X		
22	CAP Funding Grant Agreement	ICJIA	X		
23	CAP Funding Salary Differences	ICJIA		X	
24	CAP Funding Quarterly Reporting	ICJIA		X	
25	CAP Funding Equipment Purchases	ICJIA	X		
26	CAP Funding Expense Testing	ICJIA	X		
27	CAP Funding Recovery Efforts	ICJIA	X		
28	CAP Funding Reconciliation	ICJIA	X		

Note: Due to the community-based violence prevention programs, the ASP, and the Chicago Area Project ending, the testing for each recommendation that is not fully implemented is now included in ICJIA compliance findings 2025-001 and 2025-003.

Source: Summary of OAG follow-up.

Recommendation 2: NRI/CVPP Fund Transfer to Department of Human Services

ICJIA should ensure full compliance with all interagency agreements. Additionally, it should take steps to ensure that funds being transferred to other State agencies for distribution to community-based organizations do not overlap with the community-based organizations' ICJIA funds for similar purposes.

Current Status: Partially Implemented

During our testing, we noted noncompliance with specific provisions for three of four grant agreements with other State agencies. Additionally, we tested 60 grant agreements for noncompliance and noted noncompliance. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-003 for further details. Regarding the issue of making duplicate payments to State agencies for the same type of purposes of the grants, we did not note any exceptions when reviewing the 60 grant agreements. ICJIA has implemented the use of Exhibit G in the Grant Accountability and Transparency Act (GATA) Uniform Grant Agreement, which requires grantees to list all State agency contracts into ICJIA's grant agreements to avoid duplicative funding to community-based organizations for the same scope of services. During our review of the 60 grant agreements, we did not note any exceptions regarding the compliance on the use of Exhibit G in the GATA Uniform Grant Agreement.

Recommendation 4: NRI/CVPP Failure to Require and Maintain Contracts

ICJIA should require, approve, and maintain copies of all contractual agreements for all services funded by or through the agency regardless of the amount or purpose of the agreement.

Current Status: Not Implemented

During our detail testing of 60 grant agreements, we noted an exception that ICJIA did not review or approve contracts with some of its subcontractors. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-003 for further details.

Recommendation 5: NRI/CVPP Contract Execution Timeliness

ICJIA should ensure that there is timely execution of all contracts for grant services, including the Neighborhood Recovery Initiative/Community Violence Prevention Program (NRI/CVPP) program. Further, ICJIA should follow its own policies and not allow the contracts to be signed if not executed within six months of the start date. Additionally,

ICJIA should consider only allowing service providers to initiate grant services after an executed contract has been approved.

Current Status: Partially Implemented

We detail tested 60 grant agreements to ensure each grant or subgrant/subcontract agreement was approved and signed prior to the start of services. Our testing results indicated, (1) ICJIA and/or the grantee did not sign the grant agreement prior to the start date of the grant, and (2) ICJIA did not review or approve contracts with subcontractors. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-003 for further details.

Recommendation 6: NRI/CVPP Quarterly Reporting

ICJIA should enforce provisions of grant agreements and require timely fiscal reporting by providers that contain accurate approved budget numbers and explanations when the expenses change. Additionally, ICJIA, when it delegates its responsibility for community oversight to lead agencies, should implement the necessary controls to ensure lead agencies enforce contract provisions relative to timely fiscal reporting. Finally, ICJIA should always collect and review quarterly fiscal reports from all program providers to not only comply with contract provisions but to maintain adequate oversight of State dollars.

Current Status: Partially Implemented

We detail tested 60 grant agreements to ensure expenditures of the grantee were spent as originally budgeted for or were correctly paid if changes were made to any of the original budgets. No exceptions were noted. We also reviewed all quarterly fiscal reports for any budget revisions and discrepancies thereof. No exceptions were noted. However, we noted quarterly fiscal reports for 44 out of 60 grant agreements tested were not timely submitted or not submitted at all. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-003 for further details.

Recommendation 7: NRI/CVPP Salary Differences

ICJIA should consider revising its grant process to require the identification of individuals who are to be charged to the grant. Additionally, ICJIA should consider revising its fiscal reporting to have grantees report the identities of the staff charged to the grant funds on a quarterly basis. Finally, ICJIA should revise its process to ensure that providers do not charge grant funds in excess of the amounts the providers actually pay the staff who work on the grant.

