



**STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE**

COMPLIANCE EXAMINATION

For the Two Years Ended June 30, 2019

**Performed as Special Assistant Auditors
For the Auditor General, State of Illinois**



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STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

TABLE OF CONTENTS

	<u>Schedule</u>	<u>Page(s)</u>
Agency Officials		1
Management Assertion Letter		2-3
Compliance Report:		
Summary		4-5
Independent Accountant’s Report on State Compliance, on Internal Control Over Compliance, and on Supplementary Information For State Compliance Purposes		6-10
Independent Auditor’s Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with <i>Government</i> <i>Auditing Standards</i>		11-12
Schedule of Findings		
Current Findings – <i>Government Auditing Standards</i>		13-15
Current Findings – State Compliance		16-33
Prior Findings Not Repeated		34
Supplementary Information for State Compliance Purposes		
Fiscal Schedules and Analysis		
Schedule of Appropriations, Expenditures and Lapsed Balances – Total by Fund		
Fiscal Year 2019	1	35-37
Fiscal Year 2018	2	38-40
Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances	3	41-60
Comparative Schedule of Receipts, Disbursements and Fund Balance (Cash Basis) Locally Held Funds	4	61-62
Schedule of Changes in State Property		
Fiscal Year 2019	5	63
Fiscal Year 2018	6	64
Comparative Schedule of Cash Receipts	7	65-66
Reconciliation of Cash Receipts to Deposits Remitted to the State Comptroller		
Fiscal Year 2019	8	67-82
Fiscal Year 2018	9	83-98

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

TABLE OF CONTENTS (Continued)

	<u>Schedule</u>	<u>Page(s)</u>
Supplementary Information for State Compliance Purposes (continued)		
Analysis of Operations (Unaudited)		
Agency Functions and Planning Program (Unaudited).....		99
Analysis of Significant Variations in Expenditures (Unaudited).....		100-103
Analysis of Significant Variations in Receipts (Unaudited).....		104-105
Analysis of Significant Lapse Period Spending (Unaudited).....		106
Analysis of Accounts Receivable (Unaudited)		107
Budget Impasse Disclosures (Unaudited)		108
Average Number of Employees (Unaudited)		109
Emergency Purchases (Unaudited)		110-111
Accounting Revenue Sales Analysis as Reported by Vehicle Services and Drivers Services (Unaudited)		112
Accounting Revenue Sales Analysis as Reported by Vehicle Services and Drivers Services – Volume of Transactions (Unaudited).....		113
Analysis of Cash Receipts (Unaudited)		114-115

Other Reports Issued Under a Separate Cover:

The Office of the Secretary of State’s financial statements as of and for the year ended June 30, 2019, have been issued under a separate cover. Additionally, in accordance with *Government Auditing Standards*, we have issued the Independent Auditor’s Report on Internal Control Over Financial Reporting and on Compliance with Other Matters Based on an Audit of Financial Statements Performed in Accordance with *Government Auditing Standards* for the year ended June 30, 2019, on our consideration of the Office’s internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, grant agreements, and other matters, under a separate cover. The purpose of this report is solely to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the Office’s internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* and should be considered in assessing the results of the audit.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

AGENCY OFFICIALS

Secretary of State	The Honorable Jesse White
Deputy Secretary of State/Chief of Staff	Thomas N. Benigno
Director of Internal Audit	Stell Mallios
General Counsel	Irene Lyons
Inspector General	James B. Burns
Director of Budget and Fiscal Management/Chief Fiscal Officer	Jacqueline Price
Director of Accounting Revenue	John Gryzinski

The Secretary of State offices are located throughout the State of Illinois. The Secretary of State financial records are processed and maintained in the Howlett Building, Springfield, Illinois 62756. Executive offices of the Secretary of State are located at Room 213, Capitol Building, Springfield, Illinois, 62756 and Suite 5-400, 100 W. Randolph, Chicago, Illinois 60601.



OFFICE OF THE SECRETARY OF STATE

JESSE WHITE • Secretary of State
STATE COMPLIANCE EXAMINATION
MANAGEMENT ASSERTION LETT

May 12, 2020

Sikich LLP
3201 West White Oaks Dr., Suite 102
Springfield, IL 62704

Ladies and Gentlemen:

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the State of Illinois, Office of Secretary of State. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the State of Illinois, Office of Secretary of State's compliance with the following assertions during the two-year period ended June 30, 2019. Based on this evaluation, we assert that during the years ended June 30, 2018 and June 30, 2019, the State of Illinois, Office of Secretary of State has materially complied with the assertions below.

- A. The State of Illinois, Office of Secretary of State has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, Office of Secretary of State has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions, or mandatory directions imposed by law upon such obligation, expenditure, receipt, or use.
- C. Other than what was previously disclosed, the State of Illinois, Office of Secretary of State has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the State of Illinois, Office of Secretary of State are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate, and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the State of Illinois, Office of Secretary of State on behalf of the State or held in trust by the Office have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

Yours truly,

State of Illinois, Office of Secretary of State

SIGNED ORIGINAL ON FILE

Jesse White, Secretary of State

SIGNED ORIGINAL ON FILE

Jacqueline Price, Director, Budget and Fiscal

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Irene Lyons, General Counsel

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

COMPLIANCE REPORT

SUMMARY

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

ACCOUNTANT'S REPORT

The Independent Accountant's Report on State Compliance, on Internal Control Over Compliance, and on Supplementary Information For State Compliance Purposes does not contain scope limitations or disclaimers, but does contain a modified opinion on compliance and a material weakness over internal control.

SUMMARY OF FINDINGS

<u>Number of</u>	<u>Current</u> <u>Report</u>	<u>Prior</u> <u>Report</u>
Findings	10	4
Repeated Findings	2	3
Prior Recommendations Implemented or Not Repeated	2	3

SCHEDULE OF FINDINGS

<u>Item No.</u>	<u>Page</u>	<u>Last</u> <u>Reported</u>	<u>Description</u>	<u>Finding Type</u>
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FINDINGS (*GOVERNMENT AUDITING STANDARDS*)

2019-001	13	2018	Weaknesses in Determination of Cash on Hand and Cash in Transit Amounts Reported in the Financial Statements	Material Weakness and Material Noncompliance
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FINDINGS (*STATE COMPLIANCE*)

2019-002	16	NEW	Noncompliance with the Election Code	Significant Deficiency and Noncompliance
2019-003	18	NEW	Noncompliance with the Illinois Identification Card Act	Significant Deficiency and Noncompliance
2019-004	20	NEW	Noncompliance with Various Statutory Mandates	Significant Deficiency and Noncompliance
2019-005	21	NEW	Weaknesses Related to Property and Equipment	Significant Deficiency and Noncompliance

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

SCHEDULE OF FINDINGS (Continued)

<u>Item No.</u>	<u>Page</u>	<u>Last Reported</u>	<u>Description</u>	<u>Finding Type</u>
FINDINGS (STATE COMPLIANCE) (Continued)				
2019-006	24	NEW	Weaknesses in Performing Reconciliations	Significant Deficiency and Noncompliance
2019-007	26	NEW	Inaccurate Agency Fee Imposition Reports	Significant Deficiency and Noncompliance
2019-008	28	NEW	Weaknesses with Locally Held Funds	Significant Deficiency and Noncompliance
2019-009	30	2017	Weakness with Payment Card Industry Data Security Standards	Significant Deficiency and Noncompliance
2019-010	32	NEW	Lack of Adequate Controls over the Review of Internal Controls over Service Providers	Significant Deficiency and Noncompliance

In addition, the following finding which is reported as a current finding related to *Government Auditing Standards* also met the reporting requirements for State Compliance.

2019-001	13	2018	Weaknesses in Determination of Cash on Hand and Cash in Transit Amounts Reported in the Financial Statements	Material Weakness and Material Noncompliance
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PRIOR FINDINGS NOT REPEATED

- | | | |
|---|----|---|
| A | 34 | Inadequate Controls of Cash At Drivers License Facilities |
| B | 34 | Inadequate Change Fund Controls |

EXIT CONFERENCE

The Office waived an exit conference in correspondence from Shawn P. Reardon, GAAP Coordinator, in correspondence dated May 13, 2020. The responses to these recommendations were provided by Shawn P. Reardon, GAAP Coordinator, in correspondence dated May 12, 2020.

3201 W. White Oaks Dr., Suite 102
Springfield, IL 62704
217.793.3363

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INDEPENDENT ACCOUNTANT'S REPORT ON STATE COMPLIANCE,
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

Honorable Frank J. Mautino
Auditor General
State of Illinois

Compliance

As Special Assistant Auditors for the Auditor General, we have examined compliance by the State of Illinois, Office of the Secretary of State with the requirements listed below, as more fully described in the *Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide)* as adopted by the Auditor General, during the two years ended June 30, 2019. The management of the Office of the Secretary of State is responsible for compliance with these requirements. Our responsibility is to express an opinion on the Office of the Secretary of State' compliance based on our examination.

The specified requirements are:

- A. The Office of the Secretary of State has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Office of the Secretary of State has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Office of the Secretary of State has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations. As described in the accompanying Schedule of Findings as item 2019-001, the Office of the Secretary of State had not complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the Office of the Secretary of State are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

- E. E. Money or negotiable securities or similar assets handled by the Office of the Secretary of State on behalf of the State or held in trust by the Office of the Secretary of State have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act (the *Audit Guide*). Those standards, the Act, and the *Audit Guide* require that we plan and perform the examination to obtain reasonable assurance about whether the Office of the Secretary of State complied, in all material respects, with the specified requirements listed above. An examination involves performing procedures to obtain evidence about whether the Office of the Secretary of State complied with the specified requirements listed above. The nature, timing and extent of the procedures selected depend on our judgement, including an assessment of the risks of material noncompliance, whether due to fraud or error. We believe that the evidence we obtained is sufficient and appropriate to provide a reasonable basis for our opinion.

Our examination does not provide a legal determination on the Office of the Secretary of State's compliance with specified requirements.

Our examination disclosed material noncompliance with the following specified requirements applicable to the Office of the Secretary of State during the two years ended June 30, 2019. As described in the accompanying Schedule of Findings as item 2019-001 the Office of the Secretary of State had not complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations

In our opinion, except for the material deviations from the specified requirements described in the preceding paragraph, the Office of the Secretary of State complied with the specified requirements during the two years ended June 30, 2019, in all material respects. However, the results of our procedures disclosed instances of noncompliance with the specified requirements, which are required to be reported in accordance with criteria established by the *Audit Guide* and are described in the accompanying Schedule of Findings as items 2019-002 through 2019-010.

The Office of the Secretary of State's responses to the findings identified in our examination are described in the accompanying schedule of findings. The Office of the Secretary of State's responses were not subjected to the procedures applied in the compliance examination and, accordingly, we express no opinion on the responses.

The purpose of this report on compliance is solely to describe the scope of our testing and the results of that testing in accordance with the requirements of the *Audit Guide*. Accordingly, this report is not suitable for any other purpose.

Internal Control over Compliance

Management of the Office of the Secretary of State is responsible for establishing and maintaining effective internal control over compliance with the specified requirements (internal control).

In planning and performing our examination, we considered the Office of the Secretary of State's internal control to determine the examination procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the Office of the Secretary of State's compliance with the specified requirements and to test and report on the Office of the Secretary of State's internal control in accordance with the *Audit Guide*, but not for the purpose of expressing an opinion on the effectiveness of the Office of the Secretary of State's internal control. Accordingly, we do not express an opinion on the effectiveness of the Office of the Secretary of State's internal control.

Our consideration of internal control was for the limited purpose described in the preceding paragraph and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies and, therefore, material weaknesses or significant deficiencies may exist that have not been identified. However, as described in the accompanying Schedule of Findings, we did identify certain deficiencies in internal control that we consider to be material weaknesses and significant deficiencies.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with the specified requirements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material noncompliance with the specified requirements will not be prevented, or detected and corrected, on a timely basis. We consider the deficiencies described in the accompanying Schedule of Findings as item 2019-001 to be a material weakness.

A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance. We consider the deficiencies described in the accompanying Schedule of Findings as items 2019-002 through 2019-010 to be significant deficiencies.

As required by the *Audit Guide*, immaterial findings excluded from this report have been reported in a separate letter to your office.

The Office of the Secretary of State's responses to the findings identified in our examination are described in the accompanying Schedule of Findings. We did not examine the Office's responses and, accordingly, we express no opinion on the responses.

The purpose of this report is solely to describe the scope of our testing of internal control and the results of that testing based on the requirements of the *Audit Guide*. Accordingly, this report is not suitable for any other purpose.

Supplementary Information for State Compliance Purposes

As Special Assistant Auditors for the Auditor General, we have audited the financial statements of the governmental activities, each major fund, and the aggregate remaining fund information of the Office of the Secretary of State as of and for the year ended June 30, 2019 (not presented herein), and have issued our report thereon dated January 30, 2020, which contained an unmodified opinions on those financial statements. Our audit was conducted for the purpose of forming an opinions on the financial statements that collectively comprise the Office's basic financial statements. We have not performed any procedures with respect to the audited financial statements subsequent to January 30, 2020. The accompanying supplementary information for the year ended June 30, 2019, in Schedules 1 through 9 is presented for the purposes of additional analysis and is not a required part of the basic financial statements of the Office. Such information is the responsibility of Office management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. The accompanying supplementary information for the year ended June 30, 2019, in Schedules 1 through 9 has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the accompanying supplementary information for the year ended June 30, 2019, in Schedules 1 through 9 is fairly stated in all material respects in relation to the basic financial statements as a whole for the year ended June 30, 2019.

We also previously audited, in accordance with auditing standards generally accepted in the United States of America, the Office's basic financial statements as of and for the year ended June 30, 2018 (not presented herein), and have issued our report thereon dated April 15, 2019, which contained unmodified opinions on the respective financial statements of the governmental activities, each major fund, and the aggregate remaining fund information. The accompanying supplementary information for the years ended June 30, 2018, in Schedules 1 through 9 is the responsibility of Office's management and was derived from and relates directly to the underlying accounting and other records used to prepare the June 30, 2018, financial statements. The accompanying supplementary information for the years ended June 30, 2018, in Schedules 1 through 9 has been subjected to the auditing procedures applied in the audits of the June 30, 2018, basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare those basic financial statements or to those basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the accompanying supplementary information for the years ended June 30, 2018, in Schedules 1 through 9 is fairly stated in all material respects in relation to the basic financial statements as a whole for the year ended June 30, 2018.

The Office's basic financial statements as of and for the year ended June 30, 2017 (not presented herein), were audited by other auditors whose report thereon dated December 14, 2017, expressed unmodified opinions on the respective financial statements of the governmental activities, each major fund, and the aggregate remaining fund information. The report of the other auditors dated December 14, 2017, stated that the accompanying supplementary information for the year ended June 30, 2017, in Schedules 3, 4, 6, 7 and 9 was subjected to the auditing procedures applied in the audit of the June 30, 2017, basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare those basic financial statements or to those basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In their opinion, the accompanying supplementary information for the years ended June 30, 2017, in Schedules 3, 4, 6, 7 and 9 was fairly stated in all material respects in relation to the basic financial statements as a whole for the year ended June 30, 2017.

The accompanying supplementary information in the Analysis of Operations Section is presented for the purposes of additional analysis and is not a required part of the basic financial statements. Such information has not been subjected to the auditing procedures applied in the audit of the basic financial statements and, accordingly, we do not express an opinion or provide any assurance on it.

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Springfield, Illinois
May 12, 2020

3201 W. White Oaks Dr., Suite 102
Springfield, IL 62704
217.793.3363

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INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER
FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS
BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED
IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

Honorable Frank J. Mautino
Auditor General
State of Illinois

Report on the Financial Statements

As Special Assistant Auditors for the Auditor General, we have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the governmental activities, each major fund, and the aggregate remaining fund information of the State of Illinois, Office of the Secretary of State, as of and for the year ended June 30, 2019, and the related notes to the financial statements, which collectively comprise the State of Illinois, Office of the Secretary of State's basic financial statements, and have issued our report thereon dated January 30, 2020.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the State of Illinois, Office of the Secretary of State's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Internal Control Over Financial Reporting

Management of the State of Illinois, Office of the Secretary of State is responsible for establishing and maintain effective internal control over financial reporting (internal control).

In planning and performing our audit of the financial statements, we considered the State of Illinois, Office of the Secretary of State's internal control to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the State of Illinois, Office of the Secretary of State's internal control. Accordingly, we do not express an opinion on the effectiveness of the State of Illinois, Office of the Secretary of State's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies and, therefore, material weaknesses or significant deficiencies may exist that have not been identified. We did identify a certain deficiency in internal control, described in the accompanying Schedule of Findings as item 2019-001 that we consider to be a material weakness.

Office's Response to Findings

The Office's response to the finding identified in our audit is described in the accompanying Schedule of Findings. The Office's response was not subjected to the auditing procedures applied in the audit of the financial statements and, accordingly, we express no opinion on it.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the State of Illinois, Office of the Secretary of State's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the State of Illinois, Office of the Secretary of State's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

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Springfield, Illinois
January 30, 2020

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

SCHEDULE OF FINDINGS

CURRENT FINDINGS – GOVERNMENT AUDITING STANDARDS

2019-001 FINDING (Weaknesses in Determination of Cash on Hand and Cash in Transit Amounts Reported in the Financial Statements)

The Office of the Secretary of State (Office) did not properly update calculations and used incorrect query totals to determine the adjustment to record cash on hand and cash in transit at fiscal year-end. Additionally, the Office failed to record the cash on hand entry for the General Revenue Fund.

During the testing over the cash on hand and the cash in transit balances reported in the General Revenue Fund (Fund 0001) and the Road Fund (Fund 0011) auditors noted the following errors in the calculation:

- The spreadsheet used to calculate the total cash on hand and cash in transit for the Road Fund improperly included fiscal year 2018 data. The amount of June receipts held in the Treasurer’s Clearing Accounts but not yet remitted to the Illinois Office of the Comptroller at June 30, 2019 was overstated by \$477 thousand. The amount of June receipts not yet deposited into the Treasurer’s Clearing Accounts at June 30, 2019 was understated by \$15.2 million. The net understatement in the Road Fund due to this error was \$14.7 million.
- The spreadsheet used to calculate total cash on hand and cash in transit for the General Revenue Fund improperly included July 2020 receipts from the queries used to determine June receipts not yet deposited into the Treasurer’s Clearing Accounts at June 30, 2019. This resulted in a \$6.6 million overstatement in the General Revenue Fund.
- The Office failed to report cash on hand for the General Revenue Fund resulting in an understatement of \$13.9 million.
- The spreadsheet used to calculate the cash in transit for the General Revenue Fund for delinquent registration fees understated the amount allocated to the General Revenue Fund and overstated the amount allocated to the Road Fund by \$828 thousand.
- In addition to the items above, auditors noted other errors in the spreadsheets that resulted in a \$6 thousand overstatement in the Road Fund.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

2019-001 **FINDING** (Weaknesses in Determination of Cash on Hand and Cash in Transit Amounts Reported in the Financial Statements) (Continued)

Total misstatements noted for the fiscal year ending June 30, 2019 related to the above errors were as follows:

- Understatement of cash and licenses and fee revenue of \$3 million in the General Revenue Fund.
- Understatement of cash and other tax revenue of \$5.1 million in the General Revenue Fund.
- Understatement of cash and licenses and fee revenue of \$13.9 million in the Road Fund.

