

**State of Illinois**  
**Department of Transportation**  
**Compliance Examination**

For the Year Ended June 30, 2005

Performed as Special Assistant Auditors  
for the Auditor General, State of Illinois

**State of Illinois**  
**Department of Transportation**  
**Compliance Examination**  
**For the Year Ended June 30, 2005**

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**State of Illinois**  
**Department of Transportation**  
**Agency Officials**  
**June 30, 2005**

Secretary of Transportation	Mr. Timothy W. Martin
Assistant Secretary	Mr. David D. Phelps
Director of Finance and Administration	Ms. Ann Schneider (current) Mr. Robert J. Millette (during audit period)
Legal Counsel	Mrs. Ellen Schanzle-Haskins
Bureau Chief, Accounting and Auditing	Mr. Gus Sogunro

Department of Transportation's offices are located at:

2300 South Dirksen Parkway  
Springfield, IL 62764

310 South Michigan Ave.  
Chicago, IL 60604



# Illinois Department of Transportation

Office of the Secretary  
2300 South Dirksen Parkway / Springfield, Illinois / 62764  
Telephone 217/782-5597

November 11, 2005



## **BKD, LLP**

225 North Water Street, Suite 400  
Post Office Box 1580  
Decatur, IL 62525-1580


Ladies and Gentlemen:

We are responsible for the identification of, and compliance with, all aspects of laws regulations, contracts, or grant agreements that could have a material effect on the operations of the Illinois Department of Transportation. We are responsible for and we believe we have established and maintained an effective system of, internal controls over compliance requirements. We have performed an evaluation of the Department's compliance with the following assertions during the year ended June 30, 2005. Based on this evaluation, we assert that during the year ended June 30, 2005, we believe the Department has materially complied with the assertions below.

- A. The Department has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Department has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Department has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. The State revenues and receipts collected by the agency are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. The money or negotiable securities or similar assets handled by the Department on behalf of the State or held in trust by the Department have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

  
\_\_\_\_\_  
Secretary  
  
\_\_\_\_\_  
General Counsel

Yours Very truly,

  
\_\_\_\_\_  
Fiscal Officer

# **Compliance Report**

**State of Illinois**  
**Department of Transportation**  
**Compliance Report Summary**  
**June 30, 2005**

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

**Accountants' Reports**

The Independent Accountants' Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

**Summary of Findings**

<u>Number of</u>	<u>This Report</u>	<u>Prior Report</u>
Findings	21	13
Repeated Findings	8	4
Prior recommendations implemented or not repeated	5	4

Details of the examination findings are presented in a separately tabbed report section.

**Schedule of Findings and Recommendations**

<u>Item No.</u>	<u>Page</u>	<u>Description</u>
<b>Findings (State Compliance)</b>		
05-01		Lack of Documentation in Contract Files
05-02		Changes in Award Evaluation Criteria not Communicated to Proposers
05-03		Extensive Vendor Revisions to Proposal During Contract Negotiation Process
05-04		Failure to Publish that Contract was Awarded to Other than the Lowest Priced
05-05		Failure to Include Subcontractor Information in Contracts
05-06		Not Timely in Executing Contracts
05-07		Contract Monitoring Deficiencies
05-08		Conflict of Interest Exists in Quality Assurance Testing Work Order Assignments
05-09		Untimely Voucher Approval
05-10		Inadequate Control Over Phone Credit Cards
05-11		Lack of Monitoring of Cell Phone Usage

**State of Illinois**  
**Department of Transportation**  
**Compliance Report Summary**  
**June 30, 2005**

**Schedule of Findings and Recommendations**

<b>Item No.</b>	<b>Page</b>	<b>Description</b>
<b>Findings (State Compliance) (Continued)</b>		
05-12		Inaccurate Commodities Inventory Records
05-13		Failure to Publish and File Truck Size and Weight Report
05-14		Untimely Approval of Downstate Transportation Application Program of Expenditures Proposals
05-15		Failure to Maintain the State's Master Transportation Plan
05-16		Failure to Appoint Representative to the Hazardous Emergency Advisory Board
05-17		Untimely or Lack of Proper Lease Documentation
05-18		Incomplete Excess Land Information
05-19		Lack of Employee Evaluations and Maintenance of Employee Files
05-20		Lack of Insurance Certification for Employee Assigned Automobiles
05-21		Failure to Obtain Timely the Actual Quarterly Operating Reports
<b>Prior Findings Not Repeated – Government Auditing Standards</b>		
05-22		Efficiency Initiative Payments
<b>Prior Findings Not Repeated — State Compliance</b>		
05-23		Diesel Emissions Monies Deposited into Incorrect Fund
05-24		Inaccurate and Untimely Highway Right-of-Way Jurisdictional Change Documentation
05-25		Capital Assets Not Properly Recorded
05-26		Lack of Independent Review of Computer System



**State of Illinois**  
**Department of Transportation**  
**Compliance Report Summary**  
**June 30, 2005**

***Exit Conference***

The findings and recommendations appearing in this report were discussed with Department personnel at an exit conference held on March 1, 2006. In attendance were:

**Illinois Department of Transportation**

Ann Schneider	Director of Finance and Administration
Ellen Schanzle-Haskins	Chief Counsel
Ron McKechan	Chief of Audits
Richard Hunter	Bureau of Land Acquisition
Bill Grunloh	Acting Bureau Chief of Business Services
Eric Harm	Director of Highways

**BKD, LLP**

Anthony Spratte	Partner
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**Office of the Auditor General**

Sam Stiles	Audit Manager
Mike Maziarz	Audit Manager
Leighann Brown	Audit Supervisor

The Department's responses were provided by Ron McKechan, Chief of Audits on March 8, 2006.



## Independent Accountants' Report on State Compliance, on Internal Control Over Compliance, and on Supplementary Information for State Compliance Purposes

Honorable William G. Holland  
Auditor General  
State of Illinois

### Compliance

As Special Assistant Auditors for the Auditor General, we have examined the State of Illinois, Department of Transportation's compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the year ended June 30, 2005. The management of the State of Illinois, Department of Transportation (Department) is responsible for compliance with these requirements. Our responsibility is to express an opinion on the Department's compliance based on our examination.

- A. The Department has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Department has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Department has complied, in all material respects, with applicable law and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. The State revenues and receipts collected by the Department are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the Department on behalf of the State or held in trust by the Department have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about Department's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on Department's compliance with specified requirements.

In our opinion, the Department complied, in all material respects, with the aforementioned requirements during the year ended June 30, 2005. However, the results of our procedures disclosed instances of noncompliance with those requirements, which are required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of State findings and recommendations as findings 05-01 through 05-21. As required by the Audit Guide, immaterial findings relating to instances of noncompliance excluded from this report have been reported in a separate letter to your office.

#### Internal Control

The management of the Department is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws and regulations. In planning and performing our examination, we considered the Department's internal control over compliance with the aforementioned requirements in order to determine our examination procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide, issued by the Illinois Office of the Auditor General.

Our consideration of internal control over compliance with the aforementioned requirements would not necessarily disclose all matters in internal control that might be material weaknesses. A material weakness is a condition in which the design or operation of one or more internal control components does not reduce to a relatively low level the risk that noncompliance with applicable requirements of laws and regulations that would be material in relation to one or more of the aforementioned requirements being examined may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving internal control over compliance that we consider to be material weaknesses. However, the results of our procedures disclosed other matters involving internal control, which are required to be reported in accordance with criteria established by the Audit Guide issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of State findings and recommendations as findings 05-01 through 05-21. As required by the Audit Guide, immaterial findings related to internal control deficiencies excluded from this report have been reported in a separate letter to your office.

## Supplementary Information for State Compliance Purposes

As Special Assistant Auditors for the Auditor General, we have audited the financial statements of the governmental activities, each major fund, and the aggregate remaining fund information of the Department as of and for the year ended June 30, 2005, which collectively comprise the Department's basic financial statements, and have issued our report thereon dated November 11, 2005. The accompanying supplementary information, as listed in the table of contents as Supplementary Information for State Compliance Purposes, is presented for purposes of additional analysis and is not a required part of the basic financial statements of the Department. The 2005 Supplementary Information for State Compliance Purposes, except for that portion marked "unaudited" on which we express no opinion, has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, is fairly stated in all material respects in relation to the basic financial statements for the year ended June 30, 2005, taken as a whole. We have also previously audited, in accordance with auditing standards generally accepted in the United States of America, the Department's basic financial statements for the year ended June 30, 2004. In our report dated October 22, 2004, we expressed unqualified opinions on the respective financial statements of the governmental activities, each major fund, and the aggregate remaining fund information. In our opinion, the 2004 Supplementary Information for State Compliance Purposes, except for the portion marked "unaudited" is fairly stated in all material respects in relation to the basic financial statements for the year ended June 30, 2004, taken as a whole.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, and agency management, and is not intended to be and should not be used by anyone other than these specified parties.

BKD, LLP

November 11, 2005



**Independent Accountants' Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance With *Government Auditing Standards***

Honorable William G. Holland  
Auditor General  
State of Illinois

As Special Assistant Auditors for the Auditor General, we have audited the financial statements of the governmental activities, each major fund, and the aggregate remaining fund information of the State of Illinois, Department of Transportation (Department), as of and for the year ended June 30, 2005, which collectively comprise the Department's basic financial statements and have issued our report thereon dated November 11, 2005. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

**Internal Control Over Financial Reporting**

In planning and performing our audit, we considered the State of Illinois, Department of Transportation's internal control over financial reporting in order to determine our auditing procedures for the purpose of expressing our opinion on the financial statements and not to provide an opinion on the internal control over financial reporting. Our consideration of the internal control over financial reporting would not necessarily disclose all matters in the internal control that might be material weaknesses.

A material weakness is a reportable condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that misstatements caused by error or fraud in amounts that would be material in relation to the financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control over financial reporting and its operation that we consider to be material weaknesses.

### Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Department's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*. However, we noted certain matters which are reported as State compliance findings 05-01 through 05-21 in the accompanying Findings and Recommendations section. We also noted certain other matters which we have reported to management of the State of Illinois, Department of Transportation in a separate letter dated November 11, 2005.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor and Department management and is not intended to be and should not be used by anyone other than these specified parties.

BKD, LLP

November 11, 2005

**State of Illinois  
Department of Transportation  
Findings and Recommendations  
For the Year Ended June 30, 2005**

***Current Findings — State***

**05-01**      Finding – Lack of Documentation in Contract Files

Department contract files lacked basic information; such as individual scoring sheets, documentation to support the award to a particular vendor based on criteria delineated in the Request for Proposal (RFP), and other decision documentation to adequately document the evaluation, selection and contracting process. Documentation of the process used and decisions made in the evaluation and scoring of proposals is a critical control component to ensure a fair and open procurement process.

We selected a sample of ten procurements awarded by the Department in FY05, totaling a maximum award amount of \$45 million, for which we reviewed the procurement (contract and working) files at the Department. The listing of procurements is provided below along with the award date and maximum contract dollar amount published in either the Illinois Procurement Bulletin or on the Department’s website, and the number of unsuccessful proposers for the procurement.

Procurement Project	Award Date	Maximum Contract Amount	# Of Unsuccessful Proposers
Dan Ryan/Kingery Expressway Public Relations <sup>1</sup>	August 26, 2004	\$ 9,600,000	4
Speed Photo Radar Camera Program	June 15, 2005	3,657,600	3
EEO Supportive Services Program	December 16, 2004	1,370,000	5
On the Job Training Region I	April 15, 2005	900,000	1
Procurement Process Review	March 29, 2005	667,224	5
Terminal Instrument Procedures Surveys	November 24, 2004	625,000	0
Post Offer Physical Exams/Drug Testing	May 26, 2005	280,400	1
Occupant Protection Coordinator	February 9, 2005	200,000	1
Highway Construction (March 11, 2005 Letting-Item 80)	April 18, 2005	21,219,330	0
Highway Construction (March 11, 2005 Letting-Item 123)	June 13, 2005	<u>6,210,192</u>	4
Total:		<u>\$44,729,746</u>	

<sup>1</sup> Three vendors awarded in this project. Two were awarded August 26, 2004, the other on November 18, 2003.

**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
**For the Year Ended June 30, 2005**

***Current Findings – State***

**05-01**      Finding – Lack of Documentation in Contract Files (Continued)

During our review of the procurement files at the Department we noted:

- In 70 percent (7 of 10) of the procurement files reviewed, we found no evidence of individual scoring sheets to evaluate proposals submitted for the procurement. Department officials indicated that the completion of individual scoring sheets by members of the selection committee for each proposal is not completed per Department process, but that the scoring is completed by consensus at a meeting. Specifically, guidance provided by the Department states that “Only the full Selection Committee will rate the proposals and assign rating points. Individual Committee members should not rate the proposals before the full Committee is convened.”

This process is contrary to guidance from the State’s Chief Procurement Officer (CPO). CPO Notice #37, effective May 11, 2005 – after most of these procurements were awarded – requires that each State Purchasing Officer (SPO) have established internal policies to ensure solicitation and contract files contain the necessary documentation including “completed evaluation forms from each individual evaluator and the combined summary.” Additionally, a document on the SPO web page (which CMS indicated was posted in October 2004) entitled “Evaluation Procedures for Bids (IFB) and/or Proposals (RFP)” states that “An evaluation form must be completed by each committee member for each proposal... Individual scores for elements should be totaled and divided by the number of evaluators to arrive at a team average... Evaluators should prepare a list of Pro’s (strengths) and Con’s (weaknesses) for future reference in the event of inquiries regarding ratings.”

- **Dan Ryan/Kingery Expressway Public Relations.** For the procurement of the public relations services for the Dan Ryan/Kingery Expressway project, Department documentation shows that they went outside their normal process of reaching a consensus within a selection committee meeting. A Department official, who was not on the Selection Committee, sent out emails to 3 individuals on the selection committee stating, “After my discussions with [a Department official] this is what I came up with for points. Does this look ok?” One person responded with concerns of how a losing vendor’s score should be higher than one of the winning vendors, however the points were not changed. The Department lacked documentation as to what a fourth committee member thought about the scoring.



**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
**For the Year Ended June 30, 2005**

***Current Findings – State***

**05-01**      Finding – Lack of Documentation in Contract Files (Continued)

For the same procurement, there was no documentation to show why the multiple award was necessary, given that the Department awarded over the full amounts of each individual vendor's bid to them to do the project together. The selection "Committee recommends we consider multiple awards to two of the firms based on **their expertise in particular emphasis areas** (emphasis added) of the RFP." Each vendor bid on the **entire** project. The Department made a multiple award to the two vendors, but each vendor received more than their proposed costs that each bid in the final Department analysis.

Additionally, the contract file for the **Dan Ryan/Kingery Expressway** public relation project did not contain the original proposal for one of the vendors selected for award – which was estimated to be \$3 million on the procurement bulletin posting.

- **On the Job Training in Region 1.** The Department was unable to locate the contract file or the working files for the procurement. Those files would contain items such as the original cost proposal for the winning vendor and documentation on the losing vendor. Therefore we were unable to verify information relative to the one proposer that was deemed "Not Responsible." The project was estimated to be worth \$900,000.
- **Terminal Instrument Procedures Surveys.** The decision recommendation lists two cost scenarios proposed by the winning vendor – however, neither scenario went into the actual contract. The Department had no documentation to show how this changed during negotiation between the vendor and the Department. The project was estimated to be worth \$625,000.
- **EEO Supportive Services Program.** No documentation existed to show why some elements from the scope of services section of the RFP, including non-billable items the vendor would not be reimbursed for by the Department, were not included in the contract with the vendor. The project was estimated to be worth \$1,370,000.

Good business practice would require the Department to document how taxpayer funds were to be utilized. Additionally, the State Records Act (5 ILCS 160/8) dictates that "The head of each agency shall cause to be made and preserved records containing adequate and proper documentation of the...decisions, procedures, and essential transactions of the agency designed to furnish information to protect the legal and financial rights of the state and of persons directly affected by the agency's activities." (Finding Code 05-01)

**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
**For the Year Ended June 30, 2005**

***Current Findings – State***

**05-01**      Finding – Lack of Documentation in Contract Files (Continued)

Recommendation

We recommend that the Department maintain adequate supporting documentation in procurement files. Additionally, the Department should modify its selection procedures to include the rating of each proposal by each member of the selection committee as directed by CPO Notice #37. Finally, the Department should maintain documentation in its procurement files of the actions taken during contract negotiation that documents and explains deviations from previous proposals and award recommendations.

Department Response

*Individual Scoring Sheets for Selection Committee Members*

The Department disagrees with this finding.

We disagree with this finding because six of the eight procurements reviewed (those handled by the Bureau of Business Services – no construction contracts handled by BoBS) began before CPO Notice #37 became effective May 11, 2005. Further, for each of the procurements (including the two procurements effective after that date), CMS, acting as the CPO for Illinois, had delegated the authority for these procurements to the Department and was aware the Department governed their Selection Committee process in accordance with Departmental Order 6-3 – **Selection and Control of Non-Architectural/Engineering (Non A/E) Consultants**. D.O. 6-3 details the process the Department has been following for these procurements. We were not aware of any problem continuing to use D.O. 6-3 to govern our process.

Effective January 1, 2006, the Department began requiring all Selection Committee members to complete individual scoring sheets when evaluating proposals received in response to RFPs.

**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
**For the Year Ended June 30, 2005**

***Current Findings – State***

**05-01**      Finding – Lack of Documentation in Contract Files (Continued)

*Going Outside the Selection Committee Process to Reach Consensus (Dan Ryan/Kingery Expressway Project)*

The Department disagrees with this finding.

Since the time D.O. 6-3 has been used to govern the Selection Committee process, the Department has used a non-member facilitator to coordinate Selection Committee meetings. The facilitator explains the rules governing the process, reports on the Administrative and Technical Review results and answers questions about the process for the Selection Committee. The facilitator also records, on the documents for the contract file (and signs), the scoring assigned by the Selection Committee. On some occasions, the Selection Committee gave direction to the facilitator regarding the scores to be assigned and the facilitator would record those scores on the appropriate forms or a spreadsheet after the Selection Committee adjourned and e-mail them to the Committee members for confirmation, before acquiring member signatures on the forms.

The example cited is such an example. The facilitator may have recorded scores on a legal pad, white-board or flip chart during the Selection Committee discussion, and this e-mail is to confirm that the facilitator accurately noted the decision of the Selection Committee in recording the scores.

Effective January 1, 2006, this process is no longer used by the facilitator. Individual score sheets are now completed by the members of the Selection Committee. The score sheets are collected from the individual members, the scores totaled and divided by the number of Selection Committee members to arrive at an average Committee score for each vendor, on each Responsiveness element detailed in the RFP.

**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
**For the Year Ended June 30, 2005**

***Current Findings – State***

**05-01**      **Finding** – Lack of Documentation in Contract Files (Continued)

*Selection of Multiple Vendors for Contract Award (Dan Ryan/Kingery Expressway Project) and Contract Values Higher than Proposed Cost*

The Department agrees with the finding.

Multiple awards were made from one Request for Proposal and the final contract cost levels are higher than original vendor proposed costs. The decision to make multiple awards was based on Title 44: Section 1.2036 of the Procurement Rules (*A multiple award may be made when award to two or more bidders or offerors for similar products is necessary for adequate delivery, service, or product compatibility.*). The two vendors awarded contracts proposed unique methodologies for accomplishing the objectives of the project, including the target audience to be reached with the Department’s message. Also, the final contract cost was slightly higher than the proposed costs based on the inclusion of “advertising costs and promotional items.” The Department determined the amount to allow for each of the two areas (advertising and promotions) and negotiated those amounts within the contract award process. These are direct costs incurred by the vendor, for the Department.

Effective immediately, when the Department feels there may be a need for a multiple award, the RFP will clearly delineate the process by which such award determinations may be made (e.g., scope of services).

*Missing Files*

The Department agrees with this finding.

While the original file for the On-the-Job Training in Region 1 project was not located, contents of the file were reproduced from electronic files for review. Information as to why a vendor was determined to be “Not Responsible” was provided for review.

Effective January 23, 2006, the contract and working files for the Professional Services Unit in BoBS were placed in locking file cabinets, with the keys only accessible to Unit staff. Effective February 21, 2006, the file room for the BoBS is being reconfigured so that all files for the BoBS will be behind a locked door and will have to be checked-out to be used by staff.

**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
**For the Year Ended June 30, 2005**

***Current Findings – State***

**05-01**      **Finding** – Lack of Documentation in Contract Files (Continued)

*Original Proposal for Awarded Vendor not in the Contract File*

The Department agrees with this finding.

The original proposal submitted by a vendor was not in the contract file when the file was requested for review. The proposal was however produced for review when requested.

Effective immediately, all vendor proposals for an RFP will be retained with original working files until such time as a contract file is in place. The winning vendor proposal will be made part of the original contract file. Unsuccessful vendor proposals are kept in locked filing cabinets until the record retention period has lapsed.

*Cost Evaluation Scenarios and Final Contract Cost were Different*

The Department partially agrees with this finding.

It is true that the decision memorandum signed by the Director authorizing BoBS to proceed with contract negotiations and to accept the alternate pricing schedule offered by the vendor included an error in cost calculations regarding the alternate price. However, the actual alternate price proposal from the vendor, upon which contract negotiations took place, included the correct prices that resulted in the cost reflected in the final contract. When the decision memorandum to request approval to proceed with negotiations was prepared, BoBS was under the impression that deductions from the alternate price schedule would occur because of combined field work already under contract.

**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
**For the Year Ended June 30, 2005**

***Current Findings – State***

**05-01**      Finding – Lack of Documentation in Contract Files (Continued)

However, the alternate pricing schedule already reflected the deductions which were pointed out during contract negotiations after approval to proceed with those negotiations. While we concur the decision memorandum reflected the wrong alternate costs, the actual alternate costs submitted by the vendor are reflected in the contract.

*Non-billable items in the RFP not reflected in the Contract*

The Department agrees with this finding.

Effective immediately, BoBS will incorporate the scope of service components of each RFP in the final contract document signed with vendors.

Auditor Comments

*Individual Scoring Sheets for Selection Committee Members*

The Department did not provide any documentation to show that CMS, acting as the Chief Procurement Officer for the State, was “aware of” and approved the Department ignoring direction from CMS in October 2004 stating that evaluation forms must be completed by each committee member for each proposal submitted. Seven of the eight procurements handled by the Bureau of Business Services were awarded after this direction from CMS in October 2004.

*Going Outside the Selection Committee Process to Reach Consensus (Dan Ryan/Kingery Expressway Project)*

The Department notes that since the time that Departmental Order 6-3 “has been used to govern the Selection Committee process” the Department has used this non-member facilitator during the process. Departmental Order 6-3 **makes no mention** of a non-member facilitator as being part of the process outlined in the Order. It would appear that in a process as important as the selection of vendors for which State dollars would be expended, the Department would comply with its own **documented** procedures.

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***Current Findings – State***

**05-01**      Finding – Lack of Documentation in Contract Files (Continued)

Auditor Comments (Continued)

The Department characterizes this “non-member facilitator” as nothing more than a scribe for the Selection Committee – someone who records the scores and completes forms. However, the responses noted from the Selection Committee members appear to show these scores were not determined during a meeting of the Committee. Additionally, **the Department’s own guidance on the Consultant Selection Committee Recorder Worksheet** states that “EACH MEMBER PRESENT MUST SIGN THIS FORM.” This worksheet summarizes which vendors were responsive and lists all points assigned to the proposals submitted. For the selection we report on of vendors for the Dan Ryan/Kingery Expressway project, awarded August 26, 2004, **none of the five official members** of the Selection Committee signed the worksheet. This activity affects the integrity of the scoring process for this procurement.

*Missing Files*

The Department did provide a handwritten explanation of why a vendor was determined to be “Not Responsive.” There is even an e-mail stating that the Selection Committee “accepted your recommendation to consider [vendor] ‘Not Responsible’ based on the information in their proposal.” Our problem is that we could not verify that statement because **the Department could not provide** the proposal for this vendor.

*Original Proposal for Awarded Vendor not in the Contract File*

There were three winning vendors for this procurement – the Dan/Ryan Kingery Expressway public relations project. Proposals for only two of the three were made available to the auditors (as identified in our exceptions report to the Department dated February 6, 2006).

*Cost Evaluation Scenarios and Final Contract Cost were Different*

The Department concurred with the auditors that, “the decision memorandum reflected the wrong alternate costs.” The Department also asserts that the “actual alternate costs submitted by the vendor are reflected in the contract.” This assertion is not correct. One of the six alternate prices delineated in the contract was not as contained in the vendor proposal – it was higher in the contract. Additionally, while the decision memorandum stated that the vendor currently had another contract with the Department and was “willing to combine the fieldwork for the two contracts and reduce their proposed price” the Alternate Prices that went into the contract were actually **higher** than the original proposed cost from the vendor. There was no documentation to show how this negotiation occurred.

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***Current Findings – State***

**05-02**      **Finding** – Changes in Award Evaluation Criteria not Communicated to Proposers

The Department used evaluation criteria to evaluate vendor proposals that were not stated in the Request for Proposals (RFP). Changes in scoring methodology were not communicated to proposing vendors or reflected in an addendum to the RFPs. Additionally, in one of the procurements tested, the Department awarded a contract to a vendor that had not received the highest scoring total based on evaluation criteria set out in the RFP.

Statutes and Administrative rules dictate that RFPs are to be evaluated based on criteria set forth in the RFP (30 ILCS 500/20-35 (e)) (44 Ill. Adm. Code 1.2035 (h)(2)).

In 20 percent (2 of 10) of the procurements we reviewed, the Department either used different criteria when evaluating the price component of the proposals than was stated in the RFP or did not have documentation to show why an award was made to a certain vendor. The results are summarized below:

- **Procurement Process Review.** The Department changed the price evaluation from what was stated in the RFP during the Best and Final Offer phase of the evaluation but did not notify proposers of this change in evaluation methodology. Only the vendors that went through the Best and Final process were notified of a change in scope (elimination of a review of the Department of Central Management Services) for the project.

Eleven proposals were evaluated and received technical scores for this procurement. Six of those eleven vendors were asked to give presentations and again technically scored. Three were deemed unresponsive, thus price proposals were not opened. For the three whose price proposals were opened, price points were assigned based on the calculation in the RFP, using *total* price. The Department then requested Best and Final Offers from these three vendors. At this time, price points were calculated by using both the *total* price and the *hourly rate*.

Per an email, a Department official was informed by another Department official that the contract would go to one vendor (which turned out to be the losing vendor) if calculated with *total* price, but to a different vendor if *hourly rates* were used. The official asked if either of these two vendors were Illinois companies. After being provided the response that the vendor with the better *total* price did not have any offices in Illinois, but that the vendor that had a better *hourly rate* had offices in Chicago, the official chose the vendor with the lower hourly rate. Consequently, the only way this vendor had the highest total score, was if price points were calculated with the *hourly rate*. Although the winning vendor's hourly rate was \$1.39 less than the other vendor, their *total price* was \$151,792, or 46 percent higher.



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**05-02**      Finding – Changes in Award Evaluation Criteria not Communicated to Proposers  
(Continued)

- **Dan Ryan/Kingery Expressway.** For one of the vendors selected for this project, there was no documentation to show why the vendor, which had the lowest combined total technical and price points, was awarded the project. The selection was contrary to the criteria stated in the RFP (section 1.40). Further, the RFP (section 1.40 f) states, “In a point evaluation, the best proposal is the one with the highest cumulative points (“responsiveness” plus “price”).” Evaluation documentation showed this vendor to be the lowest ranked technical proposal and the 3<sup>rd</sup> highest price.

Failure to notify vendors of changes in evaluation criteria not only violates administrative rule and statute, it increases the likelihood that vendors and the public will not view the contract award process as being conducted in a fair and open manner. (Finding Code 05-02)

Recommendation

We recommend that the Department follow evaluation criteria stated in Requests for Proposals when evaluating and awarding State contracts. Additionally, the Department should develop addendum to Request for Proposals when it determines there needs to be a change to the evaluation criteria so that all vendors are assured of a fair and open contracting process.

Department Response

The Department agrees with this finding.

1. On March 24, 2005 when the Selection Committee evaluated the top six vendors who made oral presentations, three of the top six did not receive 400 points in the second round of evaluations. Therefore, their price proposals were not opened (see Section 1.41 of the RFP).
2. On March 24, 2005, Business Services opened the price proposals from the top three vendors and found there was a considerable disparity between the three prices. The reason for the disparity, we felt, was confusion over the involvement of CMS in the RFP. Originally work was to have been performed for CMS as part of the RFP, but that was later dropped at the request of CMS.
3. Price evaluations on “total proposed cost” would have been difficult considering the change in scope. To evaluate the prices fairly, we used hourly rates that would be charged to the Department for doing the work. By comparing hourly rates, we could better evaluate what the expected cost would be for X amount of services.

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**05-02**      Finding – Changes in Award Evaluation Criteria not Communicated to Proposers  
(Continued)

4. The Department felt the price disparity was still an issue, so the decision was made to do “best and final” offers from the top three vendors.
5. On March 28, 2005, we e-mailed each of the top three vendors and asked them to submit their “best and final” offer, based on the changes in scope (eliminating CMS).

Effective immediately, if the scope of services proposed in an RFP changes after public opening of proposals, but before contract award, the Department will either issue an addendum to the RFP and request new price proposals from all vendors who submitted proposals (allowed under Title 44: Section 1.2015 of the Procurement Rules), or cancel the solicitation and reissue the RFP. Vendor selections will be based on the rankings developed by scores submitted by the Selection Committee members, based on criteria in the RFP.

Auditor Comments

The Department’s response appears to assert that hourly calculations were done during the evaluation of the Procurement Process Review project **prior** to best and final offers being submitted. That was not the case. The Department calculated an hourly rate based on the best and final offers from the vendors. While the e-mail for the best and final offer does instruct the vendors to concentrate on the Department and eliminate CMS, there is no mention that an hourly rate is preferred to the total project cost. As stated in the finding although the winning vendor’s hourly rate was \$1.39 less than the other vendor, their total price was \$151,792, or 46 percent higher.

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***Current Findings – State***

**05-03**    Finding – Extensive Vendor Revisions to Proposal During Contract Negotiation Process

The Department allowed a vendor to extensively revise its proposal during the contract negotiation process after initial scoring evaluations were completed. The revisions significantly lowered the amount of work to be performed and changed the resources devoted to the project.

Documentation contained in the procurement files for the **EEO Supportive Services Program** procurement opportunity showed that the Department evaluated proposals and summarized the information on November 15, 2004. The winning vendor's proposal, and other proposers, were technically evaluated by Department selection committee members based on: (1) Experience of the Vendor; (2) Technical Ability and Methodology; and (3) Resources.

Between the scoring evaluation, in November 2004, and filing of the contract with the Comptroller on March 4, 2005, contract negotiations took place. Changes we noted in our review of the Request for Proposal (RFP) and the executed contract showed:

- The Department *estimated* in the RFP the total number of statewide hours for the project to be 14,600. After scoring the vendor proposals, which were based on that 14,600-hour figure, the Department changed the number to 6,397 statewide hours in the contract negotiated with the winning vendor. The winning vendor had the **highest** overall proposed cost for the project of the six vendor proposals evaluated.
- The winning vendor was allowed to *decrease its proposed personnel* services hours from a total of 10,343 in its proposal to 4,375 in the contract (58 percent decrease) and the proposed cost of \$1.6 million in its proposal to \$685,000 in the contract. Other proposing vendors were not notified of the change in statewide hours for the project nor were other vendors provided the opportunity to revise their offerings as was the winning vendor.
- Some subcontractors identified in the winning proposal were not actually used in the project; the vendor brought on different subcontractors during the first six months of 2005.
- Even though there was a significant decrease in contractor resources and changes in personnel that could affect the technical competency of the proposal – the Department did not feel the need to re-score the vendor.

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**05-03**    Finding – Extensive Vendor Revisions to Proposal During Contract Negotiation Process  
(Continued)

These significant changes made to the vendor's proposal during the negotiation process could alter the quality of the original proposal and subsequent evaluation. We found no Department documentation in the procurement files to show that, after the significant changes were made in the technical proposal, the vendor's proposal remained superior to other proposers who were not afforded the opportunity to make those revisions. Such documentation would help ensure that the procurement process is fair and equitable for all responsive vendors. (Finding Code 05-03)

Recommendation

We recommend that the Department examine its process for negotiating with vendors and allowing significant revisions to proposals that have already gone through the evaluation process to ensure fair and equitable treatment for all responsive proposers.

Department Response

The Department agrees with the finding.

Effective immediately, if the scope of services proposed in an RFP changes after public opening of proposals, but before contract award, the Department will either issue an addendum to the RFP and request new price proposals from all vendors who submitted proposals (allowed under Title 44: Section 1.2015 of the Procurement Rules), or cancel the solicitation and reissue the RFP. Vendor selections will be based on the rankings developed by scores submitted by the Selection Committee members, based on criteria in the RFP.

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***Current Findings – State***

**05-04**    Finding – Failure to Publish that Contract was Awarded to Other than the Lowest Priced Vendor

The Department failed to provide notification, in the Illinois Procurement Bulletin, that contracts were awarded to other than the lowest priced vendor.

The Procurement Code requires evaluation and ranking by price for all professional and artistic contracts with annualized value that exceeds \$25,000. “Any chief procurement officer or State purchasing officer, but not their designees, may select an offeror other than the lowest bidder by price. In any case, when the contract exceeds the \$25,000 threshold and the lowest bidder is not selected, the chief procurement officer or the State purchasing officer shall forward together with the contract notice of who the low bidder was and a written decision as to why another was selected... [CMS] shall publish... notice of the chief procurement officer’s or State purchasing officer’s written decision.” (30 ILCS 500/35-30 (f))

Also, procurement rules state, “If the price of the best qualified vendor exceeds \$25,000, the Procurement Officer, but not a designee, must state why a vendor other than the low priced vendor was selected and that determination shall be published in the Bulletin.” (44 Ill. Adm. Code 1.2035 (m)(3)) Finally, CPO Notice #39 designates the same reporting requirement for “all competitive sealed proposals of any kind, whether designated P&A or a traditional RFP.” Although this notice was issued after the Department awarded these contracts, it represents good public policy and should be followed.

In 40 percent (4 of 10) of the procurements we reviewed, the Department awarded the contract to a vendor that was not the lowest priced proposer and did not publish this in the Procurement Bulletin. Those procurements, award dates, maximum contract amounts and the number of unsuccessful proposers are provided in the table below:

Procurement Project	Award Date	Maximum Contract Amount	# Of Unsuccessful Proposers
Dan Ryan/Kingery Expressway Public Relations <sup>1</sup>	August 26, 2004	\$ 9,600,000	4
EEO Supportive Services Program	December 16, 2004	1,370,000	5
Procurement Process Review	March 29, 2005	667,224	5
Occupant Protection Coordinator	February 9, 2005	<u>200,000</u>	1
Total:		<u>\$ 11,837,224</u>	

<sup>1</sup> Three vendors awarded in this project. Two were awarded August 26, 2004, the other on November 18, 2003.

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***Current Findings – State***

**05-04**    Finding – Failure to Publish that Contract was Awarded to Other than the Lowest Priced Vendor (Continued)

Department officials indicated that human error was responsible for the failure to publish notices in the Procurement Bulletin. For one of the procurements, the **Occupant Protection Coordinator**, the Department did publish the notice after we brought it to their attention.

“Professional and artistic services means those services provided under contract to a State agency by a person or business, acting as an independent contractor, qualified by education, experience, and technical ability.” (30 ILCS 500/1-15.60) Additionally, in a joint correspondence, dated August 27, 2004, from the Department of Central Management Services and the Governor’s Office to agencies it states “CMS is applying the Comptroller’s definition of professional and artistic services. Professional and artistic services are defined as services rendered by an individual or firm contractually hired by an agency because of their expertise in a given field. An essential element is trust in the ability and talent of the person performing the services. Examples of professional or artistic services are set forth in SAMS Procedure 15.20.70 type code 21.”

Consultants, those services contracted for under these contracts, fit the definition of professional and artistic services. Additionally, the Department filed Professional and Artistic Late Filing Affidavits on three of the four contracts, an acknowledgment that the services rendered are Professional and Artistic in nature and should follow statutes and rules applicable to Professional and Artistic procurements. (Finding Code 05-04)

**Recommendation**

We recommend that the Department follow the requirements set forth in the Illinois Procurement Code, administrative rules, and CPO Notice #39 and publish instances where a vendor with the lowest price was not selected for the award of a contract.

**Department Response**

The Department disagrees with this finding.

Finding 05-04 states, “The Procurement code requires evaluation and *ranking by price* (emphasis added) for all professional and artistic contracts with annualized value that exceeds \$25,000.” However, Title 44: Section 1.2035 *Competitive Selection Procedures for Professional and Artistic Services*, states, “Evaluation. Proposals shall be evaluated only on the basis of evaluation factors stated in the Request for Proposals. Price will not be evaluated until ranking of all proposals and identification of the most qualified vendor” (emphasis added).

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**05-04**    **Finding** – Failure to Publish that Contract was Awarded to Other than the Lowest Priced Vendor (Continued)

**Department Response (Continued)**

Further, of the four procurements cited in the finding, all four were solicited as “competitive sealed proposals” (Title 44: Section 1.2015 *Competitive Sealed Proposals*) not Professional and Artistic Services. Title 44: Section 1.2015 does not require publication of “Other than Lowest Priced Vendor” as Title 44: Section 1.2035 does.

The decision to solicit these four procurements as competitive sealed proposals and not professional and artistic services was based on recommendations from CMS. In particular, the Department has been informed on numerous occasions by CMS that the professional and artistic services method of procurement should only be used when the services to be performed require a vendor qualified by “education, experience or technical ability such as lawyers and doctors (Title 44: Section 1.2035 *Competitive Selection Procedures for Professional and Artistic Services*).

**Auditor Comments**

While the Department’s response states that the decision to procure as competitive sealed proposals and not professional and artistic services “was based on recommendations from CMS” **we saw no such indication** in any of the procurement files we examined. In fact, as noted in the finding, August 26, 2004 guidance from CMS states “CMS is applying the Comptroller’s definition of professional and artistic services... services rendered by an individual or firm contractually hired by an agency because of their expertise in a given field... essential element is trust in the ability and talent of the person performing the services.” The Department chose to ignore this guidance.

The Procurement Code states that **professional and artistic services** are “provided under contract to a State agency by a person or business, acting as an independent contractor, qualified by education, experience and technical ability.” (30 ILCS 500/1-15.60) Additionally, Departmental Order 6-3 defines **Consultant Services** as those “services provided under contract by an individual or group of individuals acting as or representing an independent contractor and qualified by education, experience, and technical ability to advise and assist in specific matters of management, planning, or operations.” We believe these consultant contracts, **all of which** were evaluated by the Department based on capability and experience of the vendor, technical ability and methodology and resources brought to the projects, fall under this definition of professional and artistic services.

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**05-04**    Finding – Failure to Publish that Contract was Awarded to Other than the Lowest Priced Vendor (Continued)

Auditor Comments (Continued)

The Department's own evaluation documentation and actions illustrates inconsistency in how these procurements were processed. The Department reviewed all four procurements cited in the finding using the Department's "Professional And Artistic Services Administrative Review" form. Additionally, three of the four procurements had "Professional & Artistic" filing affidavits submitted by the Department with the contract to the Comptroller. Still another listed the project as professional and artistic on the Procurement Business Case, again developed by the Department. Finally, the Department posted notices on the Illinois Procurement Bulletin on September 21, 2005 and September 26, 2005 for two of the procurements noting that the contracts were awarded to a vendor that was not the low bidder. This was 6-7 months after the awards were originally announced and after our meeting with Department officials on these procurements on September 13, 2005.



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***Current Findings – State***

**05-05**      **Finding – Failure to Include Subcontractor Information in Contracts**

The Department failed to ensure that subcontractor information required under the Procurement Code was included in contracts awarded by the Department.

For professional and artistic contracts only, the contracts must state, “whether the services of a subcontractor will be used. The contract shall include the names and addresses of all subcontractors and the expected amount of money each will receive under the contract.” If a contractor adds or changes any subcontractors, CMS must receive the foregoing information in writing in a prompt manner. (30 ILCS 500/35-40) Also, CPO Notice #41, effective May 11, 2005, designates the same reporting requirement for “all competitive sealed proposals of any kind, whether designated P&A or a traditional RFP.” Although this notice was issued, generally after the Department awarded these contracts, it represents good public policy and should be followed.