Current Status: Partially Implemented

We detail tested 60 grant agreements to ensure requirements of each grant were followed. Although ICJIA implemented a timekeeping requirement, requiring grantees to track and maintain time certifications, signed by the staff and supervisor, for grant-funded personnel, exceptions were noted regarding this requirement. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-003 for further details. In addition, we noted ICJIA's grant specialists participate in the subgrantees/subcontractors site visits with the grantees. However, during our review of ICJIA's site monitoring visits, which is one of ICJIA's control mechanisms for reviewing personnel timesheets, we noted (1) ICJIA did not perform all site visits required during the examination period, and (2) of the site visits conducted, site visit documentation was determined to be inadequate. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-001 for further details.

Recommendation 8: NRI/CVPP Site Visits by ICJIA

ICJIA should comply with its policy and conduct timely site visits of new program grantees for effective monitoring of the programs.

Current Status: Not Implemented

During our review of ICJIA's site monitoring visits, we noted (1) ICJIA did not perform all site visits required during the examination period, and (2) of the site visits conducted, site visit documentation was determined to be inadequate. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-001 for further details.

Recommendation 10: NRI/CVPP Reentry Services to Ineligible Individuals

ICJIA should take the steps necessary to ensure that providers are complying with participation requirements of grant agreements and not simply delegating oversight to other entities. Additionally, ICJIA should review all re-entry service

providers for Years 3 and 4 of the NRI/CVPP program to determine if ineligible clients were served, whether the ineligibility was because of parole status, age, or type of crime. Finally, ICJIA should recover State grant funds that would have been spent on these ineligible clients from the service providers.

Current Status: Partially Implemented

We noted ICJIA management has begun to seek repayment and initiated recovery action against all ICJIA auditors' site-tested exceptions. In addition, during our detail testing of 60 grant agreements, we noted ICJIA reviewed the fiscal reports received. In addition, we noted ICJIA's grant specialists participate in the subgrantees/subcontractors site visits with the grantees. However, during our review of ICJIA's site monitoring visits, which is one of ICJIA's control mechanisms for reviewing expense information of the grantee, subcontractors, and subgrantees, we noted (1) ICJIA did not perform all site visits required during the examination period, and (2) of the site visits conducted, site visit documentation was determined to be inadequate. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-001 for further details.

Recommendation 11: NRI/CVPP Equipment Purchases

ICJIA should enforce provisions of grant agreements and ICJIA guidelines relative to the purchase of equipment by providing agencies. ICJIA should either require the mandatory correspondence and maintain that in its files, or not allow the purchase expenses by the providers. Finally, ICJIA should determine whether the dollar amount of the exceptions noted by auditors should be recovered from providing agencies.

Current Status: Not Implemented

During our detailed testing of 60 grant agreements, we noted the grant agreements contained the applicable requirements and enforced them for all grants, which were applicable. No exceptions were noted. In addition, we noted ICJIA's grant specialists participate in the subgrantees/subcontractors site visits with the grantees. However, during our review of ICJIA's site monitoring visits, which is one of ICJIA's control mechanisms for reviewing expense information of the grantee, subcontractors, and subgrantees, we noted (1) ICJIA did not perform all site visits required during the examination period, and (2) of the site visits conducted, site visit documentation was determined to be inadequate. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-001 for further details.

Recommendation 12: NRI/CVPP Evaluation Efforts

ICJIA should require all vendors to comply with information requests necessary to conduct complete evaluation of State grant programs. Further, ICJIA should look to implement penalties on vendors who fail to comply with these information requests. Finally, ICJIA should require evaluation contractors to comply with grant contractual requirements and submit required deliverables or seek to recover funds if those deliverables are not submitted.

Current Status: Partially Implemented

As this recommendation pertained to evaluation of deliverables required by the applicable agreement with the grantee, we followed up on this recommendation by detail testing 60 grant agreements to ensure compliance with the grant agreements' requirements. During our testing, we noted several instances of noncompliance, which we have noted in Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-003. For instances in which noncompliance was noted, we further noted ICJIA did not implement penalties nor were grant payments withheld as a result of the noncompliance.