In accordance with generally accepted accounting principles (GAAP), all assets, liabilities, revenues, and expenses should be accurately measured and recorded. Governmental Accounting Standards Board (GASB) Statement No. 34, *Basic Financial Statements – and Management’s Discussion and Analysis – for State and Local Governments*, requires fund financial statements to be prepared using the current financial resources measurement focus and the modified accrual basis of accounting which revenues are recognized as soon as they are both measurable and available. Revenues are considered to be available when they are collectible within the current period or soon enough thereafter to pay liabilities of the current period. The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires State agencies to establish and maintain a system, or systems, of internal fiscal and administrative controls to ensure State resources are used efficiently and effectively.

During the prior engagement period, Office management indicated the Department of Budget and Fiscal relied on information provided by the Accounting Revenue Department to accurately report cash in their GAAP packages and Financial Statements.

During the current engagement period, Office management indicated the Office’s process for calculating cash on hand and cash in transit is an intricate and tedious process with many manually intensive components. As a result, errors were made during this process, which resulted in the issues noted above.

Failure to properly review and update calculations used for year-end adjustments could result in inaccurate reporting on the Office’s financial statements. (Finding Code No. 2019-001, 2018-001, 2017-001, 2016-001)

RECOMMENDATION

We recommend the Office implement a process to review the calculation over the cash on hand and cash in transit process to determine that the calculation includes the correct fiscal year information and considers all in transit activity as of June 30th.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

2019-001 **FINDING** (Weaknesses in Determination of Cash on Hand and Cash in Transit amounts Reported in the Financial Statements) (Continued)

OFFICE RESPONSE

The Office agrees with the recommendation. The issues noted have been presented and discussed with the Office personnel responsible for the preparation of this information. Further, the Office has committed additional resources to aid in the review and oversight of the year-end adjustment calculations.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

CURRENT FINDINGS – STATE COMPLIANCE

2019-002 **FINDING** (Noncompliance with the Election Code)

The Illinois Office of the Secretary of State (Office) failed to fully comply with applicable sections of the Election Code (10 ILCS 5) (Code).

During the engagement period, the Office was noncompliant with two sections of the Code as follows:

- During fieldwork, we noted a downloadable, printable voter registration form was not made available on the Office’s website. The Code (10 ILCS 5/1A-17) states the Office must make available on its website a downloadable, printable voter registration form. Office management stated the requirement to have a downloadable, printable voter registration form on its website predates implementation of online voter registration through the website. Office management stated, when online voter registration became available, it superseded the need for the paper process.
- While the Office does require employees to adhere to certain procedural standards, including the Driver’s Privacy Protection Act, 18 USC 2721 and within its policy manual, the Office has not established specific policies and procedures to protect the privacy and security of voter information as required by the Code. The Code (10 ILCS 5/1A-16.7(k)) requires the State Board of Elections, the Office, and each designated automatic voter registration agency to implement policies and procedures to protect the privacy and security of voter information as it is acquired, stored, and transmitted among agencies, including the retention and preservation of voter information. The Code states the following is to be designated as confidential: (1) any portion of an applicant’s Social Security number; (2) any portion of an applicant’s driver’s license number or State identification number; (3) an applicant’s decision to decline voter registration; (4) the identity of the person providing information relating to a specific applicant; and (5) the personal residence and contact information of any applicant for whom notice has been given by an appropriate legal authority.

Office management stated the Office executed an interagency agreement with the Illinois State Board of Elections to implement the Automatic Voter Registration program. The Office believed the interagency agreement, which recognizes voter information is confidential and requires each agency to protect such information and identifies procedures for how data will be exchanged in a secure manner, was adequate. Office management also stated the voter registration process is integrated into the driver’s license/identification card application process, for which the Office has existing policies and procedures concerning confidential information and access limitations.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

2019-002 **FINDING** (Noncompliance with the Election Code) (Continued)

Failure to make a downloadable, printable voter registration form available on the Office's website and formally adopt policies and procedures relating to handling confidential information is in violation of State statute. In addition, the failure to formally develop and adopt policies and procedures concerning the automatic voter registration program could result in an individual's information being seen by unauthorized individuals. (Finding Code No. 2019-002)

RECOMMENDATION

We recommend the Office make available on its website a downloadable, printable voter registration form, or seek legislative remedy from this requirement. We also recommend the Office formally implement policies and procedures to protect the privacy and security of voter information as it is acquired, stored, and transmitted among agencies, including the retention and preservation of voter information.

OFFICE RESPONSE

The Office accepts this recommendation. The Office will continue to evaluate, review, and monitor its ongoing compliance with this statutory requirement.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

2019-003 **FINDING** (Noncompliance with the Illinois Identification Card Act)

The Illinois Office of the Secretary of State (Office) failed to full comply with applicable sections of the Illinois Identification Card Act (Act).

During the engagement period, the Office issued nearly 35,000 identification cards. During our testing of the Office’s issuance of identification cards, we noted the following exceptions:

- The Office has not drafted administrative rules to administer the Wallet Card Program as required by the Act. Currently the Office operates from guidelines related to the distribution of the wallet cards. The Office offers driver’s license restrictions and an Illinois Department of Services (DHS) Wallet Card to help notify law enforcement that a person may have difficulty communicating due to a disability. The Act (15 ILCS 335/4A-1) requires the Office to work with the Department of Human Services to adopt rules in the administration of the Wallet Card. Office management indicated the Office believed all of the requirements of the program were set forth in statute. Office management stated it made the decision to deviate from the statutory requirement because it believed the promulgation of administrative rules would not have aided the public or the Office in the implementation of the program.
- The housing status of four of 60 (7%) individuals tested who were provided homeless identification cards during fiscal years 2018 and 2019 were not verified by a human services, legal services or other worker that had knowledge of the individual’s housing status during fiscal year 2018 and 2019. The Act (15 ILCS 335/4C) requires that an individual’s status as a “homeless person” on an Illinois identification card be verified by a human services, legal services, or other worker that has knowledge of the individual’s housing status. Office management stated the exceptions were due to lack of training in verifying homeless status.
- The veteran status of two of 60 (3%) individuals who were provided veteran identification cards during fiscal years 2018 and 2019 were not verified by the Illinois Department of Veterans Affairs or using the Form 214 from the U.S. Department of Defense. The Act (15 ILCS 335/5) requires that an individual’s status as a veteran on an Illinois identification card be verified by the Department of Veterans Affairs or Form 214 from the Department of Defense. Office management stated the underlying cause was lack of training.
- During our testing, we noted the Office issued two individuals more than three identification cards in one year. These individuals were issued four identification cards in 2018. The Act states (15 ILCS 335/7(c)), notwithstanding any other provisions of the Act, the Secretary of State shall restrict the issuance of multiple duplicate identification cards to any individual to three per year and ten for the life of individual. Office management attributed the exceptions to lack of training and communication.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

2019-003 **FINDING** (Noncompliance with the Illinois Identification Card Act) (Continued)

- One of sixteen (6%) individuals tested who were sent a letter notifying them that the identification card associated with their name would be cancelled was not allowed at least five days to appear at a Drivers Services Facility before cancellation as permitted by the Act. The individual tested was allowed one day. The Act (15 ILCS 335/12.5) states the Secretary of State may, upon written notices of at least five days to the person, require the person to appear at a Drivers Services facility if (i) the Secretary has issued an identification card in error; (ii) the person has become ineligible to retain the identification cards; or (iii) the Secretary has good cause to believe that the identification card was issued based on invalid, fictitious, or fraudulent documents. Office management stated the data input employee mistakenly entered the incorrect date which was included in the notification letter in error.

Failure to adopt rules to administer the Wallet Card Program, verify the housing status of those seeking the homeless person status, verify an individual's status as a veteran, restrict the issuance of multiple duplicate identification cards, and allow an individual at least five days to appear at a Drivers Services Facility before the cancellation of an identification card represent noncompliance with the Act. (Finding Code No. 2019-003)

RECOMMENDATION

We recommend the Office ensure compliance with all elements of the Act, or seek legislative remedy for the requirements for which it believes changes are necessary.

OFFICE RESPONSE

The Office accepts this recommendation. The Office will continue to evaluate, review, and monitor its ongoing compliance with the statutory requirements of the Illinois Identification Card Act.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

2019-004 FINDING (Noncompliance with Various Statutory Mandates)

The Illinois Office of the Secretary of State (Office) failed to comply with various statutory mandates.

During testing we noted:

- The Office did not submit the 2016, 2017 and 2018 Agency Workforce Reports to the Office of the Governor by the following January 1st of each respective year. All three reports were submitted on July 30, 2019, which resulted in them being 940, 575, and 210 days late, respectively. The State Employment Records Act (5 ILCS 410/1 et seq.) requires State agencies to annually report to the Office of the Secretary of State and the Governor's Office the number of minorities, women, and physically disabled persons along with the related salary and statistical information to provide State officials and administrators with the information to help guide efforts to achieve a more diversified workforce. The report is to be filed by January 1 of each year. Office officials stated the Agency Workforce Reports were filed, as required, with the Office of the Secretary of State for 2016, 2017, and 2018, but not with the Office of the Governor, due to a clerical error.
- Three of 40 (8%) contracts tested totaling \$72,012, and five of 18 (28%) lease agreements tested totaling \$601,592 did not include a Right to Audit Records Clause. Section 20-65(b) of the Illinois Procurement Code (30 ILCS 500) (Code) requires every contract include a right to audit clause stating all books and records are required to be maintained and be available for review and audit by the Auditor General, chief procurement officer, internal auditor, and the purchasing agency. Office management stated the cause was due to the Division of Property Management not consistently using the Office's standard contract template which includes the missing certifications.

Failure to submit reports required in a timely manner prevents fulfillment of the State Employment Records Act, which is to provide information to help guide efforts to achieve a more diversified State work force. Failure to ensure proper disclosures are included in all contractual and lease agreements is a violation of State statute and could potentially compromise public accountability and transparency. (Finding Code No. 2019-004)

RECOMMENDATION

We recommend the Office implement procedures to ensure the Agency Workforce Report is submitted to all required parties in a timely manner as required by the Acts and its contracts and leases contain all of the requirement clauses.

OFFICE RESPONSE

The Office accepts these recommendations. The Office will continue to evaluate, review, and monitor its compliance with statutory mandated activities.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

2019-005 **FINDING** (Weaknesses Related to Property and Equipment)

The Illinois Office of the Secretary of State (Office) did not demonstrate adequate control over property and equipment during the engagement period. Specific deficiencies noted as a result of our testing are described below.

- During our testing of the Office’s preparation of the agency Report of State Property (C-15), we noted errors in one of eight (13%) quarters tested. Building additions reported on the Office’s C-15 for the fourth quarter of fiscal year 2018 of \$1,270,453 did not agree to the Office’s property records which were \$1,591,843. As a result, the Office’s C-15s were understated by a total of \$321,390. The Schedule of State Property (Schedule 5) was not corrected for these errors.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires all State agencies to establish and maintain a system, or systems, of internal fiscal and administrative controls to provide assurance that revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State’s resources.

- The Office reported a balance of \$100,000 for historical treasures and works of art on its Capital Asset Summary (SCO-538). The Office did not maintain support for the balance, nor any additions or deletions that have occurred since fiscal year 2002. According to Office personnel, this balance was established in fiscal year 2002 when the Office implemented GASB Statement No. 51, *Accounting and Financial Reporting for Intangible Assets*. The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires all State agencies to establish and maintain a system, or systems, of internal fiscal and administrative controls to provide assurance that revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State’s resources.
- Nine of forty (23%) items of property and equipment tested were not added to property acquisition records in a timely manner as required by the Illinois Administrative Code (44 Ill. Adm. Code 5010.400). The assets were added 17 to 342 days late. The Illinois Administrative Code requires agencies to adjust property records in a timely manner for acquisition, change or deletion of equipment items. Prior to May 3, 2019, agencies had 30 days to record the acquisition, any change, or the deletion of equipment items. Effective May 3, 2019, agencies have 90 days to record the acquisition, any change, or the deletion of equipment items.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

2019-005 **FINDING** (Weaknesses Related to Property and Equipment) (Continued)

- During fieldwork we tested property inventory at the eleven drivers license facilities. We noted the following exceptions as a result of our testing:

Facility	Description	Number	Dollar
Howlett, Springfield	Items not found during physical test counts – inventory records overstated	4 of 10	\$518
Howlett, Springfield	Counts did not agree with inventory records – records understated	3 of 10	(\$3,184)
Aurora	Items not found during physical test counts – inventory records overstated	1 of 10	\$240
North Chicago	Items not found during physical test counts – inventory records overstated	1 of 10	\$125
DeKalb	Items not found during physical test counts – inventory records overstated	1 of 10	\$185
Galesburg	Items not found during physical test counts – inventory records overstated	1 of 10	\$299

The State Property Control Act (30 ILCS 605/4) requires responsible officers of the State to maintain accountability for the supervision, control, and inventory of all property under their jurisdiction to ensure the proper accounting and safeguarding of State assets. The Statewide Accounting Management System (SAMS) (Procedure 29.10.10) requires the Department to retain detailed records including the organization unit charged with the custody of each item, as well as the location of each item of property and equipment controlled by the Department.

Office management attributed the cause of the exceptions to a variety of origins including: human error; competing priorities; the decision to wait on obtaining all of the needed information to record the asset into the Office’s property records, regardless of date, rather than focus on the timeline outlined in the Illinois Administrative Code; and the difficulties involved in maintaining property control in a decentralized organization.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

2019-005 **FINDING** (Weaknesses Related to Property and Equipment) (Continued)

Inaccurate property reporting reduces the reliability of statewide property information. Failure to maintain accurate property control records increases the potential for fraud and possible loss or theft of State property. Failure to properly update property acquisition records is a violation of Code and increases the risk of inaccurate recordkeeping. (Finding Code No. 2019-005)

RECOMMENDATION

We recommend the Department implement controls and procedures to ensure its property and equipment is reported and accounted for in a manner which complies with State statute and the Illinois Administrative Code.

OFFICE RESPONSE

The Office accepts these recommendations. The Office will continue to evaluate, review, and monitor its compliance with mandated property control requirements.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

2019-006 **FINDING** (Weaknesses in Performing Reconciliations)

The Office of the Secretary of State (Office) could not demonstrate the timely reconciliation of Office records to those of the Illinois Office of the Comptroller and, in some cases, failed to perform reconciliations of Office records to those of the Illinois Office of the Comptroller.

During fieldwork we tested the reconciliation of the Office's appropriation, receipt, cash, contract, and obligation records to those of the Illinois Office of the Comptroller. We noted the following exceptions:

Monthly Appropriation Status Report (SB01)

During our testing of six of six (100%) SB01 reconciliations prepared by the Office, we noted there were no date stamps or sign offs to indicate when the reconciliations were performed. As a result, it was not possible for the Office to demonstrate when the reconciliations were prepared and whether they were performed in a timely manner. The Statewide Accounting Management System Manual (SAMS) (Procedure 11.40.20) requires the reconciliation of Monthly Appropriation Status Report be performed within 60 days of month end. Office management indicated it had maintained and performed monthly reconciliations of the SB01 according to procedures outlined in SAMS. However, Office management stated the Office did not date or initial these reconciliations at the time they were completed, thus the Office could not provide validation of the timely completion of the reconciliations.

Monthly Revenue Status Report (SB04)

The reconciliations performed by the Office to compare revenues per agency records to those of the Illinois Office of the Comptroller were not properly utilized to correct differences identified. The reconciliations performed were not reviewed and differences that were noted in the reconciliations were not always resolved timely. The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires State agencies to establish and maintain a system, or systems, of internal fiscal and administrative controls to ensure revenues are properly recorded and accounted for to permit the preparation of accounts and reliable financial reports and to maintain accountability over the State's resources. Office management attributed the cause to procedural deficiencies.

Monthly Cash Report (SB05)

The Office reconciles its Monthly Cash Report on a quarterly basis rather than monthly as required by SAMS. In addition, for the year end reconciliations obtained, we noted there were no date stamps or sign offs to indicate when the reconciliations were performed. As a result, it was not possible for the Office to demonstrate when the reconciliations were prepared and whether they were performed in a timely manner. The Statewide Accounting

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

2019-006 **FINDING** (Weaknesses in Performing Reconciliations) (Continued)

Management System Manual (SAMS) (Procedure 09.40.30) requires the monthly reconciliation of Monthly Cash Report be performed within 60 days of month end. Office management stated, based on operational experience and reporting needs, the SB05 reconciliations have been conducted on a quarterly basis rather than monthly. Office management stated it does not have a formal signature process to validate the timely preparation of the reconciliations.

Obligation Activity Report (SC14)

The Office did not perform any Obligation Activity Report reconciliations to its obligation records during the engagement period. SAMS (Procedure 15.30.30) requires the reconciliation of the Obligation Activity report within 60 days of month end. Office management stated, though it performed regular reconciliations for the Obligation Activity Report (SC15), the Office has not implemented procedures to review and reconcile to the additionally provided SC15. Office management stated it believes this reconciliation is substantially performed each time an obligation transaction is filed with the Comptroller through normal agency and Comptroller pre-audit procedures.

Failure to document when reconciliations were performed prevents the Office from adequately monitoring their internal controls over timely completion of reconciliations as well as ensuring compliance with SAMS. Failure to perform reconciliations is noncompliance with SAMS and increases the risk differences will go undetected and corrected. Failure to utilize reconciliations to timely resolve differences identified increases the risk differences will go uncorrected. (Finding Code No. 2019-006)

RECOMMENDATION

We recommend the Office implement procedures to ensure reconciliations are performed in accordance with SAMS and differences noted are identified and resolved timely.

OFFICE RESPONSE

The Office agrees with the recommendation. The Office has implemented new procedures to ensure the reconciliations are reviewed and differences noted are corrected in a timely manner.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

2019-007 FINDING (Inaccurate Agency Fee Imposition Reports)

The Office of the Secretary of State (Office) had weaknesses in reporting fees collected and other statutorily required information on the Agency Fee Imposition Reports filed during the engagement period.

Our testing of the Office’s Agency Fee Imposition Reports (Reports) filed during the engagement period noted weaknesses concerning the reporting of fees collected and compliance with the statutory reporting requirements. Specifically:

- Three fees in three funds listed within the 2017 Report did not agree with the Office’s records. The differences noted ranged from \$383 to \$1,152,000 and resulted in an understatement of reported fees of \$1,172,383. Office management stated these exceptions were data entry errors.
- Fifteen fees in nine funds listed within the 2018 Report did not agree with the Office records. These differences ranged from \$300 to \$83,308,320 and resulted in an understatement of reported fees of \$105,514,325. Office management stated these exceptions were data entry errors.
- The following exceptions were noted for 60 fees tested, 30 for each Report, for statutory reporting requirements:

Exception Noted	2018 Report	2017 Report	Total
Description of fees imposed by the agency	6	1	7
Purpose of the fees	10	9	19
Statutory or other authority for the imposition of the fees	4	1	5
General population affected by the fee	6	8	14
Cost of the administration and the degree to which the goals of the program are met	30	30	60

Office management stated these exceptions were due to staff oversight.