In 60 percent (6 of 10) of the procurements we reviewed, the Department failed to have information on subcontractors utilized by the selected vendor included in the contract. The Department estimated the value of these contracts to be approximately \$13 million. Those contracts, dates awarded, maximum contract amounts, and number of unsuccessful proposers are provided in the table below:

<b>Procurement Project</b>	<b>Award Date</b>	<b>Maximum Contract Amount</b>	<b># Of Unsuccessful Proposers</b>
Dan Ryan/Kingery Expressway Public Relations <sup>1</sup>	August 26, 2004	\$ 9,600,000	4
EEO Supportive Services Program	December 16, 2004	1,370,000	5
On the Job Training Region 1	April 15, 2005	900,000	1
Procurement Process Review	March 29, 2005	667,224	5
Terminal Instrument Procedures Surveys	November 24, 2004	625,000	0
Post Offer Physical Exams/Drug Testing	May 26, 2005	<u>280,400</u>	1
Total:		<u>\$ 13,442,624</u>	

<sup>1</sup> Three vendors awarded in this project. Two were awarded August 26, 2004, the other on November 18, 2003.

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**05-05**      Finding – Failure to Include Subcontractor Information in Contracts (Continued)

Specifics on the lack of subcontractor information for several of the contracts questioned are summarized below:

- **Dan Ryan/Kingery Expressway Public Relations:** We could not determine whether the proposal for one of the three vendors listed any subcontractors since the Department was unable to provide the proposal to us. Billings did indicate the use of subcontractors from other firms for professional billing hours/activities that were not detailed in the contract. Even though written notice for changing subcontractors is part of the contract, Department staff reported this vendor changes staff on the project without notifying the Department. For another of the winning vendors on this project, Department staff reported that another Department official instructed the winning vendor to put an individual on the payroll as a subcontractor for the project. This individual was not listed in the vendor’s proposal but was named in the contract.
- **EEO Supportive Services:** While the proposal from the winning vendor listed subcontractors to be utilized, the contract did not list any subcontractor staff. Additionally, the winning vendor made changes to the subcontractors it had proposed – including using some of the losing vendors that bid on the procurement. In many instances, subcontractor staff that was actually utilized was billed at higher rates than the rates for staff of the vendor that was awarded the contract. The contract (Section 11.a from the Standard Terms, Conditions and Certifications) states that prior written consent is needed for a change in subcontractors. We found no documentation in the files to show any prior written consent for subcontractor changes in this project.
- **On the Job Training Region 1:** While the contract, which was filed with the Comptroller on July 15, 2005, did not list any subcontractors, the billing submitted in August 2005 had additional staff charging hours to this project outside those named in the contract pricing section of the agreement. Hours billed for these six individuals totaled almost \$25,000.
- **Terminal Instrument Procedures Surveys:** While the proposal listed an Illinois firm that the winning vendor “proposes to subcontract all field work on this project” to, the contract did not list any subcontractor staff. The contract with the winning vendor does not include any work plan or methodology from their proposal other than the scope of services that the Department developed for the RFP.

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**05-05**      Finding – Failure to Include Subcontractor Information in Contracts (Continued)

While the named vendors awarded the contracts were ultimately responsible for the successful completion of the projects, failure to have information on subcontractors included in contracts is not only a violation of the Procurement Code and CPO guidance, as well as good public policy, but leaves it unclear as to which entity is performing the majority of the work. (Finding Code 05-05)

Recommendation

We recommend that the Department follow the direction of the Illinois Procurement Code and CPO Notice #41 and include information on subcontractors and the amounts to be paid to the subcontractors under the contracts.

Department Response

The Department disagrees with this finding.

Of the six procurements cited in this draft finding, only one was procured as a Professional and Artistic Service (*i.e.*, Post Offer Physical Exams/Drug Testing). The other five were procured as competitive sealed proposals under Title 44: Section 1.2015 *Competitive Sealed Proposals*, of the Procurement Code. Therefore, the finding, which is based on Title 44: Section 1.2035 *Competitive Selection Procedures for Professional and Artistic Services*, does not apply to five of the six procurements cited.

We agree the one Professional and Artistic Service contract cited here did not list the subcontractors used by the vendor by name, address, and amount of money each would receive as required by Title 44: Section 1.2035 *Competitive Selection Procedures for Professional and Artistic Services* and CPO Notice #41, effective May 11, 2005, prior to the award of this contract.

The Department now requires that Professional and Artistic Services contracts include the required subcontractor information on the appropriate forms (*e.g.*, Conflicts of Interest Disclosure, Taxpayer Identification Number).

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***Current Findings – State***

**05-05**      Finding – Failure to Include Subcontractor Information in Contracts (Continued)

Auditor Comments

The Department’s response argues that five of the six procurements noted in the finding are not Professional and Artistic Service Contracts. We disagree. The Procurement Code states that professional and artistic services are “provided under contract to a State agency by a person or business, acting as an independent contractor, qualified by education, experience and technical ability.” (30 ILCS 500/1-15.60) Additionally, August 26, 2004 guidance from CMS states “CMS is applying the Comptroller’s definition of professional and artistic services...services rendered by an individual or firm contractually hired by an agency because of their expertise in a given field...essential element is trust in the ability and talent of the person performing the services.” We believe these contracts, **all of which were evaluated by the Department** based on capability and experience of the vendor, technical ability and methodology and resources brought to the projects, fall under this definition of professional and artistic services.

The Department’s own evaluation documentation and actions illustrate inconsistency in how these procurements were processed. The department reviewed all six procurements cited in the finding using the Department’s “Professional And Artistic Services Administrative Review” form. Additionally, three of the procurements had “Professional & Artistic” filing affidavits submitted by the Department with the contract to the Comptroller. Two others listed the project as professional and artistic on the Procurement Business Case, again developed by the Department.

The Department does concur that it is not in compliance with State law for a sixth procurement. However, the Department’s assertion that the Post Offer Physical Exams/Drug Testing procurement was **prior** to the effective date of CPO Notice #41 is inaccurate. The CPO Notice was effective May 11, 2005 and the Department announced the award of the Post Offer Physical Exams/Drug Testing on May 26, 2005.

As noted in Finding 05-07, the Department lacked adequate internal controls over the processing of contract payments. One deficiency cited in that finding by the auditors was that the Department paid billings by individuals who were not listed in the vendor’s proposal or in the resulting contract at rates that, as a result, could not be verified. Clear identification of who will be working on a contract paid for with State funds is critical to adequate monitoring of both billings and performance. Further, failure to disclose the identity of persons/firms working on a State contract undermines public accountability and transparency.

It is unclear why the Department would be hesitant to include subcontractor information in the most public document related to these procurements – the contract filed with the Comptroller.

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***Current Findings – State***

**05-06**      Finding – Not Timely in Executing Contracts

The Department was not timely in executing contracts with vendors for contracts awarded. Additionally, the Department allowed vendors to initiate work on some projects without a written contract in place. This compromises the Department’s accountability to the public, and increases the likelihood that the State’s interests are not protected and that State resources are wasted or misused.

The Procurement Code dictates “Whenever...a contract liability...exceeding \$10,000 is incurred by any State agency, a copy of the contract...shall be filed with the Comptroller within 15 days thereafter.” (30 ILCS 500/20-80 (b)) Further, for professional and artistic contracts, if the contract was not reduced to writing and filed with the Comptroller before the services were performed, the agency must file a written contract with the Comptroller along with an affidavit stating that “the services for which payment is being made were agreed to before commencement of the services and setting forth an explanation of why the contract was not reduced to writing before the services commenced.” (30 ILCS 500/20-80 (d))

Agencies, in a document titled “Changes to the CMS Procurement Organization & Processes FAQs”, were provided guidance on when negotiations are most effective. See inset for guidance provided by CMS. Additionally, correspondence from CMS and the Governor’s Office to agencies dated August 27, 2004

<p><i>“It would probably be best for most negotiations to take place prior to award. The State has more leverage and the vendor has more incentive to negotiate prior to knowing they’ve been selected.”</i></p> <hr/> <p><i>Source: “Changes to the CMS Procurement Organization &amp; Processes FAQs”</i></p>
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presents a flow chart of the procurement processes implemented at CMS indicating the time frame between “approve award” and “prepare final contract” to be **seven days**.

In 80 percent (8 of 10) of the procurements we reviewed, the Department either was not timely in executing a written contract after the announcement of the award or allowed vendors to initiate work on the project without a formal written agreement in place. These contracts were estimated by the Department to have a maximum contract value of \$17 million.

On average, the length of time between the **announcement of the award** and the **filing of a contract** with the Comptroller was **92 days** (with a range of 56 days to 161 days). The average length of time between **beginning work** on the contract and the **filing of the contract** with the Comptroller was **60 days** (with a range of 20 days to 104 days). The table below provides a breakdown for the eight procurements reviewed:

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***Current Findings – State***

05-06      Finding – Not Timely in Executing Contracts (Continued)

<b>Procurement Project</b>	<b>Award Date <sup>1</sup></b>	<b>Start Date <sup>2</sup></b>	<b>Filing Date <sup>3</sup></b>	<b>Time Between Award &amp; Filing (Days)</b>	<b>Time Between Start &amp; Filing (Days)</b>
Dan Ryan/Kingery Expressway Public Relations <sup>4</sup>	November 18, 2003	November 7, 2003	February 11, 2004	85	96
	August 26, 2004	September 2, 2004	November 30, 2004	96	89
Speed Photo Radar Camera Program	June 15, 2005	October 28, 2005	November 23, 2005	161	26
EEO Supportive Services Program	December 16, 2004	January 1, 2005	March 4, 2005	78	62
On the Job Training Region 1	April 15, 2005	May 15, 2005	July 15, 2005	91	61
Procurement Process Review	March 29, 2005	May 9, 2005	June 7, 2005	70	29
Terminal Instrument Procedures Surveys	November 24, 2004	December 9, 2004	March 23, 2005	119	104
Post Offer Physical Exams/Drug Testing	May 26, 2005	July 1, 2005	July 21, 2005	56	20
Occupant Protection Coordinator	February 9, 2005	March 28, 2005	April 21, 2005	71	24
			Average	92	60

NOTES:

<sup>1</sup> Date listed in the Procurement Bulletin announcing award.

<sup>2</sup> Date listed in the contract as the beginning date of the contract.

<sup>3</sup> Date provided by the Illinois Office of the Comptroller.

<sup>4</sup> Three vendors awarded in this project. Two were awarded August 26, 2004, the other on November 18, 2003.

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**05-06**      Finding – Not Timely in Executing Contracts (Continued)

The Department allowed the vendor on the **EEO Supportive Services Program** to start work without an executed contract – even though other documentation showed that staff from the Office of Business and Workforce Diversity told the vendor to not work until a contract was signed. Apparently Department management superceded this directive and allowed the vendor to start work without a written contract or the vendor ignored the directive from the Office of Business and Workforce Diversity. Additionally, our review of documentation in the procurement files, and associated billings, also noted that one of the vendors awarded the **Dan Ryan/Kingery Expressway** public relations contract was not only allowed to start working on the contract without an executed contract – but documentation showed that invoiced work was for dates prior to the **announcement of the award** on November 18, 2003. The contract was signed by the vendor on December 4, 2003, by the Secretary of the Department on February 3, 2004, and filed with the Comptroller on February 11, 2004.

The Department did file Late Filing Affidavits for Professional and Artistic contracts for 5 of 8 contracts noted in the table above. The affidavits asserted that services were “agreed to prior to commencement of services” but the long delays in reducing the agreements to writing indicates that services may not, in fact, have been agreed to prior to commencement. While the RFPs state that vendors who initiate work prior to a written agreement do so at their own risk, allowing vendors to perform work without a written agreement can have adverse implications/effects for the State. For instance:

- **Compromises Oversight and Public Accountability** – A contract containing information, such as scope and nature of services to be provided, method and rate of compensation, and identifying the individuals that will be performing the work, is important to the General Assembly, unsuccessful proposers, and the general public. By not filing these contracts in a timely manner, large amounts of work can be performed and costs incurred before the public is made aware of the specifics of the contract.
- **May Limit the Department’s Ability to Negotiate** – As stated in CMS’s “Changes to the CMS Procurement Organization & Processes FAQs”, awarding a contract before the terms of the contract are established reduces the Department’s negotiating leverage. If the Department cannot come to an agreement with the vendor on contract terms, the Department must either restart the procurement process, which could be a costly and impractical option from a time perspective in many cases, or enter into a contract with the winning vendor with less than desirable terms and conditions for the State. (Finding Code 05-06)

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**05-06**      Finding – Not Timely in Executing Contracts (Continued)

Recommendation

We recommend that the Department take the necessary steps to increase timeliness in reducing a contract to writing. Additionally, the Department should review its practice of allowing vendors to initiate work on projects without a written agreement in place so as to protect State resources.

Department Response

The Department agrees with the finding.

Effective February 1, 2006, the Department implemented a Contract Management System that includes alerts to appropriate staff for date sensitive activities such as properly filing contracts with the Comptrollers Office in the time required. Further, the Department is currently developing a Procurement Tracking System (scheduled to be implemented by July 1, 2006) that will track procurements from inception to post contract performance review. The system will also include alerts for date sensitive activities.



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**05-07**      Finding – Contract Monitoring Deficiencies

The Department’s process to monitor vendor billings/expenses was inadequate. For six of the procurements reviewed, deficiencies ranging from a lack of documentation to billings for activities prior to the start date of the contract caused us to question numerous amounts approved by the Department and paid by the State to these vendors. The Department’s weak controls over the payment of vendor billings/expenses increases the likelihood that State resources are wasted or misused.

The State Finance Act requires the Department to ensure that services specified on a voucher presented for payment are correct, authorized, and lawfully incurred. (30 ILCS 105/9.04) Additionally, sound business practice requires the effective monitoring of contractor activities and payments.

During our testing of ten selected FY05 procurements, we examined Department efforts in reviewing billings/expenses paid to contractors under contracts with these vendors. Three of the projects had no payments made by the State through June 30, 2005. Of the remaining seven projects, our review determined there were questionable expenditures for billings/expenses in 86 percent (6 of 7) of those projects. The Department paid the seven contractors \$4.5 million for work through the end of FY05. The table below details the billings/expenses paid by the Department under the seven contracts through June 30, 2005.

Procurement Project	Award Date	Billings Paid Through June 30, 2005	Billings Questioned Or Not Supported By Detailed Documentation
Dan Ryan/Kingery Expressway Public Relations <sup>1</sup>	August 26, 2004	\$ 2,736,524.05	\$ 479,404.52
EEO Supportive Services Program	December 16, 2004	348,346.47	150,361.57
On the Job Training Region 1 <sup>2</sup>	April 15, 2005	78,459.00	27,393.24
Procurement Process Review	March 29, 2005	191,749.82	21,668.97
Terminal Instrument Procedures Surveys	November 24, 2004	25,800.00	12,000.00
Occupant Protection Coordinator	February 9, 2005	27,376.40	8,768.76
Highway Construction (03/11/05 Letting-Item 80)	April 18, 2005	<u>1,102,567.29</u>	—
Totals		<u>\$ 4,510,823.03</u>	<u>\$ 699,597.06</u>

<sup>1</sup> Three vendors awarded in this project. Two were awarded August 26, 2004, the other on November 18, 2003.

<sup>2</sup> The vendor submitted one invoice for the period May 15, 2005-August 31, 2005.

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**05-07 Finding – Contract Monitoring Deficiencies (Continued)**

We found that the Department does not adequately review the billings/expenses submitted by contractors and paid by the Department. A lack of supporting documentation submitted by contractors and the Department's lack of adequate review led us to question 15 percent (\$699,597 of \$4.5 million) of the total billings/expenses paid to these contractors through the end of FY05. The various contracts delineate what billing rates are to be paid for professional services and/or what expenses are to be reimbursed by the State to the contractors. Specifically, we found:

- **Dan Ryan/Kingery Expressway Public Relations Contracts** – Three vendors perform work for the Department as part of this project.

The first vendor initiated work November 7, 2003 on this project. While the contract was to run from November 7, 2003 to December 31, 2006, Department officials indicated that the funding level from the original contract was exhausted by December 2004 and an amendment to the contract of an additional \$1.48 million was effective January 1, 2005 – even though the Department did not sign the amendment until April 19, 2005. Total billings submitted through June 30, 2005 were \$1.2 million. We found numerous errors in our review of the billings such as:

- \$320,069.95 in billings that lacked detailed support, such as timesheets, and invoices or activities for subcontractors that had no supporting documentation. These activities often times were for sponsorships of activities where there was no detail on what the State was getting for its investment.
- \$18,687.50 in math errors or incorrect hourly rates on billings that were approved by the Department.
- \$43,058.92 in charges that were not listed in the contract as allowable costs including \$4,355 for insurance for an individual where a Department official instructed the vendor to charge the insurance against the office expenses line item until a long term solution could be found.
- \$8,397.25 for other questioned expenses that included \$5,351.72 for invoiced services that included work dates prior to the announcement of the award of the contract to the vendor on November 18, 2003.

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**05-07**     Finding – Contract Monitoring Deficiencies (Continued)

For a second vendor, we questioned \$19,286 in items billed (such as vehicle rentals and event sponsorship fees) that were not listed in the “Pricing & Compensation” section of the contract. We also questioned a \$25,016 billing for Uniforms and Promotional Items because there was no documentation to show that the items (sweat suits, polo shirts, pens, etc.) were actually purchased. Based on information from Department officials, we questioned \$36,400 that was paid to a subcontractor by this vendor. Even though timesheets were submitted that showed a full day of work billed every day for this individual, the timesheets are not signed by the subcontractor and Department staff indicated there were questions surrounding this billed work. According to the Department officials, another Department official instructed the vendor to put this subcontractor on the payroll.

For the final vendor, which billed the Department \$1.3 million on the contract, inadequate or missing documentation did not allow us to evaluate for a questionable amount. However, we noted:

- Many invoices did not contain any names, position titles, rates or number of hours worked. Instead, they list out only the general service and total dollar amount. Many of the invoices that did contain a name, title, rate and hours worked, were not for employees or rates that were listed in the contract.
  - The State paid the vendor numerous times for media buys without any invoice from the media outlet itself; only an invoice on the vendor’s letterhead. Also, until recently, the Department was not requesting copies of newspaper ads or other media buys.
  - Services performed in FY05 were paid in FY06. For example, services performed by the vendor in May 2005, were paid over seven months later, on January 17, 2006. Over \$318,000 was paid in FY06, for services performed in FY05.
- **EEO Supportive Service Program Contract** – We found a large number of “administration” hours charged by staff in billings. While the State paid \$348,000 for the last six months of FY05, there were only 39 job referrals and only 38 placements of individuals in jobs during that period. Only 5 of the 38 placements were individuals identified as being recruited by this vendor. Specifically we questioned:

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**05-07**     Finding – Contract Monitoring Deficiencies (Continued)

- **Personal Services Billings:** The contract listed individuals and rates for those working on the project. We found many individuals billed that were not listed in the contract and thus we could not verify the rate billed for those individuals. There were also instances that lacked documentation for activities billed at an hourly rate. Amount questioned - \$129,754.64.
- **Non-Billable Items:** The RFP listed “non-billable items” to the State under this project that included a restriction against charging for meetings with Department staff. While these “non-billable items” (such as overhead and copying charges) were not listed in the contract, a Department official indicated it was an oversight and the vendor would know they cannot bill for those items – yet they were. Amount questioned - \$14,361.60.
- **Travel:** The RFP directed that prior approval had to be obtained from the Department for travel. While we did see some evidence of the prior approval, there were numerous instances when this approval did not occur. Amount questioned - \$5,200.33.
- **On the Job Training Region 1 Contract** – The contract calls for **monthly** submission of invoiced billings yet the department allowed the vendor to invoice the period of May 15, 2005 through August 31, 2005 on the last day of lapse period – August 31, 2005. There was no documentation to support the \$600 billed for office supplies or the \$1,093.72 for security. Printing charges billed to the Department by the vendor of \$1,036 were for a date prior to the filing of an executed contract with the Comptroller. Finally, billing support lists 6 people that **did not appear** in the contract pricing section of the contract – payment to the vendor for these individuals totaled \$24,663.52.
- **Procurement Process Review** – The Department allowed vendor staff to work more hours than was proposed by the vendor and the Department approved payment for travel in excess of the contractual limit – all within the first 51 days of work even though the contract was for 13 months. Other questioned issues included:
  - **Personal Services Billings:** Contract listed individuals and rates for those working on the project. We found instances of staff billing hours **prior to the start date of the contract** and individuals that were not listed in either the proposal or contract so we could verify rates charged. Amount questioned - \$19,180.

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**05-07**     Finding – Contract Monitoring Deficiencies (Continued)

- Travel: Contract stated that travel would be reimbursed based on State travel regulations – billings lacked departure/arrival times, and contained incorrect per diem and hotel rates. Amount questioned - \$2,266.16.
- Other Expenses: Contract allows for only personal services and travel but vendor submitted invoice for supplies. Amount questioned - \$221.81.
- **Terminal Instrument Procedures Surveys Contract** – The contract lists rates to bill based on type of service provided. The vendor billed a rate of \$12,000 for two runways that were not delineated in the “Pricing/Compensation” section of the contract with the vendor.
- **Occupant Protection Coordinator Contract** – Billing support for this project included timesheets and mileage reimbursement sheets. We found that \$8,768.76 of this support was not signed by either the consultant and/or the supervisor that was to review work. (Finding Code 05-07)

Recommendation

We recommend that the Department require contractors to submit appropriate supporting documentation for billings and expenses that will be reimbursed with State taxpayer dollars. Additionally, we recommend the Department take the necessary steps to increase monitoring of the billings and expenses submitted by the contractors and request refunds in instances where the Department determines that the contractor was overpaid. Finally, we recommend the Department investigate questioned expenses reported from these procurements and recover any inappropriately paid funds.

Department Response

The Department agrees with the finding and would emphasize that many of the issues noted had already been addressed by the Department on a number of the contracts questioned.

Though the auditors included a number of examples of where they “questioned” costs based on their review of documentation submitted with billings, we must note that the auditors never examined source documentation at the vendors or resolved their questions with the vendors to provide closure to these issues and determine an accurate level of reimbursement for the subject contracts.

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**05-07**      Finding – Contract Monitoring Deficiencies (Continued)

Department Response (Continued)

In contrast, the Department had already been proactive in addressing and resolving many of our own project managers' concerns regarding billing issues that they had previously noted with these contracts. For the public relations contracts noted, the Department had already received draft audit reports from the three independent CPA firms that we contracted with to provide thorough and complete audits on these contracts prior to the Office of the Auditor General's (OAG's) auditors' submittal of their concerns and "questioned" costs. The draft audits address many of the concerns noted by the OAG's auditors. The public relations firms have been notified by the Department that subsequent to our review of the draft audit reports, the Department will be billing them for any costs found to be ineligible as a result of the complete and thorough review of the projects by the three independent CPA firms. For the supportive services contract noted, the Department's Audit Section had completed their fieldwork at the vendor, as well, prior to the OAG auditor's submission of their draft findings and concerns regarding this contract. The Audit Section has already investigated the issues regarding placements by the vendor and its report will address the need for the reimbursement of ineligible costs. The Department's Audit Section will also review issues noted with the other contracts and determine whether the "questioned" costs noted are ineligible for reimbursement, and, if not, the amounts overbilled will be returned to the State.

The Department is mindful of the fact that its project managers must remain diligent in reviewing project costs and billings. We have been proactive in stressing the importance of contract monitoring to our staff. During 2005, the Department provided staff with procurement training which emphasized the use of billing review checklists. The use of these checklists will be re-emphasized in staff training classes during 2006.

The Department processes hundreds of contracts each year and it's interesting to note that the auditors chose four of perhaps our most problematic contracts to test. It speaks well of the Department and its personnel that we already had protocols and processes in place addressing the issues noted with these contracts.

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**05-07**      Finding – Contract Monitoring Deficiencies (Continued)

Auditor Comments

The Department notes in its response “the auditors never examined source documentation at the vendors or resolved their questions with the vendors to provide closure to these issues and determine an accurate level of reimbursement for the subject contracts.” While the Department’s statement is correct, its premise is seriously flawed. It is **not the auditor’s responsibility** to collect and review expense documentation from vendors. Rather, it is **the Department’s responsibility** to ensure that documentation is sufficient to ensure that vouchers submitted for payment are accurate and that State taxpayer dollars are protected **before payment is made to the vendor**.

While the Department criticizes the auditors for not going to the vendor to resolve any “questioned” costs, we did provide all of our exceptions and questioned costs to **the entity that we were auditing – the Illinois Department of Transportation**. Those exceptions were provided to Department officials on January 13<sup>th</sup> and February 6<sup>th</sup> of this year and to date, the Department has not provided any documentation to clear any of the questioned costs.

The Department responds it has been **proactive** in terms of addressing and resolving concerns about billing issues raised in the finding. Had the Department and its project managers been truly proactive, it would have resolved any billing and documentation deficiencies **before** paying the vendors. Our finding questioned payments for 6 of 7 projects. In one instance only, the Department, while not proactive, was at least reactive. In that instance, the Department hired three public accounting firms to audit payments that the Department **had already made** to its three public relations vendors for the Dan Ryan/Kingery Expressway Public Relations project. The Department hired the public accounting firms on October 21, 2005 – 16 days after the Auditor General’s Office started to review the billings by the public relations vendors. We shared our testing exceptions with the Department on February 6, 2006, and it was only on February 17, 2006, that the Department shared the “draft” reports from the public accounting firms hired by the Department to review the billings paid by the Department to the public relations vendors. Further, we have not seen any work done by the Department’s Audit Section relative to any of the procurements we tested and questioned.

The Department also states “**it’s interesting to note that the auditors chose four of perhaps our most problematic contracts to test.**” While the Department should have sound controls in place if it anticipates certain contract to be “problematic,” the issues we noted and questioned are not unique to any certain contract. Furthermore, lack of supporting documentation, allowing vendors to start work and bill for time prior to the start date of a contract, and failing to simply ensure the mathematical accuracy of billings are indicative of basic contract monitoring deficiencies, unrelated to whether a contract is “problematic” or not.

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**05-07**      Finding – Contract Monitoring Deficiencies (Continued)

Auditor Comments (Continued)

Finally, the Department concludes “it speaks well of the Department and its personnel that we already had protocols and processes in place addressing the issues noted with these contracts.” We fail to understand how it “speaks well of the Department” when the Department’s “protocols and processes” associated with these contracts were to make payments to vendors even though inadequate or no documentation was submitted to support the billings, and then, after the fact, pay almost \$60,000 to public accounting firms to review the adequacy of billing documentation.



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**05-08**      Finding – Conflict of Interest Exists in Quality Assurance Testing Work Order Assignments

The Department does not have a procedure in place to determine if a conflict of interest exists in its work order assignment process for quality control and assurance testing on highway construction projects. The firm or entity performing the quality control should not be reviewing its own work or the construction work of a related entity.

The auditors selected District One to test the highway quality assurance activity where the Department has outsourced this requirement. In our review of the time sheets submitted to IDOT as part of the billing support received from the quality assurance company, we noted that the quality assurance company is owned by a principle in the construction company whose work was being tested. Also, based on our review, we noted a total of 101 hours were billed and paid on quality assurance activity with this related construction company as follows:

**Hours Billed and Paid for Quality Assurance  
Work Pertaining to a “Related” Party  
(Construction Company)  
During FY 2005**

Period	Hours	\$ Paid
August	41	\$ 989
September	28	576
October	8	189
November	<u>24</u>	<u>624</u>
Total	<u>101</u>	<u>\$ 2,378</u>

We also noted the Department’s prequalification form for construction companies does not request disclosure where an individual, a member of a partnership or an officer or director of the company has a financial interest in more than one company. Such disclosure is to be made explaining such interest, the extent of the investment and the individual’s relationship with such company.

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**05-08**     Finding – Conflict of Interest Exists in Quality Assurance Testing Work Order Assignments (Continued)

23 CFR 1.33 of the 2003 Federal Highway Administration Code states that “no engineer, attorney, appraiser, inspector or other person performing services for a State or governmental instrumentality in connection with a project shall have, directly or indirectly, a financial or other personal interest, other than his employment or retention by a State or other governmental instrumentality, in any contract or subcontract in connection with such project.” Also the Department’s Standard Agreement Provisions for Consultant Services (2.16), within each contract submitted, states “the contractor covenants that he presently has no interest and will not acquire an interest, direct or indirect, in the project to which the contract pertains which would conflict in any manner or degree with the performance of its work.” The Contractor further covenants that in its performance of the contract no person having any such interest will be employed. Finally, 44 Ill Adm Code 650.170 (d) (2) requires “if an individual, a member of a partnership, or an officer or director of a corporation is interested submit a letter explaining such interest, the extent of the investment and the individual’s relationship with such companies.”

Per discussion with management, this occurrence was the result of events as explained in the response to the audit finding.

With the lack of procedures over on-going monitoring of work order assignments, an organizational conflict of interest will cause the person or contractor to be unable or potentially unable to render impartial assistance or advice to the Government, or the contractor’s objectivity in performing the contract work is or might be otherwise impaired, or the person or contractor has an unfair competitive advantage. (Finding Code No. 05-08)

**Recommendation**

We recommend the Department (1) implement procedures into their work order approval process where the assignment of quality assurance project do not result in a conflict of interest and (2) make changes to the prequalification process to include disclosures required by 44 Ill Adm Code 650.170 (d) (2) where an individual, a member of a partnership, or an officer or director of a corporation is interested financially in more than one company. Also, the Department needs to re-review the construction work originally rendered by the construction company to determine the projects met specifications.

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**05-08**      Finding – Conflict of Interest Exists in Quality Assurance Testing Work Order Assignments  
(Continued)

Department Response

The Department agrees with the finding.

The projects in question were let, managed and overseen by Cook County and not the Illinois Department of Transportation (IDOT). The assignment by the Department of the consultant in question for QA testing at the bituminous plant was part of an agreement with all local agencies that IDOT would provide QA plant testing with the local agency being responsible for the QA field testing as well as being the QA Manager. The QA jobsite testing conducted by the consultant in question was selected and paid for by the county. The Department brought the potential conflict of interest to the county's attention, and the county responded that it was not considered an issue. Hence, to reduce cost for QA lab testing for IDOT, IDOT assigned the consultant in question to do the QA plant testing; however, all QA plant testing was under the supervision of IDOT personnel and reviewed by Cook County. The consultant in question on the contracts in question only conducted QA testing. The QC testing for the contractor during this timeframe was by others not employed by consultant in question.

To prevent similar occurrences in the future, IDOT has changed the structure of QA testing for Bituminous and Concrete plant testing and sampling (for all types of contracts). The Mixture Control Section has been divided into four mixture areas, and we have eliminated Local Agency Section, consultant testing. On February 15, 2006, District 1 Materials met with our current QA consultants to discuss and have them add to their internal QA plan how they plan to prevent any conflict of interest.

At this same time, IDOT gave the current QA consultants guidelines, as follows:

Any contract where there is a perceived conflict of interest involving either QC or actual construction of an IDOT-funded contract, IDOT will not assign to that consultant, any testing. (Note: for state and federal projects managed by the state, this has been the practice since 2002) IDOT will limit the use of such consultant for QA to other testing areas not associated with such contracts. For example, aggregate inspection at specific location, pipe and precast QA, and source jobsite sampling. If IDOT errors in assignment, it becomes the consultant's responsibility to inform IDOT.

The "work order" system will become very specific in area of terms of inspection.

A billing procedure with an internal audit based on work orders, timecards and testing reports will be enacted for the 2007 construction season.

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**05-08**      Finding – Conflict of Interest Exists in Quality Assurance Testing Work Order Assignments  
(Continued)

Department Response (Continued)

A Conflict of Interest policy for Central Bureau of Materials and Physical Research has become part of all consultant internal QA plans as stated in a February 15, 2006 letter from District One Materials to the consultants.

With regard to changes to the prequalification process, the Department will strengthen the language regarding 44 IL Adm. Code 650.170(d)(2).

Since the contracts in question are the responsibility of Cook County, the Department is investigating which, if any, of the contracts utilized state funding in terms of MFT funds. If such use of MFT funding did occur, the Department will review the original construction work for specification compliance.

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**05-09**     Finding — Untimely Voucher Approval

The Department did not always date stamp or signature upon receipt, approve, and pay all vouchers in a timely manner.

Six vouchers sampled during compliance testing were not approved within 30 days by an authorized Department representative. Five additional vouchers sampled did not include any approval signature or date. Also, seven vouchers sampled during compliance testing did not include a departmental date stamp upon receipt or a receiving signature. There are two instances (#6 & #10) where one voucher had two separate errors, as detailed above. Of the 16 vouchers with exception, one should have included an interest payment totaling \$7,957.04; however, interest was not paid.

	<b>Appropriation Title</b>	<b>Days After Receipt</b>	<b>Item/Service</b>	<b>Dollar Amount</b>	<b>Interest Due Calculated</b>
1	Contractual Services	No approval date	Electric service	\$1,434.13	-
2	Contractual Services	No approval signature/date	Management services	\$16,634.75	-
3	Contractual Services	No date stamp/receiving signature	Weigh station repair	\$7,267.58	Not determinable
4	Contractual Services	32	Electric service	\$35,239.28	-
5	Contractual Services	155	Professional fees	\$254,764.95	\$7,957.04
6	Equipment	No receiving signature/51	Equipment purchase	\$45,722.23	-
7	Telecommunications	No receiving signature	Maintenance contract	\$59.00	-
8	Telecommunications	No receiving signature	Telecommunications service	\$32,907.38	-
9	Telecommunications	No receiving signature	Maintenance contract	\$9,938.88	-
10	Telecommunications	No approval date or date stamp/receiving signature	Telecommunications services	\$17,558.38	-

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**05-09**      Finding — Untimely Voucher Approval (Continued)

	<b>Appropriation Title</b>	<b>Days After Receipt</b>	<b>Item/Service</b>	<b>Dollar Amount</b>	<b>Interest Due Calculated</b>
11	Automotive	64	Vehicle repairs and maintenance	\$16,890.79	Internal service, no interest required
12	Automotive	38	Vehicle registration fees	\$30,000.00	-
13	Automotive	32	Vehicle repairs and maintenance	\$17,736.62	-
14	Lump Sum	No approval date	Rental, real property	\$38,581.72	-
15	Lump Sum	No approval date	Rail crossing survey	\$120,960.00	-
16	Lump Sum	No date stamp/ receiving signature	Work zone safety campaign	\$32,000.00	Not determinable

74 Illinois Administrative Code 900.70 requires State agencies approve proper bills within 30 days of receipt. The Department must maintain written or electronic records reflecting the date on which the proper bill was received by the State agency to be in accordance with 74 Illinois Administrative Code 900.30. 74 Illinois Administrative Code 900.100 states that interest shall begin accruing on the 61<sup>st</sup> day after receipt of the invoice and accrue at a rate of one percent per month. The Department is required to automatically pay interest amounting to \$50 or more.

Department personnel stated that oversight was the cause for failure to document receipt and approval through date stamp and signature for the above vouchers processed.

Invoices not stamped upon receipt cause difficulties in tracking invoices for approvals and payment purposes. Lack of timely approval could result in the use of State funds for unnecessary items such as penalties and interest on overdue invoices and non-compliance with the Illinois Administrative Code. (Finding Code No. 05-09, 04-2, 03-8)

**Recommendation**

We recommend that the Department enforce current policies that require the approval of all invoices by designated individuals within 30 days after receipt of the invoice, and implement policies and procedures to ensure that interest, as required, is paid on invoices that are not paid within 60 days after the receipt of the invoice.

**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
**For the Year Ended June 30, 2005**

***Current Findings — State***

**05-09**      Finding — Untimely Voucher Approval (Continued)

Department Response

The Department agrees with the finding.

The Department sent a memorandum to appropriate personnel on March 10, 2004, regarding compliance with timely invoice approval. We will send another memorandum reminding the appropriate personnel to ensure that all invoices are date stamped, that all invoices have the proper receipt and approval signatures, and that all invoices are approved within 30 days.

The Department has procedures and policies for monitoring the payment of interest when applicable. The effectiveness of these procedures depends on the accuracy of the proper bill date. We will send out a memorandum to the appropriate personnel stressing the importance of an accurate proper bill date.

**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
**For the Year Ended June 30, 2005**

***Current Findings — State***

**05-10**      **Finding** — Inadequate Control Over Phone Credit Cards

The Department did not cancel phone credit cards of former employees in a timely manner.

The Department has 639 employees who have been assigned credit cards. During our review of 25 employees who separated employment from the Department, we noted the Department did not cancel phone credit cards timely for 4 employees (16%).

<u>Date Range for Cancellation</u>	<u>Number of Employees</u>
Less than one year	2
One to three years	1
Greater than five years	1

Sound internal controls dictate that phone credit cards should be cancelled prior to or immediately upon an employees' termination date.

Department personnel stated that since the current procedure is to obtain a bi-monthly report of "Separated Employees" from the Personnel Department and compare it to the individuals reported on the Phone Credit Card database, the above is due to an oversight.

Although no charges appear to have been made, failure to cancel phone credit cards immediately upon employment termination could result in inappropriate costs being charged to the State. (Finding Code No. 05-10, 04-3, 03-7)

**Recommendation**

We recommend that the Department follow its procedures to ensure that all phone cards are cancelled in a timely manner upon employee separation.



**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
**For the Year Ended June 30, 2005**

***Current Findings — State***

**05-10**      Finding — Inadequate Control Over Phone Credit Cards (Continued)

Department Response

The Department agrees with the finding.

Because this has been identified as a problem in the past, in CY2005 the Bureau of Information Processing began development of a new computer program that will track phone cards (as well as several other IDOT owned assets) assigned to employees. The computer program is assigned to the Bureau of Personnel to monitor and administer. The computer program was implemented on January 27, 2006.

When an employee ends his/her employment with the department, the computer program is used to help ensure that all IDOT owned property including calling cards are appropriately turned in and/or canceled prior to his/her departure. In the event IDOT equipment is not turned in prior to the employee leaving, the department will follow up with demand letters to the employee and will ultimately place a claim for the appropriate cash value on any future state payments due the employee. The department believes this program administered by the Bureau of Personnel will eliminate this problem from occurring again in the future.

**State of Illinois  
Department of Transportation  
Findings and Recommendations  
For the Year Ended June 30, 2005**

***Current Findings — State***

**05-11**      Finding —Lack of Monitoring of Cell Phone Usage

Department employees used Department issued cell phones outside of approved business hours.

During our site visit to District 8, we reviewed the December 2004 cell phone usage detail monthly billing and noted numerous instances of usage outside normal business hours. Department personnel stated normal work hours are from 8:00 a.m. – 4:30 p.m. In order to account for employees starting early, or working late, we used a range of 7:00 a.m. – 7:00 p.m. The occurrences by job title were as follows:

<u>Job Title</u>	<u>Number of Employees</u>	<u>Number of Instances</u>
Operations Field Technician	5	29
Operations Field Engineer	3	35
Electrical Field Technician	1	2
Equipment Technician	1	2
Administrative Manager	1	14
Supervisor	1	7
Labor Compliance	1	<u>2</u>
		<u>91</u>

Sound internal controls dictate cell phone assignment logs should be accurately maintained and proper usage should be monitored.

Department personnel at District 8 stated the lack of monitoring was due to the Department’s oversight and they were not aware if calls were business related.

Failure to adequately monitor cell phone usage could result in inappropriate costs being charged to the State. (Finding Code No. 05-11)

Recommendation

We recommend formal monitoring of cell phone usage and maintenance of documentation to support usage outside of normal guidelines.

**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
**For the Year Ended June 30, 2005**

***Current Findings — State***

**05-11**      Finding —Lack of Monitoring of Cell Phone Usage (Continued)

Department Response

The Department agrees with the finding.

Each Office and Bureau receives a complete listing of phone calls made by date, time and phone number. The Bureau of Information Processing is the point Bureau for the Department in ordering and coordinating cell phones. Monitoring usage is implicitly the responsibility of each Bureau/Office as the phone bills are sent to each Bureau/Office to monitor.

The Office of Finance and Administration will send a memo to each Director, Regional Engineer, Deputy Director and Bureau Chief advising them of their responsibility to monitor all cell phone usage and make certain that calls made after work hours are for work only.

**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
**For the Year Ended June 30, 2005**

***Current Findings — State***

**05-12**      Finding – Inaccurate Commodities Inventory Records

No formal commodities inventory policies or procedures exist. Actual quantities on hand recorded at \$19,128,000 did not reconcile to the commodity inventory records given to Accounts and Finance Department which were used for financial statement presentation. During our physical inventory test count, we discovered errors totaling \$250,090 which, when extrapolated over the entire inventory population, result in an estimated total error of \$1,690,493.

In our review of the Department's reported inventory amounts, we found that the Districts used their perpetual inventory tracking system (referred to as the Maintenance Management Information System or "MMI System") for most of their "other" group of commodity items for their year-end commodity inventory reporting. We also found that the MMI System is both infrequently updated to actual costs and not updated to reflect the actual year-end inventory counts of quantity on hand. Also, District 6 was unable to provide any support for the \$559,482 of other commodity inventory held at June 30, 2005.

During our testwork, we noted that 31 out of 31 (100%) locations did not properly reconcile test counts performed during year-end physical inventories to the commodities records. Commodities tested included: salt, signs, calcium chloride, culverts, repair parts, and other various inventories. In addition, we noted inventory items on final year end listings with negative quantities.