Recommendation 17: ASP Contract Execution Timeliness

ICJIA should ensure that there is timely execution of all contracts, including those for ASP services. Further, ICJIA should either follow its own policies and not allow the contracts to be signed after six months or change ICJIA policy. Additionally, ICJIA should consider only allowing service providers to initiate services, including ASP services, after an executed contract has been approved.

Current Status: Not Implemented

We detail tested 60 grant agreements to ensure each grant or subgrant/subcontract agreement was approved and signed prior to the start of services. Our testing results indicated, (1) ICJIA and/or the grantee did not sign the grant agreement prior to the start date of the grant, and (2) ICJIA did not review or approve contracts with subcontractors. See Fiscal

Years 2024 and 2025 Compliance Examination Finding 2025-003 for further details.

Recommendation 18: ASP Quarterly Reporting

ICJIA should enforce provisions of grant agreements and require timely fiscal reporting by grantees. ICJIA should always collect quarterly fiscal reports from all program providers to not only comply with contract provisions but to maintain adequate oversight of State dollars.

Current Status: Partially Implemented

We detail tested 60 grant agreements to ensure expenditures of the grantee were spent as originally budgeted for or were correctly paid if changes were made to any of the original budgets. No exceptions were noted. We also reviewed all quarterly fiscal reports for any budget revisions and discrepancies and noted noncompliance with specific provisions of the grant agreement. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-003 for further details.

Recommendation 19: ASP Site Visits

ICJIA should comply with its policy and conduct timely site visits of new program grantees for effective monitoring of the programs.

Current Status: Not Implemented

During our review of ICJIA's site monitoring visits, we noted (1) ICJIA did not perform all site visits required during the examination period, and (2) of the site visits conducted, site visit documentation was determined to be inadequate. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-001 for further details.

Recommendation 23: CAP Funding Salary Differences

ICJIA should consider revising its grant process to require the identification of individuals who are to be charged to the grant. Additionally, ICJIA should consider revising its fiscal reporting to have grantees report the identities of the staff charged to the grant funds on a quarterly basis. Finally, ICJIA should revise its process to ensure that providers do not charge grant funds in excess of the amounts the providers actually pay the staff who work on the grant.

Current Status: Partially Implemented

We detail tested 60 grant agreements to ensure requirements of each grant were followed. ICJIA implemented a timekeeping requirement requiring grantees to track and maintain time certifications, signed by the staff and supervisor, for grant-funded personnel and exceptions were noted regarding this requirement. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-003 for further details. In addition, ICJIA's grant specialists participate in the subgrantees/subcontractors site visits with the grantees. However, during our review of ICJIA's site monitoring visits, which is one of ICJIA's control mechanisms for reviewing personnel timesheets, we noted (1) ICJIA did not perform all site visits required during the examination period, and (2) of the site visits conducted, site visit documentation was determined to be inadequate. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-001 for further details.

Recommendation 24: CAP Funding Quarterly Reporting

ICJIA should enforce provisions of grant agreements and require timely fiscal reporting by providers that contain accurate approved budget numbers and explanations when the expenses change. Additionally, ICJIA, when it delegates its responsibility for community oversight to another agency, should implement the necessary controls to ensure that agency enforces contract provisions relative to timely fiscal reporting. Finally, ICJIA should always collect quarterly fiscal reports from all program providers to not only comply with grant agreement provisions but to maintain adequate oversight of State dollars.

Current Status: Partially Implemented

During our detail testing of 60 grant agreements, we noted noncompliance with specific provisions of the grant agreements. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-003 for further details. In addition, ICJIA's grant specialists participate in the subgrantees/subcontractors site visits with the grantees. However, during our review of ICJIA's site monitoring visits, which is one of ICJIA's control mechanisms for reviewing personnel timesheets, we noted (1) ICJIA did not perform all site visits required during the examination period, and (2) of the site visits

conducted, site visit documentation was determined to be inadequate. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-001 for further details.

Follow-up was conducted as part of the Fiscal Years 2024 and 2025 State compliance examination by our special assistant auditors, West & Company, LLC. This was the fifth time follow-up has been done on the recommendations from the April 2016 performance audit.