The Illinois State Auditing Act (30 ILCS 5/3-8.5) states the Auditor General shall develop an Agency Fee Imposition Report Form to be used by all State agencies that impose and collect fees. The form shall provide for the reporting by State agencies of the following: (1) a list and description of fees imposed by the agency; (2) purpose of the fees; (3) statutory or other authority for the imposition of the fees; (4) the amount of revenue generated; (5) general population affected by the fee; (6) funds into which the fees are deposited; (7) use of the funds, if earmarked; and (8) cost of the administration and the degree to which the goals of the program are met.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

2019-007 **FINDING** (Inaccurate Agency Fee Imposition Reports) (Continued)

The State Comptroller Act (15 ILCS 405/16.2) requires all State agencies that impose and collect fees prepare the Agency Fee Imposition Report Form. The Fiscal Control and Internal Audit Act (30 ILCS 10/3001) requires all State agencies to establish and maintain a system, or systems, of internal fiscal and administrative control, to provide assurance that revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources.

Inaccurate fee reporting reduces the reliability of Statewide fee information. Failure to include all of the required fee information results in statutory noncompliance. (Finding Code No. 2019-007)

RECOMMENDATION

We recommend the Office develop procedures, including a supervisor review prior to its submission, to ensure it presents complete and accurate Agency Fee Imposition Reports to the Illinois Office of the State Comptroller.

OFFICE RESPONSE

The Office accepts the recommendation. The Office is working to develop improved procedures to ensure accurate and complete fee information is reported annually.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

2019-008 **FINDING** (Weaknesses with Locally Held Funds)

The Office of the Secretary of State (Office) performed inaccurate reconciliations of its locally held funds records which resulted in inaccurate reports filed with the Illinois Office of the Comptroller. The Office also submitted late reports to the Illinois Office of the Comptroller for one of its locally held funds.

During fieldwork we tested the reconciliation of the Office's locally held fund and Report of Receipts and Disbursements for Locally Held Funds (C-17). We noted the following exceptions:

- Five of eight (63%) C-17s for the Safekeeping Fund (1344) contained errors in which the receipts and/or disbursements on the C-17 did not agree to the Office's accounting records. The total fiscal year 2018 dollar amount effect of the errors was an overstatement of \$91,490 reported on the C-17. The total fiscal year 2019 dollar amount effect of the errors was an understatement of \$7,775. These differences were immaterial to the Office's financial statements as a whole. Office management stated the errors identified were due to incorrect data input of the bank statements received for the fund.

- Three of eight (38%) C-17s tested for the Secretary of State Antique Vehicle Show Fund (1390) had errors in which the receipts and/or disbursement on the C-17 did not agree to the Office's accounting records. The total fiscal year 2018 dollar amount effect of the errors was an overstatement of \$9,772 reported on the C-17. These differences were immaterial to the Office's financial statements as a whole. In addition, we noted three of eight (38%) C-17s tested for the Secretary of State Antique Vehicle Show were filed late, ranging from 1 to 21 days late after the due date with the Office of the Comptroller. Office management stated the errors identified in this fund were due to untimely and incomplete entries in the fund ledger used to prepare the quarterly C-17.

The Statewide Accounting Management System Manual (SAMS) (Procedure 33.13.20) states the report should be completed using actual receipts and disbursements and requires that the reports be filed no later than the last day of the month following the last day of the quarter.

Fiscal Control and Internal Auditing Act (FCIAA) (30 ILCS 10/3001) requires all State agencies to establish and maintain a system, or systems, of internal fiscal and administrative controls to provide assurance that revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

2019-008 **FINDING** (Weaknesses with Locally Held Funds) (Continued)

It is important to properly report locally held funds to the Illinois Office of the Comptroller as they are not subject to appropriation and are held outside the State Treasury. The Comptroller's Locally Held Fund Reporting System's major function is to capture cash receipt and disbursement information on a quarterly basis in order to provide a more comprehensive fiscal base for the State of Illinois. Failure to provide accurate and timely quarterly reports prevents the Comptroller from maintaining accurate year-to-date receipts, disbursements, and balances of locally held funds. (Finding Code No. 2019-008)

RECOMMENDATION

We recommend the Office implement procedures to ensure the locally held funds are accurately reconciled and the C-17s are accurately and timely prepared and submitted.

OFFICE RESPONSE

The Office agrees with the recommendation. The Office will continue to evaluate, review, and monitor its compliance with locally held fund accounting procedures.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

2019-009. FINDING (Weakness with Payment Card Industry Data Security Standards)

The Office of the Secretary of State (Office) had not completed all requirements to demonstrate full compliance with Payment Card Industry Data Security Standards (PCI DSS).

The Office accepts credit card payments for various services including license and title registration, sticker and safe driver renewals. The Office accepts credit card payments using several different payment methods including Point-of-Sale (POS), Interactive Voice Response (IVR) and web/virtual terminals. In fiscal year 2018 and fiscal year 2019 the Office handled transactions over 4.3 million and 4.8 million, totaling approximately \$425 million and \$426 million, respectively.

Based on our review, we noted the Office had not:

- Formally assessed each program accepting credit card payments, the methods in which payments could be made, matched these methods to the appropriate Self Assessment Questionnaire (SAQ), and contacted service providers and obtained relevant information and guidance as deemed appropriate.
- Completed a SAQ addressing all elements of its environment utilized to store, process, and transmit cardholder data.
- Completed an Attestation of Compliance Form.

PCI DSS was developed to detail security requirements for entities that store, process or transmit cardholder data. Cardholder data is any personally identifiable data associated with a cardholder. In addition, PCI requires all members, merchants and service providers, who store, process, and/or transmit cardholder data to become PCI compliant. The PCI Standards require merchants to assess their environment and validate compliance with PCI on an annual basis. To assist merchants in the assessments of their environment, the PCI Council has established Self Assessment Questionnaires (SAQ) for validating compliance with PCI's core requirements.

During the prior engagement, Office officials indicated the Office was in the process of being PCI compliant; however, due to lack of a State budget, they were unable to address all concerns in the audit. During the current engagement, Office officials indicated the Office had formally assessed each program that accepts credit card payments, but had not started the process to complete the SAQ.

Failure to establish and maintain adequate procedures to handle and protect confidential and personally identifiable information could lead to the loss of credit card data or loss of the right to utilize certain credit card providers if not in compliance with current PCI standards. Additionally, loss of confidential data could result in identity theft or other unauthorized use, as well as unnecessary costs and liability to the Office. (Finding Code No. 2019-009, 2017-004, 2015-004, 2013-007)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

2019-009. FINDING (Weakness with Payment Card Industry Data Security Standards)
(Continued)

RECOMMENDATION

We recommend the Office:

- At least annually, assess each program accepting credit card payments, the methods in which payments could be made, match the methods to the appropriate SAQ; and
- Complete the appropriate SAQ addressing all elements of its environment utilized to store, process, and transmit cardholder data and an Attestation of Compliance Form.

OFFICE RESPONSE

The Office accepts this finding. The Treasurer's Office administers the contract with the State's credit card processor and E-Pay program vendor. The Office is in the process of moving payment transactions over to the State's new credit card processor/ E-Pay program vendor by October 2020. The Office is correcting PCI weaknesses as they are identified. The Office will be completing the appropriate SAQ, as well as working toward compliance of this finding.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

2019-010. FINDING (Lack of Adequate Controls over the Review of Internal Controls over Service Providers)

The Office of the Secretary of State (Office) did not obtain or conduct timely independent internal control reviews over its external service providers.

The Office utilizes five service providers for drivers' license processing. Some of the information controlled by the service providers would be classified as personally identifiable information.

During testing, we noted the Office had not obtained System and Organization Control (SOC) reports or conducted independent internal control reviews. Additionally, the contracts between the Office and the service providers did not contain a requirement for an independent review to be completed.

The Office is responsible for the design, implementation, and maintenance of internal controls related to information systems and operations to ensure resources and data are adequately protected from unauthorized or accidental disclosure, modifications, or destruction. This responsibility is not limited due to the process being outsourced.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires all State agencies to establish and maintain a system, or systems, of internal fiscal and administrative controls to provide assurance that revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources. In addition, generally accepted information technology guidance endorses the review and assessment of internal controls related to information systems and operations to assure the accurate processing and security of information.

Office management stated they awarded and signed a contract with the service provider starting in 2013. This was prior to the requirements for reviews of internal controls. The Office was unaware that the current contract language had not been updated.

Without having obtained and reviewed a SOC Report or another form of independent internal control review, the Office does not have assurance the external service providers' internal controls are adequate to ensure personal information is secure. (Finding Code No. 2019-010)

RECOMMENDATION

We recommend the Office:

- Obtain SOC reports or perform independent reviews of internal controls associated with outsourced systems at least annually.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

2019-010. FINDING (Lack of Adequate Controls over the Review of Internal Controls over Service Providers) (Continued)

- Either obtain and review SOC reports for subservice organizations or perform alternative procedures to satisfy itself that the existence of the subservice organization would not impact its internal control environment.
- Document its review of the SOC reports and review all significant issues with subservice organizations to ascertain if a corrective action plan exists and when it will be implemented, any impacts to the Office, and any compensating controls.
- Review contracts with service providers to ensure applicable requirements over the independent review of internal controls are included.

OFFICE RESPONSE

The Office accepts this finding. The Office's Department of Information and Technology (DoIT) is working with the General Counsel's Office alongside DoIT's Security Administrator to make sure language is in its agreements with third party service providers related to the outsourcing of certain information systems. It will work to obtain, review, maintain and track SOC reports as well as ascertain if all requirements are followed. DoIT is also in the process of hiring a new position that will monitor, review and audit third party service providers.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

PRIOR YEAR FINDINGS NOT REPEATED

A. **FINDING** (Inadequate Controls of Cash at Drivers License Facilities)

During the previous engagement, the Office of the Secretary of State (Office) permitted multiple employees to have access to the same cash drawers at the Drivers License Facilities.

During the current engagement, we visited 13 of the Office's 121 Drivers License Facilities. During our testing, we noted the Office had established procedures so only one employee has access to a cash drawer at a time. (Finding Code No. 2017-002, 2015-001, 2013-002, 11-1, 09-4, 07-4, 05-4)

B. **FINDING** (Inadequate Change Fund Controls)

In the previous engagement, the Office did not maintain adequate records of the custodians of change funds and did not keep change fund information in a compartment that was locked at all times.

During the current engagement, we noted the Office maintained adequate records of the custodians of change funds. In addition, the weaknesses regarding the security of change fund information decreased. As a result, this finding was moved to the Schedule of Immaterial Findings. (Finding Code 2017-003, 2015-002, 2013-003, 11-4)

STATE OF ILLINOIS
 OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2019 - TOTAL BY FUND
 SIXTEEN MONTHS ENDED OCTOBER 31, 2019

	Appropriations (Net after Transfers)	Expenditures Through 06/30/19	Lapse Period Expenditures 7/01 - 10/31/19	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Act 100-00586 and 101-0007</u>						
APPROPRIATED FUNDS						
General Revenue Fund - 001	\$ 264,833,000	\$ 237,708,118	\$ 19,528,884	\$ 257,237,002	\$ -	\$ 7,595,998
Road Fund - 011	2,500,000	1,201,386	553,648	1,755,034	-	744,966
Motor Fuel Tax Fund - 012	1,300,000	1,300,000	-	1,300,000	-	-
Alzheimer's Awareness Fund - 020	15,000	-	15,000	15,000	-	-
Live & Learn Fund - 026	21,400,000	18,973,480	1,853,711	20,827,191	-	572,809
IL Nurses Foundation Fund - 028	40,000	15,000	11,080	26,080	-	13,920
IL Sheriffs' Association Scholarship and Training Fund - 032	1,000	1,000	-	1,000	-	-
IL State Police Memorial Park Fund - 034	20,000	20,000	-	20,000	-	-
Lobbyist Registration Fund - 044	1,153,400	1,006,516	38,409	1,044,925	-	108,475
National Wild Turkey Federation Fund - 058	1,200	1,175	-	1,175	-	25
Curing Childhood Cancer Fund - 066	5,800	-	5,750	5,750	-	50
CDLIS/AAMVAnet/NMVTIS Trust Fund - 109	5,032,900	4,291,971	149,111	4,441,082	-	591,818
Capital Development Fund - 141	6,184,866	1,567,924	-	1,567,924	4,616,942	-
Motor Vehicle Theft Prevention and Insurance Verification Trust Fund - 156	20,000,000	305,123	209,334	514,457	-	19,485,543
Registered Limited Liability Partnership Fund - 167	176,200	96,568	3,675	100,243	-	75,957
SOS Federal Projects Fund - 176	500,000	87,684	21,547	109,231	-	390,769
Driver Services Administration Fund - 182	2,000,000	876,603	49,265	925,868	-	1,074,132
Secretary of State Special License Plate Fund - 185	4,840,300	4,029,693	412,736	4,442,429	-	397,871
Securities Investor Education Fund - 292	1,500,000	212,081	3,901	215,982	-	1,284,018
Family Responsibility Fund - 322	200,000	169,013	4,632	173,645	-	26,355
Motor Vehicle Review Board Fund - 323	268,800	258,820	9,743	268,563	-	237
Securities Audit & Enforcement Fund - 362	9,640,500	6,951,935	271,961	7,223,896	-	2,416,604
Department of Business Services Special Operations Fund - 363	12,983,500	10,512,385	491,106	11,003,491	-	1,980,009
Secretary of State Evidence Fund - 374	5,000	304	-	304	-	4,696
Alternate Fuels Fund - 422	225,000	225,000	-	225,000	-	-
Indigent BAIID Fund - 451	300,000	190,339	65,657	255,996	-	44,004
MDDP Administration Fund - 453	2,200,000	1,800,761	111,378	1,912,139	-	287,861
Rotary Club Fund - 454	4,000	-	-	-	-	4,000
Ovarian Cancer Awareness Fund - 459	15,000	-	15,000	15,000	-	-
Illinois Professional Golfers Association Junior Golf Fund - 463	75,000	63,000	12,000	75,000	-	-
Boy Scout and Girl Scout Fund - 464	25,000	20,200	-	20,200	-	4,800
Agriculture in the Classroom Fund - 466	110,000	100,000	10,000	110,000	-	-
Sheet Metal Workers Intl Fund - 468	6,000	-	-	-	-	6,000

STATE OF ILLINOIS
 OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2019 - TOTAL BY FUND
 SIXTEEN MONTHS ENDED OCTOBER 31, 2019

	Appropriations (Net after Transfers)	Expenditures Through 06/30/19	Lapse Period Expenditures 7/01 - 10/31/19	Total Expenditures	Reappropriated Balances	Lapsed Balances
Library Services Fund - 470	\$ 6,000,000	\$ 5,267,206	\$ (47,474)	\$ 5,219,732	\$ -	\$ 780,268
State Library Fund - 471	24,300	8,238	-	8,238	-	16,062
SOS Identification Security & Theft Prevention Fund - 480	13,500,000	9,778,448	1,912,938	11,691,386	-	1,808,614
Secretary of State Special Services Fund - 483	25,126,000	18,415,853	1,286,221	19,702,074	-	5,423,926
Support Our Troops Fund - 496	75,000	75,000	-	75,000	-	-
Master Mason Fund - 508	43,000	26,500	11,091	37,591	-	5,409
Renewable Energy Resources Trust Fund - 564	2,000,000	2,000,000	-	2,000,000	-	-
Illinois Pan Hellenic Trust Fund - 584	75,000	-	55,036	55,036	-	19,964
Park District Youth Program Fund - 585	27,000	20,500	6,500	27,000	-	-
Hospice Fund - 586	5,000	3,500	1,000	4,500	-	500
Illinois Route 66 Heritage Project Fund - 594	200,000	160,000	40,000	200,000	-	-
Police Memorial Committee Fund - 598	200,000	158,000	42,000	200,000	-	-
Mammogram Fund - 599	130,000	60,000	54,338	114,338	-	15,662
Motor Vehicle License Plate Fund - 622	16,000,000	12,255,480	1,206,210	13,461,690	-	2,538,310
Chicago Police Memorial Foundation Fund - 639	45,000	45,000	-	45,000	-	-
IL Police Association Fund - 655	110,000	110,000	-	110,000	-	-
Octave Chanute Aerospace Heritage Fund - 662	45,200	45,200	-	45,200	-	-
Organ Donor Awareness Fund - 716	160,000	160,000	-	160,000	-	-
Illinois Clean Water Fund - 0731	2,000,000	2,000,000	-	2,000,000	-	-
Secretary of State DUI Administration Fund - 732	2,500,000	2,005,383	170,652	2,176,035	-	323,965
Secretary of State Police DUI Fund - 758	15,000	-	-	-	-	15,000
Secretary of State Police Services Fund - 759	700,000	246,250	169,089	415,339	-	284,661
U.S. Marine Corps Scholarship Fund - 760	145,000	120,000	25,000	145,000	-	-
State Parking Facility Maintenance Fund - 782	300,000	82,684	1,602	84,286	-	215,714
International Brotherhood of Teamsters Fund - 803	5,000	4,000	1,000	5,000	-	-
Share the Road Fund - 854	45,000	40,000	5,000	45,000	-	-
Fraternal Order of Police Fund - 867	20,000	17,000	3,000	20,000	-	-
St. Jude Children's Research Fund - 899	3,500	-	3,455	3,455	-	45
Ducks Unlimited Fund- 918	20,000	20,000	-	20,000	-	-
Secretary of State Grant Fund - 948	300,000	110,466	1,293	111,759	-	188,241
Vehicle Inspection Fund - 963	3,625,300	2,895,283	99,428	2,994,711	-	630,589
Build Illinois Bond Fund - 971	14,388,486	8,954,172	-	8,954,172	5,434,314	-
TOTAL APPROPRIATED FUNDS	\$ 445,394,252	357,070,242	28,894,907	385,965,149	\$ 10,051,256	\$49,377,847

STATE OF ILLINOIS
 OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2019 - TOTAL BY FUND
 SIXTEEN MONTHS ENDED OCTOBER 31, 2019

	Appropriations (Net after Transfers)	Expenditures Through 06/30/19	Lapse Period Expenditures 7/01 - 10/31/19	Total Expenditures	Reappropriated Balances	Lapsed Balances
NON-APPROPRIATED FUNDS						
Corporate Franchise Tax Refund - 380	\$ 1,884,238	\$ -	\$ -	\$ 1,884,238		
Safety Responsibility Fund - 436	592,517	27,325	619,842	619,842		
Secretary of State International Registration Plan Fund - 890	98,796,645	30,710	98,827,355	98,827,355		
TOTAL NON-APPROPRIATED FUNDS	\$101,273,400	\$ 58,035	\$101,331,435	\$101,331,435		
GRAND TOTAL	\$458,343,642	\$ 28,952,942	\$487,296,584	\$487,296,584		

Note 1: Appropriations, expenditures, and lapsed balances were obtained from the Comptroller's records as of October 31, 2019, and have been reconciled to Office's records.