The Illinois Procurement Code (30 ILCS 500/50-55) requires that State agencies inventory or stock no more than a twelve month supply of equipment, supplies, commodities, articles and other items except as otherwise authorized by State agency regulations. Every State agency is to periodically review its inventory to ensure compliance with this Section. Additionally, good internal control requires formal written policies so that inventory can be tracked and maintained consistently throughout the Department.

Department personnel stated that year-end commodities inventories are difficult to perform as there are no formal policies and procedures relating to counting quantities and reconciling them to the Department's records.

Without formal inventory policies and without reconciling inventory on hand, inventory records are inconsistent and incomplete and could result in lost or misappropriated items and inaccurate financial statements. Furthermore, without formal inventory policies and procedures in place, the Department cannot determine if it is in compliance with the Illinois Procurement Code requirements. (Finding Code 05-12, 04-4, 03-5, 02-9, 00-4, 99-5, 98-4, 96-7, 94-6)

**Recommendation**

We recommend that the Department develop formal inventory policies and procedures for all Districts/Sites and maintain commodities quantity and costing records throughout the year. The Department should furthermore perform periodic test counts of commodities inventory and reconcile those counts to its commodities records. At a minimum, year-end physical inventories should be taken and the Department's records should be adjusted to the actual count.

**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
**For the Year Ended June 30, 2005**

***Current Findings — State***

**05-12**      Finding – Inaccurate Commodities Inventory Records (Continued)

Department Response

The Department agrees with the finding.

This finding has been a repeat finding since 1996 and has not been adequately addressed by the Department until the current administration. The Department is a large organization; as such, our response to this finding has been careful, measured and deliberate. The Department's goal is, where possible and practical, to reduce all commodity inventories to negligible levels. As part of our response to correct this finding, during fiscal year 2004, the Department developed a pilot program with a private firm which utilizes the inventory control expertise as well as cost savings purchasing power of private industry.

A contract to provide repair parts has been awarded to a private vendor to perform this service on a pilot basis in District 1. They have been providing auto and equipment parts and other commodities to IDOT's Cook County Yards only at this time. The vendor began service on June 1, 2004. The goal of the program is to be able to provide commodities to the yards in as little as two hours following a request for the commodities, so that no material inventory of commodities will need to be held by the yards. The program also allows for the better tracking of all purchases of commodities by the yards.

This program has provided greater accountability and control over the purchasing and overall cost of spare parts. The Department has typically been purchasing repair parts from the state of Illinois' Department of Central Management Services (CMS). A price analysis comparison between CMS purchased repair parts versus the private vendor indicates that for over 140 various commodity items analyzed, the prices from the private vendor were on average almost 75% less than the price of items purchased from CMS. One of the most egregious examples we found was that CMS was charging the Department \$169.90 for a belt which the Department is now being charged only \$24.93 from a private vendor. This represents a 581% cost savings.

When this program is brought to fruition, it will be studied and expanded to other IDOT yards, depots and warehouses. Formal policies and procedures will be developed as the program is expanded to the other districts. This program will not only address any commodity inventory control issues for the Department but has and will provide additional cost savings and efficiency enhancements as well. These efficiency savings include the reduction of lost man hours due to IDOT yards needing to have State personnel spending time picking up commodity orders from the various CMS garages and supply warehouses.

The Department has also implemented other tighter restrictions and controls on commodities. All requests to procure every non-core office item must now be approved by the Office of Finance and Administration.

**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
**For the Year Ended June 30, 2005**

***Current Findings — State***

**05-13**            Failure to Publish and File Truck Size and Weight Report

The Department did not publish and file the required Truck Size and Weight Report as required by statute.

The Department last filed the Truck Size and Weight Report with the Governor and General Assembly in 1998.

The Truck Size and Weight Report was prepared in order for the Department to monitor the effect of the increased size and weight of vehicles on Illinois highways. However, these heavier trucks have become the standard across the trucking industry in Illinois and the United States. All states now have pavement and bridge design standards to accommodate these heavier trucks, therefore, according to the Department, any further study of their impact on roadways is not deemed necessary. The Department stated that no requests have been received for the two reports that have not been published.

The Illinois Vehicle Code (625 ILCS 5/15-115) requires the agency to publish and deliver a report to the Governor and General Assembly every three years that details damage done to roadways due to increased lengths, widths and weight loads allowed under the 1983 amendment and determine if the Motor Fuel Tax Law fees and taxes imposed for vehicles classified in “X”, “Z”, “MX” and “MZ” classifications are sufficient to cover the costs of permitting the operation of such larger vehicles.

Department personnel stated that this report has not been completed since 1998. The Department stated they have been working for the past two years with the Legislative Director in the Governor’s Office to either rescind or revise this requirement.

Failure to publish and file the Truck size and weight Report as required by the Illinois Vehicle Code results in the Department’s noncompliance with statutory mandate. (Finding Code No. 05-13, 04-5).

**Recommendation**

We recommend that the Department publish and submit this report or pursue a legislative remedy.

**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
**For the Year Ended June 30, 2005**

***Current Findings — State***

**05-13**          Failure to Publish and File Truck Size and Weight Report (Continued)

Department Response

The Department agrees with the finding.

The Department of Transportation is required by Public Act 83-12 to periodically study the Illinois highway impacts of the heavier commercial truck weight limits which were established by the General Assembly in 1983. Those heavier truck weights were mandated by Congress prior to 1983. Illinois was one of the last states to adopt the Federal Bridge Formula for commercial vehicle weights. Truck Size and Weight Reports were submitted to the General Assembly in 1985, 1989, 1992 and 1998.

Since 1983, the Congress has not changed the Federal Bridge Formula for commercial truck weights. The 80,000 lb. load trucks have become the standard used by the trucking industry in Illinois and the United States. All states have adjusted their pavement and bridge design standards to safely carry the heavier trucks.

The Department will file the required report as directed by the mandate by April 1, 2006.

**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
**For the Year Ended June 30, 2005**

***Current Findings — State***

**05-14**    Finding – Untimely Approval of Downstate Transportation Application Program of Expenditure Proposals.

The Department failed to either approve or deny applicants’ program of expenditure proposals in a timely manner.

Each local government or mass transit district making application for a grant pursuant to the Downstate Public Transportation Assistance program must submit to the Department a program of proposed expenditures along with their estimate of projected operating deficit, eligible operating expenses and projected operating income or revenues.

During our 2004 testing, we noted that the Department did not approve or disapprove 13 of 18 (72%) expenditure programs application proposals within 45 days of receipt of the proposal as follows:

<b>Days late for approval/disapproval</b>	<b>Number of Programs</b>
Less than 30 days	4
31-60 days	5
61-90 days	2
> 90days	2

The Downstate Public Transportation Act (30 ILCS 740/2-5) requires the applicant to submit grant applications by April 1 of the preceding year. The Downstate Public Transportation Act (30 ILCS 740/2-11) requires the Department to approve or deny each program of proposed expenditures within 45 days of receipt of the proposal. If the program of proposed expenditures is denied, 30 ILCS 740/2-12 provides for the applicant to amend the program and resubmit to the Department until approval is obtained.

The Department understands the issue in this finding, but their interpretation of the statute involved only one approval process. Their process included approval of the type of expenditures along with the expenditure amounts at the same time.



**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
**For the Year Ended June 30, 2005**

***Current Findings — State***

**05-14**      Finding – Untimely Approval of Downstate Transportation Application Program of Expenditure Proposals. (Continued)

Failure to approve or disapprove the proposed expenditures program in a timely manner could impede applicants' ability to meet their required plans and fulfill its obligations. (Finding Code No. 05-14, 04-6)

Recommendation

We recommend that the Department approve or disapprove expenditure proposal programs within 45 days of receipt of the proposal as required by statute.

Department Response

The Department agrees with the finding.

In future application/approval cycles, the Department will approve or deny the applicant's program of proposed expenditures, i.e., the type of expenditures, within 45 days of receipt of the application. Approval of the amount of state funding requested for the program of proposed expenditures will be forwarded at a later date, once available state funding is known.

Therefore, starting with the FY07 applications due on April 1, 2006, the Department will approve or deny, in writing, the program of proposed expenditures submitted within 45 days of receipt of the application.

**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
**For the Year Ended June 30, 2005**

***Current Findings — State***

**05-15**          Finding – Failure to Maintain the State’s Master Transportation Plan

The Department did not maintain for submission, to the Governor and General Assembly, a master plan for highway, waterway, aeronautic, mass transportation and railroad systems.

During our 2004 testing, we noted the Illinois Statewide Transportation Plan (master plan) was last published in 1995.

The 20 ILCS 2705/2705-200 requires the Department to publish a master plan for highway, waterway, aeronautic, mass transportation and railroad systems and submit it to the Governor and General Assembly by January 1<sup>st</sup> every two years.

Department management stated that a 20-year plan was prepared in 1995 and felt that this is sufficient to be in compliance with the mandate. The Department stated they plan to work with the Office of Government Affairs to either rescind or revise this requirement.

Failure to file the report timely affects the State’s ability to perform its oversight responsibility.  
(Finding Code No. 05-15, 04-7)

**Recommendation**

We recommend that the Department maintain its master plan and submit it by January 1<sup>st</sup> every two years as required by law or work with the appropriate authorities to rescind or revise the requirement.

**Department Response**

The Department agrees with the finding.

For FY2006, the department has fulfilled the requirement under 20 ILCS 2705/2705-200 to the Governor and General Assembly. The Illinois Statewide Transportation Plan was updated and released December 29, 2005. Copies were distributed to the Governor and General Assembly prior to the January 1, 2006 deadline. The Illinois Statewide Transportation Plan is available for review through the Department’s internet site.

**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
**For the Year Ended June 30, 2005**

*Current Findings — State*

**05-16**      Finding – Failure to Appoint Representative to the Hazardous Emergency Advisory Board

The Department failed to appoint a representative to the Hazardous Emergency Advisory Board.

During our testing, we noted the last known documentation for the Department's activity on this Board was in 1999.

The Hazardous Materials Emergency Act (430 ILCS 50/4) requires a Hazardous Materials Advisory Board to be composed of 21 members including the Secretary of Transportation or his designee. The Board shall advise and make recommendations to the Illinois Emergency Management Agency regarding the reporting of an accident involving hazardous materials and to the Department regarding the transportation of hazardous materials. The Department of Transportation and the Illinois Emergency Management Agency shall furnish meeting facilities, staff and other administrative needs of the Board.

Department management stated the Advisory Board has not been able to accomplish any tasks since its last official meeting on June 15, 1999. Due to the Board's inactivity, a new representative was never appointed after the previous representative left the Department.

Failure to meet the requirements of the Illinois Hazardous Material Advisory Board as required by the Hazardous Materials Emergency Act results in the Department's noncompliance with the statutory mandate. (Finding Code No. 05-16)

Recommendation

We recommend that the Department appoint a representative to the Illinois Hazardous Material Advisory Board and meet the requirements of the Hazardous Emergency Act or seek legislative remedy to this statutory requirement.

Department Response

The Department agrees with the finding.

The Department will appoint a representative to the Illinois Hazardous Material Advisory Board and meet the requirements of the Hazardous Emergency Act by the end of FY 2006.

**State of Illinois  
Department of Transportation  
Findings and Recommendations  
For the Year Ended June 30, 2005**

***Current Findings — State***

**05-17**      Finding – Untimely or Lack of Proper Lease Documentation

The Department did not always complete or sign the necessary documentation including disclosure statements, prior to execution of certain leases.

Untimely Documentation

We noted two leases that were not completed or signed by the Department prior to the start of the lease term. The related disclosure statements were also not completed timely.

Lease/Lease Number	Date Began	Lease Agreement		Days Late	Disclosure Statement		Annual Cost of Lease
		By Whom	Date		Date	Days Late	
Pana Fairgrounds T-2179	7/1/2004	IDOT	8/27/2004	57	8/17/2004	47	\$ 1,500
Piper City – T-3002	7/1/2002	IDOT	6/24/2003	359	(a)		\$ 2,700

(a) No date indicated. Days late cannot be determined

Note: The above leases contained a “hold over” clause that allows month-to-month leasing until the renewal is completed.

Lack of Renewal

Also, we noted two additional leases that have expired and the Department has not authorized a new lease. The lease for the Community Bank Building in Peoria (#4924) expired on June 30, 2003 and the lease for 2700 Tower Road in Chicago (#CI-5792) expired on April 30, 2005.

**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
**For the Year Ended June 30, 2005**

***Current Findings — State***

**05-17**      Finding – **Untimely or Lack of Proper Lease Documentation (Continued)**

Statute 30 ILCS 500/20-80 states all written determinations required under this Article shall be placed in the contract file maintained by the chief procurement officer. 30 ILCS 105/9(a) states no disbursements from appropriations shall be made for rental or purchase of office or other space, buildings or land, except in pursuance of a written lease or purchase contract entered into by the proper State authority and the owner or authorized agent of the property. Good business practice would require contracts be reduced to writing and signed by all parties prior to the beginning of the contract term.

Statute 50 ILCS 105/3.1 states that before any contract relating to the ownership or use of real property is entered into by and between the State or any local governmental unit or any agency of either, the identity of every owner and beneficiary having any interest, real or personal, in such property and every member, shareholder, limited partner or general partner entitled to receive more than 7½% of the total distributable income of any limited liability company, corporation or limited partnership having any interest, real or personal, in such property must be disclosed.

The Department stated these occurrences were caused by delays at CMS. The Department stated the leases are forwarded by the Department to CMS, who has the responsibility to finalize the contracts.

If the Department is not approving and filing contracts and related financial disclosure statements before the contract term begins, the Department is not in compliance with applicable statutory provisions. Further, failure to timely execute written contracts is poor business practice. (Finding Code No. 05-17)

Recommendation

We recommend that the Department have all lease documentation completed and signed before the lease term begins.

Department Response

Pana Fairgrounds (T-2179)

The Department agrees with the finding.

The Department had been applying a different interpretation of the “hold over” clause procedures which allows a month-to-month continuation of a previous lease, until a new lease is established.

**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
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***Current Findings — State***

**05-17**      Finding – **Untimely or Lack of Proper Lease Documentation (Continued)**

In particular, we thought the new lease had to begin at the expiration date of the previous lease (to cover the month-to-month extension), which resulted in lease beginning dates that were earlier than the lease agreement signature dates, when hold overs were implemented.

In order to correct this in the future, we have confirmed the appropriate protocol with Property Management - Leasing at the Department of Central Management Services (CMS) and effective immediately, we will date new lease agreements to begin at the end of the hold-over period, not at the expiration date of the original lease.

Piper City – (T-3002)

The Department agrees with the finding.

This lease also contained the hold-over clause, which was implemented until the new lease was approved.

Please see the corrective action indicated for T-2179.

Community Bank Building – (4924)

The Department agrees with the finding.

CMS is handling this procurement for the Department's District 4 headquarters. The original space request was submitted to CMS in September 2002; however, it was rejected due to excessive cost. The latest request has been at CMS since December 2003, and we are still waiting for an executed contract. This lease is currently in effect under the hold over clause provisions.

2700 Tower Road (Third Airport Office) – (CI-5792)

The Department agrees with the finding.

We submitted a space request to CMS in March 2005. CMS is prepared to negotiate with the lessor once we provided justification for the 4,250 square feet of space. This lease is currently in effect under the hold over-clause provisions.

**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
**For the Year Ended June 30, 2005**

***Current Findings — State***

**05-18**      Finding – Incomplete Excess Land Information

The Department has not completed its efforts to identify the use and disposition of excess land. The Department is also improperly classifying excess land as land instead of land available for sale on the financial statements.

The Department has acquired numerous properties in previous years through acquisition and eminent domain proceedings for potential future highway construction that are currently not being used. Department officials stated no comprehensive inventory of excess land had been maintained. Currently, it is not possible to identify these properties and determine their potential future use because no formal assessment of the use of each significant parcel of excess land is performed. Management stated that the Department had previously relied on external parties who are interested in the purchase of excess land to inquire of the Department about a potential sale.

The Department cannot identify the excess portion of the land and therefore cannot quantify the land available for sale on the financial statements as required by generally accepted accounting principles.

During 2004, the Department established a task force that developed and implemented policies and procedures now being followed by all Districts for recording in a non-operating highway right-of-way inventory system (NORWAY). Also, the Department contracted (effective July 1, 2004) with an engineering firm to supplement its nine District's land acquisition staff in this project. The engineering firm has estimated that the project is 65% complete as of June 30, 2005.

Per the Department's written policies and procedures (5.09-1), "The district land acquisition staff is responsible for the inventory and management of all excess land parcels which are not considered to be part of any operating highway right of way until disposed of either by public sale, by exchange, by direct sale to owner from whom acquired, by transfer of jurisdiction to another state agency, or by legislative release." Generally accepted accounting principles require the Department to track and capitalize all land owned by the Department. Good internal controls dictate that a comprehensive and accurate listing of property should be maintained by the Department. Sound business practices suggest the Department assess the potential use of properties so that excess land can be identified, reported and disposed.

**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
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***Current Findings — State***

**05-18**      Finding – Incomplete Excess Land Information (Continued)

Additionally, the Federal Highway Administration (FHWA) Regulation 23 C.F.R Par 710.403 provides that, “The Federal share of net income from the sale or lease of excess real property shall be used by the STD for activities eligible for funding under title 23 of the United States Code.” Currently, the Department deposits proceeds, including the Federal share of net income, from the sale or lease of land into the State’s Road Fund. The Road Fund is available for multiple transportation activities including activities that are not eligible for reimbursement under Title 23. Thus, the Department needs to identify those land parcels, including portions determined to be excess, purchased with Title 23 funds to ensure use of the proceeds received from sale or lease of the property is restricted for use on subsequent eligible Title 23 projects. Thus, the parcels (or parcel remnants) inventory records should include identification of those parcels originally acquired with Title 23 funds, to ensure proceeds received by the Department and deposited into the Road Fund from the sale or leasing transactions, are used in accordance with federal regulations.

In discussing these conditions with the Department officials, they stated that action is continuing to include determining future use or the disposition of excess land.

Failure to properly identify and dispose of excess land could result in unnecessary carrying costs to the State, inaccurate financial reporting, lost property tax revenue for local governments, and use of proceeds from sale or lease of land acquired with federal funds in violation of federal regulation. (Finding Code No. 05-18, 04-8, 03-3, 02-2, 00-1)

**Recommendation**

We recommend that the Department continue to follow its revised written policies and procedures to control the use and disposition of excess land. The excess land should be inventoried and a complete listing compiled and updated on a monthly basis. We also recommend the Department to continue and complete its efforts in evaluating significant prior acquired land parcels at each District so that a complete excess land inventory is identified, reported and/or disposed. Also, where land parcels are identified as having Federal participation, the land acquisition records should identify this fact for demonstrating that the Department used the total Federal share of the annual dispositions in accordance with Federal regulations.



**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
**For the Year Ended June 30, 2005**

***Current Findings — State***

**05-18**      Finding – Incomplete Excess Land Information (Continued)

Department Response

The Department agrees with the finding.

The Department is following both State statutory authority (605 ILCS 5/4-508) and its written policies and procedures for property management and disposal. These policies and procedures were modified July 8, 2004. By policy the department defines excess land as land or rights in land, improved or unimproved, no longer needed for state highway purposes and does not consider any part of a property acquired for highway purposes to be excess until such time that a complete review of present and future needs is conducted and no further need for the property is found.

The Department is continuing, on a multi-year schedule, commenced after the Fiscal Year 2003 finding, to complete a comprehensive inventory of non-operating highway right-of-way to be followed by a comprehensive engineering review of parcels contained in that inventory for *potential* declaration as excess property. An outside consultant was hired in early FY 05 to work on identifying parcels for inclusion into this inventory. The NORWAY (Non Operating Right Of Way) inventory project will be completed by the end of FY 2006. District property managers are assisting in this effort and are identifying parcels for excess review, with eligible excess parcels being processed for disposal using the various disposal methods. As of March 8, 2006, 3,220 parcels have been entered into the NORWAY system. The Department will complete the initial inventory and begin reviews of parcels that offer the highest potential to be declared excess by the close of FY 06.

Regarding the lease or sale of parcels acquired with federal funds the Department, in FY 05, made deposits for leases and excess disposals totaling approximately \$2.1M to the Road Fund of which some lesser portion could have involved federal reimbursement at the time of acquisition. FY 05 appropriations from the Road Fund for highway construction purposes totaled \$1.15B and federal reimbursements claimed totaled \$845.6M. Clearly the lease and sale proceeds are minuscule in relation to the Department's Title 23 eligible highway program and, without specific direction from FHWA to the contrary, the Department believes it is reasonable in assuming all proceeds from lease and sale of department properties deposited to the Road Fund are appropriately used in the annual funding of Title 23 eligible projects.

The department does have computer based records of all highway right-of-way acquisitions since approximately 1975. That database includes a notation of federal participation in the acquisition. Federal participation, when known, is also noted in the "disposal statement" when a parcel of land is approved for disposal. The department will in its end of year reporting of leases and disposals endeavor to acknowledge separate total values for land that included federal participation and that which did not.

**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
**For the Year Ended June 30, 2005**

***Current Findings — State***

**05-19**      Finding – Lack of Employee Evaluations and Maintenance of Employee Files.

The Department has not performed employee evaluations on certain employees who are in key positions within the Department. Also, the Department does not keep all required documentation within each employees file.

During our examination, we noted that the Department is not performing periodic employee evaluations for all employees. We noted that the Department does not perform employee evaluations for some Bureau Chiefs, Deputy Directors, or technical managers. We previously noted that District Three does not perform employee evaluations for Civil Engineers VII and some Civil Engineers V. We further noted one instance where an employee's file did not contain the required union card.

According to the personnel policies manual (5-2 section F) all employees should have a performance evaluation performed at least on a yearly basis. Per examination of the personnel policies manual, the Department should have all necessary documentation pertaining to each employee working for the Department on file. This would include such matters as copies of driver's license, social security card, employment contract, union cards (if any), and yearly employee evaluations.

Per Department personnel, the individuals did not receive evaluations because they do not have a supervisor at that position to perform the employee evaluations and therefore the evaluations were determined to be unnecessary. Also, the missing union card was due to an oversight.

Failure to evaluate employees may result in non-compliance with the Department's personnel policies and missing the opportunity for management and employees to assess job conduct, performance and goals. (Finding Code No. 05-19, 04-9)

Recommendation

We recommend the Department perform evaluations on all employees and keep all necessary documentation in each employee's personnel file in accordance with its personnel policies.

**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
**For the Year Ended June 30, 2005**

***Current Findings — State***

**05-19**      Finding – Lack of Employee Evaluations and Maintenance of Employee Files (Continued)

Department Response

*Performance Evaluations:*

The Department agrees with the finding.

Departmental staff is advised on an annual basis to prepare this documentation. The Department's stance is that performance evaluations need to be completed on an annual basis. If there is no direct supervisor, this responsibility falls to the next highest level supervisor. In addition, these evaluations fall during the same time periods annually. However, due to a major turnover in mid- and upper-level management, some staff may still be somewhat new to the process.

The Department is a large agency with over 5,500 employees. We require and do obtain employee evaluations for virtually all of affected staff. The Department will make every effort to follow through with management so that the completion of evaluations remains a high priority. A reminder email was sent to administrative staff requesting all outstanding evaluations on February 23, 2006. In addition, a statement was provided addressing absence of direct line supervision.

*Absence of Required Documentation:*

The Department agrees with the finding.

Please be aware that an employee typically has two personnel files maintained: one "official" maintained in the central office and one "working" maintained by the personnel staff in the applicable organizational location. The form cited would be maintained in the "working" file and should have been there.

The Department will make every effort to follow through with administrative staff so that the complete documentation of personnel files is maintained on a consistent basis. A reminder email will be sent to administrative staff reiterating this fact. The anticipated distribution date will be by March 2006.

**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
**For the Year Ended June 30, 2005**

***Current Findings — State***

**05-20**      Finding – Lack of Insurance Certification for Employee Assigned Automobiles.

The Department failed to maintain proper insurance certification for employee assigned automobiles.

During our testing of five employees assigned state automobiles, we noted the Department failed to have proper insurance certification for any of these employees. The Department also failed to file forms to ensure these employees had a proper driver's license.

The Illinois Vehicle Code (625 ILCS 5/7-601(c)) states every employee of a State agency who is assigned a specific vehicle owned or leased by the State on an ongoing basis shall certify annually the employee is licensed and has liability insurance extending to the employee when the assigned vehicle is used for other than official business. Also, under the Central Management Service's "Vehicle Operator's Manual" and laws within the State of Illinois, every driver behind the wheel of an operating vehicle must possess a driver's license. Departmental policies state that all employee assigned vehicles must have a copy of each individual's driver's license on file for proof of accurate assignment.

The Department stated the failure to obtain proper documentation was due to the Department's oversight.

Failure to maintain proper insurance certification and proof of licensure for all employees' assigned state vehicles exposes the Department to potential liabilities resulting from traffic accidents and noncompliance with State laws and Department policies. (Finding Code No. 05-20)

Recommendation

We recommend the Department maintain proper insurance certification and require that all employees assigned to state vehicles provide a copy of their driver's license as required by Department policy.

Department Response

The Department agrees with the finding.

The Department will review its files and ensure that all employees who are assigned vehicles have provided certification of insurance and copies of their driver's licenses. A letter will be sent to all Districts by March 15, 2006, reminding them to ensure that they have obtained the proper information from all employees assigned vehicles.

**State of Illinois  
Department of Transportation  
Findings and Recommendations  
For the Year Ended June 30, 2005**

***Current Findings — State***

**05-21** Finding – Failure to Obtain Timely the Actual Quarterly Operating Reports.

The Department did not obtain quarterly operating reports from a County Transit District on a timely basis.

During our testing, we noted the Department did not obtain the required reports by the stated deadline of no later than 60 days following the end of each quarter. The County Transit District submitted its quarterly reports as follows:

Quarter Ending	Date Due	Date Filed	Days Late
9/30/2004	11/29/2004	12/10/2004	11
12/31/2004	3/31/2005	4/21/2005	51
3/31/2005	5/30/2005	5/17/2005	—
6/30/2004	8/29/2005	(a)	(a)

(a) Fourth quarter report not filed as of November 11, 2005.

The Downstate Public Transportation Act (30 ILCS 740/2-7) requires any Metro-East Transit District participant to submit, not later than 60 days following the end of each quarter of any fiscal year, a report of actual operating deficits during the quarter.

The Department stated this failure to obtain the required reports timely was caused by the Department’s oversight in following up with the County Transit District.

Failure to maintain or have all necessary documentation filed within the stated deadline as required by the Downstate Public Transportation Act results in noncompliance with the statutory mandate. (Finding Code No. 05-21)

**Recommendation**

We recommend that the Department work closely with Metro-East Transit Districts to ensure future quarterly financial reports are obtained within the stated 60 days as required by law.

**Department Response**

The Department agrees with the finding.

While we agree with the finding, our ability to obtain this information is based on the grantees ability to have the information compiled to meet stated deadlines. The St. Clair County Transit District has had an on-going problem getting timely and correct information from their operator, Bi-State Development Agency.

**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
**For the Year Ended June 30, 2005**

***Current Findings — State***

**05-21**          Finding – Failure to Obtain Timely the Actual Quarterly Operating Reports (Continued)

Department Response (Continued)

To correct this finding, the Department will send a reminder of the quarterly report due dates to the County Transit District approximately 30 days before the due date in an effort to make the District comply. However, the Department cannot force the grantee to meet stated timelines.

The Department will begin issuing these reminders on April 30, 2006, for the reporting period ending March 31, 2006.

**State of Illinois**  
**Department of Transportation**  
**Findings and Recommendations**  
**For the Year Ended June 30, 2005**

***Current Findings — State***

***Prior Findings Not Repeated — Government Auditing Standards***

**05-22**      Finding – Efficiency Initiative Payments

Payments for efficiency initiative billings were made from improper line item appropriations. (Finding Code No. 04-1)

During the current year, our testing disclosed no instances of payments for efficiency billings being made from improper line item appropriations.

***Prior Findings Not Repeated — State***

**05-23**      Finding – Diesel Emissions Monies Deposited into Incorrect Fund

The Department did not deposit Vehicle Inspection Safety (VIS) Diesel Emissions Sticker monies into the appropriate fund. (Finding Code No. 04-10)

During the current year, our testing did not disclose any instances where the Department failed to deposit the Diesel Sticker monies into the proper fund as required by the statute.

**05-24**      Finding – Inaccurate and Untimely Highway Right-of-Way Jurisdictional Change Documentation.

The Department failed to properly report and summarize all right-of-way jurisdictional transfers. In addition, the Department failed to report jurisdictional transfers in a timely manner and maintain an appropriate cut-off between fiscal years. (Finding Code No. 04-11)

During the current year, our testing disclosed the Department has a new report for jurisdictional transfers which reports and summarizes all right-of-way transfers and maintained cut-off between fiscal years.

**05-25**      Finding – Capital Assets Not Properly Recorded

The Department did not properly record all capital assets in a timely manner. (Finding Code No. 04-12)

During the current year, our sample tested disclosed no such errors.

**05-26**      Finding – Lack of Independent Reviews of Computer Systems

The Department did not perform independent and mandated reviews of major computer systems application development projects. (Finding Code No. 04-13)

During the current year, the Department reviewed all major computer systems application development projects.

**State of Illinois**  
**Department of Transportation**  
**Status of Management Audit**  
**June 30, 2005**

***Grade Crossing Protection***

In November 2003, the Office of the Auditor General released its report of the Management Audit of the Expenditures from the Grade Crossing Protection Fund which is administered by the Illinois Commerce Commission (ICC) but appropriated to the Illinois Department of Transportation (Department) to assist local jurisdictions in paying for safety improvements at highway-rail grade crossings of local roads and streets for public safety. The audit was conducted pursuant to Legislative Audit Commission Resolution Number 123. As a result of the audit, the OAG made three recommendations to the Department.

*Interagency Agreement/Policies and Procedures*  
*(Recommendation 1)*

The Auditor General's Office recommended the ICC and the Department adopt an interagency agreement that clarifies each agency's management responsibilities relating to the Grade Crossing Protection Fund projects.

*Grade Crossing Protection Fund Balance*  
*(Recommendation 5)*

The Auditor General's Office recommended the ICC and the Department work to appropriately manage fund balances in the Grade Crossing Protection Fund.

*Billing Standards/Expenditure Review*  
*(Recommendation 6)*

The Auditor General's Office recommended the ICC and the Department work together to develop standards on what documentation is required for Grade Crossing Protection Fund projects.

During our 2005 audit testing we noted the Department had completed and signed an agreement with the ICC which addressed the above recommendations.



**Supplementary Information for  
State Compliance Purposes**

**State of Illinois**  
**Department of Transportation**  
**Supplementary Information for State Compliance Purposes**  
**Summary**  
**For the Year Ended June 30, 2005**

Supplementary information for State compliance purposes presented in this section of the report includes the following:

- **Fiscal Schedules and Analysis**
  - Schedule of Expenditures of Federal Awards and Related Note Disclosure
  - Schedule of Appropriations, Expenditures, and Lapsed Balances — Budgetary Basis
  - Comparative Schedule of Net Appropriations, Expenditures, and Lapsed Balances — Budgetary Basis
  - Schedule of Expenditures by Category — Budgetary Basis
  - Schedule of Expenditures by Major Object Classification — Operations — Budgetary Basis
  - Schedules of Expenditures by Major Object Classification — Budgetary Basis — Lump Sum Appropriations and Other State Agency Grants — Operations
  - Schedule of Expenditures by Major Object Classification — Budgetary Basis — Lump Sum Appropriations and Other State Agency Grants — Other Payroll Costs
  - Schedule of Efficiency Initiative Payments
  - Comparative Schedule of Cash Receipts and Reconciliation of Cash Receipts to Deposits Remitted to the State Comptroller
  - Analysis of Significant Variations in Revenues and Expenditures
  - Analysis of Significant Lapse Period Spending
  - Analysis of Significant Balance Sheet Accounts
  - Analysis of Receivables
- **Analysis of Operations**
  - Agency Functions and Planning Program
  - Average Number of Full Time Employees
  - Statistical Summary by Major Object Classification
  - Comparison of Payroll Costs to Total Costs
  - Emergency Purchases
  - Illinois First Projects (Unaudited)
  - Service Efforts and Accomplishments (Unaudited)
  - Schedule of Federal and Nonfederal Expenditures

The accountants' report that covers the Supplementary Information for State Compliance Purposes presented in the Compliance Report Section states that it has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in the accountants' opinion, except for that portion marked "unaudited," on which they express no opinion, it is fairly stated in all material respects in relation to the basic financial statements taken as a whole.

## **Fiscal Schedules and Analysis**

**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
 Schedule of Expenditure of Federal Awards  
 For the Year Ended June 30, 2005

Federal Agency/Program or Cluster	Federal CFDA #	Expenditures	Passed- through to subrecipients (Unaudited)
<b>U.S. Department of Transportation:</b>			
Airport Improvement Program	20.106	\$ 128,656,379	59,117,639
Highway Planning and Construction	20.205	848,141,507	117,182,148
Highway Training and Education	20.215	457,103	—
National Motor Carrier Safety Assistance Program	20.218	6,391,912	—
Recreational Trails Program	20.219	224,125	—
High Speed Ground Transportation - Next Generation High Speed Rail Program	20.312	3,116,438	—
<b>Federal Transit Cluster:</b>			
Federal Transit - Capital Investment Grants	20.500	1,949,235	1,912,587
Federal Transit - Formula Grants	20.507	468,425	—
Total Federal Transit Cluster		<u>2,417,660</u>	<u>1,912,587</u>
Federal Transit - Metropolitan Planning Grants	20.505	3,659,514	—
Formula Grants for Other Than Urbanized Areas	20.509	6,643,120	6,357,908
Capital Assistance Program for Elderly Persons and Persons with Disabilities	20.513	74,627	—
State Planning and Research	20.515	716,474	596,659
<b>Highway Safety Cluster:</b>			
State and Community Highway Safety	20.600	6,130,944	4,335,037
Alcohol Traffic Safety and Drunk Driving Prevention Incentive Grants	20.601	5,803,138	1,245,860
Occupant Protection	20.602	1,752,576	607,982
Federal Highway Safety Data Improvements Incentive Grants	20.603	2,825	—
Safety Incentive Grants for Use of Seatbelts	20.604	492,068	482,365
Safety Incentives to Prevent Operation of Motor Vehicles by Intoxicated Persons	20.605	<u>1,931,410</u>	<u>550,468</u>
Total Highway Safety Cluster		<u>16,112,961</u>	<u>7,221,712</u>
U.S. Department of Transportation Total		<u>1,016,611,820</u>	<u>192,388,653</u>
<b>U.S. Department of Homeland Security:</b>			
Public Assistance Grants	97.036	433,127	—
State Domestic Preparedness Equipment Support Program	97.004	2,848,037	—
Federal Emergency Management Total		<u>3,281,164</u>	<u>—</u>
Total expenditures of federal awards		<u>\$ 1,019,892,984</u>	<u>192,388,653</u>

The accompanying notes to the Schedules of Expenditures of Federal Awards are an integral part of this Schedule.

**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
**Notes to the Schedule of Expenditures of Federal Awards**  
**For the Year Ended June 30, 2005**

- (1) This schedule includes the federal awards activity of the Department and is presented on the modified accrual basis of accounting. The information in this schedule is presented in accordance with the requirements of OMB Circular A-133, "Audits of States, Local Governments, and Non-Profit Organizations."

**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
 Schedule of Appropriations, Expenditures and Lapsed Balances - Budgetary Basis  
 For the Year Ended June 30, 2005

	Appropriations (Net of Transfers)		Expenditures through June 30, 2005	Expenditures through August 31, 2005	Total Expenditures 14 Months Ended August 31, 2005	Balance Reappropriated July 1, 2005	Balance Lapsed August 31, 2005
	New	Reappropriated					
<b>Appropriated Funds</b>							
General Revenue (001):							
Division of Traffic Safety:							
Emissions Testing/Inspection Program	\$ 409,400	-	409,400	3,346	215,976	-	193,424
Total Division of Traffic Safety	409,400	-	409,400	3,346	215,976	-	193,424
Division of Aeronautics:							
Division of Aeronautics	2,104,900	-	2,104,900	-	2,104,812	-	88
Aeronautics - Executive Air Transport	371,700	-	371,700	30,109	187,797	-	183,903
Grants For Air Navigation Facilities	400,000	1,507,038	1,907,038	-	305,479	1,601,559	-
Aeronautics	35,000	-	35,000	-	13,990	-	21,010
Total Division of Aeronautics	2,911,600	1,507,038	4,418,638	30,109	2,612,078	1,601,559	205,001
Public Transportation:							
Technical and Transit Studies	90,000	-	90,000	-	31,731	320,032	-
Intertownship Transportation	700,000	261,763	961,763	-	-	500,000	200,000
Public Trans. Sec. 18 Admin. Expenses - Fed. Reimb.	433,500	-	433,500	2,420	100,252	-	333,248
Downstate/Regional Public Trans - Reduced Fares	38,350,000	-	38,350,000	5,942,806	37,583,000	-	767,000
Mass Transportation Facilities Improvement	-	5,000,000	5,000,000	-	722,690	-	4,277,310
Intercity Rail Passenger Service	12,100,000	-	12,100,000	-	12,100,000	-	-
Rail Freight Services Assistance	-	330,000	330,000	-	330,000	-	-
Total Public Transportation	51,673,500	5,591,763	57,265,263	5,945,226	50,867,673	820,032	5,577,558
<b>Total General Revenue (001)</b>	<b>54,994,500</b>	<b>7,098,801</b>	<b>62,093,301</b>	<b>5,978,681</b>	<b>53,695,727</b>	<b>2,421,591</b>	<b>5,975,983</b>

(Continued)

**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
 Schedule of Appropriations, Expenditures and Lapsed Balances - Budgetary Basis  
 For the Year Ended June 30, 2005

	Appropriations (Net of Transfers)		Expenditures through June 30, 2005	Expenditures through August 31, 2005	Total Expenditures 14 Months Ended August 31, 2005	Balance Reappropriated July 1, 2005	Balance Lapsed August 31, 2005
	New	Reappropriated					
<b>Road Fund (011):</b>							
<b>Central Administration and Planning:</b>							
Central Administration and Planning	\$ 33,014,253	-	29,821,777	1,147,794	30,969,571	-	2,044,682
Asbestos Abatement	552,400	2,037,928	204,872	-	204,872	2,385,456	-
Planning, Research and Development	480,000	1,084,710	120,000	-	120,000	1,444,710	-
Metro Planning - Federal/Local	25,000,000	21,903,575	20,790,540	-	20,790,540	26,113,035	-
Metro Planning - State Share	1,248,000	4,212,632	369,683	-	369,683	5,090,949	-
Intermodal Surface Trans - Federal Reimb.	1,750,000	-	1,164,178	-	1,164,178	585,822	-
Advance Demo Phase II	-	5,571,331	5,571,331	-	5,571,331	4,915,526	-
IDOT ITS Priority Program - Federal Reimb.	2,000,000	19,527,761	2,956,412	-	2,956,412	18,571,349	-
IDOT ITS Priority Program - State	2,880,000	15,222,746	1,932,903	-	1,932,903	16,169,843	-
Railroad Crossing Safety Monitoring - ICC	288,000	-	288,000	-	288,000	95,736	-
Information Processing	14,337,510	-	9,599,943	1,967,470	11,567,413	-	2,770,097
Central Administration - Workers' Compensation	1,125,479	-	1,114,213	-	1,114,213	-	11,266
Central Administration Tort Claims	509,300	-	305,866	20,457	326,323	-	182,977
Enhance, Congest and Air Quality Projects	40,000,000	39,956,743	37,451,337	-	37,451,337	42,505,406	-
Claims For Civil Lawsuits	249,600	-	249,600	-	249,600	-	189,866
Auto Liability Claims	1,854,900	-	1,416,121	438,779	1,854,900	-	-
IL Universities Research Grants	-	296,000	287,595	-	287,595	-	8,405
<b>Total Central Administration and Planning</b>	<b>125,289,442</b>	<b>109,813,426</b>	<b>108,443,243</b>	<b>3,574,500</b>	<b>112,017,743</b>	<b>117,196,274</b>	<b>5,888,851</b>
<b>Division of Highways:</b>							
<b>Central Division of Highways</b>							
Motorist Damage to State Vehicles and Equipment	42,064,005	-	38,201,673	1,306,163	39,507,836	-	2,556,169
Day Labor	633,600	347,631	399,657	-	399,657	581,574	-
District 1	7,203,374	-	6,478,807	506,949	6,985,756	-	217,618
District 2	138,151,067	-	126,349,660	8,137,886	134,487,546	-	3,663,521
District 3	45,833,611	-	41,002,661	2,475,433	43,478,094	-	2,355,517
District 4	42,324,666	-	37,936,619	2,640,739	40,577,358	-	1,747,308
District 5	36,335,234	-	33,047,174	2,315,099	35,362,273	-	972,961
District 6	37,401,952	-	33,435,627	2,379,994	35,815,621	-	1,586,331
District 7	39,922,271	-	36,103,935	1,958,303	38,062,238	-	1,860,033
District 8	27,141,186	-	24,246,559	1,630,308	25,876,867	-	1,264,319
District 9	51,706,208	-	46,188,971	3,562,702	49,751,673	-	1,954,535
County Engineers Compensation Program	26,197,313	-	23,055,143	1,635,458	24,690,601	-	1,506,712
R.R. Relocation	2,292,000	-	2,246,160	-	2,246,160	-	45,840
Maintenance Agreements	5,545,699	-	9,936	-	9,936	160,055	-
Land and Buildings	16,461,100	-	11,230,582	-	11,230,582	18,708,395	-
Hazardous Materials	9,000,000	-	4,986,622	-	4,986,622	23,568,453	-
Maintenance, Traffic and Physical Research Purposes	1,158,600	6,093,074	616,657	-	616,657	6,635,017	-
Motorist Damage to Highway Structures	38,336,200	11,837,387	33,120,698	2,056,154	35,176,852	13,447,773	1,548,962
Central Division of Highways Refunds	5,500,000	4,696,301	4,429,654	-	4,429,654	5,766,647	-
<b>Total Division of Highways</b>	<b>567,791,287</b>	<b>61,553,044</b>	<b>503,095,622</b>	<b>30,716,394</b>	<b>533,812,016</b>	<b>68,867,914</b>	<b>8,867</b>

(Continued)

**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
 Schedule of Appropriations, Expenditures and Lapsed Balances - Budgetary Basis  
 For the Year Ended June 30, 2005

	Appropriations (Net of Transfers)		Expenditures through June 30, 2005	Expenditures through August 31, 2005	Total Expenditures 14 Months Ended August 31, 2005	Balance Reappropriated July 1, 2005	Balance Lapsed August 31, 2005
	New	Reappropriated					
<b>Road Fund (011), continued:</b>							
<b>Construction:</b>							
Township Bridge	\$ 15,000,000	14,740,723	17,613,071	-	17,613,071	12,127,652	-
Apprenticeship Consultation W/ County Superintendents	35,814,300	-	35,098,014	-	35,098,014	67,559,877	716,286
Highway Construction - Consultant and Preliminary Eng.	-	121,691,709	121,691,709	-	53,631,832	398,297,920	500,000
Highway Construction - FY 2005	400,509,700	44,500,000	46,711,780	-	46,711,780	187,540,292	-
Highway Construction - FY 2004	-	347,886,126	160,345,834	-	160,345,834	225,067,184	-
Highway Construction - FY 2003	-	412,452,582	187,385,398	-	187,385,398	73,558,019	-
Highway Construction - FY 2002	-	110,691,191	37,133,172	-	37,133,172	96,204,247	-
Highway Construction - FY 2001	-	119,596,345	23,392,098	-	23,392,098	36,148,407	-
Highway Construction - FY 2000	-	41,251,616	5,103,209	-	5,103,209	13,360,719	-
Highway Construction - FY 1999	-	18,012,476	4,651,757	-	4,651,757	82,883,734	-
Highway Construction - FY 1998	-	90,015,161	7,131,427	-	7,131,427	-	-
<b>Total Construction</b>	<b>451,324,000</b>	<b>1,320,837,929</b>	<b>578,197,592</b>	<b>-</b>	<b>578,197,592</b>	<b>1,192,748,051</b>	<b>1,216,286</b>
<b>Division of Traffic Safety:</b>							
Traffic Safety	8,259,697	-	7,757,729	76,829	7,834,558	-	425,139
Primary Seatbelt Enforcement Incentive Grant	7,750,000	-	7,750,000	-	-	-	7,750,000
Traffic Safety Implementation Program	4,120,042	-	1,500,986	776,164	2,277,150	-	1,842,892
Commercial Motor Vehicle Safety	1,823,691	324,000	2,147,691	13,736	859,264	-	1,274,691
Impaired Driving Incentive Program	5,721,500	-	1,463,291	60,018	1,523,309	-	4,198,191
Alcohol Traffic Safety Program (410)	490,700	-	490,700	89,790	205,718	-	284,982
IL Liquor Control Commission - Impaired Driving Incentive	220,500	-	220,500	4,375	12,831	-	207,669
Secretary of State	429,500	-	429,500	83,953	351,549	-	77,609
Secretary of State - Alcohol Traffic Safety (410)	245,500	-	245,500	61,944	112,532	-	132,968
Department of Public Health	111,500	-	111,500	49,427	107,416	-	4,084
Department of State Police	2,966,200	-	2,966,200	599,898	2,572,239	-	393,961
Department of State Police - Commercial Motor Vehicle	9,158,555	-	5,902,262	558,978	6,461,240	-	2,697,315
Department of State Police - Impaired Driving Incentive	63,600	-	63,600	49,235	60,650	-	2,950
Department of State Police - Alcohol Traffic Safety (410)	1,087,300	-	1,087,300	84,280	944,678	-	142,622
Illinois Local Law Enforcement Standards Training Board	125,000	-	125,000	13,712	95,173	-	29,827
Illinois Local Law Enforcement Standards Training BD (410)	225,000	-	225,000	16,151	122,432	-	102,568
Administrative Office of Illinois Courts (410)	32,000	-	32,000	17,578	28,764	-	3,236
Highway Safety Programs - Local Government Projects	5,269,200	-	15,487,990	-	4,344,895	11,143,095	-
Impaired Driving Incentive Program - Local Govern Proj.	1,311,400	-	1,992,182	-	919,190	2,384,392	-
Alcohol Safety Programs (410)	1,593,200	-	5,357,915	-	1,297,602	4,060,313	-
Traffic Safety Refunds	8,800	-	8,800	3,958	3,958	-	4,842
<b>Total Division of Traffic Safety</b>	<b>51,012,885</b>	<b>16,299,687</b>	<b>27,593,158</b>	<b>2,556,068</b>	<b>30,149,226</b>	<b>17,587,800</b>	<b>19,575,546</b>
<b>Road Fund (011), continued:</b>							
<b>Division of Aeronautics:</b>							
Division of Aeronautics	8,954,636	-	7,268,083	494,662	7,762,745	-	1,191,891
<b>Total Division of Aeronautics</b>	<b>8,954,636</b>	<b>-</b>	<b>7,268,083</b>	<b>494,662</b>	<b>7,762,745</b>	<b>-</b>	<b>1,191,891</b>

(Continued)



**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
 Schedule of Appropriations, Expenditures and Lapsed Balances - Budgetary Basis  
 For the Year Ended June 30, 2005

	Appropriations (Net of Transfers)		Expenditures through June 30, 2005	Expenditures through August 31, 2005	Total Expenditures 14 Months Ended August 31, 2005	Balance Reappropriated July 1, 2005	Balance Lapsed August 31, 2005
	New	Total					
Division of Public Transportation	\$ 1,974,502	1,974,502	1,827,165	10,802	1,837,967	-	136,535
Division of Public Transportation	1,974,502	1,974,502	1,827,165	10,802	1,837,967	-	136,535
Total Division of Public Transportation	1,206,346,752	2,714,850,838	1,226,424,863	37,352,426	1,263,777,289	1,396,400,039	54,673,510
Motor Fuel Tax Fund (012):	9,169,000	9,169,000	8,420,786	174,872	8,595,658	-	573,342
Motor Fuel Tax (413)	232,300,000	232,300,000	206,742,359	17,536,042	224,278,401	-	8,021,599
Motor Fuel Tax (414)	325,800,000	325,800,000	289,947,153	24,593,535	314,540,688	-	11,259,312
Motor Fuel Tax (415)	105,500,000	105,500,000	93,834,221	7,959,089	101,793,310	-	3,706,690
Total Motor Fuel Tax Fund (012)	672,769,000	672,769,000	598,944,519	50,263,538	649,208,057	-	23,560,943
Grade Crossing Protection Fund (019)	26,250,000	66,568,837	29,161,004	-	29,161,004	63,657,833	-
Aeronautics Fund (046)	150,000	150,000	23,924	-	23,924	-	126,076
Federal/Local Airport Fund (095)	204,042,900	201,391,089	131,243,752	-	131,243,752	274,190,237	-
Capital Development Fund (141)	-	182,953	-	-	-	76,393	106,560
State Rail Freight Loan Repayment Fund (265)	3,500,000	14,652,445	6,081,538	-	6,081,538	12,070,907	-
Air Transportation Revolving Fund (309)	800,000	800,000	449,919	124,883	574,802	-	225,198
Tax Recovery Fund (310)	250,000	250,000	219,162	-	219,162	-	30,838
Federal High Speed Rail Trust Fund (433)	5,000,000	11,171,877	871,634	-	871,634	15,300,243	-
Federal Civil Preparedness Admin Fund (497)	-	12,270,000	2,688,046	-	2,688,046	9,581,954	-
Bond Series "A" Fund (553)	-	694,187,026	343,761,519	-	343,761,519	350,425,507	-
Bond Series "B" Fund (554)	-	-	-	-	-	-	-
Division of Aeronautics:	-	-	-	-	-	-	-
Grants For Air Navigation Facilities	-	45,871,844	9,590,106	-	9,590,106	36,281,738	-
Construction - Airport	-	31,636,507	2,352,207	-	2,352,207	29,284,300	-
Total Division of Aeronautics	-	77,508,351	11,942,313	-	11,942,313	65,566,038	-
Public Transportation:	-	-	-	-	-	-	-
Public Transportation Grants - FY 2004	-	96,000,000	5,826,678	-	5,826,678	26,469,923	63,703,399
Public Transportation Grants - FY 2003	-	226,623,844	51,297,325	-	51,297,325	149,140,453	26,186,066
Public Transportation Grants - Prior to FY 2001	-	16,839,403	70,199	-	70,199	6,336,389	10,432,815
Rail Freight Services Assistance	-	44,774,576	5,680,905	-	5,680,905	39,093,671	-
Total Public Transportation	-	384,237,823	62,875,107	-	62,875,107	221,040,436	100,322,280
Total Bond Series "B" Fund (554)	-	461,746,174	74,817,420	-	74,817,420	286,606,474	100,322,280

(Continued)

**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
 Schedule of Appropriations, Expenditures and Lapsed Balances - Budgetary Basis  
 For the Year Ended June 30, 2005

	Appropriations (Net of Transfers)		Expenditures through June 30, 2005	Expenditures through August 31, 2005	Total Expenditures 14 Months Ended August 31, 2005	Balance Reappropriated July 1, 2005	Balance Lapsed August 31, 2005
	New	Reappropriated					
Highway Hireback Fund (569)	\$ 500,000	-	-	-	-	-	500,000
Public Transportation Fund (627)	334,000,000	-	272,792,958	-	272,792,958	-	61,207,042
Downstate Public Transportation Fund (648)	55,444,100	-	41,193,794	2,173,117	43,366,911	-	12,077,189
Metro-East Public Transportation Fund (794)	17,800,000	-	16,223,727	1,220,273	17,444,000	-	356,000
Federal Mass Transit Fund (853)	631,000	1,686,599	451,242	-	451,242	1,866,357	-
Public Transportation Transit Studies - Federal Reimb.	15,039,000	54,371,163	9,145,893	-	9,145,893	60,264,270	-
Federal Share of Capital & Operating Grants	-	-	-	-	-	-	-
Total Federal Mass Transit (853)	15,670,000	56,057,762	9,597,135	-	9,597,135	62,130,627	-
Cycle Rider Safety Fund (863)	260,400	-	167,352	1,057	168,409	-	91,991
Cycle Rider Safety Fund Grants	2,600,000	2,821,014	2,768,168	-	2,768,168	2,652,846	-
Total Cycle Rider Safety Fund (863)	2,860,400	2,821,014	2,935,520	1,057	2,936,577	2,652,846	91,991
State Construction Fund (902)	107,465,000	145,639,595	77,251,474	-	77,251,474	175,853,121	-
Consultant & Preliminary Engineering	1,052,225,900	-	318,519,687	-	318,519,687	733,706,213	-
Construction - FY 2005	-	380,420,222	262,440,303	-	262,440,303	117,979,919	-
Construction - FY 2004	-	61,371,375	36,780,322	-	36,780,322	24,591,053	-
Construction - FY 2003	-	87,312,787	34,972,359	-	34,972,359	52,340,428	-
Construction - Prior to FY 2003	-	-	-	-	-	-	-
Total State Construction Fund (902)	1,159,690,900	674,743,979	729,964,145	-	729,964,145	1,104,470,734	-
Rail Freight Loan Repayment Fund (936)	1,100,000	4,126,935	533,696	-	533,696	4,693,239	-
Total Appropriations (All Funds)	\$ 3,761,168,552	3,715,522,978	3,535,645,321	97,113,975	3,632,759,296	3,584,678,624	259,253,610

(Continued)

STATE OF ILLINOIS  
DEPARTMENT OF TRANSPORTATION  
Schedule of Appropriations, Expenditures and Lapsed Balances - Budgetary Basis  
For the Year Ended June 30, 2005

	Appropriations (Net of Transfers)		Total	Expenditures through June 30, 2005	Expenditures through August 31, 2005	Total Expenditures 14 Months Ended August 31, 2005	Balance Reappropriated July 1, 2005	Balance Lapsed August 31, 2005
	New	Reappropriated						
Central Administration and Planning	\$ 125,289,442	109,813,426	235,102,868	108,443,243	3,574,500	112,017,743	117,196,274	5,888,851
Division of Highways	1,267,310,287	140,391,881	1,407,702,168	1,133,889,191	80,979,932	1,214,869,123	142,107,701	50,725,344
Construction	1,611,014,900	2,689,951,887	4,300,966,787	1,651,923,256	-	1,651,923,256	2,647,720,685	1,322,846
Division of Traffic Safety	54,282,685	19,120,701	73,403,386	30,741,308	2,560,471	33,301,779	20,240,646	19,860,961
Division of Aeronautics	217,109,136	280,406,478	497,515,614	153,729,122	649,654	154,378,776	341,357,834	1,779,004
Public Transportation	486,162,102	475,838,605	962,000,707	456,919,201	9,349,418	466,268,619	316,055,484	179,676,604
Total	\$ 3,761,168,552	3,715,522,978	7,476,691,530	3,535,645,321	97,113,975	3,632,759,296	3,584,678,624	259,253,610
Non-Appropriated Expenditures Federal/Local Airport Fund (095) Refunds to Locals				5,035,913	-	5,035,913		
<b>GRAND TOTAL - ALL FUNDS</b>				3,540,681,234	97,113,975	3,637,795,209		

Footnote: Data was obtained from Department records reconciled with the State Comptroller.

STATE OF ILLINOIS  
ILLINOIS DEPARTMENT OF TRANSPORTATION  
Comparative Schedule of Net Appropriations, Expenditures  
and Lapsed Balances - Budgetary Basis  
For the Years Ended June 30, 2005 and 2004

Expenditures:	FY 2005	FY 2004
Division of Highways and General Administration:	*See Below	** See Below
Operations:		
Personal Services	\$ 314,571,187	312,794,864
Retirement/Employee Pickup	449,563	10,566,668
Extra Help	16,218,066	19,376,564
Retirement	52,009,586	44,782,970
Social Security	23,911,698	24,529,007
Group Insurance	1,275,103	1,070,315
Contractual Services	53,348,857	57,004,407
Travel	1,692,212	1,933,213
Commodities	18,626,179	18,629,382
Printing	309,738	404,551
Cars and Trucks	5,535,163	5,382,117
Equipment	5,779,254	5,740,076
Electronic Data Processing	338,331	1,092,455
Telecommunications	6,663,984	7,587,724
Operation of Auto Equipment	24,979,584	26,432,681
Central Admin/Workers' Compensation	1,114,213	14,599,882
Central Admin/Tort Claims PA 80-1078	326,323	183,528
Division of Highways - Refunds	120,033	74,722
	<u>527,289,074</u>	<u>552,185,126</u>
Highway Construction:		
Road Fund	578,197,592	1,020,984,198
Capital Development	-	97,439
Bond Series "A"	343,761,519	386,380,199
State Construction	729,964,145	672,265,405
	<u>1,651,923,256</u>	<u>2,079,727,241</u>
Special Purposes:		
Asbestos Abatement	204,872	272,692
Planning, Research and Development	120,000	90,000
Metro Planning - Federal/Local	20,790,540	22,435,696
Metro Planning - State Share	369,683	521,152
TEA-21 - Federal Reimbursement	1,164,178	1,090,545
Advance Demo Phase II	655,805	1,070,722
IDOT ITS Priority Program - Federal Reimb.	2,956,412	1,553,122
IDOT ITS Priority Program - State	1,932,903	1,772,942
Railroad Crossing Safety Monitoring - ICC	192,264	-
Motorist Damage to State Vehicles and Equipment	399,657	574,584
Enhance, Congest and Air Quality Projects	37,451,337	9,724,065
Claims for Civil Lawsuits	59,734	17,500
Auto Liability Claims	1,854,900	1,799,829
IL Universities Research Grants	287,595	881,587
County Engineers Compensation Program	2,246,160	1,736,142
R.R. Relocation	9,936	-
Maintenance Agreements	11,230,582	11,527,176
Motor Fuel Tax Distributions Funds	640,612,399	628,025,193
Land and Buildings	4,986,622	4,831,478
Hazardous Materials	616,657	502,842
Maintenance, Traffic and Physical Research Purposes	35,176,852	35,434,300
Motorist Damage to Highway Structures	4,429,654	4,140,450
Grade Crossing Protection	29,161,004	21,557,504
Civil Defense Preparedness	2,688,046	-
	<u>799,597,792</u>	<u>749,559,521</u>
Total Expenditures - Division of Highways and General Administration	2,978,810,122	3,381,471,888
Reappropriated Balances	2,907,024,660	2,895,361,156
Lapsed Balances	57,937,041	185,127,316
Total Appropriations (Net After Transfers)	<u>\$ 5,943,771,823</u>	<u>6,461,960,360</u>

STATE OF ILLINOIS  
**ILLINOIS DEPARTMENT OF TRANSPORTATION**  
 Comparative Schedule of Net Appropriations, Expenditures  
 and Lapsed Balances - Budgetary Basis  
 For the Years Ended June 30, 2005 and 2004

Expenditures:	FY 2005	FY 2004
Division of Traffic Safety:	* See Below	** See Below
Operations		
Personal Services	\$ 5,944,516	7,433,263
Retirement/Employee Pickup	5,179	136,894
Retirement	940,912	1,000,133
Social Security	422,447	537,876
Cycle Rider Safety - Group Insurance	27,005	32,292
Contractual Services	4,480,228	5,262,047
Travel	88,581	112,723
Commodities	302,118	156,628
Printing	338,938	289,102
Equipment	151,351	132,779
Telecommunications	102,868	112,287
Operation of Auto Equipment	78,000	95,002
Traffic Safety - Refunds	3,958	3,772
	<u>12,886,101</u>	<u>15,304,798</u>
Grants -		
Other Agency Grants	<u>10,869,847</u>	<u>8,104,036</u>
Special Purposes:		
Emissions Testing/Inspection Program	215,976	148,011
Highway Safety Programs - Local Government Projects	4,344,895	4,647,498
Impaired Driving Incentive Program - Local Government Projects	919,190	2,093,777
Alcohol Safety Programs (410)	1,297,602	1,709,946
Cycle Rider Safety Training Fund	2,768,168	2,371,225
	<u>9,545,831</u>	<u>10,970,457</u>
Total Expenditures - Division of Traffic Safety	33,301,779	34,379,291
Reappropriated Balances	20,240,846	18,796,698
Lapsed Balances	<u>19,860,961</u>	<u>4,442,203</u>
Total Appropriations (Net After Transfers)	<u>\$ 73,403,386</u>	<u>57,618,192</u>

STATE OF ILLINOIS  
ILLINOIS DEPARTMENT OF TRANSPORTATION  
Comparative Schedule of Net Appropriations, Expenditures  
and Lapsed Balances - Budgetary Basis  
For the Years Ended June 30, 2005 and 2004

Expenditures:	FY 2005	FY 2004
Division of Public Transportation:	* See Below	** See Below
Operations:		
Personal Services	\$ 1,431,778	1,352,415
Retirement/Employee Pickup	401	10,312
Retirement	229,851	121,799
Social Security	104,057	99,106
Contractual Services	24,468	21,874
Travel	16,128	16,898
Commodities	2,336	2,386
Equipment	1,688	2,248
Telecommunications	18,996	18,192
Operation of Auto Equipment	8,264	8,200
	<u>1,837,967</u>	<u>1,653,430</u>
Special Purposes:		
Technical and Transit Studies	31,731	156,452
Public Trans SEC. 18 Admin. Expenses - Fed. Reimb.	100,252	145,500
Public Transportation Transit Studies - Federal Reimb.	451,242	679,337
Downstate/Regional Public Transportation - Reduced Fares	37,583,000	39,543,000
Mass Transportation Facilities Improvement	722,690	2,890,664
Intercity Rail Passenger Service	12,100,000	11,919,400
Rail Freight Services Assistance	330,000	1,611,567
High Speed Rail Project	-	94,360
State Rail Freight Loan Repayment	6,081,538	2,293,279
Rail Freight Loan Repayment	533,696	362,278
Federal High Speed Rail Trust	871,634	6,084,320
Metro-East Public Transportation	17,444,000	17,032,709
Public Transportation Grants - FY 2004	5,826,678	-
Public Transportation Grants - FY 2003	51,297,325	79,255,941
Public Transportation Grants - Prior to FY 2001	70,199	870,518
Rail Freight Services Assistance	5,680,905	10,944,389
Public Transportation Funds	316,159,869	290,107,291
Federal Share of Capital and Operating Grants	9,145,893	18,581,846
	<u>464,430,652</u>	<u>482,572,851</u>
Total Expenditures - Division of Public Transportation	466,268,619	484,226,281
Reappropriated Balances	316,055,484	470,838,599
Lapsed Balances	<u>179,676,604</u>	<u>92,179,415</u>
Total Appropriations (Net After Transfers)	<u>\$ 962,000,707</u>	<u>1,047,244,295</u>

STATE OF ILLINOIS  
**ILLINOIS DEPARTMENT OF TRANSPORTATION**  
 Comparative Schedule of Net Appropriations, Expenditures  
 and Lapsed Balances - Budgetary Basis  
 For the Years Ended June 30, 2005 and 2004

Expenditures:	FY 2005	FY 2004
Division of Aeronautics:	* See Below	** See Below
Operations:		
Personal Services	\$ 4,092,435	4,542,852
Retirement/Employee Pickup	4,496	114,758
Retirement	646,556	611,451
Social Security	299,788	339,377
Contractual Services	2,615,479	2,822,549
Travel	244,845	264,660
Commodities	732,977	587,527
Equipment	2,162,746	2,190,134
Telecommunications	58,599	75,476
Operation of Auto Equipment	15,321	18,403
	<u>10,873,242</u>	<u>11,567,187</u>
Special Purposes:		
Grants for Air Navigation Facilities	9,895,585	14,862,347
Federal Local Airport	131,243,752	105,520,130
Airport Construction	2,352,207	4,574,069
Aeronautics Refunds	13,990	16,477
	<u>143,505,534</u>	<u>124,973,023</u>
Total Expenditures - Division of Aeronautics	154,378,776	136,540,210
Reappropriated Balances	341,357,834	280,406,476
Lapsed Balances	<u>1,779,004</u>	<u>7,897,025</u>
Total Appropriations (Net After Transfers)	<u>\$ 497,515,614</u>	<u>424,843,711</u>

**STATE OF ILLINOIS**  
**ILLINOIS DEPARTMENT OF TRANSPORTATION**  
 Comparative Schedule of Net Appropriations, Expenditures  
 and Lapsed Balances - Budgetary Basis  
 For the Years Ended June 30, 2005 and 2004

	FY2005 * See Below	FY2004 ** See Below
<b>Expenditure Summary by Fund - All Divisions:</b>		
General Revenue	\$ 53,695,727	60,629,797
Road Fund	1,263,777,289	1,704,713,620
Motor Fuel Tax	8,595,658	8,362,155
Grade Crossing Protection	29,161,004	21,557,504
Aeronautics	23,924	289,197
Federal/Local Airport	131,243,752	105,520,130
Capital Development	-	97,439
State Rail Freight Loan Repayment	6,081,538	2,293,279
Air Transportation Revolving	574,802	701,655
Tax Recovery Fund	219,162	-
Motor Fuel Tax County District	224,278,401	219,871,620
Motor Fuel Tax Municipal District	314,540,688	308,360,370
Motor Fuel Tax Road District	101,793,310	99,793,203
Federal High Speed Rail Trust	871,634	6,084,320
Federal Civil Preparedness	2,688,046	-
Bond Series "A"	343,761,519	386,380,199
Bond Series "B"	74,817,420	110,348,731
Public Transportation	272,792,958	250,156,578
Downstate Public Transportation	43,366,911	39,950,713
Metro-East Public Transportation	17,444,000	17,032,709
Federal Mass Transit	9,597,135	19,261,183
Cycle Rider Safety	2,936,577	2,585,585
State Construction	729,964,145	672,265,405
Rail Freight Loan Repayment	533,696	362,278
Total Expenditures - Expenditure Summary by Fund - All Divisions	3,632,759,296	4,036,617,670
Reappropriated Balances	3,584,678,624	3,665,402,929
Lapsed Balances	259,253,610	289,645,959
Total Appropriations (Net After Transfers)	\$ 7,476,691,530	7,991,666,558
<b>Salary of Department Officials as Reported Within the Comptroller's State Officers Appropriation:</b>		
Salary of the Secretary	\$ 127,600	127,600
Salary of the Assistant Secretary	108,500	108,500
Total Expenditures-Salary of State Officers	\$ 236,100	236,100

\* PA 94-15, PA 93-0681, PA 93-842, and PA 93-1070

\*\* PA 93-14, PA 93-91, PA 93-587, PA 93-635, and PA 93-664



**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
 Schedule of Expenditures by Category  
 For the Year Ended June 30, 2005

FUND	OPERATIONS	GRANTS	CONSTRUCTION	PERMANENT IMPROVEMENTS	TOTAL
GENERAL REVENUE	\$ 2,654,557	51,041,170	-	-	53,695,727
ROAD FUND	579,227,445	113,853,553	565,709,668	4,986,623	1,263,777,289
MOTOR FUEL TAX	8,595,658	-	-	-	8,595,658
GRADE CROSSING PROTECTION	-	-	29,161,004	-	29,161,004
AERONAUTICS	23,924	-	-	-	23,924
FEDERAL/LOCAL AIRPORT	-	131,243,752	-	-	131,243,752
STATE RAIL FREIGHT LOAN REPAYMENT	-	6,081,538	-	-	6,081,538
AIR TRANSPORTATION REVOLVING	574,802	-	-	-	574,802
TAX RECOVERY FUND	219,162	-	-	-	219,162
MOTOR FUEL TAX COUNTY DIST	-	224,278,401	-	-	224,278,401
MOTOR FUEL TAX MUNICIPAL DIST	-	314,540,688	-	-	314,540,688
MOTOR FUEL TAX ROAD DISTRICT DIST	-	101,793,310	-	-	101,793,310
FEDERAL HIGH SPEED RAIL TRUST	-	871,634	-	-	871,634
FEDERAL CIVIL PREPAREDNESS ADMIN FUND	2,688,046	-	-	-	2,688,046
BOND SERIES "A"	-	-	343,761,519	-	343,761,519
BOND SERIES "B"	-	72,465,213	2,352,207	-	74,817,420
PUBLIC TRANSPORTATION	-	272,792,958	-	-	272,792,958
DOWNSTATE PUBLIC TRANSPORTATION	-	43,366,911	-	-	43,366,911
METRO-EAST PUBLIC TRANSPORTATION	-	17,444,000	-	-	17,444,000
FEDERAL MASS TRANSIT	451,242	9,145,893	-	-	9,597,135
CYCLE RIDER SAFETY	168,409	2,768,168	-	-	2,936,577
STATE CONSTRUCTION	-	-	729,964,145	-	729,964,145
RAIL FREIGHT LOAN REPAYMENT	-	533,696	-	-	533,696
<b>TOTAL EXPENDITURES</b>	<b>\$ 594,603,245</b>	<b>1,362,220,885</b>	<b>1,670,948,543</b>	<b>4,986,623</b>	<b>3,632,759,296</b>

STATE OF ILLINOIS  
DEPARTMENT OF TRANSPORTATION  
Operations  
Schedule of Expenditures by Major Object Classification Operations - Budgetary Basis  
For the Year Ended June 30, 2005

	General Fund	Road Fund	Motor Fuel Tax Fund	Aeronautics Fund	Air Transportation Revolving Fund	Tax Recovery Fund	Federal Civil Preparedness Fund	Federal Mass Transit Fund	Cycle Rider Safety Fund	Total
Personal Services	\$ 199,159	339,355,925	5,780,746	-	-	-	-	-	107,440	345,443,270
Other Payroll Costs:										
Retirement - Other Employer Contributions	32,211	54,558,317	930,412	-	-	-	-	-	17,306	55,538,246
Retirement - Employee Pickup Contributions	4,436	8,144,932	54,310	-	-	-	-	-	202	8,203,880
FICA	14,501	24,620,069	420,675	-	-	-	-	-	8,011	25,063,256
Group Insurance	2,635	-	1,275,103	-	-	-	-	-	27,004	1,304,742
Total Other Payroll Costs	53,783	87,323,318	2,680,500	-	-	-	-	-	52,523	90,110,124
Contractual Services	37,762	81,413,291	36,918	-	574,802	96,541	-	16,148	1,809	82,177,271
All Other Operations	2,363,853	71,134,911	97,494	23,924	-	122,621	2,688,046	435,094	6,637	76,872,580
Total Operations	\$ 2,654,557	579,227,445	8,595,658	23,924	574,802	219,162	2,688,046	451,242	168,409	594,603,245

**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
 Schedule of Expenditures By Major Object Classification - Budgetary Basis  
 Lump Sum Appropriations and Other State Agency Grants - Operations  
 For the Year Ended June 30, 2005

	Personal Services	Other Payroll Costs	Contractual Services	All Other Operations	Total Operations
<b>General Revenue:</b>					
Emissions Testing/Inspection Program	\$ 123,354	35,756	17,411	39,455	215,976
Technical & Transit Studies	-	-	16,351	15,380	31,731
Public Trans. Sec. 18 Admin. Expenses - Fed. Reimb.	75,805	18,027	4,000	2,420	100,252
	<u>199,159</u>	<u>53,783</u>	<u>37,762</u>	<u>57,255</u>	<u>347,959</u>
<b>Road Fund:</b>					
Asbestos Abatement	-	-	204,872	-	204,872
Planning, Research & Development	-	-	120,000	-	120,000
Metro Planning - Federal/Local	1,993,030	471,674	17,448,063	877,773	20,790,540
Metro Planning - State Share	-	-	369,683	-	369,683
Tea-21 - Federal Reimbursement	942,044	222,134	-	-	1,164,178
Advance Demo Phase II	-	-	626,819	28,986	655,805
IDOT ITS Priority Program - Federal Reimb.	-	-	1,489,953	1,466,459	2,956,412
IDOT ITS Priority Program - State	263,474	61,396	746,645	861,388	1,932,903
Railroad Crossing Safety Monitoring - ICC	-	-	192,264	-	192,264
Motorist Damage to State Vehicles & Equipment	-	-	53,302	346,355	399,657
IL Liquor Control Commission - Impaired Driving Incentive Prog	-	-	5,975	6,856	12,831
Secretary of State	148,938	36,043	57,619	109,291	351,891
Secretary of State - Impaired Driving Incentive Program	-	-	-	60,650	60,650
Secretary of State - Alcohol Traffic Safety (410)	25,254	5,538	20,060	61,680	112,532
Department of Public Health	-	-	107,416	-	107,416
Department of State Police	2,038,807	399,491	15,590	118,351	2,572,239
Department of State Police - Commercial Motor Vehicle	4,393,105	829,455	88,468	1,150,212	6,461,240
Department of State Police - Alcohol Traffic Safety (410)	740,267	145,177	-	59,234	944,678
Illinois Local Law Enforcement Standards Training Board	-	-	95,173	-	95,173
Illinois Local Law Enforcement Standards Training Board (410)	-	-	122,282	150	122,432
Administrative Office of Illinois Courts (410)	-	-	12,766	15,998	28,764
	<u>10,544,919</u>	<u>2,170,908</u>	<u>21,776,950</u>	<u>5,163,383</u>	<u>39,656,160</u>
<b>Tax Recovery Fund</b>					
Property maintenance and property tax compensation	-	-	96,541	122,621	219,162
	<u>-</u>	<u>-</u>	<u>96,541</u>	<u>122,621</u>	<u>219,162</u>
<b>Federal Civil Preparedness Administration Fund</b>					
Cost associated with Illinois Terrorism Task Force	-	-	-	2,688,046	2,688,046
	<u>-</u>	<u>-</u>	<u>-</u>	<u>2,688,046</u>	<u>2,688,046</u>
<b>Federal Mass Transit</b>					
Public Transportation Transit Studies - Federal Reimb.	-	-	16,148	435,094	451,242
	<u>-</u>	<u>-</u>	<u>16,148</u>	<u>435,094</u>	<u>451,242</u>
	<u>\$ 10,744,078</u>	<u>2,224,691</u>	<u>21,927,401</u>	<u>8,466,399</u>	<u>43,362,569</u>

**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
Schedule of Expenditures By Major Object Classification - Budgetary Basis  
Lump Sum Appropriations and Other State Agency Grants - Other Payroll Costs  
For the Year Ended June 30, 2005

	Retirement			Group Insurance	Total Other Payroll Costs
	Employer Contribution	Employee Pickup Contribution	FICA		
General Revenue					
Emissions Testing/Inspection Program	\$ 19,998	3,854	9,270	2,634	35,756
Public Trans. Sec. 18 Admin. Expenses - Fed. Reimb.	12,214	582	5,231	-	18,027
	<u>32,212</u>	<u>4,436</u>	<u>14,501</u>	<u>2,634</u>	<u>53,783</u>
Road Fund					
Metro Planning - Federal/Local	316,463	17,398	137,813	-	471,674
Tea-21 - Federal Reimbursement	151,767	1,262	69,105	-	222,134
IDOT ITS Priority Program - State	42,475	-	18,921	-	61,396
Secretary of State	23,691	6,145	6,207	-	36,043
Secretary of State - Alcohol Traffic Safety (410)	4,037	1,168	333	-	5,538
Department of State Police	326,809	50,708	21,974	-	399,491
Department of State Police - Commercial Motor Vehicle	694,881	86,614	47,960	-	829,455
Department of State Police - Alcohol Traffic Safety (410)	119,006	17,718	8,453	-	145,177
	<u>1,679,129</u>	<u>181,013</u>	<u>310,766</u>	<u>-</u>	<u>2,170,908</u>
Total Expenditures	<u>\$ 1,711,341</u>	<u>185,449</u>	<u>325,267</u>	<u>2,634</u>	<u>2,224,691</u>

**STATE OF ILLINOIS**  
**ILLINOIS DEPARTMENT OF TRANSPORTATION**  
 Comparative Schedule of Efficiency Initiative Payments  
 For the Years Ended June 30, 2005 and 2004

<u>Procurement Efficiency Initiative</u>	<u>2005</u>	<u>2004</u>
Road Fund-011		
Contractual Services	\$ 29,878	3,068,741
Travel	-	155,200
Commodities	21,266	776,600
Printing	26,829	-
Equipment	-	914,584
Telecommunications Services	-	629,700
Operation of Automotive	-	2,322,875
Permanent Improvements	-	500,000
Highway Construction	151,853	8,693,500
Sub-Total	<u>229,826</u>	<u>17,061,200</u>
Motor Fuel Tax-012		
Printing	<u>2,247</u>	<u>-</u>
State Construction-902		
Highway Construction	<u>89,232</u>	<u>-</u>
Sub-Total	<u>321,305</u>	<u>17,061,200</u>
 <u>Information Technology Initiatives</u>		
Road Fund-011		
Contractual Services	-	710,700
Equipment	-	944,816
Electronic Data Processing	-	434,000
Sub-Total	<u>-</u>	<u>2,089,516</u>
 <u>Vehicle Fleet Initiatives</u>		
Road Fund-011		
Operation of Automotive & Equipment	<u>-</u>	<u>1,213,125</u>
Grand Total	<u>\$ 321,305</u>	<u>20,363,841</u>

**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
 Comparative Schedule of Cash Receipts  
 and Reconciliation of Cash Receipts to Deposits to the State Comptroller  
 For the Years Ended June 30, 2005 and 2004

	General Revenue Fund 001		Road Fund Fund 011		Aeronautics Fund 046	
	2005	2004	2005	2004	2005	2004
<b>Receipts</b>						
Reported receipts by source:						
Federal aid reimbursements	\$ 353,167	361,416	866,787,456	887,097,449	-	-
Local governmental units	-	235,798	60,945,123	64,303,529	-	-
Highway traffic and sign permits	-	-	16,537,513	14,032,109	-	-
Fees and licenses	-	-	22,125	-	22,464	290,354
Fines and penalties	-	-	624,404	420,254	-	-
Other state agencies	-	-	42,518	-	-	-
Vehicle safety inspection	-	11,135	416,836	415,888	-	-
Damage claims & workers' compensation	-	-	5,147,885	5,887,816	-	-
Sale of used vehicles and equipment	-	-	189,179	451,172	-	-
Rental of real property	5,472	-	1,079,306	-	-	-
Sale of land	-	-	970,313	-	-	-
Miscellaneous	-	34	205,908	125,097	-	-
Repayment of federally funded projects from grantees	1,792	2,641	-	-	-	-
Repayment from Mass Transit Districts	-	-	-	-	-	-
Joint Improvements - II, Tollway & others	-	-	6,505,430	-	-	-
Loan repayments	302,869	290,854	-	-	-	-
Subscriptions or Publications	-	-	69,020	532,018	-	-
Reimbursement of costs incurred on behalf of the federal government	-	-	-	-	-	-
Reimbursement - Private sector	-	-	1,295,533	340,472	-	-
Other departmental funds	-	-	9,013,902	-	-	-
Deposits remitted to the Comptroller	663,300	901,878	969,852,451	86,309,695	22,464	290,354
			1,059,915,499	1,059,915,499		
Deposits in transit:						
Beginning of year	69,752	5,415	1,159,453	1,697,681	2,115	180
End of year	72,307	69,752	1,131,687	1,159,453	1,113	2,115
Recorded receipts per Department	\$ 665,855	966,215	969,824,685	1,059,377,271	21,462	292,289

(Continued)

Footnotes:  
 1) Data taken from Department records has been reconciled to those of the State Comptroller.

STATE OF ILLINOIS  
DEPARTMENT OF TRANSPORTATION  
Comparative Schedule of Cash Receipts  
and Reconciliation of Cash Receipts to Deposits to the State Comptroller  
For the Years Ended June 30, 2005 and 2004

	Federal/Local Airport Fund 095		General Obligation B.R.&I. Fund 101		State Rail Fgt Loan Repay Fund 265	
	2005	2004	2005	2004	2005	2004
<b>Receipts</b>						
Reported receipts by source:						
Federal aid reimbursements	\$ 121,034,485	86,623,749	-	-	-	-
Local governmental units	14,701,224	20,722,537	-	-	-	-
Highway traffic and sign permits	-	-	-	-	-	-
Fees and licenses	-	-	-	-	-	-
Fines and penalties	-	-	-	-	-	-
Other state agencies	-	-	-	-	-	-
Vehicle safety inspection	-	-	-	-	-	-
Damage claims & workers' compensation	-	-	-	-	-	-
Sale of used vehicles and equipment	-	-	-	-	-	-
Rental of real property	-	-	-	-	-	-
Sale of land	-	-	-	-	-	-
Miscellaneous	-	-	-	-	-	-
Repayment of federally funded projects from grantees	-	-	-	-	-	-
Repayment from Mass Transit Districts	-	-	198,182	149,374	-	-
Joint Improvements - I. Tollway & others	-	-	49,113	491,661	-	-
Loan repayments	-	-	-	-	3,150,473	3,458,603
Subscriptions or Publications	-	-	1,714,000	1,714,001	-	-
Reimbursement of costs incurred on behalf of the federal government	-	-	-	-	-	-
Reimbursement - Private sector	-	-	-	-	-	-
Other departmental funds	-	-	-	-	-	-
Deposits remitted to the Comptroller	135,735,709	107,346,286	1,961,295	2,355,036	3,150,473	3,458,603
Deposits in transit:						
Beginning of year	39,348	452	-	-	-	-
End of year	30,144	39,348	-	-	79,980	-
Recorded receipts per Department	\$ 135,726,505	107,385,182	1,961,295	2,355,036	3,230,453	3,458,603

(Continued)

Footnotes:  
1) Data taken from Department records has been reconciled to those of the State Comptroller.