Note 2: Expenditure amounts are vouchers approved for payment by the Office and submitted to the State Comptroller for payment to the vendor.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2018 - TOTAL BY FUND
SIXTEEN MONTHS ENDED OCTOBER 31, 2018

	Appropriations (Net after Transfers)		Expenditures Through		Lapse Period Expenditures		Total Expenditures	Reappropriated Balances		Lapsed Balances
			06/30/18	7/01 - 10/31/18						
APPROPRIATED FUNDS										
General Revenue Fund - 001	\$ 257,867,300	\$ 232,233,400	\$ 16,276,407	\$ -	\$ 248,509,807	\$ -	\$ 9,357,493			
Road Fund - 011	2,500,000	984,344	331,980	-	1,316,324	-	1,183,676			
Motor Fuel Tax Fund - 012	1,300,000	1,300,000	-	-	1,300,000	-	-			
Alzheimer's Awareness Fund - 020	15,000	-	15,000	-	15,000	-	-			
Live & Learn Fund - 026	21,400,000	18,806,674	1,749,884	-	20,556,558	-	843,442			
IL Police Benevolent Fund - 027	50	-	50	-	50	-	-			
IL Nurses Foundation Fund - 028	40,000	35,000	5,000	-	40,000	-	-			
American Red Cross Fund - 029	550	-	550	-	550	-	-			
IL Sheriffs' Association Scholarship and Training Fund - 032	1,000	1,000	-	-	1,000	-	-			
IL State Police Memorial Park Fund - 034	20,000	-	20,000	-	20,000	-	-			
IL Police K-9 Memorial Fund - 038	925	-	925	-	925	-	-			
Lobbyist Registration Fund - 044	1,177,800	1,007,244	23,977	-	1,031,221	-	146,579			
CDLIS/AAMV Anet/NMVTIS Trust Fund - 109	5,472,400	4,797,480	58,310	-	4,855,790	-	616,610			
Capital Development Fund - 141	2,756,149	1,861,006	-	-	1,861,006	895,143	-			
Registered Limited Liability Partnership Fund - 167	193,200	131,926	4,292	-	136,218	-	56,982			
SOS Federal Projects Fund - 176	500,000	95,678	14,313	-	109,991	-	390,009			
Driver Services Administration Fund - 182	2,000,000	1,163,618	63,840	-	1,227,458	-	772,542			
Secretary of State Special License Plate Fund - 185	4,881,000	3,071,687	62,944	-	3,134,631	-	1,746,369			
Securities Investor Education Fund - 292	1,500,000	194,766	1,921	-	196,687	-	1,303,313			
Family Responsibility Fund - 322	200,000	168,860	11,618	-	180,478	-	19,522			
Motor Vehicle Review Board Fund - 323	272,800	256,427	6,205	-	262,632	-	10,168			
Securities Audit & Enforcement Fund - 362	10,160,300	7,235,371	260,612	-	7,495,983	-	2,664,317			
Department of Business Services Special Operations Fund -363	13,258,200	10,510,992	267,282	-	10,778,274	-	2,479,926			
Secretary of State Evidence Fund - 374	5,000	-	-	-	-	-	5,000			
Alternate Fuels Fund - 422	225,000	225,000	-	-	225,000	-	-			
Indigent BAIID Fund - 451	300,000	187,205	64,527	-	251,732	-	48,268			
MDDP Administration Fund - 453	2,200,000	1,035,299	(20,057)	-	1,015,242	-	1,184,758			
Rotary Club Fund - 454	4,000	-	4,000	-	4,000	-	-			
Ovarian Cancer Awareness Fund - 459	15,000	-	15,000	-	15,000	-	-			
Illinois Professional Golfers Association Junior Golf Fund - 463	75,000	75,000	-	-	75,000	-	-			
Boy Scout and Girl Scout Fund - 464	30,000	19,650	-	-	19,650	-	10,350			
Agriculture in the Classroom Fund - 466	125,000	95,000	30,000	-	125,000	-	-			
Sheet Metal Workers Intl Fund - 468	6,000	-	-	-	-	-	6,000			

Public Act 100-0021

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2018 - TOTAL BY FUND
SIXTEEN MONTHS ENDED OCTOBER 31, 2018

	Appropriations	Expenditures	Lapse Period	Total	Reappropriated	Lapsed
	(Net after Transfers)	Through 06/30/18	Expenditures 7/01 - 10/31/18			
Library Services Fund - 470	\$ 7,000,000	\$ 6,097,351	\$ 66,796	\$ 6,164,147	\$ -	\$ 835,853
State Library Fund - 471	24,300	7,024	-	7,024	-	17,276
SOS Identification Security & Theft Prevention Fund - 480	13,500,000	10,533,929	1,537,793	12,071,722	-	1,428,278
Secretary of State Special Services Fund - 483	25,126,000	16,315,595	1,521,009	17,836,604	-	7,289,396
Support Our Troops Fund - 496	75,000	75,000	-	75,000	-	-
Master Mason Fund - 508	43,000	20,000	19,737	39,737	-	3,263
Illinois Pan Hellenic Trust Fund - 584	75,000	56,918	-	56,918	-	18,082
Park District Youth Program Fund - 585	27,000	15,000	12,000	27,000	-	-
Hospice Fund - 586	30,000	30,000	-	30,000	-	-
Illinois Route 66 Heritage Project Fund - 594	180,000	180,000	-	180,000	-	-
Police Memorial Committee Fund - 598	180,000	140,000	40,000	180,000	-	-
Mammogram Fund - 599	130,000	70,000	56,017	126,017	-	3,983
Motor Vehicle License Plate Fund - 622	16,000,000	11,539,389	2,652,451	14,191,840	-	1,808,160
Chicago Police Memorial Foundation Fund - 639	45,000	-	45,000	45,000	-	-
IL Police Association Fund - 655	100,000	100,000	-	100,000	-	-
Organ Donor Awareness Fund - 716	160,000	-	-	-	-	160,000
Secretary of State DUI Administration Fund - 732	2,500,000	1,815,276	77,700	1,892,976	-	607,024
Secretary of State Police DUI Fund - 758	15,000	-	-	-	-	15,000
Secretary of State Police Services Fund - 759	700,000	420,691	28,027	448,718	-	251,282
U.S. Marine Corps Scholarship Fund - 760	140,000	140,000	-	140,000	-	-
State Parking Facility Maintenance Fund - 782	300,000	110,632	8,866	119,498	-	180,502
International Brotherhood of Teamsters Fund - 803	5,000	2,000	3,000	5,000	-	-
Share the Road Fund - 854	45,000	45,000	-	45,000	-	-
Fraternal Order of Police Fund - 867	15,000	13,000	2,000	15,000	-	-
St. Jude Children's Research Fund - 899	3,500	-	-	-	-	3,500
Ducks Unlimited Fund - 918	20,000	20,000	-	20,000	-	-
Secretary of State Grant Fund - 948	300,000	123,304	1,476	124,780	-	175,220
Vehicle Inspection Fund - 963	3,669,700	2,875,448	43,392	2,918,840	-	750,860
Build Illinois Bond Fund - 971	10,110,139	9,032,389	-	9,032,389	1,077,750	-
TOTAL APPROPRIATED FUNDS	\$ 409,020,313	345,270,573	25,383,844	370,654,417	\$ 1,972,893	\$ 36,393,003

STATE OF ILLINOIS
 OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2018 - TOTAL BY FUND
 SIXTEEN MONTHS ENDED OCTOBER 31, 2018

	Appropriations (Net after Transfers)	Expenditures Through 06/30/18	Lapse Period Expenditures 7/01 - 10/31/18	Total Expenditures	Reappropriated Balances	Lapsed Balances
NON-APPROPRIATED FUNDS						
State Parking Facility Maintenance Fund - 782	\$ 40	\$ -	\$ -	\$ 40		
Interagency Grant Fund - 295	1,851,681	-	-	1,851,681		
Corporate Franchise Tax Refund - 380	3,179,763	-	-	3,179,763		
Safety Responsibility Fund - 436	712,019	30,029		742,048		
Secretary of State International Registration Plan Fund - 890	91,163,075	36,668		91,199,743		
TOTAL NON-APPROPRIATED FUNDS	\$ 96,906,578	\$ 66,697		\$ 96,973,275		
GRAND TOTAL	\$442,177,151	\$ 25,450,541		\$467,627,692		

Note 1: Appropriations, expenditures, and lapsed balances were obtained from the Comptroller's records as of October 31, 2018, and have been reconciled to Office's records.

Note 2: Expenditure amounts are vouchers approved for payment by the Office and submitted to the State Comptroller for payment to the vendor.

Note 3: Notwithstanding anything within Public Act 100-0021 to the contrary, Public Act 100-0021 authorized the Office to pay for all costs incurred prior to July 1, 2018, using either its fiscal year 2017 or fiscal year 2018 appropriations for non-payroll expenditures. The Analysis of Operations section of this report at page 108 includes information from Office management about the number of invoices and the total dollar amount of invoices from fiscal year 2016 and fiscal year 2017 held by the Office which were submitted against either its fiscal year 2017 or fiscal year 2018 appropriation.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES**

	Fiscal Year		
	2019	2018	2017
	P.A. 100-0586, 101-0007	P.A. 100-0021	P.A. 99-0524, 100-0021
EXECUTIVE GROUP:			
General Revenue Fund - 001:			
Appropriations (Net After Transfers)	\$ 7,596,205	\$ 7,930,862	\$ 7,150,318
Expenditures:			
Personal Services:			
Regular Positions	6,332,653	6,283,913	6,058,569
Employee Retirement Contributions	126,502	125,590	121,367
Extra Help	25,690	5,115	689
State Retirement Fund Contributions			
Social Security Contributions	454,491	451,218	430,606
Contractual Services	224,927	673,300	36,936
Travel	16,959	10,631	-
Printing	80	38,152	-
Commodities	29,236	38,246	-
Equipment	103,919	111,055	-
Telecommunications	36,706	40,009	-
Total Expenditures	<u>7,351,163</u>	<u>7,777,229</u>	<u>6,648,167</u>
Lapsed Balances	<u>245,042</u>	<u>153,633</u>	<u>502,151</u>
General Revenue Fund - 001:			
Appropriations (Net After Transfers)	-	-	53,000,000
Expenditures:			
Ordinary and Contingent, no Personal Services	-	-	49,615,951
Lapsed Balances	<u>-</u>	<u>-</u>	<u>3,384,049</u>
Budget Stabilization Fund - 686:			
Appropriations (Net After Transfers)	-	-	10,000,000
Expenditures:			
Ordinary and Contingent, no Personal Services	-	-	9,399,207
Lapsed Balances	<u>-</u>	<u>-</u>	<u>600,793</u>
EXECUTIVE GROUP TOTALS:			
Appropriations (Net After Transfers)	\$ 7,596,205	\$ 7,930,862	\$ 70,150,318
Total Expenditures	<u>\$ 7,351,163</u>	<u>\$ 7,777,229</u>	<u>\$ 65,663,325</u>
Lapsed Balances	<u>\$ 245,042</u>	<u>\$ 153,633</u>	<u>\$ 4,486,993</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES**

	Fiscal Year		
	2019	2018	2017
	P.A. 100-0586, 101-0007	P.A. 100-0021	P.A. 99-0524, 100-0021
GENERAL ADMINISTRATIVE GROUP:			
General Revenue Fund - 001:			
Appropriations (Net After Transfers)	\$ 79,397,930	\$ 76,479,290	\$ 74,341,434
Expenditures:			
Personal Services:			
Regular Positions	48,040,560	48,241,863	44,305,758
Extra Help	267,905	231,278	217,930
Employee Retirement Contributions	959,621	955,837	884,212
State Retirement Fund Contributions			
Social Security Contributions	3,609,264	3,635,784	3,347,840
Contractual Services	16,517,442	16,518,608	1,301,291
Travel	68,311	63,423	-
Commodities	698,377	655,472	-
Printing	343,311	315,956	-
Equipment	1,127,640	3,052,656	-
Electronic Data Processing	3,335,652	-	-
Telecommunications	124,428	167,064	-
Operation of Auto Equipment	187,668	171,172	-
Refund of Fees and Taxes	15,784	25,134	-
Total Expenditures	<u>75,295,963</u>	<u>74,034,247</u>	<u>50,057,031</u>
Lapsed Balances	<u>4,101,967</u>	<u>2,445,043</u>	<u>24,284,403</u>
General Revenue Fund - 001:			
Establishment grants, annual per capita and area grants, and equalization grants pursuant to Section 8 of the Illinois Library System Act:			
Appropriations (Net After Transfers)	12,482,400	12,482,400	-
Expenditures	12,376,163	12,385,372	-
Lapsed Balances	<u>106,237</u>	<u>97,028</u>	<u>-</u>
Annual library technology:			
Appropriations (Net After Transfers)	-	-	35,000
Expenditures	-	-	2,650
Lapsed Balances	<u>-</u>	<u>-</u>	<u>32,350</u>
Library services for the blind and physically handicapped:			
Appropriations (Net After Transfers)	865,400	865,400	865,400
Expenditures	596,087	578,112	277,524
Lapsed Balances	<u>269,313</u>	<u>287,288</u>	<u>587,876</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES**

	Fiscal Year		
	2019	2018	2017
	P.A. 100-0586, 101-0007	P.A. 100-0021	P.A. 99-0524, 100-0021
Literacy:			
Appropriations (Net After Transfers)	\$ 3,718,300	\$ 3,718,300	\$ -
Expenditures	3,685,262	3,700,250	-
Lapsed Balances	33,038	18,050	-
Annual per capita - school district:			
Appropriations (Net After Transfers)	225,000	225,000	-
Expenditures	224,987	83,633	-
Lapsed Balances	13	141,367	-
Miscellaneous permanent improvements - buildings:			
Appropriations (Net After Transfers)	600,000	425,000	-
Expenditures	593,004	420,583	-
Lapsed Balances	6,996	4,417	-
Chicago Public Library:			
Appropriations (Net After Transfers)	1,288,800	1,288,800	-
Expenditures	1,288,800	1,288,800	-
Lapsed Balances	-	-	-
Agudath Israel of Illinois			
Appropriations (Net After Transfers)	1,173,000	1,173,000	-
Expenditures	1,173,000	1,173,000	-
Lapsed Balances	-	-	-
Encourage Census Participation			
Appropriations (Net After Transfers)	1,500,000	-	-
Expenditures	1,495,939	-	-
Lapsed Balances	4,061	-	-
Stevenson Room			
Appropriations (Net After Transfers)	265,700	-	-
Expenditures	265,075	-	-
Lapsed Balances	625	-	-
Assist Immigrant Communities			
Appropriations (Net After Transfers)	2,500,000	-	-
Expenditures	1,243,028	-	-
Lapsed Balances	1,256,972	-	-
Operations of Special Olympics			
Appropriations (Net After Transfers)	2,000,000	-	-
Expenditures	2,000,000	-	-
Lapsed Balances	-	-	-

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES**

	Fiscal Year		
	2019	2018	2017
	P.A. 100-0586, 101-0007	P.A. 100-0021	P.A. 99-0524, 100-0021
NOBEL			
Appropriations (Net After Transfers)	\$ 50,000	\$ -	\$ -
Expenditures	50,000	-	-
Lapsed Balances	-	-	-
Enlace Chicago			
Appropriations (Net After Transfers)	150,000	-	-
Expenditures	150,000	-	-
Lapsed Balances	-	-	-
Brighton Park Neighborhood Council			
Appropriations (Net After Transfers)	500,000	-	-
Expenditures	500,000	-	-
Lapsed Balances	-	-	-
General Revenue Fund - 001 Totals:			
Appropriations (Net After Transfers)	106,716,530	96,657,190	75,241,834
Expenditures	100,937,308	93,663,997	50,337,205
Lapsed Balances	5,779,222	2,993,193	24,904,629
Road Fund - 011:			
Appropriations (Net After Transfers)	2,500,000	2,500,000	2,500,000
Expenditures:			
Refund of Fees and Taxes	1,755,034	1,316,324	1,296,310
Total Expenditures	1,755,034	1,316,324	1,296,310
Lapsed Balances	744,966	1,183,676	1,203,690
Motor Fuel Tax Fund - 012:			
Appropriations (Net After Transfers)	1,300,000	1,300,000	1,300,000
Expenditures:			
Contractual Services	1,300,000	1,300,000	1,300,000
Lapsed Balances	-	-	-
Alzheimer's Awareness Fund - 020:			
Appropriations (Net After Transfers)	15,000	15,000	-
Expenditures	15,000	15,000	-
Lapsed Balances	-	-	-
Live and Learn Fund - 026:			
Annual per capita grants to school:			
Appropriations (Net After Transfers)	1,145,000	1,145,000	1,145,000
Expenditures	1,105,536	1,144,837	1,144,930
Lapsed Balances	39,464	163	70

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES**

	Fiscal Year		
	2019	2018	2017
	P.A. 100-0586, 101-0007	P.A. 100-0021	P.A. 99-0524, 100-0021
Local library per capita:			
Appropriations (Net After Transfers)	\$ 16,004,200	\$ 16,004,200	\$ 16,004,200
Expenditures	16,004,200	16,004,100	16,002,766
Lapsed Balances	-	100	1,434
Research and reference:			
Appropriations (Net After Transfers)	580,000	580,000	580,000
Expenditures	580,000	172,305	580,000
Lapsed Balances	-	407,695	-
Blind and physically handicapped:			
Appropriations (Net After Transfers)	300,000	300,000	300,000
Expenditures	283,493	299,920	298,911
Lapsed Balances	16,507	80	1,089
Construction:			
Appropriations (Net After Transfers)	870,800	870,800	870,800
Expenditures	870,800	728,924	865,858
Lapsed Balances	-	141,876	4,942
Family literacy:			
Appropriations (Net After Transfers)	750,000	750,000	750,000
Expenditures	497,179	750,000	743,219
Lapsed Balances	252,821	-	6,781
Organ donor:			
Appropriations (Net After Transfers)	1,750,000	1,750,000	1,750,000
Expenditures	1,485,983	1,456,472	1,341,370
Lapsed Balances	264,017	293,528	408,630
Live and Learn Fund - 026 Totals:			
Appropriations (Net After Transfers)	21,400,000	21,400,000	21,400,000
Expenditures	20,827,191	20,556,558	20,977,054
Lapsed Balances	572,809	843,442	422,946
Illinois Police Benevolent Fund - 027:			
Appropriations (Net After Transfers)	-	50	-
Expenditures	-	50	-
Lapsed Balances	-	-	-
Illinois Nurses Foundation Fund - 028:			
Appropriations (Net After Transfers)	40,000	40,000	-
Expenditures	26,080	40,000	-
Lapsed Balances	13,920	-	-