STATE OF ILLINOIS  
 DEPARTMENT OF TRANSPORTATION  
 Comparative Schedule of Cash Receipts  
 and Reconciliation of Cash Receipts to Deposits to the State Comptroller  
 For the Years Ended June 30, 2005 and 2004

	Air Transportation Fund 309		Tax Recovery Fund 310		Federal High Speed Rail Fund 433	
	2005	2004	2005	2004	2005	2004
<b>Receipts</b>						
Reported receipts by source:						
Federal aid reimbursements	\$ -	-	-	-	-	5,000,000
Local governmental units	-	-	-	-	-	-
Highway traffic and sign permits	-	-	-	-	-	-
Fees and licenses	757,473	796,917	-	-	-	-
Fines and penalties	-	-	-	-	-	-
Other state agencies	-	-	-	-	-	-
Vehicle safety inspection	-	-	-	-	-	-
Damage claims & workers' compensation	-	-	-	-	-	-
Sale of used vehicles and equipment	-	-	395,375	264,829	-	-
Rental of real property	-	-	-	-	-	-
Sale of land	-	-	-	-	-	-
Miscellaneous	-	-	-	-	-	-
Repayment of federally funded projects from grantees	-	-	-	-	-	-
Repayment from Mass Transit Districts	-	-	-	-	-	-
Joint improvements - II, Tollway & others	-	-	-	-	-	-
Loan repayments	-	-	-	-	-	-
Subscriptions or Publications	-	-	-	-	-	-
Reimbursement of costs incurred on behalf of the federal government	-	-	-	-	-	-
Reimbursement - Private sector	-	-	-	-	-	-
Other departmental funds	-	-	-	-	-	-
Deposits remitted to the Comptroller	757,473	796,917	395,375	264,829	-	5,000,000
Deposits in transit:						
Beginning of year	14,206	14,764	11,445	-	-	-
End of year	-	14,206	5,505	11,445	-	-
Recorded receipts per Department	\$ 743,267	796,359	389,435	276,274	-	5,000,000

(Continued)

Footnotes:  
 1) Data taken from Department records has been reconciled to those of the State Comptroller.



STATE OF ILLINOIS  
DEPARTMENT OF TRANSPORTATION  
Comparative Schedule of Cash Receipts  
and Reconciliation of Cash Receipts to Deposits to the State Comptroller  
For the Years Ended June 30, 2005 and 2004

	Federal Civil Preparedness Fund 497		Trans. Safety Hwy Hire-Back Fund 589		Airport Land Loan Fund 669	
	2005	2004	2005	2004	2005	2004
<b>Receipts</b>						
Reported receipts by source:						
Federal aid reimbursements	\$ 2,688,049	-	-	-	-	-
Local governmental units	-	-	-	-	-	-
Highway traffic and sign permits	-	-	-	-	-	-
Fees and licenses	-	-	-	-	-	-
Fines and penalties	-	-	52,148	10,232	-	-
Other state agencies	-	-	-	-	-	-
Vehicle safety inspection	-	-	-	-	-	-
Damage claims & workers' compensation	-	-	-	-	-	-
Sale of used vehicles and equipment	-	-	-	-	-	-
Rental of real property	-	-	-	-	-	-
Sale of land	-	-	-	-	-	-
Miscellaneous	-	-	-	-	-	-
Repayment of federally funded projects from grantees	-	-	-	-	-	-
Repayment from Mass Transit Districts	-	-	-	-	-	-
Joint Improvements - II: Tollway & others	-	-	-	-	19,531	241,445
Loan repayments	-	-	-	-	-	-
Subscriptions or Publications	-	-	-	-	-	-
Reimbursement of costs incurred on behalf of the federal government	-	-	-	-	-	-
Reimbursement - Private sector	-	-	-	-	-	-
Other departmental funds	-	-	-	-	-	-
Deposits remitted to the Comptroller	2,688,049	-	52,148	10,232	19,531	241,445
Deposits in transit:						
Beginning of year	-	-	350	-	-	-
End of year	-	-	-	350	-	-
Recorded receipts per Department	\$ 2,688,049	-	51,798	10,582	19,531	241,445

(Continued)

Footnotes:  
1) Data taken from Department records has been reconciled to those of the State Comptroller.

**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
 Comparative Schedule of Cash Receipts  
 and Reconciliation of Cash Receipts to Deposits to the State Comptroller  
 For the Years Ended June 30, 2005 and 2004

	Federal Mass Transit Fund 853		Cycle Rider Safety Fund 863		State Construction Fund 902		Rail Fgt Loan Repay Fund 936	
	2005	2004	2005	2004	2005	2004	2005	2004
<b>Receipts</b>								
Reported receipts by source:								
Federal aid reimbursements	\$ 9,610,215	19,178,523	-	-	-	-	-	-
Local governmental units	-	82,661	-	-	-	-	-	-
Highway traffic and sign permits	-	-	-	-	-	-	-	-
Fees and licenses	-	-	-	-	-	-	-	-
Fines and penalties	-	-	-	-	-	-	-	-
Other state agencies	-	-	-	-	-	-	-	-
Vehicle safety inspection	-	-	-	-	-	-	-	-
Damage claims & workers' compensation	-	-	-	-	-	-	-	-
Sale of used vehicles and equipment	-	-	-	-	-	-	-	-
Rental of real property	-	-	-	-	-	-	-	-
Sale of land	-	-	-	-	-	-	-	-
Miscellaneous	-	-	100	60	-	-	-	-
Repayment of federally funded projects from grantees	-	-	-	-	-	-	-	-
Repayment from Mass Transit Districts	-	-	-	-	-	-	-	-
Joint Improvements - II, Tollway & others	-	-	-	-	-	-	-	-
Loan repayments	-	-	-	-	-	-	918,712	866,969
Subscriptions or Publications	-	-	-	-	-	-	-	-
Reimbursement of costs incurred on behalf of the federal government	-	-	-	-	-	-	-	-
Reimbursement - Private sector	-	-	-	-	-	-	-	-
Other departmental funds	-	-	-	-	-	-	-	-
Deposits remitted to the Comptroller	9,610,215	19,261,184	100	60	-	-	918,712	866,969
Deposits in transit:								
Beginning of year	-	-	-	-	-	-	180,268	-
End of year	-	-	-	-	-	-	178,873	180,268
<b>Recorded receipts per Department</b>	<b>\$ 9,610,215</b>	<b>19,261,184</b>	<b>100</b>	<b>60</b>	<b>-</b>	<b>-</b>	<b>917,317</b>	<b>1,047,237</b>

Footnotes:  
 1) Data taken from Department records has been reconciled to those of the State Comptroller.

**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
 Analysis of Significant Variations in Revenues and Expenditures (in 000's)  
 For the Years Ended June 30, 2005 and 2004

	2005	2004	Explanation of variance
<b>General Fund:</b>			
Revenues:			
Federal government	\$ 353	361	
Other revenues	(5)	229	Monies received for rental of property for the development of the South Suburban Airport are no longer deposited in this fund effective February, 2004.
Total revenues	<u>348</u>	<u>590</u>	
Expenditures:			
Transportation	51,230	56,867	There was a \$2.2M decrease in reappropriated expenditures for mass transportation facilities improvement, a \$.6M decrease in reappropriated expenditures for rail freight service assistance, and a \$.20M decrease in reappropriated expenditures for rail freight service assistance.
Debt-service principal	1,961	1,846	Since leases are nearing termination, a larger percentage of the payments is applied to the principal each year.
Debt-service interest	143	259	Since leases are nearing termination, a lesser percentage of the payments is applied to the interest each year.
Total expenditures	<u>53,334</u>	<u>58,972</u>	
<b>Road Fund:</b>			
Revenues:			
Federal government	841,486	891,647	In FY05, federal receipts decreased by \$18.0M. The federal receipts in FY05 included \$29.0M for the CMAQ program for EPA. They will record this federal receipt amount on their records.
Licenses and fees	16,788	14,111	In FY05, there was a \$2.5M increase in revenues collected for traffic permits.
Other operating grants	42,653	40,615	The increase resulted because more money was received from locals for joint improvement projects related to operating projects.
Other capital grants	16,461	10,154	The increase resulted because more money was received from locals for joint improvement projects related to capital projects.
Other revenues	25,134	24,901	
Total revenues	<u>942,522</u>	<u>981,428</u>	
Expenditures:			
Transportation	922,108	1,020,908	In FY05, there was an overall decrease of \$552.0M in construction appropriations and reappropriations which resulted in the overall decrease in spending.
Debt-service principal	933	1,202	Three lease agreements ended in early FY 05, leading to less payments being required.
Debt-service interest	59	109	Three lease agreements ended in early FY 05, leading to less payments being required.
Capital outlays	265,506	459,559	In FY05, an overall decrease in construction appropriations and re-appropriations resulted in an overall decrease in spending.
Total expenditures	<u>1,188,606</u>	<u>1,481,778</u>	
<b>Motor Fuel Tax Fund</b>			
Expenditures:			
Transportation	649,210	636,392	Total motor fuel tax revenues increased by \$17.0M resulting in a proportionate increase in expenditures.
Capital outlays	-	6	
Total expenditures	<u>649,210</u>	<u>636,398</u>	
<b>Grade Crossing Protection Fund</b>			
Expenditures:			
Transportation	<u>26,207</u>	<u>25,034</u>	
<b>Aeronautics Fund</b>			
Revenues:			
Licenses and fees	<u>20</u>	<u>292</u>	Aircraft and pilot registration fees are billed in a two year cycle. Billings were done in FY04.
Expenditures:			
Transportation	<u>24</u>	<u>289</u>	Aircraft and pilot registration fees are billed in a two year cycle. Billings were done in FY04. This resulted in decreased expenditures for commodities related to license issuance costs.

(Continued)

**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
 Analysis of Significant Variations in Revenues and Expenditures (in 000's)  
 For the Years Ended June 30, 2005 and 2004

	2005	2004	Explanation of variance
<b>Federal Local Airport Fund:</b>			
Revenues:			
Federal government	\$ 126,891	99,288	There was an increase of over \$20.0M in expenditures reimbursable ex to the city of Chicago. In FY05, monies refunded to locals were reflected as an offset to local revenues received by the Department.
Other revenues	10,542	14,374	
Total revenues	<u>137,433</u>	<u>113,662</u>	
Expenditures:			
Transportation	<u>138,103</u>	<u>112,678</u>	There was a \$20.0M increase in reimbursable expenditures to the city of Chicago.
<b>State Rail Freight Loan Repayment Fund:</b>			
Revenues:			
Other revenues	<u>947</u>	<u>914</u>	
Expenditures:			
Transportation	<u>2,092</u>	<u>391</u>	The only expenditures reflected in this fund are grant expenditures. Expenditures in the amount of \$1.5M were made for track construction on the property of Old Northwestern Steel and Wire Company in Sterling, Il. and \$.6M was spent on track construction in Industrial Park in Peoria, Il.
<b>Air Transportation Fund:</b>			
Revenues:			
Charges for sales & services	<u>745</u>	<u>787</u>	
Expenditures:			
Charges for sales & services	<u>636</u>	<u>627</u>	
<b>Tax Recovery Fund:</b>			
Revenues:			
Other revenues	<u>390</u>	<u>276</u>	This fund was not established until February, 2004, so revenues were less in FY04.
Expenditures:			
Transportation	<u>220</u>	<u>-</u>	FY05 is the first year that money was appropriated to this fund.
<b>Federal High Speed Rail Fund:</b>			
Revenues:			
Federal government	<u>3,117</u>	<u>6,146</u>	There was a \$3.0 M decrease in federal expenditures for the Association of American Railroads in FY05. The project was considered complete in FY05.
Expenditures:			
Transportation	<u>3,117</u>	<u>6,146</u>	There was a \$3.0 M decrease in federal expenditures for the Association of American Railroads in FY05. The project was considered complete in FY05.
<b>Federal Civil Preparedness Fund:</b>			
Revenues:			
Federal government	<u>2,848</u>	<u>-</u>	FY05 was the first year that money was appropriated for this fund.
Expenditures:			
Transportation	317	-	FY05 was the first year that money was appropriated for this fund.
Capital Outlay	2,531	-	FY05 was the first year that money was appropriated for this fund.
Total expenditures	<u>2,848</u>	<u>-</u>	
<b>Safety Highway Hire-Back</b>			
Revenues:			
Other revenues	<u>52</u>	<u>10</u>	The increase is a result of more money being received for fines imposed for violation of speeding in construction zones.

(Continued)

STATE OF ILLINOIS  
DEPARTMENT OF TRANSPORTATION  
Analysis of Significant Variations in Revenues and Expenditures (in 000's)  
For the Years Ended June 30, 2005 and 2004

	2005	2004	Explanation of variance
Public Transportation Fund: Expenditures: Transportation	\$ 273,921	251,439	The debt service transfer amounts increased by \$18.0M. In addition transfer from revenue increased of \$4.6M.
Down State Public Transportation Fund: Expenditures: Transportation	43,370	39,952	In FY05, there was a \$5.0M increase in appropriations that resulted in a corresponding increase in expenditures.
Metro-East Public Transportation Fund: Expenditures: Transportation	17,445	17,034	
Federal Mass Transit Fund: Revenues: Federal government	8,656	19,573	There is a two year cycle on the purchase of buses. Buses were received with corresponding expenditures in FY04.
Expenditures: Transportation	9,016	19,253	There is a two year cycle on the purchase of buses. Buses were received with corresponding expenditures in FY04.
Cycle Rider Training Fund:  Expenditures: Transportation	  2,770	  2,664	
State Construction Fund: Expenditures: Transportation	142,346	45,801	Expenditures for consultant and preliminary engineering increased by \$55.0M and overall spending increased due to a \$425.0 increase in new appropriations.
Debt-service principal	1,724	19,968	A decrease resulted because payments to Cape Girardeau Bridge project decreased due to the receipt of federal earmarked funds.
Debt-service interest	276	1,026	A decrease resulted because payments to Cape Girardeau Bridge project decreased due to the receipt of federal earmarked funds.
Capital outlays	628,497	587,065	In FY05, new appropriations increased by \$425.0M so there was an increase in spending on capital outlay.
Total expenditures	<u>772,843</u>	<u>653,860</u>	
Rail Freight Loan Repayment Fund: Revenues: Other revenues	308	253	A loan repayment due in June, 2004, was not received until July, 2005. This resulted in increased interest of \$50 in FY05.
Expenditures: Transportation	1,051	362	In FY05, there was a \$.7M increase in payments on a grant agreement to rehabilitate 25 miles of track from St. Anne to the Indiana state line.

(Continued)

STATE OF ILLINOIS  
DEPARTMENT OF TRANSPORTATION  
Analysis of Significant Variations in Revenues and Expenditures (in 000's)  
For the Years Ended June 30, 2005 and 2004

	2005	2004	Explanation of variance
Capital Development Fund:			
Expenditures:			
Transportation	\$ -	80	
Capital outlays	-	18	
Total expenditures	<u>-</u>	<u>98</u>	
Transportation Bond Series A Fund:			
Expenditures:			
Transportation	4,600	49	In FY04, expenditures decreased due to a correction of capital outlay expenditures from FY03. In FY05, transportation expenditures are back to a normal level.
Capital outlays	333,764	386,764	There were no new appropriations in this fund in FY05 and expenditures on reappropriated funds are winding down.
Total expenditures	<u>338,364</u>	<u>386,813</u>	
Transportation Bond Series B Fund:			
Expenditures:			
Transportation	85,912	97,156	There were no new appropriations in this fund in FY05 so this resulted in an overall decrease in expenditures
Capital outlays	1,329	3,218	There was a \$1.9M decrease in land costs for the South Suburban Airport.
Total expenditures	<u>87,241</u>	<u>100,374</u>	
IDOT COP Capital Projects Fund:			
Expenditures:			
Transportation	<u>1,158</u>	<u>1,369</u>	
General Obligation Bond Retirement and Interest:			
Revenues:			
Other revenues	581	477	
IDOT COP Debt Service Fund:			
Expenditures:			
Debt-service principal	1,315	1,255	
Debt-service interest	1,588	1,648	
Total expenditures	<u>2,903</u>	<u>2,903</u>	

**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
Analysis of Significant Lapse Period Spending  
For the Year Ended June 30, 2005

Lapse period expenditures are presented in Schedule 2, "Schedule of Appropriations, Expenditures and Lapsed Balances." The Department's explanations for significant lapse period expenditures are detailed below.

**General Revenue Fund (001)**

Lapse period expenditures included an operating assistance payment of \$5,599,806 to the Regional Transportation Authority (RTA) for its fourth quarter Downstate Regional Transportation-Reduced Fares Program.

**Downstate Public Transportation Fund (648)**

Lapse period expenditures included operating assistance grant payments of \$737,726 to the Greater Peoria Mass Transit District, \$278,883 to the Champaign Urbana Mass Transit District, and \$242,834 to the Rockford Mass Transit District.

**Metro-East Public Transportation Fund (794)**

Lapse period expenditures included June 2005 operating assistance grant payments of \$549,123 to the Madison County Metro East Transit District and \$671,150 to the St. Clair County Transit District.

**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
 Analysis of Significant Balance Sheet Accounts  
 Cash  
 For the Year Ended June 30, 2005 (Expressed in Thousands)

Fund	FY 2005	FY 2004	Change	Explanation
General	\$ 84	69	15	In FY05, \$.012M was recorded in the retainage account.
Road	13,092	19,047	(5,955)	Money in the retainage accounts decreased by \$5.9M as IDOT is no longer allowed to withhold retainage.
Grade Crossing Protection	9,304	13,686	(4,382)	Transfers in are always \$27.0M but transfers out were \$2.2M and expenditures exceeded revenues by \$2.1M.
Aeronautics	7	13	(6)	Expenditures from the fund exceeded the amount of money received for aircraft and pilot registrations.
Federal/Local Airport	3,888	4,423	(535)	Local receipts of money depend on the timing of projects and will vary from year to year.
State Rail Freight Loan Repayment	8,176	14,859	(6,683)	In FY05, \$4.0M was transferred to General Revenue pursuant to 30 ILCS 105/8.43.
Tax Recovery	447	276	171	Payments for grants increased by \$1.7M. Money was deposited in this fund beginning March, 2004. FY05 was the first full year of money being deposited.
Federal High Speed Rail	2,460	3,332	(872)	Actual expenditures in FY05 were \$.87M and no additional money was requested from the federal government.
Transportation Bond Series "A"	12,843	14,142	(1,299)	Money in the retainage accounts decreased by \$1.3M as IDOT is no longer allowed to withhold retainage.
Transportation Bond Series "B"	14	-	14	This represents a retainage amount.
Transportation Safety Highway Hire-Back	59	10	49	This fund was established at the end of FY03. Full implementation of deposit of money for speeding in construction zones was done in FY05.
Public Transportation	-	14,087	(14,087)	In FY04, there was a voucher in transit of \$14.1M.
Downstate Public Transportation	23,146	18,870	4,276	In FY05, transfers in from the General Revenue Fund were \$55.0M. Transfers are limited by appropriation level. In FY05 expenditures were \$51.0M.
Airport Land Loan Revolving	1,688	1,634	54	Deposits and investment income were received but no expenditures were made from this fund during the year.
Metro-East Public Transportation	1,548	2,935	(1,387)	In FY05, payables were \$1.7M less than FY04 because May invoices were already processed in FY05.
Federal Mass Transit	13	-	13	This represents federal money received that needs to be paid to locals.
State Construction Account	13,474	18,902	(5,428)	Money in the retainage accounts decreased by \$5.4M as IDOT is no longer allowed to withhold retainage.
Rail Freight Loan Repayment	4,786	4,310	476	Repayments of loans exceed expenditures for grants by \$.47M.
Total	<u>\$ 95,029</u>	<u>130,595</u>	<u>(35,566)</u>	

(continued)



**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
 Analysis of Significant Balance Sheet Accounts  
 Receivables  
 For the Year Ended June 30, 2005

The Department's accounts receivable for the past year is presented below. The information was taken from the Department's financial records. The receivables are aged as follows: current, past due 1-30 days, 31-90 days, 91-180 days, 181-365 days and over 365 days.

	<b>Intergovernmental/Other/Loans Receivable</b>		
	<b>Gross</b>	<b>Allowance</b>	<b>Net</b>
General Revenue Fund(001):			
Loans Receivable - Railroads	\$ 1,706,948	-	1,706,948
Road Fund(011):			
Intergovernmental -			
Reimbursement under Federal Aid programs:			
Due from National Traffic Safety Admin.	\$ 4,807,886	-	4,807,886
Due from Federal Transit Administration	756,129	-	756,129
Due from Federal Highway Administration:			
Claimed Roads	99,228,913	-	99,228,913
Reimbursement from counties and municipals under participation agreements			
Counties & FAS	1,154,562	-	1,154,562
Municipalities	12,638,026	-	12,638,026
Other receivables	3,373,813	542,756	2,831,057
Total Road Fund	\$ 121,959,329	542,756	121,416,573
Federal/Local Airport Fund(095):			
Intergovernmental -			
Due from Federal	\$ 25,181,925	-	25,181,925
Due from Local	1,715,233	-	1,715,233
Total Federal/Local Airport Fund	\$ 26,897,158	-	26,897,158
Bond Retirement & Interest Fund (101):			
Loans Receivable - Railroads	\$ 20,461,627	-	20,461,627
State Rail Freight Loan Repayment (265):			
Loans Receivable - Railroads	\$ 29,269,580	844,710	28,424,870
Federal Mass Transit Fund(853):			
Intergovernmental -			
Reimbursements due from Federal Transit: Administration for Mass Transit Federal Aid programs	\$ 1,341,606	-	1,341,606
Rail Freight Loan Repayments(936):			
Loans Receivable - Railroads	\$ 3,982,878	-	3,982,878

**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
 Analysis of Receivables  
 (amounts in \$000's)  
 June 30, 2005

	Total	Current	1-30 days	31-90 days	91-180 days	181-365 days	Over 1 year
<b>General Revenue Fund (001):</b>							
Railroad Loans	\$ 1,707	1,707	-	-	-	-	-
<b>Road Fund(011):</b>							
Reimbursement from Federal Aid programs	4,808	4,808	-	-	-	-	-
Due from National Traffic Safety Administration	756	756	-	-	-	-	-
Due from Federal Transit Administration	99,229	99,229	-	-	-	-	-
Due from Federal Hwy Administration	104,793	104,793	-	-	-	-	-
Total reimbursement from Federal Aid programs							
Reimbursement from counties and municipals under participation agreements	1,154	901	39	196	14	4	4
Counties	12,638	5,918	236	2,934	604	886	2,060
Municipalities	3,373	1,125	166	396	372	217	1,097
Other receivables (2)	121,958	112,737	441	3,526	990	1,103	3,161
Total receivables	\$ 25,182	25,182	-	-	-	-	-
<b>Federal/Local Airport(095):</b>							
Due from Federal	1,715	1,715	-	-	-	-	-
Due from Local	26,897	26,897	-	-	-	-	-
Total receivables	\$ 20,462	20,462	-	-	-	-	-
<b>Bond Refinement And Interest Fund(101):</b>							
Railroad Loans	\$ 29,270	28,411	-	-	-	7	852
State Rail Freight Loan Repayment(265):							
Railroad Loans	\$ 1,341	1,341	-	-	-	-	-
<b>Federal Mass Transit (853):</b>							
Reimbursements from Federal Transit Administration for Federal Aid programs	\$ 3,982	3,982	-	-	-	-	-
<b>Rail Freight Loan Repayment(936):</b>							
Railroad Loans	\$ -	-	-	-	-	-	-

Footnotes:

- 1) Amounts are obtained from Agency records i.e., Accounts Receivable Aging Report prepared by the Revenue Accounting Sub-unit.
- 2) Other Receivables consist of damage claims, rents, consultant billings, and other receivables.

**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
Analysis of Operations  
Agency Functions and Planning Program  
For the Year Ended June 30, 2005

The Department of Transportation was created by the 77th General Assembly with legislation effective January 1, 1972. The Department is responsible for administrating and supervising the State's transportation activities, including highways, public transportation and aeronautics. The Department is accredited by the federal government for receiving federal funds for transportation programs; is responsible for drafting a State Master Plan for transportation facilities; and also provides State assistance to local public transportation agencies.

The Department's main office is located in the Harry R. Hanley Building, 2300 South Dirksen Parkway, Springfield, Illinois, and is under the direction of Timothy Martin, Secretary of Transportation. An average of 5,944 full-time employees work for the Department.

The principal divisions of the Department are: the Division of Highways, the Division of Traffic Safety, the Division of Public and Intermodal Transportation and the Division of Aeronautics. The principal offices of the Department are: the Office of Finance and Administration, the Office of Chief Counsel, the Office of External Affairs, the Office of Quality Compliance and Review, the Office of Business and Workforce Diversity, the Office of Governmental Affairs, and the Office of Planning and Programming. The functions performed by these Divisions and Offices are briefly summarized below:

**DIVISION OF HIGHWAYS**

The Division of Highways is responsible for developing, maintaining, and operating the State highway system in an efficient, timely, and cost-effective manner. The Division provides the Secretary of Transportation with information and recommendations on long-range highway needs and opportunities. It protects the public investment in new and existing highway facilities, as well as ensuring compliance with federal and state statutes in the implementation of highway programs. In addition, an emphasis on safety for the traveling public has been increased this year.

The Division's Central Bureaus are responsible for developing policies, procedures, standards, and guidelines to accomplish the Department's highway system improvement objectives. The Central Bureaus monitor the programs of the nine District offices to assure uniform statewide policy interpretation and program compliance as well as District program coordination with federal, state and local agencies. The Bureaus provide centralized expertise and technical assistance for District operations.

**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
Analysis of Operations  
Agency Functions and Planning Program  
For the Year Ended June 30, 2005

**DIVISION OF TRAFFIC SAFETY**

The Division of Traffic Safety is responsible for providing Illinois motorists, cyclists, and pedestrians with the safest possible highway environment by concentrating available resources in a cost-efficient manner and promoting the reduction of traffic fatalities, injuries and accidents. Additionally, the Division is responsible for the development and promulgation of regulations in areas of accident reporting, hazardous materials transportation, vehicle inspection, safety responsibility, cycle rider training and highway safety Federal Section 402, 408 and 410 Grants. The Division is also responsible for administering local agency traffic safety grants that assist the Department in achieving its traffic safety goals.

The Division functions as the Secretariat for the Governor's Traffic Safety Coordinating Committee. As Secretariat, the Division provides for the funding of projects conducted by other state and local governmental entities on traffic safety problems.

**DIVISION OF PUBLIC AND INTERMODAL TRANSPORTATION**

The Division of Public and Intermodal Transportation is responsible for developing and implementing comprehensive public transportation programs that provide improved public and mass transportation services. The primary objectives of this Division are to ensure that public transportation systems are developed in the State, and that these systems provide acceptable levels of public service by combining the needed facilities with efficient operations.

Major activities include development and oversight of programs to upgrade or rehabilitate existing public transportation systems, support for obtaining funds for local mass transit agencies, gaining cooperation from local transit authorities and/or private agencies on mass transit problems, support for improved services to increase ridership, and coordinating the development of policies, plans, and programs relating to administering the State's role in meeting the rail passenger needs of Illinois citizens. The Division also has operating responsibility for the State's rail freight assistance program.

**DIVISION OF AERONAUTICS**

The Division is responsible for regulating and supervising aeronautics within the State of Illinois, including the coordination and implementation of programs concerning air safety, airport construction and other aeronautical-related areas. Specific responsibilities include the following:

- Developing an annual five year Capital Improvement Program and ensuring that all funds appropriated or made available for planning, acquisition, construction, reconstruction, extension, development, improvement and operation of air navigation facilities are developed under the supervision or direction of the Division.

**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
Analysis of Operations  
Agency Functions and Planning Program  
For the Year Ended June 30, 2005

- Operating and maintaining a flight department to provide routine and emergency aviation services to all state officials, departments and agencies on a 24-hour, seven-day a week basis.
- Conducting a continuing inspection program to insure adherence to minimum physical standards and safety criteria, which results in the certification of all landing facilities within the State of Illinois including commercial service airports, general aviation airports, restricted landing areas and heliports.
- Providing aviation and aerospace education through the conduct of seminars and conferences.
- Providing support and assistance for Civil Air Patrol activities in Illinois which include air search and rescue and civil defense disaster operations.

**OFFICE OF FINANCE AND ADMINISTRATION**

The Office of Finance and Administration determines sources and availability of funds for Department operations. It prepares operating and capital budgets, forecasts funding requirements, administers funds for approved programs, and provides accounting and auditing capabilities to ensure sound fiscal management. This office also provides personnel and business management services for the Department and coordinates personnel matters with the State personnel department.

Specific responsibilities include the following:

- Providing Department-wide personnel policies and administration, as well as assisting in recruitment, training and development, employee safety, and labor relations issues of department wide personnel.
- Providing general and cost accounting services and auditing services; coordinating purchases of equipment, commodities, and printing for the Department; maintaining the inventory of departmental land (excluding highway right-of-way), equipment, and buildings; managing the central headquarters facility; and administering the Department Capital Improvement Program.
- Monitoring and analyzing highway user tax revenue, general revenue and bond funds for mass transit and airport improvement, and highway bond funds.
- Preparing, analyzing, and monitoring the Departmental budget, for the agency as a whole.
- Providing computer and information systems support and development.
- Preparing the GAAP financial statements and reporting in accordance with both federal and State requirements.

**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
Analysis of Operations  
Agency Functions and Planning Program  
For the Year Ended June 30, 2005

**OFFICE OF CHIEF COUNSEL**

The Office of Chief Counsel is responsible for providing legal counsel to the Department on both policy issues and proposed actions affecting any of its operating Divisions or staff offices. The Office is also responsible for the prosecution and defense of all litigation involving the Department in cooperation with the Attorney General. The Office reviews the legal aspects of the Department's legislative program and reviews new federal and state legislation, court decisions and Attorney General's opinions for the legal aspects of their effect on Department operations and drafts and negotiates contracts with outside entities. In conjunction with the Attorney General, the Office directs the Department's position and strategy in all major judicial and administrative proceedings.

The Office also administers tort liability and subrogation claims; processes lien and bond claims against contractors; coordinates purchases and services all liability insurance policies; and ensures proper handling of tort liability suits filed against the Department or its officers in the Court of Claims or various courts.

**OFFICE OF EXTERNAL AFFAIRS**

The Office of External Affairs is primarily responsible for public assistance and public information campaigns. It is responsible for managing public relations campaigns for all major construction projects and provides aid to the general public by helping with transportation problems or complaints. It answers both written and verbal inquiries from the general public and news media; prepares background material for the Governor's Office; researches and prepares speech material for various officials both elected and appointed; and conducts educational campaigns with news releases, publications, displays and audiovisual material. The Office is also responsible for preparing and disseminating news releases and information on transportation programs and policies in accordance with state and federal laws. It works to establish public-private partnerships to extend the reach of the department's safety messages at a cost savings to taxpayers.

**OFFICE OF QUALITY COMPLIANCE AND REVIEW**

The Office of Quality Compliance and Review is responsible for the independent testing of the Department's internal control system and serving as the Department's law enforcement liaison. Performance of these functions provide assurance to the Secretary and the public that the Department's policies, procedures, and regulations are adequate, and that compliance with these rules results in progressive operations. The Office checks the accuracy of payment documentation; assesses the quality of materials used in transportation projects; performs special reviews; recommends improvements; and independently appraises internal and external communications. The Office also reports, coordinates, monitors, and collects all data concerning IDOT related criminal investigations. As such, the Office maintains a close working relationship with various law enforcement agencies.

**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
Analysis of Operations  
Agency Functions and Planning Program  
For the Year Ended June 30, 2005

**OFFICE OF BUSINESS AND WORKFORCE DIVERSITY**

The Office of Business and Workforce Diversity are responsible for fostering equal opportunity for minority-owned, woman-owned and other disadvantaged small businesses in the Department's federal and state-funded highway, rail, transit and airport contracts; providing access to employment and on-the-job opportunities for minorities and females in the Department's federal and state-funded highway, rail, transit and airport contracts; ensuring contractors are in compliance with federal and state contract requirements relative to the Disadvantaged Business Enterprise (DBE) program, the Employment Utilization program, On-the-Job training (OJT) program and labor contract compliance; and an ongoing commitment to and reaffirmation of the goals of Civil Rights in support of equal employment opportunity and non-discrimination in federally assisted programs, as well as the Department's personnel policies, practices and procedures.

**OFFICE OF GOVERNMENTAL AFFAIRS**

This Office is responsible for developing IDOT's policy goals and positions and state legislative programs and strategies. The objective is to ensure that departmental policy and actions, as well as state legislation, consistently support and enhance Illinois' transportation interests.

**OFFICE OF PLANNING AND PROGRAMMING**

The Office of Planning and Programming is responsible for coordinating the development of policies, plans, and programs relating to operating, maintaining and improving the State's transportation system. Specific responsibilities include:

- Developing policy statements which serve as guides for the Department's administrative actions. Primary areas of involvement include the Department's transportation investment programs and federal and state legislative programs; recognition and consideration of Department responses to Federal Regulations; and the accomplishment of special initiatives as directed by the Secretary's Office
- Developing and revising statewide capital plans for transportation, including highway, air, rail and public transportation.
- Developing transportation programs that are consistent with the short- and long-range goals and objectives of the State of Illinois.
- Administering a metropolitan studies program which works with metropolitan planning organizations in the State's 14 urbanized areas to develop policies and programs relating to transportation in these areas.

**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
Analysis of Operations  
Agency Functions and Planning Program  
For the Year Ended June 30, 2005

- Coordinating a surveillance program to monitor the physical condition of the State's transportation system, the level of service provided, and the need for the improvement.
- Evaluating proposals for major investments in the State's transportation system and assessing the importance of the projects, potential adverse impacts and overall benefits.

**AGENCY PLANNING**

The Department, through the Office of Planning and Programming (the Office), as noted above, is responsible for the identification of transportation needs and objectives, coordination and preparation of work plans to accomplish such objectives, and compilation of the costs to complete activities defined in the work plans. The Office is responsible for preparing annual and five-year plans to meet Departmental planning goals.

In accordance with Illinois Compiled Statutes 605 ILCS 5/4-303 and Public Act 82-110, the Office prepares a Multiyear Proposed Highway Improvement Program and an Annual Proposed Improvements for Illinois Highways for the current fiscal year, which considers the State's highway needs and serves as the action plan for the coming fiscal year. This program is a listing of specific projects to constitute a reasonable year's work. The Office also prepares multi-year capital programs for Illinois airports, public transportation and rail systems.

In addressing general and specific planning issues, the Office works closely with Metropolitan Planning Organizations (MPOs) regional planning commissions and local elected officials. These metropolitan planning organizations are designated by the Governor as the official organizations for metropolitan transportation planning in specified urbanized areas. The Department enters into contractual agreements with these MPOs, under which it receives research data, the results of feasibility studies and other similar information.

Often, outside consultants are engaged by the Department to aid the MPOs and local governments. These consultants also keep the Department informed of changes in laws and regulations which may affect the planning and implementation of certain projects. The goal of these activities is to prepare and continuously update a realistic action plan.

The Bureau of Budget and Fiscal Management in the Office of Finance and Administration is responsible for preparing the Department's budget based on planned activities. This entails coordination of all district and central office plans, as well as contact with the Governor's Office of Management and Budget and the Governor's Office. Each unit responsible for submitting a preliminary budget is informed of the overall Department objectives, as well as specific guidelines and limitations on funding. The Department's final proposed budget and annual plan are included in the Governor's budget and presented to the General Assembly.

The approved budget is then administered by the Bureau of Budget and Fiscal Management which is responsible for establishing the appropriation accounts and monitoring actual versus budgeted expenditures. In addition, the Department has audit and management review groups



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**DEPARTMENT OF TRANSPORTATION**  
Analysis of Operations  
Agency Functions and Planning Program  
For the Year Ended June 30, 2005

which conduct operational and procedural reviews and analyses aimed at increasing the Department's efficiency and effectiveness in accomplishing its objectives.

**ASSESSMENT OF PLANNING EFFORT**

We reviewed the Department's major plans which were prepared and available during the audit period. Based upon this review, such plans contained specific written objectives and identified alternative approaches which were considered in the development of these objectives. Timetables have been developed along with adequate measurement criteria to evaluate performance toward achievement of these goals and objectives. These measurement criteria have been effectively updated, given the volatile nature at the Department's operating environment, on a frequent and timely basis.

The Department's goals and plans have adequately considered employee, dollar, and other resource requirements. These goals and plans appear to be geared toward addressing federal mandates, authorizing state legislation and addressing the needs of Illinois citizens. Their plan has been coordinated with the goals and objectives of other institutions providing similar services.

In summary, the Department's planning function appears effective in identifying goals and objectives and documenting means by which to achieve such goals and objectives. Both the planning and budgeting activities appear adequately controlled.

**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
 Analysis of Operations  
 Average Number of Full Time Employees  
 For The Years Ended June 30

	<b>Cumulative 3 Year Change</b>		<b>2005</b>	<b>2004</b>	<b>2003</b>	<b>2002</b>
	<b>Percent</b>	<b>Amount</b>				
Cycle Rider Safety	-33.3%	(1)	2	3	3	3
Aeronautics	-29.5%	(28)	67	74	79	95
Information Processing	-28.0%	(30)	77	93	100	107
Traffic Safety	-27.7%	(41)	107	119	131	148
Day Labor	-26.7%	(8)	22	23	27	30
Central Administration & Planning	-24.5%	(112)	346	376	416	458
Central Division of Highways	-22.1%	(133)	469	525	571	602
Public Trans & Rail	-21.4%	(6)	22	21	25	28
Local Roads & Streets	-16.5%	(19)	96	98	111	115
District 1	-12.9%	(199)	1,348	1,423	1,506	1,547
District 2	-10.0%	(52)	469	485	505	521
District 3	-9.3%	(44)	430	448	462	474
District 7	-7.9%	(26)	304	311	324	330
District 8	-7.6%	(47)	569	585	613	616
District 4	-7.5%	(31)	380	391	408	411
District 5	-6.9%	(31)	421	433	452	452
District 6	-6.2%	(30)	453	468	478	483
District 9	-3.9%	(12)	294	308	316	306
Intelligent Transportation System (ITS)	0.0%	3	3	0	0	0
<b>Subtotal, State Funded Positions</b>	<b>-12.6%</b>	<b>(847)</b>	<b>5,879</b>	<b>6,184</b>	<b>6,527</b>	<b>6,726</b>
<b>Federally Funded Positions:</b>						
<b>Traffic Safety -</b>						
Highway Safety	-73.9%	(17)	6	21	23	23
Motor Carrier Safety	-15.4%	(2)	11	12	13	13
<b>Central Administration &amp; Planning -</b>						
Metropolitan Planning	-13.5%	(5)	32	36	38	37
Transportation Equity Act (TEA-21)	-6.3%	(1)	15	14	14	16
Advance	-100.0%	(3)	0	3	3	3
<b>Public Trans &amp; Rail -</b>						
Rural/Small Urban	-75.0%	(3)	1	2	2	4
<b>Subtotal, Federally Funded Positions</b>	<b>-32.3%</b>	<b>(31)</b>	<b>65</b>	<b>88</b>	<b>93</b>	<b>96</b>
<b>GRAND TOTAL</b>	<b>-12.9%</b>	<b>(878)</b>	<b>5,944</b>	<b>6,272</b>	<b>6,620</b>	<b>6,822</b>

Note: The amount previously reported in FY2003 and FY2004 Compliance Reports for Traffic Safety and totals above has been adjusted by 3 persons, respectively.

**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
 Analysis of Operations  
 Statistical Summary  
 By Major Object Classification  
 For the Year Ended June 30, 2005

	<u>2005</u>
Total expenditures (all funds)	\$ 3,632,759,296
Operations	\$ 594,603,245
% of total expenditures	16.37%
Personal services	\$ 345,443,270
% of operations expenditures	58.10%
Other payroll costs (FICA, retirement)	\$ 90,110,124
% of operations expenditures	15.15%
Contractual services	\$ 82,177,271
% of operations expenditures	13.82%
All other operational items	\$ 76,872,580
% of operations expenditures	12.93%
Grants	\$ 1,362,220,885
% of total expenditures	37.49%
Construction	\$ 1,670,948,543
% of total expenditures	46.00%
Capital Improvements	\$ 4,986,623
% of total expenditures	0.14%

STATE OF ILLINOIS  
DEPARTMENT OF TRANSPORTATION  
Analysis of Operations  
Comparison of Payroll Costs to Total Costs  
For The Year Ended June 30, 2005

Division Name	Personal Services	Retirement, Social Security, Group Insurance	Total Payroll Costs	Total Divisional Costs	Payroll as a % of Divisional and Total
Division of Highways & General Administration	\$ 326,603,790	85,785,166	412,388,956	2,978,810,122	13.84 %
Division of Traffic Safety (1)	13,330,939	2,930,305	16,261,244	33,301,779	48.83
Division of Public Transportation	1,499,423	360,496	1,859,919	466,268,619	0.40
Division of Aeronautics	4,009,118	1,034,157	5,043,275	154,378,776	3.27
Total expenditures	<u>\$ 345,443,270</u>	<u>90,110,124</u>	<u>435,553,394</u>	<u>3,632,759,296</u>	11.99

## Footnotes:

(1) Traffic safety amounts include applicable other state agency expenditures.

**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
 Analysis of Operations  
 Schedule of Emergency Purchases  
 For The Year Ended June 30, 2005

The Department reported the following emergency purchases to the Office of the Auditor General:

<u>Description</u>	<u>Cost</u>
Repairs to I-55/70 NB under IL 162, Troy	\$ 15,388
Repairs to I-47 over the Vermilion River	331,668
Repairs to bridge carrying IL-25 over the Waubaunsee Creek	171,957
Repairs to IL 15 west at Greenmount Road	19,725
Repairs to I-90, 94 SB Dan Ryan Expressway at 17th St, Chicago	4,052
Repairs to I-90, 94 SB Dan Ryan Expressway at 17th St, Chicago	20,000
Repairs to US 6, south of Brandon Rok, Rockdale, Will County	25,000
Repairs to US 6, south of Brandon Rok, Rockdale, Will County	5,000
Repairs to Abraham Lincoln Bridge, Carrying I-39 over the Illinois River	1,124,774
Repairs to roof at the Edens Yard	29,970
	<u>\$ 1,747,534</u>

STATE OF ILLINOIS  
DEPARTMENT OF TRANSPORTATION  
Analysis of Operations  
Schedule of Illinois First Projects (Unaudited)  
For the Year Ended June 30, 2005

Project Identification Number	Appropriation Account Code	Brief Description of Project per Appropriation	Project Award Amount	Amount		
				Current Year Expended	Reappropriated	Lapsed
LA404	902-49442-7700-0424	TO OBLIGATE FY2004 FUNDS FOR LAND ACQUISITION, INCIDENTAL EXPENSES AND RELOCATION PAYMENTS NECESSARY ON LAND ACQUIRED FOR HIGHWAY CONSTRUCTION, WIDENING AND REPAIR	3,838,321	647,604	0	0
LA504	902-49442-7700-0524	TO OBLIGATE FY2004 FUNDS FOR LAND ACQUISITION, INCIDENTAL EXPENSES AND RELOCATION PAYMENTS NECESSARY ON LAND ACQUIRED FOR HIGHWAY CONSTRUCTION, WIDENING AND REPAIR	732,529	114,037	0	0
AE030003	011-49442-7700-0023	DATA RECOVERY IN CONJUNCTION WITH IL 140 TO FOSTERBURG RD, MADISON COUNTY, IN COMPLIANCE WITH STATE & FEDERAL LAWS	1,500,000	296,503	377,807	0
AE030004	011-49442-7700-0023	DATA RECOVERY IN CONJUNCTION WITH IL 96 IN COMPLIANCE WITH STATE & FEDERAL LAWS	50,000	34,739	15,261	0
AE04007	902-49442-7700-0824	DATA RECOVERY IN CONJUNCTION WITH I-64, I-55/70 INTERCHANGE IN COMPLIANCE WITH STATE & FEDERAL LAWS.	100,000	39,750	60,250	0
AE040008	902-49442-7700-0224	DATA RECOVERY IN CONJUNCTION WITH IL 92, ROCK ISLAND CO. IN COMPLIANCE WITH STATE & FEDERAL LAWS	100,000	33,724	66,276	0
AL00143	011-49442-7700-0020	PHASE II PRELIMINARY ENGINEERING FOR THE RELOCATION AND RECONSTRUCTION OF TORRENCE AVE. BETWEEN THE CALUMET RIVER & 130TH ST. & EXTENSION OF 126TH ST. IN CHICAGO	1,134,000	551,743	116,623	0
AL01071	011-49442-7700-0021	CONSTRUCTION AND PRELIMINARY ENGINEERING FOR IMPROVING EICHE ROAD FROM 3RD ST TO ILL 33 IN THE CITY OF EFFINGHAM	531,734	495,855	0	0
AL01133	011-49442-7700-0021	IMPROVEMENT OF THE RANDOLPH ST VIADUCT OVER THE KENNEDY EXPRESSWAY AND ADJACENT RAMPS AT JACKSON BLVD IN CHICAGO	1,929,448	160,837	890,916	0
AL01134	011-49442-7700-0021	RECONSTRUCTION OF AINSLIE ST VIADUCT OVER KENNEDY EXPRESSWAY IN CHICAGO	290,000	29,676	51,162	0
AL01138	011-49442-7700-0021	PRELIMINARY ENGINEERING FOR IMPROVEMENT OF LASALLE DR BETWEEN CLARK ST AND LAKE SHORE DR IN CHICAGO	400,000	34,549	43,628	0
AL01186	011-49442-7700-0021	PRELIMINARY ENGINEERING FOR GRADE SEPARATION ON TORRENCE AVE AT NS RR ,GRADE IMPROVEMENT AT 130TH ST AT NS RR INTERSECTION IMPROVEMENT 130TH ST IN CHICAGO	3,527,000	1,960,432	390,720	0
AL01203	011-49442-7700-0020	PRELIMINARY ENGINEERING TO WIDEN & RESURFACE PLEASANT HILL RD. IN JACKSON CO.	12,734	10,659	2,074	0
AL01310	011-49442-7700-0021	CONSTRUCTION TO IMPROVE CERMARK RD. @ ENTERPRISE DRIVE IN THE VILLAGE OF WESTCHESTER, COOK COUNTY	160,000	160,000	0	0
AL01348	011-49442-7700-0021	TRAFFIC SIGNAL INTERCONNECT WORK AT BROUGHTON RD. & BANK DRIVE; BROUGHTON RD. & IL RTE. 53; BROUGHTON RD. & BARBERS CORNERS IN THE VILLAGE OF BOLINGBROOK	1,500,000	75,000	0	0
AL01386	011-49442-7700-0021	MODERNIZATION OF TRAFFIC CONTROL SIGNALS ALONG U. S. RTE. 41 (SO. SHORE DR.) AT 75TH ST. & 83RD ST. IN CHICAGO	300,000	94,598	1,295	0
AL01411	011-49442-7700-0021	IMPROVEMENT OF MILWAUKEE AVE. VIADUCT OVER THE KENNEDY EXPRESSWAY IN CHICAGO	504,500	10,598	74,612	0
AL02109	011-49442-7700-0022	DESIGN ENGINEERING AT DRUMMOND ROAD FROM BASE LINE RD TO CENTER INDUSTRIAL PARK DR.	274,892	50,788	0	0
AL02222	011-49442-7700-0022	RECONSTRUCT TORRENCE AVE; EXTEND 126TH PLACE AND EXTEND A NORTH/SOUTH INDUSTRIAL RD. FROM 126TH PLACE IN CHICAGO, COOK COUNTY	16,226,000	2,972,019	297,948	0
AL02250	011-49442-7700-0021	EDP FUNDS TO CONSTRUCT BASE LINE RD. AND EAST ACCESS RD IN THE VILLAGE OF ELWOOD, WILL COUNTY	3,066,732	273,732	0	0
AL03035	011-49442-7700-0223	CONSTRUCTION AND ENGINEERING COSTS FOR IMPROVING IL 38 AND LOVES ROAD IN TOWN OF CORTLAND, DEKALB CO.	743,960	566,368	177,592	0
AL03049	011-49442-7700-0023	CONSTRUCTION IMPROVING 0.61 MILES OF CH 49, AIRPORT RD, IN PEORIA COUNTY	3,750	3,750	0	0
AL03050	011-49442-7700-0023	CONSTRUCTION IMPROVING 0.61 MILES OF CH 49, AIRPORT RD, IN PEORIA COUNTY	18,450	18,450	0	0
AL03059	011-49442-7700-0523	PRELIMINARY ENGINEERING FOR GRADE SEPARATION AT 14TH ST OVER NS ROADWAY IN TILTON	419,190	20,141	0	0
AL03067	011-49442-7700-0523 011-49446-7700-0023	NEW INTERCHANGE CONNECTING US RT 45 WEST OF THE INTERSTATE 57 AND IL RT 130 IN COLES COUNTY	763,000	219,380	543,621	0
AL03200	011-49442-7700-0123	UTILITY IMPROVEMENTS 130TH ST/ TORRENCE AVE GRADE SEPARATION IN CHICAGO	2,977,713	2,977,713	0	0

(Continued)

STATE OF ILLINOIS  
DEPARTMENT OF TRANSPORTATION  
Analysis of Operations  
Schedule of Illinois First Projects (Unaudited)  
For the Year Ended June 30, 2005

Project Identification Number	Appropriation Account Code	Brief Description of Project per Appropriation	Project Award Amount	Amount		
				Current Year Expended	Reappropriated	Lapsed
AL03201	011-49442-7700-0523	NEW INTERCHANGE W/FAI-57 IN CONJUNCTION WITH NEW PUBLIC ROADS CONNECTING INTERCHANGE WITH US RTE45 IN COLES COUNTY	1,202,200	503,354	209,646	0
AL03219	011-49442-7700-0023	CONSTRUCTING EXTERIOR/INTERIOR STREETS FOR THE ROCK CITY BUSINESS COMPLEX IN THE VILLAGE OF VALMEYER, MONROE CO	494,690	331,353	163,337	0
AL03235	011-49442-7700-0023	EDP FUNDS TO CONSTRUCT AN EAST ACCESS PARK RD. TO IL 53 IN THE VILLAGE OF ELWOOD	1,279,900	273,469	119,820	0
AL03252	011-49442-7700-0023	SIGNALIZE THE INTERSECTION OF GATEWAY COMMERCE CENTER DRIVE WITH IL RTE 111 IN MADISON CO	189,150	172,236	16,914	0
AL03254	011-49442-7700-0023	EDP FUNDS FOR CONSTRUCTION OF CENTER INDUSTRIAL PARK DR (EAST ACCESS RD TO DRUMMOND RD) IN ELWOOD, WILL CO	682,500	88,347	52,786	0
AL03257	011-49442-7700-0123 011-49442-7700-0124	LAND ACQUISITION, RELOCATION ASSISTANCE AND RELOCATION CONSULTANTS AT CC & P/STEARNS ROAD IN KANE COUNTY	2,818,580	1,600,952	1,217,628	0
AL04177	011-49442-7700-0024	CONSTRUCTION, CONSTRUCTION ENGINEERING AND PRELIMINARY ENGINEERING FOR EMPIRE ST., MT. CARMEL	172,580	10,944	30,137	0
AL04201	011-49442-7700-0024	CONSTRUCTION, CONSTRUCTION ENGINEERING AND PRELIMINARY ENGINEERING FOR WALNUT ST/ERNEST GROVE PARKWAY, WATSEKA	405,677	234,848	67,117	0
AL04304	011-49442-7700-0024	CONSTRUCTION AND CONSTRUCTION ENGINEERING FOR ORCHARD ROAD FROM PRAIRIE STREET TO JERICO ROAD, KANE COUNTY	87,000	87,000	0	0
AL05093	011-49442-7700-0000	CONSTRUCTION OF NEW ROAD FROM REDCO DRIVE TO SKYLINE DR, MARION	731,900	509,109	222,791	0
AL05123	011-49442-7700-0000	CONSTRUCTION, CONSTRUCTION ENGINEERING & PRELIMINARY ENGINEERING FOR MACON DR. FROM FAIRVIEW TO PEACE, DEKALB	1,454,350	1,154,688	299,662	0
C900193	011-49405-7700-0023 011-49405-7700-0097	LOCATION/DESIGN REPORT & ENVIRONMENTAL ASSESSMENT FOR 7.5 MILES OF IL 157 FROM I-64 TO ILL-162.	316,535	4,283	0	0
C910225	011-49405-7700-0022	DESIGN REPORT FOR IL 3 ON NEW ALIGNMENT ALONG THE EAST ST LOUIS RIVERFRONT FROM CAHOKIA TO BROADWAY	333,156	9,260	28,233	0
C940121	011-49405-7700-0097	REHABILITATION OF THE I55/I294 INTERCHANGE.	1,023,796	1,893	0	0
C950011	902-49405-7700-0024	PRELIMINARY ENGINEERING ON US ROUTE 24 FROM SOUTH OF SUMMUM TO 1.5 MI SOUTH OF SCHUYLER COUNTY LINE	250,714	10,879	0	0
C950152	011-49405-7700-0020 011-49405-7700-0097 011-49442-7700-0021	LOCATION AND DESIGN REPORT FOR IL 22 FROM US 14 TO QUENTIN ROAD	644,290	73,797	0	0
C950169	011-49405-7700-0000 011-49442-7700-0021	PHASE II EXTENSION FOUR-LANE SECTION OF CH 31 THROUGH NORTHTOWN ROAD	1,491,608	126,638	3,572	0
C960057	011-49405-7700-0022	LOCATION/DESIGN REPORT AND ENC CLASS OF ACTON	522,879	24,335	11,748	0
C960150	011-49405-7700-0021	PHASE I PROJECT REPORT FOR RECONSTRUCTION OF IL-2 NORTH OF ROCKFORD	982,074	58,913	56,405	0
C970025	011-49442-7700-0021 011-49405-7700-0097	PRELIMINARY ENGINEERING COSTS FOR US 20 FROM BARGE TERMINAL ROAD TO IL 84	902,958	75,079	37,001	0
C980033	011-49405-7700-0022	PRELIMINARY ENGINEERING TO COMPLETE PHASE I WORK	1,776,369	440,619	0	0
C980135	011-49405-7700-0023	METRIC PLANS, SPECS, ESTIMATES, STRUCTURAL PLANS, HIGHWAY DRAINAGE DESIGN/PLANS, FIELD SURVEY FROM IL-120 TO LAKE AVE.	701,887	100,972	4,034	0
D001300	011-49405-7700-0097	PATCHING, MILLING AND RESURFACING OF 15.7 MI OF MAINLINE PAVEMENT AND SPEC. INTERCHANGE RAMPS, BOND COUNTY	59,007	1,019	0	0
JN30313	902-49442-7700-0023	SURVEYS, PLANS AND SPECS, RECEIVING BIDS, AWARD CONTRACT, RESIDENT ENGINEERING 1200 N. ROAD, IROQUOIS	1,039,378	210,856	857	0
T030047	902-49442-7700-0424	CONSTRUCT NEW OVERPASS, KNOX COUNTY	101,198	488	12,024	0
XL03016	011-49442-7700-0023	UPGRADE TRAFFIC SIGNALS AT 25TH AVE AT FRANKLIN INTERCONNECTED METRO TRACKS IN FRANKLIN PARK	302,000	13,328	257,679	0
04T0202	902-49442-7700-0100	ILLINOIS STATE POLICE HIRE BACK PROGRAM FOR WORK ZONES-NORTH SUBURBAN AREA PATROLS	985,000	655,000	0	0
04T0203	902-49442-7700-0100	ILLINOIS STATE POLICE HIRE BACK PROGRAM FOR WORK ZONES-CHICAGO AREA PROJECTS	1,440,000	980,000	0	0
600750	011-49442-7700-0021 553-49444-7700-0021	CONSTRUCTION ON US-30 FROM CASS ST/WESTERN AVE TO LARKIN AVE IN CREST HILL AND JOLIET	4,294,135	34,248	11,149	0

(Continued)

STATE OF ILLINOIS  
DEPARTMENT OF TRANSPORTATION  
Analysis of Operations  
Schedule of Illinois First Projects (Unaudited)  
For the Year Ended June 30, 2005

Project Identification Number	Appropriation Account Code	Brief Description of Project per Appropriation	Project Award Amount	Amount		
				Current Year Expended	Reappropriated	Lapsed
601730	011-49442-7700-0123	CONSTRUCTION ON IL-120 FROM IL-47 TO LEMING RD IN WOODSTOCK	788,537	46,099	21,703	0
601840	902-49442-7700-0123	CONSTRUCTION ON IL-131 FROM WISCONSIN STATE LINE IN PLEASANT PRAIRIE, WS TO IL-173 IN ZION	2,809,133	561,331	218,516	0
602450	553-49444-7700-0024	CONSTRUCTION AT 127TH ST FROM ARTESIAN AVE TO PAULINA ST. OVER METRA/IOWA INT. RR, LOCATED IN BLUE ISLAND AND CALUMET	2,247,480	1,694,607	258,076	0
604010	553-49444-7700-0023	CONSTRUCTION ON IL-290 & IL-53 FROM THORNDALE AVE TO IL-58	13,082,614	568,578	102,802	0
604440	011-49442-7700-0123	CONSTRUCTION ON IL-50 FROM FLOSSMOOR RD TO STEGER RD; BRIDGE OVER BUTTERFIELD CREEK IN MATTISON, RICHTON PARK, UNIVERSITY PARK	924,565	98,029	20	0
604480	902-49442-7700-9900	CONSTRUCTION AT FAP-870, VILLAGE OF ADDISON, ARMY TRAIL ROAD TO IL 64	10,331,590	2,420,777	6,753,432	0
604640	011-49442-7700-0123	CONSTRUCTION ON IL-83 NORTH OF GLENWOOD LANSING RD IN BLOOM TOWNSHIP.	248,969	1,129	0	0
604800	553-49444-7700-0024	CONSTRUCTION AT FAU02946, 0.8 MI S OF US 6 IN VILLAGES OF CALUMET CITY AND LANSING	406,657	258,906	19,360	0
605370	011-49442-7700-0123	CONSTRUCTION ON WILMINGTON RD BRIDGE OVER I-55	377,278	12,167	45,939	0
607210	011-49442-7700-0099	CONSTRUCTION AT DEVON AVE, 88 FT OF CENTERLINE OF DES PLAINES RIVER RD TO AZANAM AVE THRU VILLAGE OF PARK RIDGE	1,092,740	607,712	485,028	0
607370	902-49442-7700-0123	CONSTRUCTION AT IL-64 FROM FOUR LANES TO SIX LANES FROM EAST OF IL-53 TO ADDISON ROAD IN LOMBARD.	29,680,844	5,301,972	744,864	0
607380	902-49442-7700-0123	CONSTRUCTION ON IL-64 FROM FOUR TO SIX LANES (NORTH AVE ) BEGINNING ADDISON ROAD AND CONTINUING EAST TO VILLA AVE.	9,640,130	1,525,278	384,275	0
607480	011-49442-7700-0123	CONSTRUCTION ON US 12/20/45 FROM IL-171 TO 87TH ST IN LYONS	5,294,349	852,225	93,701	0
607880	011-49442-7700-0123	CONSTRUCTION ON IL 137 OVER IL 137 (WESTBOUND) OFF-RAMP LOCATED 1.8 MILES WEST OF IL-21	2,517,891	222,334	16,047	0
607980	902-49442-7700-0124	CONSTRUCTION 2.7 MI OF IL 58 AND 2 MI OF IL 72, W OF MOON LAKE	687,336	328,532	328,804	0
608590	553-49444-7700-0023	CONSTRUCTION AT IL 22 FROM IL 21/US 45 TO WEST OF HEWITT DR IN LINCOLNSHIRE; IL 22 OVER INDIAN CREEK; IL-22 OVER DES PLAINES RIVER	12,437,859	348,711	442,183	0
608700	902-49442-7700-0022	CONSTRUCTION ON US-45 FROM BUCKLEY RD TO MAPLE AVE IN MUNDELIN AND LIBERTYVILLE	320,044	102,430	48,540	0
609190	011-49442-7700-0123	CONSTRUCTION ON SHERIDAN ROAD OVER BOVINGTON AND MIDDLETON RAVINES IN HIGHLAND PARK	2,716,177	106,176	33,821	0
609330	902-49442-7700-0124	CONSTRUCTION AT US 45 AT LARAWAY RD IN VILLAGE OF FRANKFORT	912,379	628,457	141,786	0
609800	902-49442-7700-0123	CONSTRUCTION AT VARIOUS LOCATIONS NEAR MIDWAY AIRPORT IN CHICAGO	105,740	2,115	0	0
609810	902-49442-7700-0100	CONSTRUCTION AT VARIOUS LOCATIONS NEAR MIDWAY IN CHICAGO	150,076	131,191	18,885	0
609970	902-49442-7700-0100	CONSTRUCTION AT IL 22 FROM W OF US 12 TO E OF BUESCHING RD AND IL 22 AND ELARD/WHITNEY ROAD IN VILLAGE OF LAKE ZURICH	5,411,540	181,614	5,229,926	0
620020	011-49442-7700-0123	CONSTRUCTION 7.7 MI S OF US 30 OVER THE CMSTP & P RR	742,495	413,161	42,475	0
620140	902-49442-7700-0123	CONSTRUCTION ON DEMPSTER ST & HAMLIN AVE BETWEEN KEELER AVE & ST LOUIS/LINCOLNWOOD DR.	169,995	3,400	0	0
620350	011-49442-7700-0123	CONSTRUCTION ON FAU-1587, 0.2 MILES EAST OF IL 43	465,468	28,086	25,892	0
620360	011-49442-7700-0123	CONSTRUCTION ON MADISON ST OVER DES PLAINES RIVER LOCATED EAST OF 1ST AVE IN MAYWOOD & RIVER FOREST & FOREST PARK	437,124	19,634	14,689	0
620410	902-49442-7700-0124	CONSTRUCTION AT US ROUTE 20 OVER PINGREE CREEK IN PLATO	641,747	559,577	998	0
620780	011-49442-7700-0123	CONSTRUCTION AT IL 43 OVER NORTH BRANCH OF CHICAGO RIVER SO OF IL 60 IN CITY OF LAKE FOREST	382,487	30,484	8,227	0
620920	902-49442-7700-0123	CONSTRUCTION ON US 20 OVER IL 64 INTERSECTION RECONSTRUCTION OF US-20 & RR AVE	460,191	80,101	0	0
620950	011-49442-7700-0123	CONSTRUCTION ON FAU-1620; REMOVAL OF RIDGE RD OVER THE IC RR BRIDGE IN VILLAGE OF HOMEWOOD, .5 MILES WEST OF IL-1	194,242	1,346	3,885	0
620970	011-49442-7700-0123	CONSTRUCTION ON U.S. 6 OVER THE DES PLAINES RIVER IN JOLIET	1,301,522	712,419	350,281	0
621030	902-49442-7700-0124	CONSTRUCTION AT VILLAGE OF SOUTH HOLLAND UNDER 170TH ST	485,473	293,800	72,921	0

(Continued)



STATE OF ILLINOIS  
DEPARTMENT OF TRANSPORTATION  
Analysis of Operations  
Schedule of Illinois First Projects (Unaudited)  
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Project Identification Number	Appropriation Account Code	Brief Description of Project per Appropriation	Project Award Amount	Amount		
				Current Year Expended	Reappropriated	Lapsed
621040	902-49442-7700-0100	CONSTRUCTION E OF THE TOLLWAY OASIS TO W OF IL 83 IN THE VILLAGE OF LANSING	2,430,748	539,508	1,891,240	0
621070	902-49442-7700-0100	CONSTRUCTION FROM S OF 159TH ST TO S OF THORNTON-LANSING RD IN VILLAGE OF LANSING AND SOUTH HOLLAND	3,813,680	657,600	3,156,080	0
621090	553-49444-7700-0024	CONSTRUCTION AT I-80/94/IL 83 IN VILLAGE OF LANSING, 178TH ST TO BERNICE ROAD	4,692,147	2,180,754	2,511,394	0
621100	902-49442-7700-0100	CONSTRUCTION AT I-80/94/US 6; W OF IL 83 TO E OF BURNHAM AVE VILLAGE OF LANSING	2,191,147	311,689	1,879,458	0
621120	553-49444-7700-0024	CONSTRUCTION AT I-80 LOCATED UNDER WENTWORTH AVE	734,304	469,621	70,586	0
621130	902-49442-7700-0100	CONSTRUCTION AT I-80, FROM E OF BURNHAM TO US 41, LANSING IN CITY OF HAMMOND	18,959,154	2,570,584	16,388,570	0
621150	553-49444-7700-0024	CONSTRUCTION AT RIEGEL RD OVER BUTTERFIELD CREEK BRIDGE, VILLAGE OF HOMEWOOD, 0.7 MI S OF 187TH ST	178,416	103,231	8,676	0
622880	902-49442-7700-0124	CONSTRUCTION AT 127TH ST FROM PAULINA ST TO BISHOP & IL 1 (HALSTED ST) TO INDIANA AVE WITHIN VILLAGE OF CALUMET PARK AND CHICAGO	907,351	720,777	132,273	0
623480	902-49442-7700-0123	CONSTRUCTION AT ILLINOIS ROUTE 394 UNDER THORNTON LANSING RD.	490,424	408,199	82,226	0
623490	553-49444-7700-0024	CONSTRUCTION AT I-80/94, IL 394 TO US 41 LOCATED IN LANSING & SOUTH HOLLAND	293,250	265,095	28,155	0
623500	902-49442-7700-0100	CONSTRUCTION AT I-80, I-80/94 FROM E/O IL 83 TO W OF WENTWORTH AVE IN VILLAGE OF LANSING	3,310,870	2,035,537	1,275,333	0
623510	902-49442-7700-0124	CONSTRUCTION AT I-80/94 IN VILLAGES OF LANSING, SOUTH HOLLAND, & THORNTON @ IL 394	582,699	13,921	281	0
623520	553-49444-7700-0024	CONSTRUCTION AT I-80, VILLAGES OF LANSING & SOUTH HOLLAND	807,467	600,117	46,074	0
623530	553-49444-7700-0024	CONSTRUCTION AT I-80, I-80/94/294/IL394. LOCATED OVER IL 394, I-94, AND OVER THORN CREEK ONE MILE N OF I-80	3,250,102	1,227,534	1,630,348	0
623840	902-49442-7700-0122	CONSTRUCTION ON IL-50 AT 127TH STREET AND I-294 IN ALSIP	645,802	28,165	36,400	0
623890	902-49442-7700-0100	CONSTRUCTION AT I-55, @ IL-53 WITHIN VILLAGE OF BOLLINGBROOK	534,494	29,066	505,428	0
624250	011-49442-7700-0123	CONSTRUCTION AT WASHINGTON BLVD FROM AUSTIN BLVD TO WESTERN AVE IN CHICAGO	1,114,427	130,106	0	0
624330	011-49442-7700-0100	CONSTRUCTION ON US 45 FROM IL 58 TO US 12 IN DES PLAINES	146,351	2,927	0	0
624370	902-49442-7700-0124	CONSTRUCTION AT IL 59 @ BEACH GROVE RD	1,335,522	973,671	361,851	0
624770	902-49442-7700-0124	CONSTRUCTION AT IL 1 (HALSTED ST) OVER I-80/294 IN VILLAGE OF EAST HAZEL CREST	84,610	31,554	0	0
625060	011-49442-7700-0023	CONSTRUCTION ON IL-83 FROM IL-72 TO DEVON AVE. AND OAKTON ST FROM ELMHURST RD TO WOLF RD IN ELK GROVE AND DES PLAINES	61,398	1,669	0	0
625090	011-49442-7700-0023	CONSTRUCTION ON I-55 FROM WILLOW SPRINGS RD TO KEDZIE AVE IN INDIAN HEAD PARK, SUMMIT FOREST VIEW, STICKNEY & CHICAGO	239,262	4,785	0	0
625180	902-49442-7700-0124	CONSTRUCTION AT ON I-80/94 IN VILLAGES OF LANSING & SOUTH HOLLAND. (IL 394 TO US 41)	455,719	75,336	38,745	0
625410	011-49442-7700-0123	CONSTRUCTION AT IL 131 @ 21ST ST IN ZION, BEACH PARK & WADSWORTH IN LAKE CO	146,921	101,352	45,568	0
625870	553-49444-7700-0024	CONSTRUCTION AT I-94/90, WELL ST, DAN RYAN/SKYWAY INTERCHANGE RECONSTRUCT & REPLACE FOR WENTWORTH AVE OVER DAN RYAN EXPRESSWAY	1,596,799	1,188,470	408,329	0
626320	902-49442-7700-0124	CONSTRUCTION AT US 6 (159TH ST) BETWEEN I-294 (TRI-STATE TOLLWAY) AND IL 1 (HALSTED ST) IN CITY OF HARVEY	202,573	171,269	23,804	0
626390	902-49442-7700-0124	CONSTRUCTION AT I-90/94, WENTWORTH AVE BR OVER I-90/94	188,328	7,343	326	0
626410	553-49444-7700-0024	CONSTRUCTION AT 127TH ST OVER THE IAIS RR IN VILLAGES OF BLUE ISLAND & CALUMET PARK 0.5 MI W OF I-57.	311,823	223,026	0	0
626460	553-49444-7700-0024	CONSTRUCTION AT I-80/94, IL 394 TO US 41 IN LANSING AND SOUTH HOLLAND.	30,515	30,515	0	0
626530	902-49442-7700-0124	CONSTRUCTION AT IL 22 OVER MIDDLE FORK OF THE N BRANCH OF CHICAGO RIVER .645 METERS E OF IL 43	77,393	1,925	5,949	0
626630	902-49442-7700-0124	CONSTRUCTION AT I-80/94, IL 83/TORRENCE AVE OVER I-80/94 IN VILLAGE OF LANSING	578,476	333,056	145,788	0

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626640	553-49444-7700-0024	CONSTRUCTION AT I-80, VILLAGE OF LANSING (COOK CO) & HAMMOND & MUESTER (LAKE CO) FROM IL 394 TO US 41 IN INDIANA	1,474,469	214,860	1,245,896	0
627440	902-49442-7700-0100	CONSTRUCTION AT IL 394(NB) OVER I80 & I80/94 WB TO IL 394 (SB) LANSING AND SOUTH HOLLAND	536,722	91,474	445,247	0
627980	902-49442-7700-0100	CONSTRUCTION AT I-94/90, ALONG S WENTWORTH AVE & S WELLS ST FROM 59TH ST TO 47TH ST IN CHICAGO (DAN RYAN EXPRESSWAY)	9,774	1,305	8,469	0
628010	902-49442-7700-0100	CONSTRUCTION AT I-90/94, 57TH ST BETWEEN S WENTWORTH AVE & S WELLS ST IN CHICAGO	28,925	600	28,325	0
628050	902-49442-7700-0100	CONSTRUCTION AT I-94/90, DAN RYAN EXPRESSWAY (FRONTAGE RD) 59TH ST & 47TH ST IN CHICAGO	12,285	5,830	6,455	0
628290	902-49442-7700-0100	CONSTRUCTION AT US45/IL21 EAST ALONG WILLOW RD IN PROSPECT HEIGHTS	1,173,647	52,700	1,120,947	0
628510	902-49442-7700-0100	CONSTRUCTION AT WILLOW RD OVER IL 21 & OVER DES PLAINES RIVER IN PROSPECT HEIGHTS	154,222	146,770	7,452	0
628520	902-49442-7700-0100	CONSTRUCTION AT PALATINE RD OVER IL 83, LOCATED 0.5 MI S OF IL68 IN PROSPECT HEIGHTS	167,260	49,358	117,902	0
628780	902-49442-7700-0100	CONSTRUCTION W OF IL 83 EASTERLY ALONG PALATINE RD S OF IL 68 IN PROSPECT HEIGHTS	989,246	87,357	901,889	0
643870	902-49442-7700-0224	CONSTRUCTION AT US 6 FROM IL 26 (N) TO IL 26 (S) IN PRINCETON, 0.74 MI	1,098,445	526,772	77,846	0
645080	553-49444-7700-0024	CONSTRUCTION AT US BR 20 FROM CLARK ST TO NW OF SOUTH ST IN FREEPORT.	1,391,828	781,857	557,471	0
646300	902-49442-7700-0022	CONSTRUCTION AT IL 26 FROM IL 64(W) TO WILLOW ST IN FORRESTON.	604,503	104,446	500,056	0
646310	011-49442-7700-0223	CONSTRUCTION AT IL 38 FROM I-39 TO THE OGLE/DEKALB CO LINE	412,145	28,814	27,196	0
646320	902-49442-7700-9900	CONSTRUCTION FROM IL 251 TO PEACE RD WEST OF SYCAMORE WITH REALIGNMENT OF S-CURVE IN DEKALB	762,402	134,549	627,853	0
646470	902-49442-7700-0200	CONSTRUCTION OVER THE ROCK RIVER TO JOHN DEERE RD & BLACKHAWK AV (52ND AVE EXTENSION)	14,281,384	533,250	13,748,134	0
646490	553-49444-7700-0024 902-49442-7700-0224	CONSTRUCTION AT FAU-5822, NEW WEST ROCK RIVER BR FOR THE MILAN BELTWAY EXTENSION & ALONG I-280 AND OVER AIRPORT RD	16,452,253	11,590,266	2,822,932	0
660300	902-49442-7700-0021	CONSTRUCTION ON US-24 AND IL-1 WITH A RAILROAD INTERCONNECT LOCATED 1 MILE EAST OF WATSEKA	182,579	3,721	0	0
660520	553-49444-7700-0024	CONSTRUCTION AT US 34 FROM W OF CHILTON WAY, E TO PLANE, TO BIG ROCK CREEK	1,676,758	915,047	761,711	0
661000	553-49444-7700-0023	CONSTRUCTION AT IL 113, RIVERSIDE HOSPITAL TO IL 17 IN KANKAKEE RR BRIDGE RECONSTRUCTION.	489,827	136,606	43,665	0
662250	902-49442-7700-0300	CONSTRUCTION AT 0.29 MI S OF CIVIC RD TO FIFTH ST IN LASALLE	999,132	47,561	951,571	0
662640	902-49442-7700-0324	CONSTRUCTION AT 7.3 MI ON IL 116 FROM E CORP LIMITS OF SAUNEMIN E TO THE WEST CORP LIMITS OF CULLOM	523,188	27	0	0
662970	902-49442-7700-0300	CONSTRUCTION ON FAP-317 OVER SUGAR CREEK BRIDGE ON THE WEST EDGE OF WATSEKA. (US-24)	321,418	451	0	0
663060	553-49444-7700-0024	CONSTRUCTION AT IL 113 FROM IL 17 TO OAK TREE LANE IN KANKAKEE	715,394	377,477	306,084	0
663100	902-49442-7700-0324	CONSTRUCTION AT INTERSECTION OF US 150 & IL 117 IN GOODFIELD	84,549	79,951	0	0
663200	553-49444-7700-0024	CONSTRUCTION AT IL 114 OVER PIKE CREEK, 1.7 MI E OF IL 1/17	651,733	534,796	23,433	0
663230	553-49444-7700-0024	CONSTRUCTION AT FAS-338, US 45 OVER A DRAINAGE DITCH	94,597	88,047	6,550	0
663430	902-49442-7700-0324	CONSTRUCTION AT INTERSECTION IMPROVE. OF US 24 WITH IL 47 IN FORREST.	39,264	23,868	14,796	0
663480	902-49442-7700-0324	CONSTRUCTION AT FAS-2312, @ THE RIDGE RD AND IL 126 INTERSECTION LOCATED N OF MINOOKA	24,562	24,112	0	0
663490	902-49442-7700-0324	CONSTRUCTION AT ON FAP-607, RIDGE ROAD & US 52 INTERSECTION N OF MINOOKA.	18,385	17,605	405	0
663500	553-49444-7700-0024	CONSTRUCTION AT FAP-591, REMOVE & REPLACE STRUCTURE CARRYING US 34 OVER LITTLE ROCK CREEK 2.2 MI E OF SANDWICH	286,912	208,758	1,823	0
664020	902-49442-7700-0300	CONSTRUCTION AT FAU-6194, IL 113 @ NE CITY LIMITS OF KANKAKEE 0.6MI N OF TOWER RD TO 0.2 MI S OF TOWER RD	354,243	4,050	350,193	0

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680000	553-49444-7700-0024	CONSTRUCTION AT US 136 FROM W OF TR226 TO CH 18 JUST WEST OF MACOMB.	875,683	509,091	314,926	0
681080	902-49442-7700-0424	CONSTRUCTION AT FAP-318, @ TRUITT AVE & IL 29 IN CHILICOTHE	467,685	26,541	1,079	0
681590	553-49444-7700-0024	CONSTRUCTION AT ILLINOIS ROUTE 41 OVER THE BURLINGTON NORTHERN SANTA FE RAILROAD LOCATED SOUTH OF GALESBURG	3,860,529	1,371,833	1,897,579	0
681930	553-49444-7700-0023	CONSTRUCTION ON STERLING AVE THRU UNIVERSITY ST ON I-74 FROM UNIVERSITY AVE TO 0.4 MILES WEST OF THE UPRR	4,893,312	1,994,682	135,649	0
681940	553-49444-7700-0023	CONSTRUCTION ON I-74 BETWEEN ARMSTRONG AVE & ADAMS ST IN PEORIA.	2,454,189	797,288	4,113	0
681960	902-49442-7700-0400	CONSTRUCTION AT FAI-74, FROM MONROE AVE TO 0.4KM W OF INDUSTRIAL SPUR, INCLUDING MURRAY BAKER BRIDGE	7,400,447	3,589,470	3,810,977	0
681970	553-49444-7700-0024	CONSTRUCTION AT FAI-74, FROM W OF STERLING AVE TO NEBRASKA AVE IN PEORIA.	1,987,605	966,676	1,020,929	0
681980	902-49442-7700-0400	CONSTRUCTION AT FAI-74, FROM NEBRASKA AVE TO MONROE AVE IN PEORIA.	6,623,527	1,721,022	4,902,505	0
681990	902-49442-7700-0400	CONSTRUCTION AT FAI-74, FROM IL RIVER TO E OF WASHINGTON ST INCLUDING STRUCTURE OVER MAIN ST, WASHINGTON ST, CAMP ST, & TP & W RR; EAST PEORIA	3,622,854	1,345,382	2,277,472	0
683580	902-49442-7700-0024	CONSTRUCTION AT IN HENDERSON, STARK, WARREN, MARSHALL & MERCER COUNTIES; IL 94, US34, IL96, IL17, IL167, IL18 & SHERRARD RD	130,854	42,181	0	0
683590	902-49442-7700-0024	CONSTRUCTION AT VARIOUS LOCATIONS-IL116,IL29,US24, US150, BUS24,US24/IL9,IL98, IL78, IL8	201,844	1,400	0	0
683990	902-49442-7700-0024	CONSTRUCTION AT 15 LOCATIONS IN TAZEWEEL, FULTON, PEORIA, AND MCDONOUGH CO.	20,046	20,046	0	0
684140	902-49442-7700-0000	CONSTRUCTION AT 50.5 MI OF CRACK ROUTING & CRACK SEALING IN VARIOUS LOCATION	166,114	166,114	0	0
684870	011-49442-7700-0022	CONSTRUCTION AT TWO INTERSECTIONS IN EAST PEORIA, TWO IN MORTON, ONE IN WASHINGTON, FIVE IN PEKIN, AND ONE IN IN PEORIA COUNTY.	72,361	3,258	69,103	0
700170	902-49442-7700-0500	CONSTRUCTION AT FAP-332, IL 1, 1.5 MI S OF ATTICA ST IN ROSSVILLE	63,615	31,291	32,324	0
700560	553-49444-7700-0023	CONSTRUCTION ON US-45 FROM US-136 TO 0.8 MILES SOUTH OF RANTOUL	814,987	39,042	0	0
722370	902-49442-7700-0624	CONSTRUCTION AT FAP-614, IL 78 FROM IL 125 IN VIRGINIA TO SANGAMON RIVER @ CHANDLERVILLE	243,832	85,763	13,965	0
725100	902-49442-7700-0624	CONSTRUCTION AT ON FAS-572&1585& FAP 315, IL 10 FROM IL 29 TO US 136 & US136 FROM IL 10 TO 1.3MI E OF IL 10 OVER CRANE CREEK IN EASTON	373,181	1,588	12,130	0
725130	011-49442-7700-0624	CONSTRUCTION AT FAP-518, IL 94/62 JUNCTION, 4.5 MI E OF BIG NECK ALONG IL94 IN ADAMS CO TO US 24	269,893	120,329	149,564	0
725240	902-49442-7700-0624	CONSTRUCTION AT ON FAP-67, IL 125 FROM 0.26 MI E OF ARENZVILLE RD IN BEARDSTOWN TO OLD IL 123 IN ASHLAND	659,378	7,310	0	0
725270	902-49442-7700-0624	CONSTRUCTION AT FAP-322, BEGINS ALONG IL 16 NEAR PANA AND EXTENDS ALONG US 51 TO NORTH CORP. LIMITS OF ASSUMPTION	256,006	256,006	0	0
725300	553-49444-7700-0023	CONSTRUCTION AT US-67 NE OF MANCHESTER AT SCOTT CO LINE & N OF I-72 SW OF JACKSONVILLE & OVER SANDY, BRUSHY FORK & SPOON CREEKS	7,686,865	1,581,918	398,096	0
725310	553-49444-7700-0024	CONSTRUCTION AT US 67, .1 MI S OF SCOTT/GREEN CO LINE TO N OF I-72	4,589,861	2,459,146	1,573,544	0
725350	553-49444-7700-0023	CONSTRUCTION ON FAP-75, FROM 2.1 MI N OF CHRISTIAN CO LINE TO JOHN ST IN ROCHESTER.	3,468,048	1,014,864	131,009	0
725360	902-49442-7700-0600	CONSTRUCTION AT FAP-75&753, IL 29 FROM 1.2 MI S OF EDINBURG TO CHENEY ST IN TAYLORVILLE	5,396,358	1,040,742	4,355,616	0
725450	553-49444-7700-0024	CONSTRUCTION AT FAP-310, US 67/IL 104 FROM 1 MI NW OF IL 78 IN JACKSONVILLE TO 1.2 MI E OF CHAPIN ALONG EXISTING US 67 IN MORGAN CO.	2,945,398	1,880,743	652,551	0
725490	902-49442-7700-0624	CONSTRUCTION AT ON FAP-714, IL 48 FROM SPRINGFIELD RD IN RAYMOND TO CHRISTIAN CO LINE IN HARVEL	63,939	1,500	0	0
726350	902-49442-7700-0624	CONSTRUCTION AT FAP-502 & 63, FROM YORK ST TO LOCUST ST ON IL57/US24 & FRONT ST TO 3RD ST ON MAINE ST IN QUINCY	182,164	120,691	6,003	0