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES**

	Fiscal Year		
	2019	2018	2017
	P.A. 100-0586, 101-0007	P.A. 100-0021	P.A. 99-0524, 100-0021
American Red Cross Fund - 029:			
Appropriations (Net After Transfers)	\$ -	\$ 550	\$ -
Expenditures	-	550	-
Lapsed Balances	-	-	-
Illinois Sheriffs' Association Scholarship and Training Fund- 032:			
Appropriations (Net After Transfers)	1,000	1,000	5,000
Expenditures	1,000	1,000	1,155
Lapsed Balances	-	-	3,845
Illinois State Police Memorial Park Fund- 034:			
Appropriations (Net After Transfers)	20,000	20,000	10,000
Expenditures	20,000	20,000	10,000
Lapsed Balances	-	-	-
Illinois Police K-9 Memorial Park Fund- 038:			
Appropriations (Net After Transfers)	-	925	-
Expenditures	-	925	-
Lapsed Balances	-	-	-
Lobbyist Registration Administration Fund - 044:			
Appropriations (Net After Transfers)	1,153,400	1,177,800	1,207,900
Expenditures:			
Personal Services:			
Regular Positions	497,425	506,558	504,477
Employee Retirement Contributions	8,894	10,177	10,119
Group Insurance	117,161	118,314	141,219
State Retirement Fund Contributions	257,690	240,909	225,501
Social Security Contributions	40,836	41,246	39,775
Contractual Services	115,039	109,304	71,487
Travel	3,927	1,734	2,675
Commodities	1,976	689	1,506
Printing	-	-	8,557
Equipment	201	217	-
Telecommunications	1,776	2,073	6,396
Total Expenditures	1,044,925	1,031,221	1,011,712
Lapsed Balances	108,475	146,579	196,188
National Wild Turkey Federation Fund - 058:			
Appropriations (Net After Transfers)	1,200	-	-
Expenditures	1,175	-	-
Lapsed Balances	25	-	-

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES**

	Fiscal Year		
	2019	2018	2017
	P.A. 100-0586, 101-0007	P.A. 100-0021	P.A. 99-0524, 100-0021
Curing Childhood Cancer Fund - 066:			
Appropriations (Net After Transfers)	\$ 5,800	\$ -	\$ -
Expenditures	5,750	-	-
Lapsed Balances	50	-	-
Accessible Electronic Information Service Fund - 106:			
Appropriations (Net After Transfers)	-	-	60,000
Expenditures	-	-	52,823
Lapsed Balances	-	-	7,177
Capital Development Fund - 141:			
Rehabilitation of Various Facilities:			
Appropriations (Net After Transfers)	2,895,144	2,756,149	2,874,416
Expenditures	705,824	1,861,006	1,118,267
Reappropriations	2,189,320	895,143	1,756,149
Lapsed Balances	-	-	-
Facilities HVAC Upgrades:			
Appropriations (Net After Transfers)	1,927,622	-	-
Expenditures	-	-	-
Reappropriations	1,927,622	-	-
Lapsed Balances	-	-	-
Grant to the Village of Third Lake:			
Appropriations (Net After Transfers)	100,000	-	-
Expenditures	100,000	-	-
Lapsed Balances	-	-	-
Grant to Avon Township:			
Appropriations (Net After Transfers)	52,100	-	-
Expenditures	52,100	-	-
Lapsed Balances	-	-	-
Grant to Village of Round Lake Park:			
Appropriations (Net After Transfers)	38,000	-	-
Expenditures	38,000	-	-
Lapsed Balances	-	-	-
Grant to Village of Hainesville:			
Appropriations (Net After Transfers)	100,000	-	-
Expenditures	100,000	-	-
Lapsed Balances	-	-	-

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES**

	Fiscal Year		
	2019	2018	2017
	P.A. 100-0586, 101-0007	P.A. 100-0021	P.A. 99-0524, 100-0021
Grant to the Wildwood Park District:			
Appropriations (Net After Transfers)	\$ 72,000	\$ -	\$ -
Expenditures	72,000	-	-
Lapsed Balances	-	-	-
Grant to the Chicago Park District			
Jackie Robinson Park:			
Appropriations (Net After Transfers)	350,000	-	-
Expenditures	-	-	-
Reappropriations	350,000	-	-
Lapsed Balances	-	-	-
Munroe Park:			
Appropriations (Net After Transfers)	350,000	-	-
Expenditures	350,000	-	-
Lapsed Balances	-	-	-
Grant to the Oak Park Library:			
Appropriations (Net After Transfers)	50,000	-	-
Expenditures	-	-	-
Reappropriations	50,000	-	-
Lapsed Balances	-	-	-
Grant to the North Riverside Public Library:			
Appropriations (Net After Transfers)	50,000	-	-
Expenditures	-	-	-
Reappropriations	50,000	-	-
Lapsed Balances	-	-	-
Grant to the Berwyn Library:			
Appropriations (Net After Transfers)	50,000	-	-
Expenditures	50,000	-	-
Lapsed Balances	-	-	-
Grant to the La Grange Library:			
Appropriations (Net After Transfers)	50,000	-	-
Expenditures	50,000	-	-
Lapsed Balances	-	-	-
Grant to the La Grange Park Library:			
Appropriations (Net After Transfers)	50,000	-	-
Expenditures	50,000	-	-
Lapsed Balances	-	-	-

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES**

	Fiscal Year		
	2019	2018	2017
	P.A. 100-0586, 101-0007	P.A. 100-0021	P.A. 99-0524, 100-0021
Grant to the West Austin Library:			
Appropriations (Net After Transfers)	\$ 50,000	\$ -	\$ -
Expenditures	-	-	-
Reappropriations	50,000	-	-
Lapsed Balances	-	-	-
Capital Development Fund - 141 Totals:			
Appropriations (Net After Transfers)	6,184,866	2,756,149	2,874,416
Expenditures	1,567,924	1,861,006	1,118,267
Reappropriations	4,616,942	895,143	1,756,149
Lapsed Balances	-	-	-
Registered Limited Liability Partnership Fund - 167:			
Appropriations (Net After Transfers)	176,200	193,200	189,900
Expenditures:			
Personal Services:			
Regular Positions	49,924	68,368	82,307
Employee Retirement Contributions	998	1,359	1,646
Group Insurance	18,738	28,658	33,454
State Retirement Fund Contributions	25,768	32,367	36,683
Social Security Contributions	3,669	4,957	6,043
Contractual Services	200	-	-
Commodities	946	509	-
Total Expenditures	100,243	136,218	160,133
Lapsed Balances	75,957	56,982	29,767
Secretary of State Special License Plate Fund - 185:			
Illinois Veterans Home Libraries:			
Appropriations (Net After Transfers)	50,000	50,000	50,000
Expenditures	46,676	46,190	39,099
Lapsed Balances	3,324	3,810	10,901
Securities Investors' Education Fund - 292:			
Appropriations (Net After Transfers)	1,500,000	1,500,000	1,500,000
Expenditures	215,982	196,687	200,418
Lapsed Balances	1,284,018	1,303,313	1,299,582
Family Responsibility Fund - 322:			
Appropriations (Net After Transfers)	200,000	200,000	200,000
Expenditures	173,645	180,478	198,691
Lapsed Balances	26,355	19,522	1,309

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES**

	Fiscal Year		
	2019	2018	2017
	P.A. 100-0586, 101-0007	P.A. 100-0021	P.A. 99-0524, 100-0021
Securities Audit & Enforcement Fund - 362:			
Appropriations (Net After Transfers)	\$ 9,640,500	\$ 10,160,300	\$ 9,968,300
Expenditures:			
Personal Services:			
Regular Positions	3,310,422	3,533,239	3,631,837
Employee Retirement Contributions	69,718	74,317	75,940
Extra Help	25,893	156	-
Group Insurance	967,908	1,002,958	1,181,397
State Retirement Fund Contributions	1,724,411	1,674,945	1,620,919
Social Security Contributions	214,561	225,945	235,820
Contractual Services	699,229	720,088	754,047
Travel	1,566	1,125	1,103
Commodities	2,479	8,381	2,575
Printing	-	28,671	-
Equipment	1,530	15,563	-
Telecommunications	13,681	18,245	15,011
Operation of Auto Equipment	192,498	192,350	192,493
Total Expenditures	<u>7,223,896</u>	<u>7,495,983</u>	<u>7,711,142</u>
Lapsed Balances	<u>2,416,604</u>	<u>2,664,317</u>	<u>2,257,158</u>
Department of Business Services Special Operations			
Fund - 363:			
Appropriations (Net After Transfers)	12,983,500	13,258,200	13,160,800
Expenditures:			
Personal Services:			
Regular Positions	5,098,385	5,229,714	5,103,472
Employee Retirement Contributions	102,294	105,230	103,009
Extra Help	54,065	50,807	58,203
Group Insurance	1,741,819	1,558,618	1,812,518
State Retirement Fund Contributions	2,664,501	2,505,833	2,305,110
Social Security Contributions	380,474	391,676	376,762
Contractual Services	742,276	736,835	722,790
Travel	2,802	1,339	921
Commodities	9,889	10,442	7,536
Printing	51,855	41,361	37,967
Equipment	25,073	928	979
Telecommunications	35,060	50,491	39,484
Operation of Auto Equipment	94,998	95,000	94,991
Total Expenditures	<u>11,003,491</u>	<u>10,778,274</u>	<u>10,663,742</u>
Lapsed Balances	<u>1,980,009</u>	<u>2,479,926</u>	<u>2,497,058</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES**

	Fiscal Year		
	2019	2018	2017
	P.A. 100-0586, 101-0007	P.A. 100-0021	P.A. 99-0524, 100-0021
Secretary of State Special Services Fund - 483:			
Appropriations (Net After Transfers)	\$ 25,126,000	\$ 25,126,000	\$ 26,126,000
Expenditures:			
Electronic Data Processing	4,675,462	4,796,169	3,458,260
Office Automation & Technology	11,875,018	9,867,864	13,411,981
Library Technology	1,825,541	1,822,659	1,775,079
Support & Expansion of Family Literacy	1,295,240	1,288,487	1,300,000
CDL Program Implementation	30,813	61,425	62,762
Total Expenditures	<u>19,702,074</u>	<u>17,836,604</u>	<u>20,008,082</u>
Lapsed Balances	<u>5,423,926</u>	<u>7,289,396</u>	<u>6,117,918</u>
Rotary Club Fund- 454:			
Appropriations (Net After Transfers)	<u>4,000</u>	<u>4,000</u>	<u>5,000</u>
Expenditures	<u>-</u>	<u>4,000</u>	<u>4,585</u>
Lapsed Balances	<u>4,000</u>	<u>-</u>	<u>415</u>
Ovarian Cancer Awareness Fund- 459:			
Appropriations (Net After Transfers)	<u>15,000</u>	<u>15,000</u>	<u>15,000</u>
Expenditures	<u>15,000</u>	<u>15,000</u>	<u>15,000</u>
Lapsed Balances	<u>-</u>	<u>-</u>	<u>-</u>
IL Professional Golfers Assn. Foundation Fund - 463:			
Appropriations (Net After Transfers)	<u>75,000</u>	<u>75,000</u>	<u>45,000</u>
Expenditures	<u>75,000</u>	<u>75,000</u>	<u>45,000</u>
Lapsed Balances	<u>-</u>	<u>-</u>	<u>-</u>
Boy Scouts and Girl Scouts Fund- 464			
Appropriations (Net After Transfers)	<u>25,000</u>	<u>30,000</u>	<u>35,000</u>
Expenditures	<u>20,200</u>	<u>19,650</u>	<u>34,475</u>
Lapsed Balances	<u>4,800</u>	<u>10,350</u>	<u>525</u>
Agriculture In The Classroom Fund - 466			
Appropriations (Net After Transfers)	<u>110,000</u>	<u>125,000</u>	<u>125,000</u>
Expenditures	<u>110,000</u>	<u>125,000</u>	<u>125,000</u>
Lapsed Balances	<u>-</u>	<u>-</u>	<u>-</u>
Sheet Metal Workers Fund - 468:			
Appropriations (Net After Transfers)	<u>6,000</u>	<u>6,000</u>	<u>6,000</u>
Expenditures	<u>-</u>	<u>-</u>	<u>-</u>
Lapsed Balances	<u>6,000</u>	<u>6,000</u>	<u>6,000</u>
Library Services Fund - 470:			
Appropriations (Net After Transfers)	<u>6,000,000</u>	<u>7,000,000</u>	<u>7,000,000</u>
Expenditures	<u>5,219,732</u>	<u>6,164,147</u>	<u>4,696,093</u>
Lapsed Balances	<u>780,268</u>	<u>835,853</u>	<u>2,303,907</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES**

	Fiscal Year		
	2019	2018	2017
	P.A. 100-0586, 101-0007	P.A. 100-0021	P.A. 99-0524, 100-0021
State Library Fund - 471:			
Appropriations (Net After Transfers)	\$ 24,300	\$ 24,300	\$ 24,300
Expenditures	8,238	7,024	6,960
Lapsed Balances	16,062	17,276	17,340
Support Our Troops Fund- 496:			
Appropriations (Net After Transfers)	75,000	75,000	50,000
Expenditures	75,000	75,000	50,000
Lapsed Balances	-	-	-
Master Mason Fund - 508:			
Appropriations (Net After Transfers)	43,000	43,000	43,000
Expenditures	37,591	39,737	43,000
Lapsed Balances	5,409	3,263	-
Renewable Energy Resources Trust Fund - 564:			
Appropriations (Net After Transfers)	2,000,000	-	-
Expenditures	2,000,000	-	-
Lapsed Balances	-	-	-
Illinois Pan Hellenic Trust Fund - 584:			
Appropriations (Net After Transfers)	75,000	75,000	75,000
Expenditures	55,036	56,918	40,813
Lapsed Balances	19,964	18,082	34,187
Park District Youth Program Fund - 585:			
Appropriations (Net After Transfers)	27,000	27,000	27,000
Expenditures	27,000	27,000	27,000
Lapsed Balances	-	-	-
Hospice Fund - 586:			
Appropriations (Net After Transfers)	5,000	30,000	-
Expenditures	4,500	30,000	-
Lapsed Balances	500	-	-
Illinois Route 66 Heritage Project Fund - 594:			
Appropriations (Net After Transfers)	200,000	180,000	170,000
Expenditures	200,000	180,000	170,000
Lapsed Balances	-	-	-
Police Memorial Committee Fund - 598:			
Appropriations (Net After Transfers)	200,000	180,000	175,000
Expenditures	200,000	180,000	175,000
Lapsed Balances	-	-	-

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES**

	Fiscal Year		
	2019	2018	2017
	P.A. 100-0586, 101-0007	P.A. 100-0021	P.A. 99-0524, 100-0021
Mammogram Fund - 599:			
Appropriations (Net After Transfers)	\$ 130,000	\$ 130,000	\$ 130,000
Expenditures	114,338	126,017	130,000
Lapsed Balances	15,662	3,983	-
Chicago Police Memorial Fund - 0639:			
Appropriations (Net After Transfers)	45,000	45,000	30,000
Expenditures	45,000	45,000	30,000
Lapsed Balances	-	-	-
IL Police Association Fund - 655:			
Appropriations (Net After Transfers)	110,000	100,000	90,000
Expenditures	110,000	100,000	90,000
Lapsed Balances	-	-	-
Octave Chanute Aero Heritage Fund - 662:			
Appropriations (Net After Transfers)	45,200	-	-
Expenditures	45,200	-	-
Lapsed Balances	-	-	-
Organ Donor Awareness Fund - 716:			
Appropriations (Net After Transfers)	160,000	160,000	170,000
Expenditures	160,000	-	161,546
Lapsed Balances	-	160,000	8,454
Illinois Clean Water Fund - 731:			
Appropriations (Net After Transfers)	2,000,000	-	-
Expenditures	2,000,000	-	-
Lapsed Balances	-	-	-
U.S. Marine Corps Scholarship Fund - 760:			
Appropriations (Net After Transfers)	145,000	140,000	125,000
Expenditures	145,000	140,000	125,000
Lapsed Balances	-	-	-
State Parking Facility Maintenance Fund - 782:			
Appropriations (Net After Transfers)	300,000	300,000	275,000
Expenditures	84,286	119,498	150,920
Lapsed Balances	215,714	180,502	124,080
International Brotherhood of Teamsters Fund - 803:			
Appropriations (Net After Transfers)	5,000	5,000	10,000
Expenditures	5,000	5,000	9,300
Lapsed Balances	-	-	700

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES**

	Fiscal Year		
	2019	2018	2017
	P.A. 100-0586, 101-0007	P.A. 100-0021	P.A. 99-0524, 100-0021
Share the Road Fund - 854:			
Appropriations (Net After Transfers)	\$ 45,000	\$ 45,000	\$ 45,000
Expenditures	45,000	45,000	45,000
Lapsed Balances	-	-	-
Fraternal Order of Police Fund - 867:			
Appropriations (Net After Transfers)	20,000	15,000	15,000
Expenditures	20,000	15,000	15,000
Lapsed Balances	-	-	-
St. Jude Children's Research Fund - 899:			
Appropriations (Net After Transfers)	3,500	3,500	-
Expenditures	3,455	-	-
Lapsed Balances	45	3,500	-
Ducks Unlimited Fund- 918:			
Appropriations (Net After Transfers)	20,000	20,000	20,000
Expenditures	20,000	20,000	20,000
Lapsed Balances	-	-	-
Secretary of State Grant Fund - 948:			
Appropriations (Net After Transfers)	300,000	300,000	300,000
Expenditures	111,759	124,780	59,333
Lapsed Balances	188,241	175,220	240,667
Build Illinois Bond Fund - 971			
Public Libraries Fund:			
Appropriations (Net After Transfers)	1,077,751	10,110,139	-
Expenditures	993,437	9,032,389	-
Reappropriations	84,314	1,077,750	-
Lapsed Balances	-	-	-
Grant to East St. Louis Park Dist.:			
Appropriations (Net After Transfers)	2,500,000	-	-
Expenditures	-	-	-
Reappropriations	2,500,000	-	-
Lapsed Balances	-	-	-
Grant to Wendell Phillips Academy:			
Appropriations (Net After Transfers)	5,000,000	-	-
Expenditures	5,000,000	-	-
Lapsed Balances	-	-	-
Grant to City of Chicago Street Lighting:			
Appropriations (Net After Transfers)	900,000	-	-
Expenditures	900,000	-	-
Lapsed Balances	-	-	-