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760120	553-49444-7700-0023	CONSTRUCTION AT FAP-600, FROM N OF I-55/70 TO S OF IL RT 162 LOCATED IN MARYVILLE	1,124,279	295,437	750,334	0
760130	553-49444-7700-0023	CONSTRUCTION AT FAP-600, IL RT 159 FROM IL RT 162 TO GLEN CROSSING RD IN GLEN CARBON AND MARYVILLE	1,396,324	594,452	498,683	0
760140	902-49442-7700-0824	CONSTRUCTION AT FAP-600, IL RT159 FROM I-270 TO HARVARD DR (EDWARDSVILLE); IL 159 FROM KETTLE RIVER DR TO N OF CENTER GROVE RD	2,413,566	599,930	1,542,103	0
764290	553-49444-7700-0024	CONSTRUCTION AT FAI-64, FROM IL RT 157 TO GREENMOUNT RD (IL159) TO LINCOLN TRAIL EXIT	5,584,488	1,140,948	4,443,541	0
765250	553-49444-7700-0023	CONSTRUCTION ON FAP-310, VONNAHMEN RD OVER PROPOSED IL RT 255 & OVER WEST FORK WOOD RIVER CREEK	1,258,032	411,923	362,462	0
765270	553-49444-7700-0023	CONSTRUCTION ON FAP-310, NEW BRIDGE ON WOOD STATION RD OVER PROPOSED IL-255	364,477	106,010	33,966	0
765280	902-49442-7700-0824	CONSTRUCTION AT IL ROUTE 255 OVER SEMINARY RD	858,824	572,289	238,102	0
765440	902-49442-7700-0823	CONSTRUCTION ON FAP-310 TO IL-255	231,659	8,953	13,260	0
765450	553-49444-7700-0023	CONSTRUCTION ON FAP-310 OVER E FORK OF WOOD RIVER CREEK IN BETHALTO.	390,370	152,334	66,362	0
765460	553-49444-7700-0023	CONSTRUCTION ON FAP-310, CULP RD OVER IL-255.	435,088	29,778	0	0
765470	553-49444-7700-0023	CONSTRUCTION AT NEW BRIDGE STUTZ LANE OVER IL 255 N OF ALTON	265,447	207,884	39,887	0
765480	553-49444-7700-0023	CONSTRUCTION ON FAP-310, NEW BRIDGE IL-255 OVER FOSTERBURG ROAD	395,469	62,224	7,909	0
766220	902-49442-7700-0824	CONSTRUCTION AT FAI270, FROM E OF I-255 TO THE 3-1 INTERCHANGE (I-55/70/270)	268,293	9,672	4,958	0
766380	902-49442-7700-0024	CONSTRUCTION AT FORT DE CHARTRES STATE HISTORIC SITE WEST OF PRAIRIE DUROCHER	156,677	153,802	1,139	0
766530	902-49442-7700-0824	CONSTRUCTION AT VARIOUS LOCATIONS IN MADISON, JERSEY, CALHOUN & GREENE CO.	444,491	222,020	0	0
766540	902-49442-7700-0824	CONSTRUCTION AT VARIOUS LOCATIONS IN ST. CLAIR, MONROE, RANDOLPH, CLINTON, & WASHINGTON CO	425,996	120,287	0	0
767030	902-49442-7700-0024	CONSTRUCTION AT FAI-55/70, MARYVILLE WEIGH STATION 1 MI W OF IL 159.	359,011	355,299	0	0
767100	902-49442-7700-0024	CONSTRUCTION AT PARK ROADS, 3 MI NORTH OF SPARTA.	2,457,065	1,353,622	1,103,444	0
767440	011-49442-7700-0823	CONSTRUCTION AT FAP-788, IL 3 IN VENICE, IL.	51,740	50,461	0	0
767460	902-49442-7700-0800	CONSTRUCTION AT FAP-788, RT 3 IN VENICE, N CONNECTOR TO S OF KERR AVE.	27,300	27,130	170	0
767680	902-49442-7700-0000	CONSTRUCTION AT PARK ROADS, 3MI N OF SPARTA ON SH18 WEST OF IL RT 4.	4,510,287	535,443	3,974,844	0
768030	902-49442-7700-0000	CONSTRUCTION AT PARK ROADS, IMPROVEMENTS FOR IDNR'S PROPOSED WORLD SHOOTING COMPLEX, 3 MI NORTH OF SPARTA	2,226,818	161,470	2,065,348	0
824700	902-49442-7700-0123	CONSTRUCTION ON IL-120 FROM DOT ST TO IL-31.	2,740,806	522,163	37,752	0
826340	902-49442-7700-0020	RECONSTRUCTION, ADDITIONAL LANES, BRIDGE REPLACEMENT, DRAINAGE IMPROVEMENTS, LIGHTING & TRAFFIC SIGNAL MODERNIZATION ON IL-64.	4,953,216	35,858	0	0
826350	553-49444-7700-0021	CONSTRUCTION AT IL-59 FROM 111TH STREET TO 143RD STREET IN PLAINFIELD AND NAPERVILLE & AT WEST NORMAN DRAIN.	4,152,957	26,896	223	0
826500	902-49442-7700-0020	REMOVAL & REPLACEMENT OF 3 EXISTING BRIDGES, VARIABLE WIDTH WIDENING & PAVEMENT RECONSTRUCTION & TRAFFIC SIGNAL MODERNIZATION.	2,389,333	62,851	307	0
828060	902-49442-7700-0022	CONSTRUCTION ON IL-83 OVER BUFFALO CREEK & FROM DUNDEE ROAD TO LAKE COOK RD IN WHEELING	1,669,470	64,237	32,901	0
834560	011-49442-7700-0021	RESURFACING OF 0.95 MILE BIT, CURB & GUTTER; CONCRETE SIDEWALKS, DRAINAGE STRUCTURES, LIGHTING & LANDSCAPING ON FAP ROUTE 348 TO GOLF ROAD IN MORTON GROVE.	902,297	5,073	1,737	0
837530	011-49442-7700-0123	CONSTRUCTION AT PEDESTRIAN UNDERPASS UNDER THE BNSF RAILROAD LOCATED AT CLAUSEN AVE AND HILLGROVE AVE	123,411	59,870	63,542	0
849810	902-49442-7700-0098	RECONSTRUCTION OF 4 MAIN CROSSROADS ON IL-2 FROM PLOCK RD TO KILGORE RD; 3.75 MILES OF 2 @ 24FT & VARIABLE WIDTH WIDTH FULL-DEPTH BIT CONCRETE PAVEMENT.	3,097,942	6,377	0	0

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853220	011-49442-7700-0224	CONSTRUCTION FROM US 67 TO SOUTH OF AIRPORT ROAD AND AT THE INTERSECTION OF KNOXVILLE AND INDIAN BLUFF ROADS	691,752	105,123	586,629	0
868560	553-49444-7700-0023	CONSTRUCTION ON I-55/74, INTERCHANGE OF IL-9 & I-55; I-55 FROM S OF IL-9 TO WEST OF I-39; EB RAMP I-74 TO SB I-55 NW OF NORMAL.	4,591,163	2,202,948	945,564	0
903350	902-49442-7700-0523	CONSTRUCTION ON FAP-498 FROM US-150 TO HUNGRY HOLLOW RD	451,005	613	0	0
907290	011-49442-7700-0023	CONSTRUCTION ON FAP-749, ECL OF ARTHUR TO US 45 @ ARCOLA, IL 133	735,383	2,403	0	0
907340	011-49442-7700-0523	CONSTRUCTION ON FAP-801 & 725, E OF STALEY RD TO MATTIS AVE & MATTIS AVE FROM GLEN PARK DR TO SPRINGFIELD AVE IN CHAMPAIGN.	428,591	8,575	0	0
908650	902-49442-7700-0500	CONSTRUCTION AT FAP-711, IL RT 49 TO IL RT 1.	281,541	33,821	247,719	0
908670	902-49442-7700-0500	CONSTRUCTION AT FAP-71, IL 54 FROM LOGAN CO LINE TO 0.3 MI W OF US 51 @ CLINTON	216,897	42,051	174,845	0
908690	902-49442-7700-0524	CONSTRUCTION AT FAS-661 & 670, FROM 0.5 MI N OF CH23 TO INTERSECTION W/ IL 121 IN MATTOON	628,974	438,553	24,935	0
909260	902-49442-7700-0500	CONSTRUCTION AT FAP-320, FROM N OAKLAND AVE TO 22ND ST IN DECATUR & @ SPRING CREEK (IL 48)	347,864	13,380	334,484	0
909290	902-49442-7700-0524	CONSTRUCTION AT FAP-716, FROM PERSHING RD TO MARIETTA ST IN DECATUR, IL48.	177,155	1,606	175,549	0
923910	902-49442-7700-0624	CONSTRUCTION AT FAP-745, IL 104 FROM THE PIKE/ADAMS CO LINE TO MCKEE CREEK IN CHAMBERSBURG	392,460	359,474	0	0
947170	902-49442-7700-0724	CONSTRUCTION AT FAP-877, IL 141, NEW HAVEN TO INDIANA STATE LINE.	214,058	213,016	0	0
947810	011-49442-7700-0723	CONSTRUCTION AT FAP-750, IL RT 185 4 MI N OF ST PETER TO IL 37 @ FARINA.	616,033	935	0	0
949580	011-49442-7700-0023	CONSTRUCTION ON VARIOUS ROUTES IN VARIOUS COUNTIES.	341,235	22,479	82,956	0
967380	011-49442-7700-0823	CONSTRUCTION ON IL-255 AND CHANNELIZATION OF IL-140.	491,852	237,006	0	0
967430	902-49442-7700-0022	CONSTRUCTION ON IL-111 ON AIRLINE DR OVER IL-255.	2,194,328	763,346	94,508	0
967450	553-49444-7700-0022	CONSTRUCTION ON IL-255 OVER IL-1.	1,757,431	280,269	48,121	0
967650	902-49442-7700-0024	CONSTRUCTION AT IL 157 FROM SCHWARZ ST TO N OF LEWIS RD & S OF CENTER GROVE RD TO SIUE S ACCESS RD IN EDWARDSVILLE	12,541,287	1,075,448	10,808,807	0
984170	902-49442-7700-0020	SEISMIC RETROFITTING OF THE SUPERSTRUCTURE ON THE DUAL 30 SPAN BRIDGES CARRYING I-57 OVER ILLINOIS RT 3 AND THE IL CENTRAL RAILROAD NORTH OF CAIRO.	307,502	215,746	4,796	0
984210	553-49444-7700-0023	CONSTRUCTION ON FAP-332, FROM MILL ST TO SIU PHYSICAL PLANT IN CARBONDALE.	688,818	34,159	80,185	0
986290	553-49444-7700-0023	CONSTRUCTION AT US RTE. 45 BEGINNING NORTH OF IL RTE 15 IN HARRISBURG.	1,188,640	671,096	102,996	0
986300	553-49444-7700-0024	CONSTRUCTION AT FAP-332, US 45, 1.4 MI THRU VILLAGE OF MUDDY FROM MIDDLE FORK SALINE RIVER TO N EDGE OF MUDDY	871,485	455,775	73,760	0
986790	902-49442-7700-0924	CONSTRUCTION AT FAP-726, IN PULASKI CO @ 0.3 MI N AND 0.3 MI S OF OLMSTED.	145,084	90,049	3,552	0
986800	902-49442-7700-0924	CONSTRUCTION AT FAP-885, @ 0.2MI E OF IL 127 INTERSECTION OVER GREEN CREEK TRIBUTARY.	90,381	84,101	86	0
986940	902-49442-7700-0924	CONSTRUCTION AT FAP-312 & SBI-146, 1.5 MI N OF CAPE TEE INTERSECTION & ENDS 364 FT N OF IL 3/IL146 INTERSECTION @ WARE	836,425	387,002	449,423	0
987720	902-49442-7700-0900	CONSTRUCTION AT FAP-853, FROM N EDGE OF BENTON SQUARE ON IL 14 TO HAMILTON CO LINE.	260,487	190,645	69,842	0
987840	902-49442-7700-0923	CONSTRUCTION ON US 51; PLEASANT HILL RD TO WEST OF BOSKYDELL RD IN CARBONDALE.	24,159	900	960	0
988310	902-49442-7700-0924	CONSTRUCTION AT US 51 BEGINS N OF TAMAROA AND PROCEEDS N TO WASHINGTON CO LINE	63,304	3,807	762	0
AL042135	011-49442-7700-0124	PRELIMINARY ENGINEERING FOR LASALLE DRIVE FROM STOCKTON DR TO LAKE SHORE DR, CHICAGO	800,000	205,328	594,672	0
JJ100476	902-49442-7700-0021	IMPROVEMENT OF INTERSECTION OF IL-19 AT WOOD DALE ROAD	2,000,000	42,871	1,648,789	0
JN102515	011-49442-7700-0123	MAKE SURVEYS, OBTAIN ROW, PREPARE PLANS AND SPECS, RECEIVE BIDS, ENGINEERING INSPECTION FOR US 20 IN COOK CO	600,000	570,000	30,000	0

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JN104514	902-49442-7700-0124	INSTALL 5 PARKING STALLS ON THE NORTH SIDE OF DEVON AVE IN THE PARKWAY, WESTBOUND, FROM APP 25' E OF CHRISTIANA AVE, COOK COUNTY	5,000	5,000	0	0
LA05DM01	902-49442-7700-0500	VAULT RENOVATION OF BREESE TOWER AND COURTHOUSE ANNEX DANVILLE, ILLINOIS	458,440	355,819	102,621	0
PR200401	902-49442-7700-0124	PUBLIC RELATIONS FOR THE DAN RYAN/KINGERY EXPRESSWAY RECONSTRUCTION	700,000	23,375	113,578	0
PR200402	902-49442-7700-0124	COMMUNITY RELATIONS FOR THE DAN RYAN/KINGERY EXPRESSWAY RECONSTRUCTION.	1,807,332	589,929	696,049	0
PR200404	902-49442-7700-0100 902-49442-7700-0124	ECONOMIC OPPORTUNITY TRAINING FOR THE DAN RYAN/KINGERY EXPRESSWAY RECONSTRUCTION.	1,648,000	841,014	806,986	0
RR193354	011-49442-7700-0123	REPLACE CIRCUITRY AT RR CROSSING AT IL 120 IN MCHENRY CO.	48,865	2,844	18,241	0
RR199149	902-49442-7700-0123	DRAWINGS, ESTIMATES, SPECS, INSTALL CROSSING, IL 64 DUPAGE CO.	929,396	516,469	59,283	0
RR202115	902-49442-7700-0224	RELOCATION OF FLASHING LIGHT SIGNALS, A GATE UNIT, REMOVAL OF POWER/COMMUNICATION LINES AND POLES, INSTALLATION OF ELECTRO CODE OVERPASS STRUCTURES, IL 72, OGLE CO.	33,814	33,814	0	0
RR301021	011-49442-7700-0323	REPLACE STRUCTURE CARRYING THE RR OVER IL-113 IN THE CITY OF KANKAKEE, KANKAKEE CO	96,100	69,517	16,743	0
RR303097	902-49442-7700-0324	PREPARE DETAILED CIRCUIT DRAWINGS, ESTIMATES AND SPECS, INSTALL WARNING DEVICES AND THEIR CIRCUITS, EXTEND CROSSINGS, TRAFFIC CONTROL; US 24, LIVINGSTON COUNTY	24,443	15,252	9,191	0
RR501005	902-49442-7700-0021	CONSTRUCTION ENGINEERING AND INSPECTION-RECONSTRUCT OVERPASS STRUCTURES, DOUGLAS CO	1,349	67	0	0
RR502002	011-49442-7700-0523 902-49442-7700-0500	REMOVE AND INSTALL CROSSING, EXTEND CONCRETE SURFACE, CHAMPAIGN CO.	52,612	21,472	0	0
RR800921	902-49442-7700-0824	PRELIMINARY AND FINAL DESIGN PLANS, SPECIFICATION AND CONSTRUCTION COST ESTIMATE FOR ALL WORK TO BE PERFORMED, IL 3, ST CLAIR COUNTY	62,000	178	60,769	0
RR801919	902-49442-7700-0824	PRELIMINARY AND FINAL DESIGN PLANS, SPECIFICATIONS AND CONSTRUCTION COST ESTIMATES, IL 3, ST CLAIR CO.	175,000	46,897	128,103	0
RR801921	011-49442-7700-0021	PLANS FOR RR TRACK, STRUCTURES, CRITERIA FOR DESIGN/ CONSTRUCTION OF TRACK, IL 3, ST CLAIR CO	5,000	4,500	500	0
UT203003	902-49442-7700-0223	RELOCATE GAS MAIN, US-34, SEC 10M-1, HENRY CO	46,176	46,176	0	0
UT204002	902-49442-7700-0224	RELOCATE GAS MAIN FROM STATION 66+00 THRU STATION 132+50 ALONG IL 72/IL 23, DEKALB COUNTY	44,824	44,824	0	0
UT300004	011-49442-7700-0020	UTILITY ADJUSTMENT - RELOCATION OF UNDERGROUND ELECTRIC FACILITIES, US 34, KENDALL CO	11,839	11,566	273	0
UT304003	902-49442-7700-0324	INSTALL APP 596' OF 4" STEEL GAS MAIN AND ASSOCIATED APPURTENANCES FROM STA 183+06 TO 184+07 RT AND FROM STA 188+75 TO 193+70 RT, US 150, WOODFORD COUNTY	6,127	3,307	2,821	0
UT403014	902-49442-7700-0423	REMOVE/INSTALL NEW POWER POLE, US 136, MCDONOUGH CO.	1,052	1,052	0	0
UT404001	902-49442-7700-0424	INSTALL NEW GAS MAIN & REMOVE EXISTING GAS MAIN, IL 41, KNOX CO	70,413	70,413	0	0
UT404004	902-49442-7700-0424	RELOCATION OF WATER MAIN ON NORTH SIDE OF IL 116, WARREN CO	4,580	4,580	0	0
UT601007	011-49442-7700-0021	ADJUSTING VERTICAL AND/OR HORIZONTAL ALIGNMENT OF HIGH PRESSURE GAS MAIN, IL 15, MONTGOMERY CO	19,171	5,442	0	0
UT602014	902-49442-7700-0624	RELOCATION OF EXISTING FACILITIES, US 67, MORGAN CO	26,501	26,501	0	0
UT603009	902-49442-7700-0624	RELOCATION AND REPLACEMENT OF WATER MAINS, US 67, MORGAN CO	40,955	31,768	2,880	0
UT604011	902-49442-7700-0624	RELOCATION OF AN ELECTRIC DISTRIBUTION LINE, MORGAN, SCOTT AND GREENE COUNTIES	37,424	37,424	0	0
UT604013	902-49442-7700-0624	RELOCATING AND REPLACEMENT OF EXISTING UNDERGROUND TELEPHONE CABLE, IL 78, MORGAN CO	1,172	1,172	0	0
UT604015	902-49442-7700-0624	RELOCATION AND REPLACEMENT OF AN EXISTING 24" PIPELINE, IL 29, CHRISTIAN CO	81,950	81,950	0	0
UT604019	902-49442-7700-0624	RELOCATING AND REPLACEMENT OF ELECTRIC POLES, IL 125, CASS COUNTY	6,321	4,953	1,368	0

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UT704001	902-49442-7700-0700 902-49442-7700-0724	ADJUST UNDERGROUND ELECTRIC LINE, INSTALL NEW UNDERGROUND ELECTRIC LINE, REMOVE AERIAL LINES, REPLACE AERIAL CROSSING & REMOVE POLE, IL 32/33, EFFINGHAM CO	21,616	21,616	0	0
UT704005	902-49442-7700-0724	RELOCATE THE WATER LINE ALONG HERITAGE AVENUE, IL 32/33, EFFINGHAM COUNTY	2,600	2,600	0	0
UT705001	902-49442-7700-0700	WATERLINE REIMBURSEMENT, US HIGHWAYS 50 & 51	201,631	31,967	169,665	0
UT803032	902-49442-7700-0824	REMOVAL OF 8 POWER POLES AND ASSOCIATED EQUIPMENT AND INSTALATION OF 7 POWER POLES AND ASSOCIATED EQUIPMENT IL 255, MADISON COUNTY	11,023	11,023	0	0
UT803039	902-49442-7700-0824	RELOCATION OF BURIED AND AIR CABLES, IL 157, MADISON CO	221,800	221,799	1	0
PSB078013	011-49405-7700-0022 011-49405-7700-0023	PHASE II, PLAN PREPARATION FOR RESURFACING A 2 LANE ADDITION ON US 24 FROM W OF PERTON CREEK TO KINGSTON MINES	1,504,107	341,690	233,139	0
PSB086017	902-49405-7700-0024	PHASE 2, PS & E FOR RECONSTRUCTION, RELOCATION AND TRAFFIC SIGNAL INSTALLATION, MCLEAN COUNTY	606,794	370,791	82,079	0
PSB087013	011-49442-7700-0022	ENGINEERING FOR REPLACEMENT OF STRUCTURE CARRYING NS RR OVER IL-11 IN KANKAKEE (PRIME)	107,906	647	962	0
PSB103004	011-49442-7700-0023	CONTRACT PLANS FOR NEW STRUCTURE RAMP ON I-55 IN WILL CO (START UP)	2,111,848	114,393	250,789	0
PSB104012	011-49405-7700-0020	PLANS AND SPECS FOR CONSTRUCTION OF 3 STRUCTURES (START UP)	475,499	13,601	25,504	0
PSB109025	902-49405-7700-0024	PHASE II, REALIGNMENT AND GEOMETRIC REVISIONS TO THE EASTBOUND IL 18 CONNECTORS IN STREATOR	118,511	95,877	7,646	0
PSB109035	011-49405-7700-0023	CONTRACT PLANS FOR IL-41 SOUTH OF GALESBURG (START UP)	271,820	2,718	0	0
PSB110032	011-49405-7700-0023 902-49405-7700-0000	PHASE II, CONSTRUCTION PLANS FOR REHAB OF FAP 774 FROM W OF THE SHUMWAY WYE INTERSECTION TO IL 32/33 TO FORD COUNTY,	901,266	47,140	8,246	0
PSB110042	011-49405-7700-0023 902-49405-7700-0024	DRAINAGE STUDY, ROADWAY, STRUCTURE & ROW PLANS & DOCUMENTS FOR WIDENING IL-13/15 IN ST CLAIR CO (START UP)	1,101,171	246,936	5,804	0
PSB115027	011-49405-7700-0023 902-49405-7700-0024	PHASE 2, CONTRACT PLANS, SPECS AND ESTIMATES FOR RESURFACING , GRADING AND SHOULDER RECONSTRUCT OF US 52/IL 251 FROM N OF I80 TO S OF MENDOTA, LASALLE COUNTY	404,174	97,314	11,516	0
PSB118035	902-49405-7700-0024	PHASE 2, PREPARE CONTRACT PLANS AND DOCUMENTS FOR THE REHAB OF FAP 770 (IL 128) FROM IL 121 IN DALTON CITY TO 12TH STREET IN SHELBYVILLE	664,453	404,935	15,433	0
PSB119035	011-49405-7700-0023 011-49405-7700-0024	CONSTRUCTION PLANS FOR REHABILITATION OF 2.4 MILES OF US-50 & US-51 IN MARION CO (START UP)	570,224	63,166	18,349	0
PSB890135	011-49405-7700-0023	ROADWAY AND CONSTRUCTION PLANS FOR RECONSTRUCTION & REHABILITATION OF MCKINLEY BRIDGE IN MADISON CO (START UP)	3,812,714	88,959	4,421	0
PTB080009	011-49405-7700-0020 902-49405-7700-0000	CONTRACT PLANS AND SPECS FOR MILAN BELTWAY PROJECT IN ROCK ISLAND (PRIME)	1,966,613	301,145	382,538	0
PTB102014	011-49405-7700-0020 011-49405-7700-0097 011-49442-7700-0021	PHASE II, RECONSTRUCTION IL 33 FROM 950 M E OF JASPER/ /CRAWFORD CO LINE TO 954 M EAST OF TOWNSHIP ROAD 900E	797,983	36,072	26,586	0
PTB106011	011-49405-7700-0023	PHASE II, PLANS, SPECS AND ESTIMATES FOR ROADWAY IMPROVEMENT AND STRUCTURE REHAB AT FAI-90 AT CENTRAL AVENUE, COOK CO	305,115	33,972	17,615	0
PTB106029	011-49442-7700-0023	SEISMIC & REDUNDANCY RETROFITTING OF IL-3 RAMPS IN POPLAR ST COMPLEX IN ST CLAIR CO (PRIME)	1,498,269	35,568	0	0
PTB107038	011-49405-7700-0020	MISCELLANEOUS ENGINEERING FOR VARIOUS PROJECTS IN VARIOUS COUNTIES IN DISTRICT 6 (PRIME)_	500,000	1,765	34	0
PTB108022	011-49405-7700-0020	MISCELLANEOUS ENGINEERING FOR VARIOUS PROJECTS IN VARIOUS COUNTIES IN DISTRICT 8 (PRIME)	500,000	33,583	43,100	0
PTB108033	011-49405-7700-0023 011-49405-7700-0097	ENGINEERING STUDY FOR THE TOTAL RECONSTRUCTION OF THE I-90/94 EXPRESS & LOCAL LANES & RAMP MODIFICATIONS (START UP)	4,394,995	205,486	10,689	0
PTB108036	011-49405-7700-0020 011-49405-7700-0023 011-49442-7700-0021	STUDY OF IL-53 FROM ELGIN O'HARE EXPRESSWAY TO ARMY TRAIL ROAD IN DUPAGE CO (START UP)	1,039,303	20,920	0	0
PTB108037	011-49442-7700-0021 902-49405-7700-0000	COMBINED DESIGN REPORT & ECAD FOR IMPROVEMENTS OF IL-53 FROM IL-64 TO SOUTH OF IL-56 IN DUPAGE CO (START UP)	2,384,817	367,056	183,867	0
PTB108038	011-49405-7700-0020 011-49442-7700-0021	COMBINES DESIGN REPORT AND ECAD FOR IL-59 TO NAPERVILLE ROAD IN DUPAGE CO (START UP)	1,147,963	56,131	43	0

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PTB108039	011-49405-7700-0022	PHASE II, PS & E FOR RECONSTRUCTION OF IL 58 FROM W OF MOON LAKE ROAD TO W OF ROSELLE ROAD AND IL-72 FROM W OF FAIRMONT RD TO W OF ROSELLE ROAD, COOK CO	1,760,827	42,141	0	0
PTB108042	011-49405-7700-0020 011-49442-7700-0021	PREPARE ECAD AND COMBINED LOCATION/DESIGN REPORT FOR DES PLAINES RIVER ROAD (START UP)	1,027,537	49,425	31,694	0
PTB108045	011-49442-7700-0021	PHASE II, ENGINEERING FOR 35TH & 71ST STREET BRIDGES OVER DAN RYAN EXPRESSWAY, COOK CO	775,676	13,151	13,092	0
PTB108047	011-49405-7700-0023	PROFESSIONAL SERVICES IN CONNECTION WITH IL-31 FROM BULL VALLEY RD TO IL-171 IN MCHENRY CO (START UP)	1,436,889	232,637	29,822	0
PTB108052	011-49405-7700-0020	ENGINEERING SERVICES FOR FINAL ROW PLANS, PREMISE PLATS, & LEGAL PROPOSAL DESCRIPTION RELATED TO LAND ACQUISITION DOCUMENTS AT VARIOUS LOCATIONS IN DISTRICT 3 (PRIME)	250,000	7,061	46,675	0
PTB108053	011-49405-7700-0020	PRELIMINARY ENGINEERING FOR FINAL ROW PLANS & PLATS RELATED TO LAND ACQUISITION FOR VARIOUS ROUTES IN VARIOUS COUNTIES IN DISTRICT 3 (PRIME)	250,000	15,136	38,577	0
PTB108054	011-49405-7700-0022	PS & E FOR IMPROVEMENT OF US-136 FROM WEST OF CH-16 TO TOWNSHIP RD 226 IN MACOMB (PRIME)	685,062	1,201	2,526	0
PTB108057	011-49405-7700-0020	MISC. ENGINEERING FOR VARIOUS PROJECTS IN VARIOUS COUNTIES IN DISTRICT 6 (PRIME)	500,000	25,609	19,752	0
PTB108058	011-49405-7700-0020	MISCELLANEOUS ENGINEERING FOR VARIOUS PROJECTS, VARIOUS COUNTIES IN DISTRICT 6.	500,000	104,039	28	0
PTB108059	011-49405-7700-0023	ENGINEERING FOR SURVEYS, TRAFFIC ANALYSIS, DESIGN STUDY FOR IL-157 FROM IL-3 TO I-255 IN CAHOKIA (PRIME)	644,933	6,320	0	0
PTB108060	011-49405-7700-0020	PROJECT REPORT FOR REHABILITATION OF IL-163 FROM IL-157 TO IL-158 (PRIME)	745,190	27,806	259,763	0
PTB108061	011-49405-7700-0020	ENGINEERING SERVICES FOR MISC. SURVEYS AT 15 LOCATIONS IN VARIOUS COUNTIES IN DISTRICT 8	325,000	10,580	0	0
PTB109006	011-49405-7700-0022 011-49442-7700-0021	PLANS FOR REHABILITATION OF IL-83 FROM WISCONSIN STATE LINE TO PETITE LAKE RD (PRIME)	1,403,673	3,830	28,004	0
PTB109007	011-49405-7700-0020 011-49405-7700-0023	CONTRACT PLANS FOR SUPERSTRUCTURE REMOVAL AND REPLACEMENT IN COOK COUNTY.	827,097	17,270	29,808	0
PTB109024	011-49405-7700-0020 011-49405-7700-0022 011-49405-7700-0023	PRELIMINARY ENGINEERING & ENVIRONMENTAL STUDIES FOR IMPROVEMENT OF IL-19 FROM EAST OF I-290 TO PROSPECT AVE IN DUPAGE CO (START UP)	1,318,412	232,534	9,633	0
PTB109025	011-49442-7700-0021	PROJECT REPORT FOR REASSIGNMENT OF ED COUPLES OF IL-18 IN STREATOR (PRIME)	294,263	14,815	0	0
PTB109030	011-49405-7700-0023	PLANS FOR RECONSTRUCTION OF I-74 FROM FORREST HILL AVE TO WEST OF SHERIDAN RD IN PEORIA (START UP)	5,796,823	9,999	38,533	0
PTB109031	902-49405-7700-0000 902-49405-7700-0024	PLANS FOR RECONSTRUCTION OF I-74 FROM WEST OF SHERIDAN RD TO THE ILLINOIS RIVER IN PEORIA (START UP)	11,050,430	423,930	68,475	0
PTB109033	011-49405-7700-0020	MISC. ENGINEERING ON VARIOUS ROUTES IN VARIOUS COUNTIES IN DISTRICT 4 (PRIME)	315,000	40,681	35,944	0
PTB109034	011-49405-7700-0020	MISC. ENGINEERING ON VARIOUS ROUTES IN VARIOUS COUNTIES IN DISTRICT 4 (PRIME)	635,000	43,850	244,905	0
PTB110001	011-49405-7700-0023	PHASE II, PLANS FOR WILLOW ROAD FROM US 45 TO I-294, COOK CO	2,230,658	268,612	42,356	0
PTB110002	011-49405-7700-0022 011-49442-7700-0021 902-49405-7700-0024	PLANS, SPECS, ESTIMATES FOR RECONSTRUCTION OF PALATINE ROAD WEST OF IL-83 IN COOK CO (PRIME)	5,385,672	379,392	634,584	0
PTB110017	011-49405-7700-0023 902-49405-7700-0000	FEASIBILITY STUDY FOR NEW INTERCHANGE FOR I-57 NORTH OF KANKAKEE AREA (PRIME)	348,072	66,222	3,753	0
PTB110018	011-49405-7700-0020	PHASE II, CONTRACT PLANS FOR REPLACEMENT OF STRUCTURES, KANKAKEE AND FORD COUNTY	144,024	4,326	734	0
PTB110022	011-49405-7700-0023 902-49405-7700-0024	DOCUMENTS FOR RECONSTRUCTION OF I-74 FROM WASHINGTON ST IN E PEORIA TO PINECREST DR (PRIME)	1,513,051	56,968	6,697	0
PTB110025	011-49405-7700-0020	ENGINEERING FOR PHASE II WORK ON VARIOUS ROUTES IN VARIOUS COUNTIES IN DISTRICT 4 (PRIME)	1,000,000	2,924	81,234	0
PTB110026	011-49405-7700-0020	MISC. ENGINEERING FOR PHASE I WORK ON VARIOUS ROUTES IN VARIOUS COUNTIES IN DISTRICT 4 (PRIME)	1,000,000	117,409	125,326	0
PTB110036	011-49405-7700-0022 011-49405-7700-0023	CONSTRUCTION PLANS & DOCUMENTS FOR NEW MISSISSIPPI RIVER BRIDGE & ROADWAY WORK IN ST CLAIR/MADISON CO	19,504,390	951,109	2,577,202	0

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PTB110038	011-49442-7700-0021	UTILITY COORDINATION WORK ON FAP-310 IN MADISON CO (PRIME)	1,000,000	65,890	89,760	0
PTB110039	011-49405-7700-0020	ENGINEERING FOR UTILITY COORDINATION IN MADISON CO (PRIME)	350,000	36,032	475	0
PTB110040	011-49405-7700-0020	MISC. ENGINEERING ON VARIOUS ROUTES IN VARIOUS COUNTIES IN DISTRICT 8	500,000	10,423	1,602	0
PTB110044	011-49405-7700-0023 011-49405-7700-0097	DESIGN REPORT & ECAD IMPROVEMENT OF IL-13/172 TO FOUR-LANE IN JACKSON CO (PRIME)	425,733	104,484	81,216	0
PTB111022	011-49405-7700-0023 902-49405-7700-0024	PLANS, ROW DOCUMENTS, SPECS AND RELATED DOCUMENTS FOR EXPRESSWAY DESIGN OF IL-29 IN SANGAMON CO (START UP)	2,796,942	102,296	3,451	0
PTB111023	011-49405-7700-0023	CONSTRUCTION OF SEGMENT OF US-67, A NEW 4-LANE EXPRESSWAY ON NEW ALIGNMENT BETWEEN GREENE CO LINE & I-72 IN MORGAN CO (START UP)	2,732,067	15,924	43,629	0
PTB111026	011-49405-7700-0023 011-49442-7700-0021	PLANS FOR CONSTRUCTION OF 4-LANE FROM SEMINARY RD TO IL-267 IN MADISON CO (PRIME)	2,328,758	149,998	42,269	0
PTB111027	902-49405-7700-0024	PRELIMINARY ENGINEERING FOR REHAB OF IL-267 FROM 3 MILES NORTH OF GODFREY TO JERSEYVILLE IN MADISON CO (PRIME)	3,416,448	267,581	78,608	0
PTB111028	011-49405-7700-0020 011-49405-7700-0023	PROJECT REPORT & CONGESTION MANAGEMENT ON IL-162 IN MADISON CO (PRIME)	1,802,754	127,700	6,975	0
PTB112001	011-49405-7700-0020	PLANS, SPECS, ESTIMATES INCLUDING STRUCTURE PLANS FOR RECONSTRUCTING & WIDENING OF IL-22 FROM EAST OF I-94 TO WEST OF US-41 IN LAKE CO (PRIME)	1,342,993	2,511	21,111	0
PTB112002	011-49405-7700-0022	PHASE II, CONTRACT PLANS, SPECS, ESTIMATES, SIGNING PLANS, SURVEY, DRAINAGE DESIGN, DEVON AVE TO IL 72, COOK CO	3,508,318	15,482	5,537	0
PTB112004	011-49405-7700-0023	PROFESSIONAL SERVICES FOR US-20 AT MCLEAN BLVD PHASE 1 STUDY IN KANE CO (START UP)	609,194	17,000	14,009	0
PTB112005	011-49405-7700-0022	PLANS, SPECS, ESTIMATES FOR REHAB OF STRUCTURES CARRYING 125TH STREET AND CICERO AVE OVER I-57 IN COOK CO	696,515	24,158	82,316	0
PTB112029	011-49405-7700-0023 902-49405-7700-0024	ENGINEERING FOR I55/70 INTERCHANGE IN ST CLAIR CO	13,768,866	859,722	287,196	0
PTB113001	011-49442-7700-0021	CONSTRUCTION ENGINEERING FOR US-45 IMPROVEMENTS FROM IL-176 TO IL-137 IN LAKE CO (START UP)	319,795	13,289	20,541	0
PTB113004	011-49405-7700-0023	PLANS, SPECS, ESTIMATES, TSL'S FOR RESURFACING I-57 FROM LITTLE CALUMET RIVER TO I-90/94	3,215,596	13,402	2,987	0
PTB113005	011-49405-7700-0022 011-49405-7700-0023 011-49442-7700-0021	PHASE II. PLANS, SPECS ESTIMATES, TS & LS FOR RESURFACING I-57 FROM LITTLE CALUMET RIVER TO I-90/94 AND REHAB OF 10 STRUCTURES, COOK CO	4,640,233	422,537	72,453	0
PTB113007	011-49442-7700-0021	WIDENING & RECONSTRUCTION OF 2 LANE ROADWAY OF IL-22 FROM IL-83 TO US-45 IN LAKE CO (START UP)	1,245,916	9,626	3,648	0
PTB113008	011-49405-7700-0023	ENGINEERING FOR WIDENING & RECONSTRUCTION OF EXISTING 2 LINE ROADWAY OF IL-22 FROM US-45 TO EAST OF I-94 (START UP)	3,344,514	46,255	15,496	0
PTB113010	011-49442-7700-0021	PHASE I STUDY FOR IMPROVEMENT OF IL-56 FROM W OF SUMMIT ROAD TO IL-83 (START UP)	370,581	18,348	1,655	0
PTB113025	011-49405-7700-0023	ENGINEERING FOR ROUTE & HYDRAULIC SURVEYS, ANALYSIS GEOMETRIC STUDIES & PROJECT REPORTS ON VARIOUS ROUTES IN DISTRICT 2 (PRIME)	1,000,000	8,734	0	0
PTB113026	011-49405-7700-0023	ENGINEERING FOR UPGRADING A PARTIALLY CONSTRUCTED EXPRESSWAY TO A FREEWAY IN STEPHENSON CO (START UP)	1,555,506	11,749	47,903	0
PTB113027	011-49442-7700-0021	CONTRACT PLANS FOR BRIDGE DECK REPLACEMENT (START UP)	603,477	1,871	12,297	0
PTB113039	011-49442-7700-0021	PROJECT REPORT FOR RECONSTRUCTION OF IL-130 FROM WAL-MART ENTRANCE SOUTH TO INDUSTRIAL DR IN OLNEY (START UP)	1,150,716	10,525	194,506	0
PTB113044	011-49442-7700-0023	ENGINEERING FOR LAND SURVEYS OF 90 PARCELS ALONG IL-109 FROM IL-3 TO IL-267 IN JERSEYVILLE (PRIME)	318,448	562	36,034	0
PTB114004	011-49405-7700-0020 011-49442-7700-0021	PLANS, SPECS, ESTIMATES FOR RECONSTRUCTION FROM EAST OF I & M CANAL TO I-55 INTERCHANGE IN WILL CO (START UP)	1,249,377	1,749	9,478	0
PTB114008	011-49442-7700-0021	PHASE I PROJECT REPORT FOR IMPROVEMENT OF US-12 AT KENSINGTON ROAD AND IL-83 (START UP)	529,480	24,190	3,830	0
PTB114026	011-49405-7700-0023 011-49442-7700-0021	PROJECT REPORT FOR REHAB OF IL-1/17 FROM NORTH CORPORATE LIMITS OF MOMENCE TO IL-144 IN MOMENCE (PRIME)	272,741	47,790	19,798	0
PTB114027	011-49442-7700-0021	ENGINEERING FOR VARIOUS PROJECT IN DISTRICT THREE	300,000	51,659	5,248	0