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES**

	Fiscal Year		
	2019	2018	2017
	P.A. 100-0586, 101-0007	P.A. 100-0021	P.A. 99-0524, 100-0021
Grant to Board of Trustees WIU:			
Appropriations (Net After Transfers)	\$ 50,000	\$ -	\$ -
Expenditures	50,000	-	-
Lapsed Balances	-	-	-
Grant to Carlinville CUSD #1:			
Appropriations (Net After Transfers)	2,000,000	-	-
Expenditures	-	-	-
Reappropriations	2,000,000	-	-
Lapsed Balances	-	-	-
Grant to Impacting Veterans Lives:			
Appropriations (Net After Transfers)	500,000	-	-
Expenditures	500,000	-	-
Lapsed Balances	-	-	-
Grant to Village of Lake Bluff:			
Appropriations (Net After Transfers)	350,000	-	-
Expenditures	-	-	-
Reappropriations	350,000	-	-
Lapsed Balances	-	-	-
Grant to Village of Buffalo Grove:			
Appropriations (Net After Transfers)	350,000	-	-
Expenditures	350,000	-	-
Lapsed Balances	-	-	-
Grant to Chicago PSD 299:			
Appropriations (Net After Transfers)	1,000,000	-	-
Expenditures	1,000,000	-	-
Lapsed Balances	-	-	-
Grant to National Vietnam Veterans' Art:			
Appropriations (Net After Transfers)	160,735	-	-
Expenditures	160,735	-	-
Lapsed Balances	-	-	-
Grant to City of Decatur:			
Appropriations (Net After Transfers)	500,000	-	-
Expenditures	-	-	-
Reappropriations	500,000	-	-
Lapsed Balances	-	-	-
Build Illinois Bond Fund - 971:			
Appropriations (Net After Transfers)	\$ 14,388,486	\$ 10,110,139	\$ -
Expenditures	\$ 8,954,172	\$ 9,032,389	\$ -
Reappropriations	\$ 5,434,314	\$ 1,077,750	\$ -
Lapsed Balances	\$ -	\$ -	\$ -

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES**

	Fiscal Year		
	2019	2018	2017
	P.A. 100-0586, 101-0007	P.A. 100-0021	P.A. 99-0524, 100-0021
GENERAL ADMINISTRATIVE			
GROUP TOTALS:			
Appropriations (Net After Transfers)	\$ 215,615,482	\$ 195,619,303	\$ 164,799,450
Expenditures	\$ 185,877,901	\$ 173,248,225	\$ 121,354,858
Reappropriations	\$ 10,051,256	\$ 1,972,893	\$ 1,756,149
Lapsed Balances	<u>\$ 19,686,325</u>	<u>\$ 20,398,185</u>	<u>\$ 41,688,443</u>
MOTOR VEHICLE GROUP:			
General Revenue Fund - 001:			
Appropriations (Net After Transfers)	\$ 150,520,265	\$ 153,279,248	\$ 149,408,937
Expenditures:			
Personal Services:			
Regular Positions	108,881,167	108,639,064	105,426,497
Extra Help	5,107,572	4,767,657	4,500,224
Employee Retirement Contributions	2,309,711	2,286,193	2,220,959
Social Security Contributions	8,087,294	8,091,433	7,827,588
Contractual Services	16,467,562	15,953,331	1,575,853
Travel	140,000	210,984	-
Commodities	169,711	199,552	-
Printing	753,209	764,952	-
Equipment	1,136,653	914,857	-
Telecommunications	1,859,727	1,803,447	-
Operation of Auto Equipment	399,472	368,826	-
Security Measures Capitol	3,636,453	3,068,285	3,111,109
Total Expenditures	<u>148,948,531</u>	<u>147,068,581</u>	<u>124,662,230</u>
Lapsed Balances	<u>1,571,734</u>	<u>6,210,667</u>	<u>24,746,707</u>
CDLIS/AAMVAnet/NMVTIS Trust Fund - 109:			
Appropriations (Net After Transfers)	5,032,900	5,472,400	5,264,900
Expenditures:			
Contractual Services	1,414,807	835,463	825,125
Travel	-	349	602
Commodities	3,018,697	4,019,978	4,008,992
Equipment	7,578	-	8,531
Total Expenditures	<u>4,441,082</u>	<u>4,855,790</u>	<u>4,843,250</u>
Lapsed Balances	<u>591,818</u>	<u>616,610</u>	<u>421,650</u>
Motor Vehicle Theft Prevention and Insurance			
Verification Trust Fund - 156:			
Appropriations (Net After Transfers)	20,000,000	-	-
Expenditures	514,457	-	-
Lapsed Balances	<u>19,485,543</u>	<u>-</u>	<u>-</u>
Secretary of State Federal Projects Fund - 176:			
Appropriations (Net After Transfers)	500,000	500,000	500,000
Expenditures	109,231	109,991	51,632
Lapsed Balances	<u>390,769</u>	<u>390,009</u>	<u>448,368</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES**

	Fiscal Year		
	2019	2018	2017
	P.A. 100-0586, 101-0007	P.A. 100-0021	P.A. 99-0524, 100-0021
Driver Services Administration Fund - 182:			
Appropriations (Net After Transfers)	\$ 2,000,000	\$ 2,000,000	\$ 2,600,000
Expenditures	925,868	1,227,458	1,741,565
Lapsed Balances	1,074,132	772,542	858,435
Secretary of State Special License Plate Fund - 185:			
Appropriations (Net After Transfers)	4,790,300	4,831,000	4,772,500
Expenditures:			
Personal Services			
Regular Positions	632,599	382,098	634,235
Employee Retirement Contributions	12,652	7,644	12,690
Group Insurance	270,746	135,863	290,690
State Retirement Fund Contributions	326,511	180,949	282,783
Social Security Contributions	46,475	29,921	47,844
Contractual Services	623,634	644,264	640,131
Travel	18,974	18,972	13,499
Commodities	999,999	1,000,000	1,000,000
Printing	1,158,973	388,735	1,029,000
Equipment	5,190	-	-
Telecommunications	300,000	299,995	299,994
Total Expenditures	4,395,753	3,088,441	4,250,866
Lapsed Balances	394,547	1,742,559	521,634
Motor Vehicle Review Board Fund - 323:			
Appropriations (Net After Transfers)	268,800	272,800	259,200
Expenditures:			
Personal Services:			
Regular Positions	145,001	145,001	137,501
Employee Retirement Contributions	2,899	2,899	2,749
State Retirement Fund Contributions	74,841	68,647	61,283
Social Security Contributions	11,092	11,092	10,519
Contractual Services	34,730	34,993	35,000
Telecommunications	-	-	283
Total Expenditures	268,563	262,632	247,335
Lapsed Balances	237	10,168	11,865
Secretary of State Evidence Fund - 374:			
Appropriations (Net After Transfers)	5,000	5,000	5,000
Expenditures	304	-	299
Lapsed Balances	4,696	5,000	4,701
Alternate Fuels Fund - 422:			
Administering Alternate Fuels Act:			
Appropriations (Net After Transfers)	225,000	225,000	225,000
Expenditures	225,000	225,000	225,000
Lapsed Balances	-	-	-

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES**

	Fiscal Year		
	2019	2018	2017
	P.A. 100-0586, 101-0007	P.A. 100-0021	P.A. 99-0524, 100-0021
Indigent BAIID Fund- 451:			
Appropriations (Net After Transfers)	\$ 300,000	\$ 300,000	\$ 250,000
Expenditures	255,996	251,732	242,993
Lapsed Balances	44,004	48,268	7,007
Monitoring Device Driving Permit Fund - 453:			
Appropriations (Net After Transfers)	2,200,000	2,200,000	2,200,000
Expenditures	1,912,139	1,015,242	1,547,179
Lapsed Balances	287,861	1,184,758	652,821
Secretary of State Identification			
Security and Theft Prevention Fund - 480:			
Appropriations (Net After Transfers)	13,500,000	13,500,000	12,500,000
Expenditures	11,691,386	12,071,722	11,947,964
Lapsed Balances	1,808,614	1,428,278	552,036
Motor Vehicle License Plate Fund - 622:			
New or replacement license plates:			
Appropriations (Net After Transfers)	16,000,000	16,000,000	15,100,000
Expenditures	13,461,690	14,191,840	9,890,152
Lapsed Balances	2,538,310	1,808,160	5,209,848
Secretary of State DUI			
Administration Fund - 732:			
Administrative hearings:			
Appropriations (Net After Transfers)	2,500,000	2,500,000	2,500,000
Expenditures	2,176,035	1,892,976	2,149,309
Lapsed Balances	323,965	607,024	350,691
Secretary of State Police DUI Fund - 758:			
Appropriations (Net After Transfers)	15,000	15,000	30,000
Expenditures	-	-	13,845
Lapsed Balances	15,000	15,000	16,155
Secretary of State Police Services Fund - 759:			
Appropriations (Net After Transfers)	700,000	700,000	600,000
Expenditures	415,339	448,718	279,829
Lapsed Balances	284,661	251,282	320,171

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES**

	Fiscal Year		
	2019	2018	2017
	P.A. 100-0586, 101-0007	P.A. 100-0021	P.A. 99-0524, 100-0021
Vehicle Inspection Fund - 963:			
Appropriations (Net After Transfers)	\$ 3,625,300	\$ 3,669,700	\$ 3,661,200
Expenditures:			
Personal Services:			
Regular Positions	1,063,350	1,036,454	973,288
Employee Retirement Contributions	20,386	20,259	19,489
Group Insurance	320,439	305,450	368,266
State Retirement Fund Contributions	549,057	490,932	434,303
Social Security Contributions	83,394	82,755	77,309
Contractual Services	909,989	930,345	925,802
Commodities	24,894	24,580	24,300
Telecommunications	23,202	28,065	29,834
Total Expenditures	<u>2,994,711</u>	<u>2,918,840</u>	<u>2,852,591</u>
Lapsed Balances	<u>630,589</u>	<u>750,860</u>	<u>808,609</u>
MOTOR VEHICLE GROUP TOTALS:			
Appropriations (Net After Transfers)	\$ 222,182,565	\$ 205,470,148	\$ 199,876,737
Expenditures	\$ 192,736,085	\$ 189,628,963	\$ 164,946,039
Lapsed Balances	<u>\$ 29,446,480</u>	<u>\$ 15,841,185</u>	<u>\$ 34,930,698</u>
TOTALS - ALL GROUPS - APPROPRIATED FUNDS:			
Appropriations (Net After Transfers)	\$ 445,394,252	\$ 409,020,313	\$ 434,826,505
Expenditures	\$ 385,965,149	\$ 370,654,417	\$ 351,964,222
Reappropriations	\$ 10,051,256	\$ 1,972,893	\$ 1,756,149
Lapsed balances	<u>\$ 49,377,847</u>	<u>\$ 36,393,003</u>	<u>\$ 81,106,134</u>
NON-APPROPRIATED FUNDS			
GENERAL ADMINISTRATIVE GROUP:			
Interagency Grant Fund - 295			
Expenditures:			
DCEO Boiler Contract	\$ -	\$ -	\$ 700,000
ERIC Pamphlet	-	-	420,000
Homeland Security Grant Program	-	200,000	-
Band Spectrum - ED: 3/31/18	-	1,651,681	-
Total - Interagency Grant Fund - 295	<u>-</u>	<u>1,851,681</u>	<u>1,120,000</u>
Corporate Franchise Tax Refund - 380			
Expenditures:			
Refunds	<u>1,884,238</u>	<u>3,179,763</u>	<u>262,367</u>
Safety Responsibility Fund - 436			
Expenditures:			
Refunds	<u>619,842</u>	<u>742,048</u>	<u>637,442</u>
State Parking Facility Maintenance Fund - 782			
Expenditures:			
Refunds	<u>-</u>	<u>40</u>	<u>-</u>
GENERAL ADMINISTRATIVE GROUP TOTALS:	<u>2,504,080</u>	<u>5,773,532</u>	<u>2,019,809</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES**

	Fiscal Year		
	2019	2018	2017
	P.A. 100-0586, 101-0007	P.A. 100-0021	P.A. 99-0524, 100-0021
MOTOR VEHICLE GROUP:			
Secretary of State International Registration Plan			
Fund - 890			
Expenditures:			
Awards and Grants	\$ 98,827,355	\$ 91,199,743	\$ 94,964,710
TOTAL NON-APPROPRIATED FUNDS	<u>101,331,435</u>	<u>96,973,275</u>	<u>96,984,519</u>
GRAND TOTAL - EXPENDITURES - ALL FUNDS	<u>\$ 487,296,584</u>	<u>\$ 467,627,692</u>	<u>\$ 448,948,741</u>
STATE OFFICER'S SALARY			
State officer appropriations	\$ 156,600	\$ 156,600	\$ 156,600
State officers expenditures	156,541	156,541	156,541
Lapsed balance	<u>59</u>	<u>59</u>	<u>59</u>

Note 1: Appropriations, expenditures, and lapsed balances were obtained from the Comptroller's records as of October 30, 2019 and 2018, and have been reconciled to Office's records.

Note 2: Expenditure amounts are vouchers approved for payment by the Office and submitted to the State Comptroller for payment to the vendor.

Note 3: Public Act 99-524 authorized the Office to pay fiscal year 2016 costs using fiscal year 2017 appropriations for non-payroll expenditures. The Analysis of Operations section of this report includes information from Office management about the number of invoices and the total dollar amount of invoices held by the Office submitted against its fiscal year 2017 appropriation.

Note 4: Notwithstanding anything within Public Act 100-0021 to the contrary, Public Act 100-0021 authorized the Office to pay for all costs incurred prior to July 1, 2018, using either its fiscal year 2017 or fiscal year 2018 appropriations for non-payroll expenditures. The Analysis of Operations section of this report includes information from Office management about the number of invoices and the total dollar amount of invoices from fiscal year 2016 and fiscal year 2017 held by the Office which were submitted against either its fiscal year 2017 or fiscal year 2018 appropriation.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS,
AND FUND BALANCES (CASH BASIS) - LOCALLY HELD FUNDS**
Years Ended June 30, 2018 and 2019
(Expressed in thousands)

	<u>2018</u>	<u>2019</u>
<u>Go-Back Fund - #1110</u>		
Balance, beginning of year	\$ -	\$ -
Receipts and additions		
License, Fees or Registration	19	15
Disbursements and deletions		
Refunds	<u>19</u>	<u>15</u>
Balance, end of year	<u><u>\$ -</u></u>	<u><u>\$ -</u></u>

The Go-Back Fund consists of an account maintained at Bank One.

Special Advance Fund - #1198

Balance, beginning of year	\$ 5	\$ 5
Receipts and additions		
Operating transfers in	-	-
Disbursements and deletions		
General government	<u>-</u>	<u>-</u>
Balance, end of year	<u><u>\$ 5</u></u>	<u><u>\$ 5</u></u>

The Special Advance Fund consists of funds on deposit with three banks throughout the State of Illinois.

Safe-Keeping Fund - 1344

Balance, beginning of year	\$ 431	\$ 455
Receipts and additions		
License, Fees or Registrations	152	124
Disbursements and deletions		
Refunds	<u>128</u>	<u>335</u>
Balance, end of year	<u><u>\$ 455</u></u>	<u><u>\$ 244</u></u>

The Safe-Keeping Fund consists of surety bonds and certificates of deposit held by the State Treasurer.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS,
AND FUND BALANCES (CASH BASIS) - LOCALLY HELD FUNDS**
Years Ended June 30, 2018 and 2019
(Expressed in thousands)

	<u>2018</u>	<u>2019</u>
<u>Antique Vehicle Show - 1390</u>		
Balance, beginning of year	\$ 16	\$ 13
Receipts and additions		
License, Fees or Registrations	19	15
Disbursements and deletions		
General government	<u>22</u>	<u>15</u>
Balance, end of year	<u><u>\$ 13</u></u>	<u><u>\$ 13</u></u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF CHANGES IN STATE PROPERTY
Year Ended June 30, 2019

	Land and Land Improvements	Buildings and Building Improvements	Equipment	Capital Leases	Total
PROPERTY AND EQUIPMENT, July 1, 2018	\$ 7,087,247	\$ 511,452,606	\$ 72,495,063	\$ 2,887,604	\$ 593,922,520
ADDITIONS					
Purchases	-	1,194,589	5,511,035	-	6,705,624
Library books	-	-	148,497	-	148,497
Previously omitted from inventory	-	-	135,258	-	135,258
Transfer from Capital Development Board and other agencies	-	1,389,610	16,228	-	1,405,838
Adjustments	-	-	189,168	-	189,168
Other additions	-	210,663	-	-	210,663
Total additions	\$ -	\$ 2,794,862	\$ 6,000,186	\$ -	\$ 8,795,048
DELETIONS					
Cost of equipment traded-in	-	-	241,775	-	241,775
Transfers to Department of Central Management Services	-	-	2,636,958	-	2,636,958
Transfers to other agencies	-	-	1,026,031	-	1,026,031
Scrapped items	-	-	95,064	-	95,064
Non-inventory items	-	297,649	-	-	297,649
Adjustments	-	-	1,470	-	1,470
Other deletions	-	-	1,035,033	-	1,035,033
Total deletions	\$ -	\$ 297,649	\$ 5,036,331	\$ -	\$ 5,333,980
PROPERTY AND EQUIPMENT, June 30, 2019	\$ 7,087,247	\$ 513,949,819	\$ 73,458,918	\$ 2,887,604	\$ 597,383,588

Note: This schedule was prepared from Secretary of State records and was reconciled to property reports submitted to the State Comptroller. This summary schedule was prepared using State property records required by the Illinois Administrative Code. The capitalization policy in the Code is different than the capitalization policy established by the Office of the Comptroller for financial reporting in accordance with generally accepted accounting principles.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF CHANGES IN STATE PROPERTY
Year Ended June 30, 2018

	Land and Land Improvements	Buildings and Building Improvements	Equipment	Capital Leases	Total
PROPERTY AND EQUIPMENT, July 1, 2017	\$ 7,087,247	\$ 508,415,582	\$ 75,285,864	\$ -	\$ 590,788,693
ADDITIONS					
Purchases	-	2,085,904	3,637,261	2,887,604	8,610,769
Library books	-	-	150,716	-	150,716
Previously omitted from inventory	-	-	75,873	-	75,873
Transfer from Capital Development Board and other agencies	-	1,367,490	270,356	-	1,637,846
Adjustments	-	-	210,584	-	210,584
Other additions	-	24,166	-	-	24,166
Total additions	\$ -	\$ 3,477,560	\$ 4,344,790	\$ 2,887,604	\$ 10,709,954
DELETIONS					
Cost of equipment traded-in	-	-	1,830,901	-	1,830,901
Transfers to Department of Central Management Services	-	-	4,273,635	-	4,273,635
Transfers to other agencies	-	-	328,851	-	328,851
Scrapped items	-	-	559,912	-	559,912
Non-inventory items	-	440,536	-	-	440,536
Adjustments	-	-	8,655	-	8,655
Other deletions	-	-	133,637	-	133,637
Total deletions	\$ -	\$ 440,536	\$ 7,135,591	\$ -	\$ 7,576,127
PROPERTY AND EQUIPMENT, June 30, 2018	\$ 7,087,247	\$ 511,452,606	\$ 72,495,063	\$ 2,887,604	\$ 593,922,520

Note: This schedule was prepared from Secretary of State records and was reconciled to property reports submitted to the State Comptroller. This summary schedule was prepared using State property records required by the Illinois Administrative Code. The capitalization policy in the Code is different than the capitalization policy established by the Office of the Comptroller for financial reporting in accordance with generally accepted accounting principles.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPARATIVE SCHEDULE OF CASH RECEIPTS - BY DEPARTMENT AND SOURCE
For the Years Ended June 30, 2019, 2018 and 2017

	2019	2018	2017
Business services			
Corporation taxes and fees	\$ 272,744,164	\$ 236,116,582	\$ 233,581,168
Uniform Limited Partnership	2,013,680	1,150,692	1,214,841
Uniform Commercial Code	3,255,165	3,185,241	3,101,071
Limited Liability Co. Act	37,182,556	67,591,163	86,338,514
Limited Liability Partnership	597,460	598,070	590,075
Securities			
Forfeited or seized property	6,900	-	-
License fees or registration	53,809,902	53,150,094	52,497,230
Library			
Copy fees and book dues	3,878	2,555	2,628
Federal government	5,276,363	6,228,666	4,608,294
Monetary gifts or bequests	7,025	5,185	4,475
Lost or damaged books	430	445	1,373
Other reimbursements	-	-	15,418
Archives			
Copy and recording fees	2,796	2,795	2,919
National Archives	69,713	141,386	-
Index			
License fees or registration	1,975,430	1,945,386	1,890,780
Subscriptions of publication sales	2	27	292
Lobbyist registration fines	109,500	109,500	110,500
Physical services			
Parking fees	10,280	11,850	14,910
All departments			
Miscellaneous	45,130	(19,443)	69,085
Employee reimbursements	5,002	3,040	4,556
Check Write Off/Go Back	277	999	2,411
Other state agencies	-	1,851,681	1,120,000
Federal government	267,293	87,196	66,608
Unclaimed assets	207,129	119,280	344,084
Return of Petty Cash	20	100	401
Motor Vehicle Insurers	6,218,518	6,887,731	-

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPARATIVE SCHEDULE OF CASH RECEIPTS - BY DEPARTMENT AND SOURCE
For the Years Ended June 30, 2019, 2018 and 2017

	2019	2018	2017
Driver Services			
Operators' license and related fees	\$ 108,347,667	\$ 108,201,553	\$ 107,036,313
Sale of individual driving record	24,797,658	25,067,016	25,977,048
Automotive dealer fees	4,169,015	4,246,096	4,327,665
Reinstate operators license	10,264,234	10,830,740	10,908,390
Sale of vehicle or driver data	1,160,791	959,890	920,591
Standard Illinois identification card	9,305,544	9,391,440	9,201,548
Installation fees - Indigent BAID	258,192	246,479	239,250
Private organizations or individuals	825,037	602,324	775,238
M or L Endorsement	878,930	876,870	877,895
U.S. Dept of Homeland Sec	-	1,170	-
Vehicle Services			
Commercial distribution fee	45,762,245	46,657,973	54,831,306
Short Term Trip Permits	1,073,340	1,064,300	912,060
Personalized license plates	5,613,989	5,902,269	6,239,305
Certificate of Title	263,426,206	268,338,323	269,274,036
Misplaced license plates or titles	888,309	565,000	544,875
Delinquent vehicle registration renewal	13,830,405	13,178,327	17,650,801
License fees or registration	1,590,434,301	1,552,849,810	1,573,554,464
ATV/Off-Highway Mcy: Ag & Ag DLR COT	77,253	66,946	68,026
Special Corrected-COT	217,464	226,048	215,015
Dealer Lien Release-COT	133,370	104,885	108,970
Low Speed Vehicle-COT	1,800	2,280	2,040
ATV/Off Highway Mcy: Non AG-COT	568,335	570,815	581,482
Expedited - COT	1,453,470	1,762,290	1,751,730
SOS Police			
License fees or registration	532,953	444,347	438,826
Fines, penalties or violations	99,011	122,726	115,702
Prior period adjustments	140,425	35,769	153,254
Total cash receipts per Comptroller	\$ 2,468,068,557	\$ 2,431,485,907	\$ 2,472,287,463

Note: Fiscal year 2017 receipts differ from those reported in the prior compliance examination report due to an error subsequently corrected by Office management.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**

Year Ended June 30, 2019

	Multiple Department Sourced Funds	Vehicle Services Sourced Funds	Drivers Services Sourced Funds	Business Services Sourced Funds	Other Department Sourced Funds	ALL Funds Summary
<u>Department and Source</u>						
Business Services						
Corporation taxes and fees	\$ 252,577,042	\$ -	\$ -	\$ 20,167,122	\$ -	\$ 272,744,164
Uniform Limited Partnership	2,013,680	-	-	-	-	2,013,680
Uniform Commercial Code	3,255,165	-	-	-	-	3,255,165
Limited Liability Co. Act	37,182,556	-	-	-	-	37,182,556
Limited Liability Partnership	-	-	-	597,460	-	597,460
Securities						
License fees or registration	40,590,577	-	-	-	13,219,325	53,809,902
Forfeited or seized property	-	-	-	-	6,900	6,900
Library						
Copy fees and book dues	141	-	-	-	3,737	3,878
Federal government	-	-	-	-	5,276,363	5,276,363
Monetary Gift or Bequests	-	-	-	-	7,025	7,025
Fines, penalties or violations	-	-	-	-	430	430
Archives						
Copy and recording fees	2,796	-	-	-	-	2,796
National Archives	69,713	-	-	-	-	69,713
Index						
License fees or registration	836,330	-	-	-	1,139,100	1,975,430
Subscriptions of publication sales	-	-	-	-	2	2
Lobbyist registration fines	-	-	-	-	109,500	109,500
Physical Services						
Use fees and dues	-	-	-	-	10,280	10,280
Driver Services						
Operators' license and related fees	83,993,841	-	25,232,756	-	-	109,226,597
Sale of individual driving record	24,797,658	-	-	-	-	24,797,658
Automotive dealer fees	3,935,540	-	233,475	-	-	4,169,015

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**

Year Ended June 30, 2019

	Multiple Department Sourced Funds	Vehicle Services Sourced Funds	Drivers Services Sourced Funds	Business Services Sourced Funds	Other Department Sourced Funds	ALL Funds Summary
<u>Department and Source</u>						
Driver Services (Continued)						
Reinstate operators license	\$ 8,730,704	\$ -	\$ 1,533,530	\$ -	\$ -	\$ 10,264,234
Sale of vehicle or driver data	1,160,791	-	-	-	-	1,160,791
Standard IL ID card	9,305,544	-	-	-	-	9,305,544
Federal motor safety Admin	33,660	-	233,633	-	-	267,293
Installation fees-Indigent BAID	-	-	258,192	-	-	258,192
Private organizations or individuals	-	-	825,037	-	-	825,037
Vehicle Services						
Commercial distribution fee	45,762,245	-	-	-	-	45,762,245
Short term trip permits	1,073,340	-	-	-	-	1,073,340
Personalized license plates	2,805,753	868,288	-	-	-	3,674,041
Certificate of title	243,322,563	20,425,691	-	-	-	263,748,254
Registration Transfer Fees	13,678,511	-	-	-	-	13,678,511
ATV/Off-Highway Mcy: Ag & Ag DLR COT	77,253	-	-	-	-	77,253
Special Corrected-COT	217,464	-	-	-	-	217,464
Dealer Lien Release-COT	133,370	-	-	-	-	133,370
Low Speed Vehicle-COT	1,800	-	-	-	-	1,800
ATV/Off Highway Mcy: Non AG-COT	246,287	-	-	-	-	246,287
Delinquent vehicle register renewal	13,830,405	-	-	-	-	13,830,405
Expedited Certificate of Title	-	1,453,470	-	-	-	1,453,470
License fees or registration	1,439,202,420	139,493,318	-	-	-	1,578,695,738
Misplaced License Plates and Stickers	-	888,309	-	-	-	888,309
SOS Police						
Vehicle Inspection	508,435	-	-	-	-	508,435
Circuit Clerk fines	26,292	-	-	-	2,098	28,390
SOS Police Service fees	24,518	-	-	-	-	24,518
Fines, penalties or violations	68,650	-	-	-	1,971	70,621

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**

Year Ended June 30, 2019

<u>Department and Source</u>	Multiple Department Sourced Funds	Vehicle Services Sourced Funds	Drivers Services Sourced Funds	Business Services Sourced Funds	Other Department Sourced Funds	ALL Funds Summary
All departments						
Miscellaneous	\$ 45,150	\$ -	\$ -	\$ -	\$ -	\$ 45,150
Employee reimbursements	5,002	-	-	-	-	5,002
Check Write Off/GO Back	277	-	-	-	-	277
Motor Vehicle Theft Prevention Council	207,129	-	-	-	6,218,518	6,218,518
Unclaimed assets	-	-	-	-	-	207,129
Prior period adjustments	87,085,142	(87,000,000)	-	2,625	52,658	140,425
Total cash receipts per Comptroller	\$ 2,316,807,744	\$ 76,129,076	\$ 28,316,623	\$ 20,767,207	\$ 26,047,907	\$ 2,468,068,557
Receipts in transit to State Treasurer						
June 30, 2018	59,534,985	-	-	-	-	59,534,985
June 30, 2019	(61,598,215)	-	-	-	-	(61,598,215)
Receipts in transit to State Comptroller						
June 30, 2018	(230,242,942)	(6,448,080)	(2,467,640)	(1,005,625)	(191,845)	(240,356,132)
June 30, 2019	237,071,939	6,448,422	2,382,251	714,762	382,483	246,999,857
Prior period and other adjustments	(87,085,142)	87,000,000	-	(2,625)	(52,658)	(140,425)
Total Agency Receipts, fiscal year 2019	\$ 2,234,488,369	\$ 163,129,418	\$ 28,231,234	\$ 20,473,719	\$ 26,185,887	\$ 2,472,508,627

STATE OF ILLINOIS
 OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
Multiple Department Sourced Funds
 Year Ended June 30, 2019

	General Revenue Fund #0001	Road Fund #0011	CDLIS/AAMVA Net Trust Fund #109	SOS Federal Projects Fund #0176	SOS Inter- Agency Grant Fund #0295	Protest Fund #0401
<u>Department and Source</u>						
Business Services						
Corporation taxes and fees	\$ 247,227,508	\$ -	\$ -	\$ -	\$ -	\$ -
Uniform Limited Partnership	2,013,680	-	-	-	-	-
Uniform Commercial Code	1,414,589	-	-	-	-	-
Limited Liability Co. Act	37,182,556	-	-	-	-	-
Securities						
License fees or registration	40,590,577	-	-	-	-	-
Library						
Copy fees and book dues	141	-	-	-	-	-
Archives						
Copy and recording fees	2,796	-	-	-	-	-
Federal government	-	-	-	69,713	-	-
Index						
License fees or registration	834,545	-	-	-	-	-
Driver Services						
Operators' license and related fees	-	23,231,528	726,330	-	-	-
Sale of individual driving record	24,797,658	-	-	-	-	-
Automotive dealer fees	3,935,540	-	-	-	-	-
Reinstate operators license	8,730,704	-	-	-	-	-
Sale of vehicle or driver data	1,160,791	-	-	-	-	-
Standard IL ID card	9,305,544	-	-	-	-	-
Federal Motor Safety Admin	-	-	-	-	-	-
Vehicle Services						
Commercial distribution fee	45,762,245	-	-	-	-	-
Short term trip permits	1,073,340	-	-	-	-	-
Personalized license plates	2,805,753	-	-	-	-	-
Certificate of title	27,035,942	83,853,343	2,300	-	-	-
ATV/Off-Highway Mcy: Ag & Ag DLR COT	77,253	-	-	-	-	-
Special Corrected-COT	217,464	-	-	-	-	-

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
Multiple Department Sourced Funds
Year Ended June 30, 2019

	SOS Special Services Fund #0483	Budget Stabilization Fund #686	Capital Projects Fund #694	SOS Police Services Fund #759	State Construction Account #902	Total
<u>Department and Source</u>						
Business Services						
Corporation taxes and fees	\$ 5,349,534	\$ -	\$ -	\$ -	\$ -	\$ 252,577,042
Uniform Limited Partnership	-	-	-	-	-	2,013,680
Uniform Commercial Code	1,840,576	-	-	-	-	3,255,165
Limited Liability Co. Act	-	-	-	-	-	37,182,556
Securities						
License fees or registration	-	-	-	-	-	40,590,577
Library						
Copy fees and book dues	-	-	-	-	-	141
Archives						
Copy and recording fees	-	-	-	-	-	2,796
Federal government						69,713
Index						
License fees or registration	1,785	-	-	-	-	836,330
Driver Services						
Operators' license and related fees	12,399,044	-	33,967,100	-	13,669,839	83,993,841
Sale of individual driving record	-	-	-	-	-	24,797,658
Automotive dealer fees	-	-	-	-	-	3,935,540
Reinstatement operators license	-	-	-	-	-	8,730,704
Sale of vehicle or driver data	-	-	-	-	-	1,160,791
Standard IL ID card	-	-	-	-	-	9,305,544
Federal Motor Safety Admin	33,660	-	-	-	-	33,660
Vehicle Services						
Commercial distribution fee	-	-	-	-	-	45,762,245
Short term trip permits	-	-	-	-	-	1,073,340
Personalized license plates	-	-	-	-	-	2,805,753
Certificate of title	-	-	83,185,205	-	49,245,773	243,322,563
ATV/Off-Highway Mcy: Ag & Ag DLR COT	-	-	-	-	-	77,253
Special Corrected-COT	-	-	-	-	-	217,464

STATE OF ILLINOIS
 OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
Multiple Department Sourced Funds
 Year Ended June 30, 2019

Department and Source	General	Road	CDLIS/AAMVA	SOS Federal	SOS Inter-	Protest
	Revenue Fund #0001	Fund #0011	Net Trust Fund #109	Projects Fund #0176	Agency Grant Fund #0295	
<u>Vehicle Services (Continued)</u>						
Dealer Lien Release-COT	\$ 133,370	-	\$ -	\$ -	\$ -	\$ -
Low Speed Vehicle-COT	1,800	-	-	-	-	-
ATV/Off Highway Mcy: Non AG-COT	246,287	-	-	-	-	-
Delinquent vehicle register renewal	9,860,740	-	3,969,665	-	-	-
Registration Transfer Fees	-	5,178,902	-	-	-	-
License fees or registration	-	823,101,517	-	-	-	-
SOS Police						
Vehicle Inspection	-	320,321	-	-	-	-
Circuit Clerk fines	-	-	-	-	-	-
SOS Police Service fees	-	-	-	-	-	-
Fines, penalties or violations	-	-	-	-	-	-
All departments						
Miscellaneous	45,150	-	-	-	-	-
Employee reimbursements	5,002	-	-	-	-	-
Check Write Off/GO Back	277	-	-	-	-	-
Unclaimed assets	207,129	-	-	-	-	-
Prior period adjustments	8,867	87,000,000	-	-	-	-
Total cash receipts per Comptroller	\$ 464,677,248	\$ 1,022,685,611	\$ 4,698,295	\$ 69,713	\$ -	\$ -
Receipts in transit to State Treasurer						
June 30, 2018	3,771,919	55,763,066	-	-	-	-
June 30, 2019	(4,867,133)	(56,731,082)	-	-	-	-
Receipts in transit to State Comptroller						
June 30, 2018	(10,735,463)	(117,406,082)	(240,085)	-	-	-
June 30, 2019	13,852,609	119,444,187	204,595	-	-	-
Prior period and other adjustments	(8,867)	(87,000,000)	-	-	-	-
Total Agency Receipts, fiscal year 2019	\$ 466,690,313	\$ 936,755,700	\$ 4,662,805	\$ 69,713	\$ -	\$ -

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
Multiple Department Sourced Funds
Year Ended June 30, 2019

Department and Source	SOS Special Services Fund #0483	Budget Stabilization Fund #686	Capital Projects Fund #694	SOS Police Services Fund #759	State Construction Account #902	Total
Vehicle Services (Continued)						
Dealer Lien Release-COT	\$ -	\$ -	-	-	\$ -	\$ 133,370
Low Speed Vehicle-COT	-	-	-	-	-	1,800
ATV/Off Highway Mcy: Non AG-COT	-	-	-	-	-	246,287
Delinquent vehicle register renewal	-	-	-	-	-	13,830,405
Registration Transfer Fees	-	-	5,480,370	-	3,019,239	13,678,511
License fees or registration	-	-	186,283,040	287,570	429,530,293	1,439,202,420
SOS Police						
Vehicle Inspection	-	-	-	-	188,114	508,435
Circuit Clerk fines	-	-	-	26,292	-	26,292
SOS Police Service fees	-	-	-	24,518	-	24,518
Fines, penalties or violations	48,450	-	-	20,200	-	68,650
All departments						
Miscellaneous	-	-	-	-	-	45,150
Employee reimbursements	-	-	-	-	-	5,002
Check Write Off/GO Back	-	-	-	-	-	277
Unclaimed assets	-	-	-	-	-	207,129
Prior period adjustments	75,889	386	-	-	-	87,085,142
Total cash receipts per Comptroller	\$ 19,748,938	\$ 386	\$ 308,915,715	\$ 358,580	\$ 495,653,258	\$ 2,316,807,744
Receipts in transit to State Treasurer						
June 30, 2018	-	-	-	-	-	59,534,985
June 30, 2019	-	-	-	-	-	(61,598,215)
Receipts in transit to State Comptroller						
June 30, 2018	(1,262,876)	-	(29,284,210)	-	(71,314,226)	(230,242,942)
June 30, 2019	284,527	-	29,346,185	-	73,939,836	237,071,939
Prior period and other adjustments	(75,889)	(386)	-	-	-	(87,085,142)
Total Agency Receipts, fiscal year 2019	\$ 18,694,700	\$ -	\$ 308,977,690	\$ 358,580	\$ 498,278,868	\$ 2,234,488,369

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**

Vehicle Services Sourced Funds

Year Ended June 30, 2019

Source Fund/Fund #	Personalized License Plates		Certificate of Title	Expedited Cert. of Title	Misplaced License Plates and Stickers		License Fees or Stickers
	License Plates	License Plates			License Plates and Stickers	License Plates or Stickers	
Alzheimer Awareness #020	\$ -	\$ -	-	\$ -	-	\$ -	13,283
Illinois Nurses #028	-	-	-	-	-	-	23,640
Illinois Retired Law Enforcement #032	-	-	-	-	-	-	3,835
State Police Memorial Park #034	-	-	-	-	-	-	37,877
State Parks #0040	-	-	-	-	-	-	756,125
IL Veterans' Homes Coast Guard #0102	-	-	-	-	-	-	275
Korean War Memorial Construction #0164	-	-	-	-	-	-	124
Violence Prevention #0184	-	-	-	-	-	-	294,225
SOS Special License Plate #0185	868,288	-	-	-	-	-	2,808,233
Illinois Fisheries Management #0199	-	1,802,395	-	-	-	-	-
State Police Vehicle #0246	-	-	-	-	-	-	9,624,414
Illinois Habitat #0391	-	-	-	-	-	-	223,825
Common School #0412	-	-	-	-	-	-	602,198
State College & University Trust #0417	-	-	-	-	-	-	263,320
University Grant #0418	-	-	-	-	-	-	96,700
Alternate Fuels #0422	-	-	-	-	-	-	1,925,820
Rotary Club #0454	-	-	-	-	-	-	4,372
Autism Awareness #0458	-	-	-	-	-	-	22,050
Ovarian Cancer Awareness #0459	-	-	-	-	-	-	13,771
Illinois Professional Golfers Assoc Jr Golf #0463	-	-	-	-	-	-	52,392
Boy Scout and Girl Scout #0464	-	-	-	-	-	-	20,850
Agriculture in the Classroom # 466	-	-	-	-	-	-	131,625
Sheet Metal Workers International #0468	-	-	-	-	-	-	3,105
Roadside Monarch Butterfly #489	-	-	-	-	-	-	120
Support Our Troops #0496	-	-	-	-	-	-	44,400
Wildlife Prairie Park #0504	-	-	-	-	-	-	15,350
Master Mason #0508	-	-	-	-	-	-	38,489
Illinois Fire Fighters Memorial #0510	-	-	-	-	-	-	557,256