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PTB114039	011-49442-7700-0021	MISCELLANEOUS ENGINEERING FOR VARIOUS PROJECTS IN VARIOUS COUNTIES IN DISTRICT 6 (START UP)	1,000,000	49,580	80,807	0
PTB114046	011-49405-7700-0022	UPGRADING IL-161 FROM 2 LANES TO MULTILANE FROM PARK LANE IN CENTRALIA EAST TO I-57 (PRIME)	2,665,018	305,946	20,956	0
PTB115001	011-49405-7700-0097	CONSTRUCTION INSPECTION FOR IL-59 NORTH OF IL-126 IN PLAINFIELD & NAPERVILLE (START UP)	490,102	1,045	786	0
PTB115004	011-49405-7700-0023 011-49442-7700-0021	ENGINEERING FOR WIDENING & RECONSTRUCTION OF IL-22 FROM US-12 TO BEUSCHING RD (START UP)	1,909,398	247,218	3,264	0
PTB115005	011-49442-7700-0021	ENGINEERING -WIDENING AND RECONSTRUCTION OF 2 LAND ROADWAY OF IL-22 FROM W OF IL-59 TO W OF IL-12 IN LAKE CO	526,236	26,935	23,341	0
PTB115010	011-49442-7700-0021	FEASIBILITY STUDY OF GRADE SEPARATION FOR IHB RR AT LINCOLN/PARK AVE OR COTTAGE GROVE AVE (START UP)	188,820	11,792	80	0
PTB115025	011-49405-7700-0020	ENGINEERING FOR PROJECT REPORT I-57 INTERCHANGE AT MANTENO CARRYING CH-9 OVER I-57 IN KANKAKEE (START UP)	326,330	64,561	4,346	0
PTB115026	011-49442-7700-0021	PREPARATION OF REPORT FOR PROJECT IN KANKAKEE (START UP)	648,741	178,812	187	0
PTB115030	011-49442-7700-0021	WORK ORDERS FOR VARIOUS ROUTES IN DISTRICT 3 (START UP)	325,000	41,396	3,957	0
PTB115039	011-49442-7700-0021	WORK ORDERS ON VARIOUS ROUTES IN DISTRICT 6 (PRIME)	1,000,000	223,690	244,023	0
PTB115040	011-49442-7700-0021	ENGINEERING FOR VARIOUS PROJECTS IN VARIOUS COUNTIES IN DISTRICT 6 (START UP)	1,000,000	53,961	0	0
PTB115043	011-49442-7700-0021	ENGINEERING FOR ROW PLANS FROM IL-1 FROM NORTH ST IN GRAYVILLE TO INTERSECTION OF IL-130 (PRIME)	99,463	7,740	7,063	0
PTB116003	011-49405-7700-0023 011-49442-7700-0021	PROFESSIONAL SERVICES FOR WIDENING, RECONSTRUCTION OF IL-22 FROM E OF BEUSCHING RD TO QUENTIN RD (START UP)	568,615	48,613	483	0
PTB116029	011-49442-7700-0021	PHASE II, ENGINEERING FOR RECONSTRUCTION OF IL-53 FROM IL-64 TO S OF IL-56 (START UP)	200,000	1,585	41,489	0
PTB117003	011-49405-7700-0023 902-49405-7700-0024	PHASE II, ENGINEERING SERVICE FOR CONTRACT PLANS FOR RECONSTRUCTION OF KINGERY EXPRESSWAY/BORMAN EXPRESSWAY	11,587,541	2,890,291	2,041,769	0
PTB117004	011-49405-7700-0022 902-49405-7700-0024	PHASE II, CONTRACT PLANS FOR RECONSTRUCTION OF KINGERY EXPRESSWAY, COOK CO	13,281,220	2,616,663	127,829	0
PTB117005	011-49405-7700-0023 902-49405-7700-0024	CONTRACT PLANS FOR RECONSTRUCTION OF EXISTING 6 LANE ON I-80/I-94 IN COOK CO	10,566,571	1,646,441	346,008	0
PTB117006	011-49405-7700-0023 902-49405-7700-0000 902-49405-7700-0024	ENGINEERING FOR CONTRACT PLANS FOR RECONSTRUCTION OF 4-LANE EXPRESSWAY IN COOK CO	10,307,622	3,103,661	98,779	0
PTB117026	011-49405-7700-0023	PROFESSIONAL SERVICES FOR STRUCTURE PLANS (START UP)	1,000,000	9,156	234,262	0
PTB117042	011-49405-7700-0020	PHASE III, PROFESSIONAL SERVICES, CONSTRUCTION INSPECTION ON BRIDGE ILL 255 OVER IL 140 IN COTTAGE HILLS, MADISON CO	113,924	12,724	6,846	0
PTB118003	011-49405-7700-0023	PROFESSIONAL SERVICES FOR CONSTRUCTION ENGINEERING IN COOK & LAKE COUNTIES (START UP)	817,007	3,639	6	0
PTB118022	011-49405-7700-0020	PROFESSIONAL SERVICES - FIELD & OFFICE ASST FOR LAND SURVEYING IN DUPAGE CO (PRIME)	423,211	4,196	101,286	0
PTB118026	011-49405-7700-0022	PLANS, SPECS, FOR CONSTRUCTION IMPROVEMENTS, IN VARIOUS ROUTES, COUNTIES IN DISTRICT 2 (START UP)	1,000,000	68,564	206,023	0
PTB118029	011-49405-7700-0022 011-49405-7700-0023	PHASE III, CONSTRUCTION INSPECTION, MCLEAN CO	2,577,868	240,250	7,113	0
PTB118035	902-49405-7700-0024	ENGINEERING FOR REHABILITATION OF IL-128 FROM DALTON CITY TO 6TH ST IN SHELBYVILLE (START UP)	638,308	27	1,388	0
PTB118044	011-49405-7700-0022 011-49405-7700-0023 902-49405-7700-0000	PHASE III, CONSTRUCTION SURVEYS, STAKING AND INSPECTION, IL-159 BETWEEN COLLINSVILLE AND FAIRVIEW HEIGHTS, ST CLAIR CO	999,734	240,352	66,120	0
PTB118045	011-49405-7700-0022 011-49405-7700-0023	PHASE III, ENGINEERING SERVICES FOR CONSTRUCTION OF BRIDGE CARRYING AIRLINE DRIVE OVER IL 255 AND WIDENING AND RESURFACING OF IL 111, MADISON COUNTY	190,486	52,918	252	0
PTB119025	011-49405-7700-0022 011-49405-7700-0023 902-49405-7700-0024	CONSTRUCTION INSPECTION & SUPERVISION IN PEORIA CO (PRIME)	247,847	77,291	8,647	0
PTB119028	011-49405-7700-0022	ENGINEERING SERVICES FOR SURVEY & PS & E FOR REPLACEMENT OF 2 STRUCTURES OVER I-74 T ILL-49 IN CHAMPAIGN (START UP)	322,735	1,210	9,154	0

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PTB119030	011-49405-7700-0023	ENGINEERING FOR PROJECT REPORT FOR REHAB OF ATWOOD ARTHUR ROAD IN DOUGLAS COUNTY (START UP)	473,497	4,762	39,183	0
PTB120002	011-49442-7700-0022	PHASE I STUDY IN LAKE CO (START UP)	1,316,003	37,986	759,755	0
PTB120004	011-49405-7700-0023 902-49405-7700-0000 902-49405-7700-0024	PREPARATION OF PLANS FOR I-90/94 FROM 16TH ST TO 70TH ST (START UP)	27,021,681	5,887,806	5,219,336	0
PTB120005	902-49405-7700-0024	PHASE 2 PLANS, SPECS ESTIMATES FOR COLLECTOR-DISTRIBUTOR ROADWAY, DAN RYAN EXPRESSWAY, COOK CO	12,198,500	4,936,382	1,085,220	0
PTB120007	011-49405-7700-0023	ENGINEERING & GEOTECHNICAL INVESTIGATION & REPORT, SURVEY, TSL, & PLAN PREPARATION IN COOK CO (START UP)	1,495,521	51,960	682,683	0
PTB120008	011-49405-7700-0022	PHASE II, START-UP AGREEMENT. PROFESSIONAL SERVICES FOR RECONSTRUCTION OF US 20 FROM E OF ROHLWING ROAD TO W OF ADDISON ROAD, DUPAGE COUNTY	844,814	30,759	1,311	0
PTB120009	011-49405-7700-0020	PLANS, TS & L PLANS, DRAINAGE PLANS, SURVEY, TRAFFIC STAGING, & LIGHTING FOR REHAB OF IL-43 (START UP)	959,586	6,751	15,864	0
PTB120010	011-49405-7700-0023	PLANS, SPECS & ESTIMATES FOR RECONSTRUCTION & ROADWAY WIDENING IN DUPAGE CO (START UP)	343,319	58,419	1,379	0
PTB120020	011-49405-7700-0022 011-49442-7700-0021	PHASE II, START-UP AGREEMENT. PLANS, SPECS, ESTIMATES FOR RECONSTRUCTING EXISTING 2 AND 3 LANE RURAL AND URBAN PAVEMENT	1,092,909	445,022	463,785	0
PTB120024	011-49405-7700-0023 902-49405-7700-0024	PHASE III, START-UP AGREEMENT. CONSTRUCTION INSPECTION ON I-74 IN EAST PEORIA, TAZEWELL COUNTY	270,209	98,883	83,341	0
PTB120025	902-49405-7700-0024	CONTRACT PLANS FOR 4-LANE IMPROVEMENT OF PROPOSED US-34 FROM KIRKWOOD TO US-67 INTERCHANGE AT SW EDGE OF MONMOUTH (START UP)	1,844,018	194,141	1,045,666	0
PTB120035	011-49405-7700-0022 011-49405-7700-0023	PHASE I, PRIME AGREEMENT. PROFESSIONAL SERVICES FOR MISCELLANEOUS SURVEYS VARIOUS ROUTES, COUNTIES, DISTRICT 8	300,000	169,285	1,104	0
PTB121012	011-49405-7700-0023	ENGINEERING FOR FOREST HILL AVE STRUCTURE OVER I-74 IN PEORIA CO (PRIME)	26,854	228	735	0
PTB121013	011-49405-7700-0023 902-49405-7700-0024	CONSTRUCTION INSPECTION FOR CONTRACT 2 OF MAJOR RECONSTRUCTION PROJECT OF I-74 IN PEORIA (START UP)	484,767	195,763	38,898	0
PTB121021	011-49405-7700-0022	CONSTRUCTION INSPECTION IN MADISON CO (PRIME)	324,893	62,896	166,862	0
PTB121022	011-49442-7700-0021	CONSTRUCTION INSPECTION OF 3 BRIDGES IN MADISON CO (START UP)	92,918	27,545	42	0
PTB121023	011-49405-7700-0023	CONSTRUCTION INSPECTION IN MADISON CO (START UP)	48,174	4,437	1,902	0
PTB122003	011-49405-7700-0022 011-49405-7700-0023 902-49405-7700-0024	CONSTRUCTION INSPECTION IN DUPAGE CO (START UP)	3,057,490	641,085	0	0
PTB122005	011-49405-7700-0022 011-49405-7700-0023	PHASE 2 STARTUP, RECONSTRUCTION OF MAPLE AVE FROM CUMNOR RD TO IL 83 TO INCLUDE SUPPLEMENTAL SURVEY AND PRELIMINARY PLAN PREPARATION, DUPAGE COUNTY	845,272	179,870	63,696	0
PTB122010	011-49405-7700-0022	LAND SURVEYS ON MAPLE AVE FROM CUMNOR RD TO IL-83 IN DUPAGE CO	197,864	62,093	7,052	0
PTB122029	011-49405-7700-0023	PROFESSIONAL SERVICES FOR MISCELLANEOUS SURVEYS WORK ORDERS ON VARIOUS ROUTES & COUNTIES IN DIST 6 (PRIME)	100,000	34,129	753	0
PTB122030	011-49405-7700-0022	PROFESSIONAL SERVICES FOR ROW PLANS FOR IL-141 AT HERALD RD IN WHITE CO (START UP)	59,927	4,301	5,145	0
PTB122032	011-49405-7700-0023	PROFESSIONAL SERVICES FOR ROW PLANS ON US-45 FROM IL-37 TO BIBLE GROVE ROAD IN EFFINGHAM (START UP)	395,848	62,364	19,370	0
PTB122034	011-49405-7700-0023	CONSTRUCTION INSPECTION IN MADISON CO (START UP)	979,962	141,929	309,493	0
PTB122036	011-49405-7700-0023	STARTUP AGREEMENT. ENGINEERING SERVICES REQUIRED FOR INSPECTION SERVICES FOR 3 STRUCTURES ALONG IL 255	107,401	59,631	9,044	0
PTB122037	011-49405-7700-0023	PROVIDE ENGINEERING SERVICES FOR CONSTRUCTION INSPECTION FOR VARIOUS ROUTES IN DISTRICT 8	300,000	116,117	113,235	0
PTB123022	011-49405-7700-0023 902-49405-7700-0024	CORRIDOR STUDY FOR NEW HIGHWAY IN PEORIA CO (START UP)	9,459,502	1,693,454	5,126,191	0
PTB124011	011-49405-7700-0023 902-49405-7700-0024	ENGINEERING SERVICES FOR IL-5 FROM 16TH ST TO 70TH ST IN MOLINE (START UP)	1,480,200	729,506	89,084	0

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PTB124013	011-49405-7700-0023	ENGINEERING SERVICES FOR WORK ORDERS ON VARIOUS ROUTES & COUNTIES IN DISTRICT 2 (START UP)	500,000	165,991	39,423	0
PTB124017	011-49405-7700-0023	STARTUP AGREEMENT. PREP OF STUDY FOR US 20 FROM MERIDIAN RD TO ROCKTON AVE IN ROCKFORD.	961,332	447,891	206,475	0
PTB124023	011-49405-7700-0023	PLANS FOR RECONSTRUCTION OF ADAMS ST/JEFFERSON ST CONNECTOR IN PEORIA (START UP)	387,377	126,701	56,170	0
PTB124024	011-49405-7700-0022	MISC. ENGINEERING FOR WORK ORDERS ON VARIOUS ROUTES, COUNTIES IN DISTRICT 6	500,000	21,448	137,761	0
PTB125002	011-49405-7700-0023	PHASE 2, STARTUP, LUCAS ROAD TO CRYSTAL LAKE AVE, MCHENRY CO, ROADWAY PLANS, DRAINAGE DESIGN, SURVEY, TRAFFIC SIGNALS & LIGHTING PLANS	170,000	41,516	128,484	0
PTB125004	011-49405-7700-0023	PHASE 1, PRIME AGREEMENT, WILL COUNTY. LOCATION/DESIGN REPORT FOR IMPROVEMENT OF I 57 AT STUENKEL ROAD	839,723	119,835	639,737	0
PTB125013	011-49405-7700-0023	STARTUP AGREEMENT. VARIOUS PHASE I & II WORK AT VARIOUS LOCATIONS IN DISTRICT 2.	500,000	106,743	217,751	0
PTB125016	011-49405-7700-0023	PHASE 1 & 2 STARTUP, VARIOUS COUNTIES AND ROUTES, MISCELLANEOUS ENGINEERING	500,000	99,945	167,858	0
PTB125017	011-49405-7700-0022 011-49405-7700-0023	MISC. ENGINEERING FOR VARIOUS WORK ORDERS ON VARIOUS ROUTES, COUNTIES IN DISTRICT 6 (START UP)	500,000	263,287	154,833	0
PTB125018	011-49405-7700-0023	PHASE II, WORK ORDERS ON VARIOUS ROUTES, COUNTIES. SUBSURFACE UTILITY ENGINEERING	500,000	229,331	201,190	0
PTB125019	011-49405-7700-0023	STARTUP AGREEMENT PERFORM PHASE I & II WORK ON VARIOUS PROJECTS IN DISTRICT 8.	500,000	119,653	181,964	0
PTB125020	902-49405-7700-0024	PHASE 3, PRIME AGREEMENT (SALISBURY ST), MADISON CO. CONSTRUCTION INSPECTION FOR REHABILITATION OF MCKINLEY BRIDGE IN VENICE	467,546	27,666	439,880	0
PTB125021	011-49405-7700-0023	PHASE 1, PRIME AGREEMENT, VARIOUS ROUTES, SECTIONS AND COUNTIES IN DISTRICT 8, LAND SURVEYS FOR VARIOUS ROADWAY PROJECTS.	150,000	81,489	49,362	0
PTB126001	011-49405-7700-0023	PHASE 2, STARTUP AGREEMENT, DIXIE HWY@ BUTTERFIELD CREEK, BRIDGE REMOVAL & REPLACEMENT, CONSTRUCTION OF RETAINING WALLS, APPROACH RECONSTRUCTION, COOK COUNTY	328,821	130,518	171,477	0
PTB126003	902-49405-7700-0024	PHASE 2, STARTUP AGREEMENT, PS & E TO REPLACE BRIDGE DECK, COOK COUNTY	265,380	235,637	4	0
PTB126010	011-49405-7700-0023	PHASE III, PRIME AGREEMENT, ROCK ISLAND. CONSTRUCTION INSPECTION FOR MILAN BELTWAY EXTENSION	4,097,254	738,110	3,250,019	0
PTB126012	011-49405-7700-0023	PHASE 1, STARTUP AGREEMENT, KENDALL CO, PREPARE LOCATION DESIGN REPORT FOR REHABILITATION OR RECONSTRUCTION OF US 34 FROM IL 47 TO ORCHARD ROAD	540,379	232,789	76,998	0
PTB126016	011-49405-7700-0023	PHASE 2, STARTUP AGREEMENT, VARIOUS ROUTES, SECTIONS AND COUNTIES IN DISTRICT 4	500,000	161,130	183,189	0
PTB126018	011-49405-7700-0023	PHASE 1, PRIME AGREEMENT, VARIOUS ROUTES, SECTIONS AND COUNTIES. VARIOUS SURVEYS.	100,000	58,797	0	0
PTB126020	011-49405-7700-0023	PHASE 1, PRIME AGREEMENT, VARIOUS ROUTES, SECTIONS AND COUNTIES, PERFORM NOISE ANALYSES STATEWIDE	225,000	68,564	106,293	0
PTB127001	902-49405-7700-0024	PHASE III, I-80/94 START UP AGREEMENT. CONSTRUCTION ENGINEERING	542,055	189,604	228,150	0
PTB127002	902-49405-7700-0024	PHASE III, STARTUP AGREEMENT, I-80/94; IL 394 TO US 41, COOK COUNTY CONSTRUCTION ENGINEERING FOR KINGERY ADVANCE CONTRACT B.	757,043	356,090	97,192	0
PTB127003	902-49405-7700-0024	I-80/94; IL 394 TO US 41 (KINGERY ADVANCE CONTRACT C), COOK COUNTY, CONSTRUCTION ENGINEERING FOR RECONSTRUCTION OF I 80/94 IL 394 TO US 41	435,046	207,388	164,475	0
PTB127013	011-49405-7700-0023	PHASE I, START-UP AGREEMENT FOR LAND SURVEYS, DISTRICT 2	200,000	41,628	93,138	0
PTB127016	902-49405-7700-0024	PHASE 3, PRIME AGREEMENT, US 136, MCDONOUGH COUNTY, CONSTRUCTION INSPECTION FOR 4-LANE PAVEMENT EXTENSION OF US-136 FROM W OF TR226 TO CH 18 W OF MACOMB	238,701	90,668	134,923	0
PTB127017	902-49405-7700-0024	PHASE 3, PRIME AGREEMENT, IL 41, KNOX COUNTY, CONSTRUCTION INSPECTION	550,837	110,334	418,018	0
PTB127024	011-49405-7700-0023	PHASE II, START-UP AGREEMENT, MISCELLANEOUS ENGINEERING VARIOUS PROJECT, VARIOUS ROUTES, WORK ORDERS	200,000	27,240	150,405	0

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PTB127025	011-49405-7700-0023	PHASE 2, STARTUP AGREEMENT, WORK ORDERS	200,000	47,735	152,265	0
PTB127026	902-49405-7700-0024	PHASE II, WORK ORDERS, PRIME AGREEMENT, VARIOUS ROUTES, COUNTIES, DISTRICT 6, MISCELLANEOUS SURVEYS	100,000	21,865	0	0
PTB127029	902-49405-7700-0024	PHASE 3, STARTUP AGREEMENT, I-70, ST CLAIR COUNTY, CONSTRUCTION INSPECTION	454,338	141,639	264,995	0
PTB128001	902-49405-7700-0024	PHASE 3, STARTUP AGREEMENT, CONSTRUCTION ENGINEERING FOR FOR THE RECONSTRUCTION OF I-94/90, 31ST TO I-57.	1,138,103	296,056	819,444	0
PTB128002	902-49405-7700-0024	PHASE 3, STARTUP, I-94/90: 31ST TO I-57, COOK COUNTY, CONSTRUCTION ENGINEERING FOR RECONSTRUCTION OF I 94/90	806,826	458,556	253,738	0
PTB128003	902-49405-7700-0024	PHASE 3, STARTUP, I-94/90, 31ST ST TO I 57, COOK COUNTY, CONSTRUCTION ENGINEERING FOR THE RECONSTRUCTION OF I 94/90	518,248	201,704	243,561	0
PTB128008	902-49405-7700-0024	PHASE 2, STARTUP, US 30, WHITESIDE CO, CONTRACT PLANS FOR REHAB OF US 30 FROM .2M E OF JACKSON ST TO FRENCH CREEK IN MORRISON	355,995	231,884	106,515	0
PTB128010	902-49405-7700-0024	PHASE I, STARTUP, US 30, WHITESIDE CO, PREPARE PROPOSED R.O.W. DOCUMENTS FOR US 30 FROM FRENCH CREEK TO JACKSON STREET IN MORRISON	556,882	210,693	255,975	0
PTB128020	902-49405-7700-0024	PHASE 3, STARTUP, I-74, PEORIA CO, CONSTRUCTION INSPECTION, STAKING, NUCLEAR DENSITY TESTING, EARTHWORK OPS FOR ROADWAY RECONSTRUCTION ON I-74	209,903	59,291	150,611	0
PTB128022	902-49405-7700-0000	PHASE 3, STARTUP, I-74, TAZEWELL COUNTY, INSPECTION, SUPERVISION, CHECKING OF CONSTRUCTION STAKING, ENGINEERING LAYOUT, QA FIELD MATERIALS INSPECTION.	321,658	115,834	92,094	0
PTB128026	902-49405-7700-0024	PHASE 2 STARTUP, VARIOUS ROUTES, SECTIONS, MADISON & ST CLAIR COUNTIES, NEW MISSISSIPPI RIVER BRIDGE WORK.	500,000	151,124	255,693	0
PTB129012	902-49405-7700-0024	PHASE 2, STARTUP, IL 178, LASALLE AND MARSHALL, PS & E FOR REPLACEMENT OF 2 STRUCTURES & REMOVAL & REPLACEMENT OF ONE STRUCTURE	55,730	25,889	25,957	0
PTB129013	902-49405-7700-0024	PHASE 3, STARTUP, I-74, PEORIA COUNTY, INSPECTION, SUPERVISION, CHECKING OF CONSTRUCTION STAKING, LAYOUT, QA FIELD MATERIALS INSPECTION, DOCUMENTATION, ETC.	350,000	105,106	244,894	0
PTB129016	902-49405-7700-0024	PHASE 2, STARTUP, VARIOUS ROUTES, SECTIONS AND COUNTIES IN DISTRICT 6	500,000	435,535	12,791	0
PTB130004	902-49405-7700-0024	PHASE 2, STARTUP, US 20, JO DAVIES CO, FIELD SURVEY, GEOTECHNICAL INVESTIGATIONS, DEVELOPING CONTEXT SENSITIVE SOLUTIONS STRATEGIES, REFINEMENT OF ALIGNMENT FOR GALENA BPS	4,651,659	863,812	3,671,857	0
PTB130007	902-49405-7700-0000 902-49405-7700-0024	PHASE 3, STARTUP AGREEMENT, I-74, PEORIA, TAZEWELL COS, INSPECTION, SUPERVISION, CHECKING OF CONSTRUCTION STAKING ENGINEERING LAYOUT, QA FIELD MATERIALS INSPECTION.	575,595	179,635	395,960	0
PTB130009	902-49405-7700-0024	PHASE 3, STARTUP AGREEMENT, VARIOUS ROUTES, CONSTRUCTION ENGINEERING, VARIOUS COUNTIES, CONSTRUCTION INSPECTION	500,000	166,851	272,502	0
PTB130010	902-49405-7700-0024	PHASE 2 STARTUP AGREEMENT, VARIOUS ROUTES, SECTIONS & COUNTIES, WORK ORDERS FOR MISCELLANEOUS ENGINEERING SERVICES IN DISTRICT 6	500,000	156,043	343,957	0
PTB130011	902-49405-7700-0024	VARIOUS ROUTES, MISCELLANEOUS SURVEYS IN VARIOUS COUNTIES IN DISTRICT 6	100,000	78,510	13,847	0
PTB130012	902-49405-7700-0024	PHASE 2, PRIME AGREEMENT, VARIOUS ROUTES, COUNTIES, VARIOUS PHASE I & II ENGINEERING	500,000	324,581	175,419	0
PTB131016	902-49405-7700-0024	PHASE 2, STARTUP AGREEMENT, VARIOUS ROUTES, COUNTIES, VARIOUS PROJECTS, DISTRICT 8, WORK ORDERS	400,000	208,503	191,497	0
PTB131018	902-49405-7700-0024	PHASE 1, STARTUP AGREEMENT, VARIOUS ROUTES, COUNTIES, MISCELLANEOUS SURVEY WORK, VARIOUS LOCATIONS IN DISTRICT 8	200,000	72,031	127,970	0
PTB132001	902-49405-7700-0000	PHASE 3, PRIME AGREEMENT, CONSTRUCTION INSPECTION FOR KINGERY MAINLINE, I-80/94, COOK COUNTY	338,400	17,648	320,752	0
PTB132002	902-49405-7700-0000	PHASE 3 PRIME AGREEMENT, I-80/94(KINGERY),COOK CO, CONSTRUCTION INSPECTION	344,100	64,396	279,704	0
PTB132003	902-49405-7700-0000	PHASE 3, PRIME AGREEMENT, COOK CO, I 80/94, CONSTRUCTION INSPECTION FOR KINGERY MAINLINE	1,160,000	257,505	902,495	0
PTB132004	902-49405-7700-0000	PHASE 3 PRIME AGREEMENT, I 94, COOK CO, CONSTRUCTION INSPECTION.	509,900	74,346	425,554	0

(Continued)

STATE OF ILLINOIS  
DEPARTMENT OF TRANSPORTATION  
Analysis of Operations  
Schedule of Illinois First Projects (Unaudited)  
For the Year Ended June 30, 2005

Project Identification Number	Appropriation Account Code	Brief Description of Project per Appropriation	Project Award Amount	Amount		
				Current Year Expended	Reappropriated	Lapsed
PTB132008	011-49405-7700-0020 902-49405-7700-0024	PHASE 2, STARTUP AGREEMENT, IL 97(CLEAR LAKE AVE)IL 29/I-72/ DIRKSEN PKWY, SANGAMON CO, DESIGN PROJECT REPORT FOR IMPROVEMENT OF CLEAR LAKE AVE FROM MAGNOLIA TI ,4 MI E OF I 55	116,755	101,087	15,668	0
PTB132011	902-49405-7700-0024	PHASE 3, PRIME AGREEMENT, IL 162, MADISON CO, CONSTRUCTION INSPECTION FOR IL 162 FROM E OF FORMOSA ROAD TO US 40, TROY	412,473	4,449	408,024	0
PTB133013	902-49405-7700-0000	PHASE 3, PRIME AGREEMENT, PEORIA CO, CONSTRUCTION INSPECTION.	223,000	1,944	221,056	0
PTB134002	902-49405-7700-0000	PHASE 3, PRIME AGREEMENT, PALATINE ROAD OVER IL 83, COOK CO, CONSTRUCTION INSPECTION	59,547	5,098	54,449	0
PTB980095	011-49405-7700-0023 902-49405-7700-0024	PREPARATION OF PLANS FOR THE I-255 VISITORS CENTER, ST CLAIR CO	673,882	150,397	327,842	0
RR800920A	011-49442-7700-0823 902-49442-7700-0824	SAFETY IMPROVEMENTS FOR THE IL-3 OVERPASS IN THE CITY OF EAST ST LOUIS, ST CLAIR CO	74,000	7,930	31,539	0
RR800920B	902-49442-7700-0022	LABOR, MATERIALS FOR PLANS, SPECS, AND CONSTRUCTION ESTIMATES, MADISON CO.	525,000	48,619	444,069	0
PTB108034A	011-49405-7700-0020	PRIME AGREEMENT, PHASE II, IL 64, DUPAGE. PRELIMINARY ENGINEERING FOR IL 64 FROM IL 53 TO ADDISON ROAD	1,795,276	1,233	18,168	0
9552000DPT	853-49480-4900-0223	PURCHASE OF UP TO 10 HEAVY-DUTY ACCESSIBLE TRANSIT BUSES IN MADISON COUNTY	2,000,000	60,445	1,367,429	0
		TOTAL FOR REPORT	<u>\$731,558,369</u>	<u>\$157,768,889</u>	<u>\$179,553,062</u>	<u>\$0</u>

## ILLINOIS DEPARTMENT OF TRANSPORTATION

## Analysis of Operations

## Service Efforts and Accomplishments (Unaudited)

For the Years Ended June 2005 and 2004

	<u>2005</u>	<u>2004</u>
<b>Maintenance:</b>		
<b>Inputs:</b>		
Total repair/maintenance expenditures (000s)	\$ 338,350	\$ 326,788
Overall numbers of personnel	2,651	2,761
<b>Outputs:</b>		
Tons of asphalt applied for pavement repair	36,596	23,588
Tons of road salt applied (snow/ice control)	387,767	432,837
Acres mowed	241,560	244,730
Number of lane miles of pavement maintained	42,774	42,799
<b>Outcome Indicators:</b>		
Percent of roads rated "fair to excellent"	89%	90%
Percent of bridges rated "fair to excellent"	92%	92%
<b>Efficiency/Cost Effectiveness:</b>		
Roadway maintenance cost per lane mile (in dollars) (a,b)	\$ 3,083	\$ 3,152
Total snow removal cost per lane mile hour (in dollars) (a,b)	\$ 886	\$ 944
Mowing cost per lane mile (in dollars) (a,b)	\$ 290	\$ 288

**Footnotes**

- (a) Indicators measure "cost per lane mile" in order to reflect the effects of changing weather on costs for pavement maintenance, snow removal and mowing.
- (b) Cost targets are based on a 5-year historical average cost. Actual costs can vary greatly depending on the extent of weather variances in any particular year.

**ILLINOIS DEPARTMENT OF TRANSPORTATION**  
 Analysis of Operations  
 Service Efforts and Accomplishments, Continued (Unaudited)  
 For the Years Ended June 2005 and 2004

	2005	2004
<b>Construction:</b>		
<b>Inputs:</b>		
Overall expenditures (000s)	\$ 2,635,511	\$ 3,054,729
State construction capital program (000s)	\$ 1,630,700	\$ 1,957,581
Numbers of personnel	2,892	3,257
<b>Outputs:</b>		
Miles of pavement maintained/improved	919	1,155
Number of bridges maintained/improved	206	219
Highway safety improvements accomplished	209	226
Percentage of annual program under contract	97%	103%
<b>Outcome Indicators:</b>		
Percent of state roads in satisfactory condition	89.0%	90.0%
Percent of roads in repair	11.0%	10.0%
Percent of bridges in satisfactory condition	92.0%	92.0%
Percent of bridges in repair	8.0%	8.0%
Private sector construction jobs retained or created (a)	39,137	46,980
<b>Efficiency/Cost Effectiveness:</b>		
Construction investment/lane mile of state-controlled road (Ill.) (in dollars)	\$ 38,369	\$ 45,872
<b>External Benchmarks:</b>		
U.S. construction investment per lane mile of state-controlled highway (b)	N/A	\$ 28,466
<b>Explanatory Information:</b>		
Lane miles of state-controlled highways in Illinois (2005) - 42,500. U.S. capital road construction funds, not including local road funds (000s, 2003) - \$51,906,458; Lane miles of state-controlled highways in U.S., not including local roads and streets, (2003) - 1,823,456.		

**Footnotes**

- (a) Calculated on an average of 24 private-sector jobs created or retained per \$1 million in construction expenditures.  
 (b) Information obtained from the Highway Statistics publication of the FHWA will not be available until next year's report.



## ILLINOIS DEPARTMENT OF TRANSPORTATION

## Analysis of Operations

## Service Efforts and Accomplishments, Continued (Unaudited)

For the Years Ended June 2005 and 2004

	2005 (a)	2004
<b>Traffic Safety:</b>		
<b>Inputs:</b>		
Traffic safety expenditures, overall (000s)	\$ 33,224	\$ 34,335
Traffic safety personnel	118	155
<b>Outputs</b>		
Commitments from law enforcement agencies within specified counties (grantees) (b,e)	61	269
Commitments from law enforcement agencies during mobilizations within specified counties (grantees) (b,e)	61	700
Total commitments from law enforcement agencies during holiday mobilizations (e)	210	700
Number of motor carrier/hazmat compliance or Notice of Apparent Violation reviews	1,500	1,500
<b>Outcome Indicators</b>		
Statewide fatality rate per 100 million VMT in IL (c)	1.2	1.4
Proportion of severe injury crashes to total fatal and injury crashes in Illinois (c)	N/A%	17.3%
Statewide safety belt usage rate in Illinois (c)	86.0%	83.0%
Alcohol-related fatalities in IL (c,d)	604	639
<b>External Benchmarks</b>		
U.S. fatality rate / 100 million VMT (c)	1.5	1.5
U.S. alcohol-related fatality rate/100M VMT (c)	0.6	0.6
<b>Efficiency/Cost Effectiveness</b>		
Percent change in fatal crashes compared to previous 5-year average in Illinois (c)	-4.7%	0.9%
Percent change in traffic fatalities compared to previous 5-year average in Illinois (c)	-5.3%	2.3%
Percent change in alcohol-related fatal crashes compared to 5-year average in Illinois (c)	-5.3%	2.4%

**Explanatory Information**

The Output measures on law enforcement agency commitments show a dramatic drop in accomplishments from 2004 to 2005. Due to staff reductions, management has altered policy to concentrate on commitments from the agencies dealing with highest amounts of traffic, and the bulk of traffic statewide on average. This change also is reflected in the targets for FY 2006.

**Footnotes**

(a) All state and federal crash data reflect totals for the preceding calendar year.

(b) Based on federal fiscal year - Oct. 1 through Sept. 30.

(c) Data used to generate outcome indicators, external benchmarks, and efficiency/cost effectiveness is contained within the following: Fatal Analysis Reporting (FARS), U.S. Census, Road File (IDOT), Annual IDOT Safety Belt Surveys, and Annual IDOT Public Opinion Surveys.

(d) Data on alcohol-related fatalities are based on imputed numbers based on federal guidelines because not all fatally injured occupants or non-occupants are tested.

(e) Reduction of contracts were due to a policy decision to use limited staff and focus on the most densely populated areas.

**ILLINOIS DEPARTMENT OF TRANSPORTATION**  
 Analysis of Operations  
 Service Efforts and Accomplishments, Continued (Unaudited)  
 For the Years Ended June 2005 and 2004

	2005	2004
<b>Public Transportation:</b>		
<b>Inputs:</b>		
Public Transportation expenditures, overall (000s)	\$ 440,321	\$ 450,596
Public Transportation personnel	19	19
<b>Outputs:</b>		
Capital/Operating expenditures (Northeast Illinois) (000s)	\$ 397,000	\$ 410,618
Capital/Operating expenditures (Downstate Illinois) (000s)	\$ 43,400	\$ 39,951
Percent of annual program complete	95%	90%
<b>Outcome Indicators</b>		
Bus Ridership (Northeast Illinois, millions)	334	325
Rail Ridership (Northeast Illinois, millions)	225	224
Bus Ridership (Downstate Illinois, millions)	31	30
Rail-car miles (Northeast Illinois, millions)	98	96
Bus miles (Northeast Illinois, millions)	110	111
Bus miles (Downstate Illinois, millions)	27	27
<b>Efficiency/Cost Effectiveness</b>		
Overall capital/operating investment per bus and rail rider (in dollars)	\$ 0.75	\$ 0.82

**ILLINOIS DEPARTMENT OF TRANSPORTATION**  
 Analysis of Operations  
 Service Efforts and Accomplishments, Continued (Unaudited)  
 For the Years Ended June 2005 and 2004

	<u>2005</u>	<u>2004</u>
<b>Aeronautics</b>		
<b>Inputs:</b>		
Aeronautics expenditures, overall (000s)	\$ 159,415	\$ 136,540
Aeronautics personnel	65	74
 <b>Outputs:</b>		
Airport Safety Inspections	187	269
Percent of annual airport program under contract (a)	42%	44%
Total airport improvement projects under contract (a)	41	55
 <b>Outcome Indicators</b>		
Commercial air passengers enplaned at Chicago airports (b)	47,254,000	44,000,000
Commercial air passengers enplaned outside Chicago (b)	1,221,242	1,070,000
Percent of public airport runway pavements rated satisfactory or better	88%	88%
Percent of taxiways rated satisfactory or better	82%	76%
Percent of airport aprons rated satisfactory or better	84%	80%
Air operations (takeoffs/landings) at Chicago airports ( c )	1,331,000	1,259,000
Air operations (takeoffs/landings) at public airports with traffic control towers other than Chicago O'Hare and Midway ( c )	547,000	535,000
Percent compliance following IDOT airport safety inspections	80%	75%
 <b>Efficiency/Cost Effectiveness</b>		
State capital investment per commercial air passenger (in dollars)	\$ 3.29	\$ 3.03

**Footnotes**

- (a) Some federal funds for Illinois airport projects were released after the end of state fiscal year 2005, resulting in contract delays on some projects.
- (b) Commercial air passenger enplanements reflect actual enplanements during preceding calendar year.
- (c) Air operations reflect actual operations during preceding calendar year at reporting airports.

**ILLINOIS DEPARTMENT OF TRANSPORTATION**  
 Analysis of Operations  
 Service Efforts and Accomplishments, Continued (Unaudited)  
 For the Years Ended June 2005 and 2004

	<u>2005</u>	<u>2004</u>
<b>Rail</b>		
<b>Inputs:</b>		
Rail expenditures, overall (000s)	\$ 25,939	\$ 33,630
Rail personnel	4	4
<b>Outputs:</b>		
High-speed rail track improvements (1st phase) (a)	100%	100%
Positive Train Control technology improvements (a)	100%	90%
Rail freight service projects accomplished (a)	100%	100%
Percent of annual program under contract	85%	88%
State capital investments in Amtrak downstate service (in thousands)	\$ 12,100	\$ 12,100
<b>Outcome Indicators</b>		
On-time performance of passenger rail service (Amtrak)	84%	80%
Amtrak ridership (b)	853,298	765,564
<b>Efficiency/Cost Effectiveness</b>		
State capital investment per Amtrak rider (in dollars)	\$ 14.18	\$ 15.92

**Footnotes**

- (a) Output indicator represents percent of overall project category programmed and completed in the fiscal year.  
 (b) The 2004 value for "Amtrak ridership" was changed from 760,212 because previously estimated ridership numbers were updated for accuracy.

**STATE OF ILLINOIS**  
**DEPARTMENT OF TRANSPORTATION**  
 Analysis of Operations  
 Schedule of Federal Vs. Nonfederal Expenditures  
 For The Year Ended June 30, 2005

	<u>Amount</u>	<u>Percentage</u>
Year ended June 30, 2005		
Federal expenditures (Note 1)	\$ 1,019,892,984	28.1 %
Nonfederal expenditures	<u>2,612,866,312</u>	<u>71.9</u>
Total expenditures (Note2)	\$ <u><u>3,632,759,296</u></u>	<u><u>100.00</u></u>

Note 1 -

Federal expenditures represent "federal expenditures" as presented in the respective schedule of expenditures of federal awards for the year ended June 30, 2005.

Note 2 -

Total expenditures represent "total appropriated expenditures" as presented in the Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances, included in the Financial Related Schedules section of this report.