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**

Vehicle Services Sourced Funds

Year Ended June 30, 2019

Source Fund/Fund #	Prior Period Adjustments	Total Cash Receipts per Comptroller	Receipts in Transit To		Prior Period Adjustments	Total Agency Receipts, FY2019
			June 30, 2018	June 30, 2019		
Alzheimer Awareness #020	\$ -	\$ 13,283	\$ (1,486)	\$ 1,444	\$ -	\$ 13,241
Illinois Nurses #028	-	23,640	(2,320)	2,700	-	24,020
Illinois Retired Law Enforcement #032	-	3,835	(395)	454	-	3,894
State Police Memorial Park #034	-	37,877	(2,759)	3,367	-	38,485
State Parks #0040	-	756,125	(82,425)	80,400	-	754,100
IL Veterans' Homes Coast Guard #0102	-	275	-	-	-	275
Korean War Memorial Construction #0164	-	124	(16)	18	-	126
Violence Prevention #0184	-	294,225	(29,150)	28,750	-	293,825
SOS Special License Plate #0185	-	3,676,521	(425,296)	400,045	-	3,651,270
Illinois Fisheries Management #0199	-	1,802,395	(168,572)	156,995	-	1,790,818
State Police Vehicle #0246	-	9,624,414	(948,226)	984,482	-	9,660,670
Illinois Habitat #0391	-	223,825	(27,050)	27,925	-	224,700
Common School #0412	-	602,198	(48,252)	46,088	-	600,034
State College & University Trust #0417	-	263,320	(27,850)	28,550	-	264,020
University Grant #0418	-	96,700	(8,200)	9,200	-	97,700
Alternate Fuels #0422	-	1,925,820	(485,920)	532,060	-	1,971,960
Rotary Club #0454	-	4,372	(500)	483	-	4,355
Autism Awareness #0458	-	22,050	(1,825)	1,950	-	22,175
Ovarian Cancer Awareness #0459	-	13,771	(1,223)	1,134	-	13,682
Illinois Professional Golfers Assoc Jr Golf #0463	-	52,392	(5,895)	5,480	-	51,977
Boy Scout and Girl Scout #0464	-	20,850	(2,200)	2,075	-	20,725
Agriculture in the Classroom # 466	-	131,625	(13,050)	14,250	-	132,825
Sheet Metal Workers International #0468	-	3,105	(365)	414	-	3,154
Roadside Monarch Butterfly #489	-	120	-	10	-	130
Support Our Troops #0496	-	44,400	(4,725)	5,225	-	44,900
Wildlife Prairie Park #0504	-	15,350	(1,800)	1,800	-	15,350
Master Mason #0508	-	38,489	(4,962)	5,310	-	38,837
Illinois Fire Fighters Memorial #0510	-	557,256	(57,042)	56,799	-	557,013

STATE OF ILLINOIS
 OFFICE OF THE SECRETARY OF STATE
**RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
 TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**
Vehicle Services Sourced Funds
 Year Ended June 30, 2019

Source	Personalized License Plates	Certificate of Title	Expedited Cert. of Title	Misplaced License Plates and Stickers	License Fees or Stickers
Fund/Fund #					
Illinois & Michigan Canal #0570	\$ -	\$ -	\$ -	\$ -	\$ 6,425
Off-Highway Vehicle Trail #0574	-	322,048	-	-	-
Illinois Pan Hellenic Trust #0584	-	-	-	-	66,300
Park District Youth Program #0585	-	-	-	-	28,350
Hospice #0586	-	-	-	-	2,973
Professional Sports Team #0587	-	-	-	-	1,668,550
September 11th #0588	-	-	-	-	125,125
Illinois Route 66 Heritage Project #0594	-	-	-	-	208,225
Police Memorial Committee #0598	-	-	-	-	203,017
Mammogram #0599	-	-	-	-	117,194
Motor Vehicle License Plate #0622	-	11,091,664	1,453,470	888,309	202,126
Special Olympics #0623	-	-	-	-	16,235
Chicago Police Memorial #0639	-	-	-	-	54,782
Il Police Association #0655	-	-	-	-	113,327
Octave Chanute Aeospace #662	-	-	-	-	25,819
Organ Donor Awareness #0716	-	-	-	-	143,233
Illinois Future Teachers Corps Scholarship #0753	-	-	-	-	48,488
Marine Corps Scholarship #0760	-	-	-	-	145,121
Pet Overpopulation #0764	-	-	-	-	165,475
Illinois EMS Memorial Scholarship and Training #0800	-	-	-	-	24
International Brotherhood of Teamsters #0803	-	-	-	-	7,475
Share the Road #0854	-	-	-	-	40,840
Fraternal Order of Police #0867	-	-	-	-	17,390
International Registration Plan #0890	-	-	-	-	99,239,897
Ducks Unlimited #0918	-	-	-	-	20,775
Park and Conservation #0962	-	7,209,584	-	-	19,248,478
	\$ 868,288	\$ 20,425,691	\$ 1,453,470	\$ 888,309	\$ 139,493,318

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**
Vehicle Services Sourced Funds

Year Ended June 30, 2019

Source Fund/Fund #	Total Cash		Receipts in Transit To			Total Agency Receipts, FY2019
	Prior Period Adjustments	Receipts per Comptroller	June 30, 2018	June 30, 2019	Prior Period Adjustments	
Illinois & Michigan Canal #0570	\$ -	\$ 6,425	\$ (750)	\$ 775	\$ -	\$ 6,450
Off-Highway Vehicle Trail #0574	-	322,048	(32,861)	26,809	-	315,996
Illinois Pan Hellenic Trust #0584	-	66,300	(5,950)	6,550	-	66,900
Park District Youth Program #0585	-	28,350	(3,050)	3,100	-	28,400
Hospice #0586	-	2,973	(355)	434	-	3,052
Professional Sports Team #0587	-	1,668,550	(157,725)	169,225	-	1,680,050
September 11th #0588	-	125,125	(12,350)	13,350	-	126,125
Illinois Route 66 Heritage Project #0594	-	208,225	(23,150)	22,300	-	207,375
Police Memorial Committee #0598	-	203,017	(17,754)	18,994	-	204,257
Mammogram #0599	-	117,194	(12,339)	11,881	-	116,736
Motor Vehicle License Plate #0622	-	13,635,569	(1,182,448)	1,099,340	-	13,552,461
Special Olympics #0623	-	16,235	(1,985)	1,930	-	16,180
Chicago Police Memorial #0639	-	54,782	(3,875)	4,085	-	54,992
II Police Association #0655	-	113,327	(10,272)	10,653	-	113,708
Octave Chanute Aeospace #662	-	25,819	(2,463)	2,979	-	26,335
Organ Donor Awareness #0716	-	143,233	(14,452)	14,180	-	142,961
Illinois Future Teachers Corps Scholarship #0753	-	48,488	(4,786)	5,331	-	49,033
Marine Corps Scholarship #0760	-	145,121	(12,677)	14,751	-	147,195
Pet Overpopulation #0764	-	165,475	(17,200)	17,775	-	166,050
Illinois EMS Memorial Scholarship and Training	-	24	-	-	-	24
International Brotherhood of Teamsters #0803	-	7,475	(750)	600	-	7,325
Share the Road #0854	-	40,840	(4,484)	4,386	-	40,742
Fraternal Order of Police #0867	-	17,390	(1,936)	1,891	-	17,345
International Registration Plan #0890	(87,000,000)	12,239,897	-	-	87,000,000	99,239,897
Ducks Unlimited #0918	-	20,775	(2,225)	2,625	-	21,175
Park and Conservation #0962	-	26,458,062	(2,570,739)	2,597,070	-	26,484,393
	\$ (87,000,000)	\$ 76,129,076	\$ (6,448,080)	\$ 6,448,422	\$ 87,000,000	\$ 163,129,418

STATE OF ILLINOIS
 OFFICE OF THE SECRETARY OF STATE
**RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
 TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**

Drivers Services Sourced Funds

Year Ended June 30, 2019

Source Fund	Operators' License and		Automotive		Reinstate		Installation Fees		Federal Government
	Related Fees	Dealer Fees	Operator License	Indigent BAIID	Indigent BAIID	Federal Government			
Drivers Education #0031	\$ 11,933,974	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-
Driver Services Administration #182	2,437,372	-	-	-	-	-	-	-	-
Drunk & Drugged Driving Prevention #0276	-	-	1,413,320	-	-	-	-	-	-
Family Responsibility #0322	-	-	120,210	-	-	-	-	-	-
Motor Vehicle Review Board #0323	-	233,475	-	-	-	-	-	-	-
Safety Responsibility #0436	-	-	-	-	-	-	-	-	-
Indigent BAIID #0451	-	-	-	258,192	-	-	-	-	-
Monitoring Device Driving Permit #0453	1,918,380	-	-	-	-	-	-	-	-
Secretary of State ID Security #0480	-	-	-	-	-	-	-	233,633	-
Motor Carrier Safety Inspection #0649	2,422,160	-	-	-	-	-	-	-	-
Secretary of State DUI Administration #0732	2,462,510	-	-	-	-	-	-	-	-
Cycle Rider Safety Training #0863	4,058,360	-	-	-	-	-	-	-	-
Secretary of State's Grant #0948	-	-	-	-	-	-	-	-	-
	\$ 25,232,756	\$ 233,475	\$ 1,533,530	\$ 258,192	\$ 233,633				

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**

Drivers Services Sourced Funds

Year Ended June 30, 2019

Source Fund	Private Organizations or Individuals	Total Cash Receipts per Comptroller	Receipts In Transit To		Total Agency Receipts, FY2019
			June 30, 2018	June 30, 2019	
Drivers Education #0031	\$ -	\$ 11,933,974	\$ (1,022,456)	\$ 1,008,786	\$ 11,920,304
Driver Services Administration #182	-	2,437,372	(250,293)	142,744	2,329,823
Drunk & Drugged Driving Prevention #0276	-	1,413,320	(116,730)	105,960	1,402,550
Family Responsibility #0322	-	120,210	(9,570)	10,440	121,080
Motor Vehicle Review Board #0323	-	233,475	(700)	210	232,985
Safety Responsibility #0436	809,566	809,566	-	-	809,566
Indigent BAIID #0451	-	258,192	(21,941)	20,917	257,168
Monitoring Device Driving Permit #0453	-	1,918,380	(157,862)	156,250	1,916,768
Secretary of State ID Security #0480	-	233,633	-	-	233,633
Motor Carrier Safety Inspection #0649	-	2,422,160	(192,540)	194,420	2,424,040
Secretary of State DUI Administration #0732	-	2,462,510	(204,400)	236,840	2,494,950
Cycle Rider Safety Training #0863	-	4,058,360	(491,148)	505,684	4,072,896
Secretary of State's Grant #0948	15,471	15,471	-	-	15,471
	\$ 825,037	\$ 28,316,623	\$ (2,467,640)	\$ 2,382,251	\$ 28,231,234

STATE OF ILLINOIS
 OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
 Business Services Sourced Funds
 Year Ended June 30, 2019

Fund	Source	Receipts In Transit To							Total Agency Receipts, FY2019
		Corporation Taxes and Fees	Limited Liability Partnership	Prior period Adjustments	Total Cash Receipts per Comptroller	June 30, 2018	June 30, 2019	Prior period Adjustments	
Registered Limited Liability Partnership #0167 Dept of Business Services Special Operations #0363	\$ -	\$ 597,460	\$ -	\$ -	\$ 597,460	\$ (34,835)	\$ 22,000	\$ -	\$ 584,625
	15,568,364	-	-	15,568,364	(835,772)	587,170	-	15,319,762	
	4,121,658	-	2,625	4,124,283	(115,298)	89,617	(2,625)	4,095,977	
Corporate Franchise Tax Refund #0380 Charitable Trust Stabilization #0435	477,100	-	-	477,100	(19,720)	15,975	-	473,355	
	\$ 20,167,122	\$ 597,460	\$ 2,625	\$ 20,767,207	\$ (1,005,625)	\$ 714,762	\$ (2,625)	\$ 20,473,719	

STATE OF ILLINOIS
 OFFICE OF THE SECRETARY OF STATE
**RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
 TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**
 Other Department Sourced Funds
 Year Ended June 30, 2019

Source Department/Fund	License Fees or Registration	Fines, Penalties and Seizures	Circuit Clerk	Use Fees and Dues	Private Organizations or Individuals	Federal Government
Index						
Lobbyist Registration Administration #0044	\$ 1,139,100	\$ 109,500	-	-	-	-
General Assembly Computer Equipment Revolving #0155	-	-	-	-	-	-
	1,139,100	109,500	-	-	-	-
Securities						
Securities Investors Education #0292	60,300	-	-	-	-	-
Securities Audit & Enforcement #0362	13,159,025	6,900	-	-	-	-
	13,219,325	6,900	-	-	-	-
Library						
Live and Learn #0026	-	-	-	-	-	-
Library Services #0470	-	-	-	-	-	5,276,363
State Library #0471	-	430	-	3,737	7,025	-
	-	430	-	3,737	7,025	5,276,363
Physical Services						
State Parking Facility Maintenance #0782	-	-	-	10,280	-	-
Police						
Secretary of State Evidence #0374	-	1,971	-	-	-	-
Secretary of State Police DUI #0758	-	-	2,098	-	-	-
	-	1,971	2,098	-	-	-
Motor Vehicle Theft Prevention and Ins. Act						
Mtr Vhcle Theft Prev and Ins Ver #0156	-	-	-	-	6,218,518	-
Total	\$ 14,358,425	\$ 118,801	\$ 2,098	\$ 14,017	\$ 6,225,543	\$ 5,276,363

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**

Other Department Sourced Funds
Year Ended June 30, 2019

Source Department/Fund	Subscriptions of Publications Sales	Prior Period Adjustments	Total Cash Receipts per Comptroller	Receipts In Transit To		Prior Period Adjustments	Total Agency Receipts, FY2019
				June 30, 2018	June 30, 2019		
Index							
Lobbyist Registration Administration #0044	\$ -	\$ -	\$ 1,248,600	\$ (16,100)	\$ 13,000	\$ -	\$ 1,245,500
General Assembly Computer Equipment Revolving #0155	2	-	2	-	2	-	4
	2	-	1,248,602	(16,100)	13,002	-	1,245,504
Securities							
Securities Investors Education #0292	-	-	60,300	(300)	-	-	60,000
Securities Audit & Enforcement #0362	-	50	13,165,975	(175,445)	369,481	(50)	13,359,961
	-	50	13,226,275	(175,745)	369,481	(50)	13,419,961
Library							
Live and Learn #0026	-	40,123	40,123	-	-	(40,123)	-
Library Services #0470	-	12,485	5,288,848	-	-	(12,485)	5,276,363
State Library #0471	-	-	11,192	-	-	-	11,192
	-	52,608	5,340,163	-	-	(52,608)	5,287,555
Physical Services							
State Parking Facility Maintenance #0782	-	-	10,280	-	-	-	10,280
Police							
Secretary of State Evidence #0374	-	-	1,971	-	-	-	1,971
Secretary of State Police DUI #0758	-	-	2,098	-	-	-	2,098
	-	-	4,069	-	-	-	4,069
Motor Vehicle Theft Prevention and Ins. Act							
Mr Vhcle Theft Prev and Ins Ver #0156	-	-	6,218,518	-	-	-	6,218,518
Total	\$ 2	\$ 52,658	\$ 26,047,907	\$ (191,845)	\$ 382,483	\$ (52,658)	\$ 26,185,887

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**

Year Ended June 30, 2018

Department and Source	Multiple Department Sourced Funds		Vehicle Services Sourced Funds		Drivers Services Sourced Funds		Business Services Sourced Funds		Other Department Sourced Funds		ALL Funds Summary	
	\$		\$		\$		\$		\$		\$	
<u>Business Services</u>												
Corporation taxes and fees	\$ 212,599,304	\$	-	\$	-	-	\$ 23,517,278	\$	-	-	\$	236,116,582
Uniform Limited Partnership	1,150,692	-	-	-	-	-	-	-	-	-	-	1,150,692
Uniform Commercial Code	3,185,241	-	-	-	-	-	-	-	-	-	-	3,185,241
Limited Liability Co. Act	67,591,163	-	-	-	-	-	-	-	-	-	-	67,591,163
Limited Liability Partnership	-	-	-	-	-	-	598,070	-	-	-	-	598,070
<u>Securities</u>												
License fees or registration	42,045,829	-	-	-	-	-	-	-	11,104,265	-	-	53,150,094
Library												
Copy fees and book dues	435	-	-	-	-	-	-	-	2,120	-	-	2,555
Federal government	-	-	-	-	-	-	-	-	6,228,666	-	-	6,228,666
Monetary Gift or Bequests	-	-	-	-	-	-	-	-	5,185	-	-	5,185
Fines, penalties or violations	-	-	-	-	-	-	-	-	445	-	-	445
<u>Archives</u>												
Copy and recording fees	2,795	-	-	-	-	-	-	-	-	-	-	2,795
National Archives	141,386	-	-	-	-	-	-	-	-	-	-	141,386
<u>Index</u>												
License fees or registration	831,186	-	-	-	-	-	-	-	1,114,200	-	-	1,945,386
Subscriptions of publication sales	-	-	-	-	-	-	-	-	27	-	-	27
Lobbyist registration fines	-	-	-	-	-	-	-	-	109,500	-	-	109,500
<u>Physical Services</u>												
Use fees and dues	-	-	-	-	-	-	-	-	11,850	-	-	11,850

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**

Year Ended June 30, 2018

Department and Source	Multiple Department		Vehicle Services		Drivers Services		Business Services		Other Department		ALL Funds Summary
	Sourced Funds		Sourced Funds		Sourced Funds		Sourced Funds		Sourced Funds		
<u>Driver Services</u>											
Operators' license and related fees	\$ 83,846,586	\$ -	\$ 25,231,837	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 109,078,423
Sale of individual driving record	25,067,016	-	-	-	-	-	-	-	-	-	25,067,016
Automotive dealer fees	4,030,960	-	215,136	-	-	-	-	-	-	-	4,246,096
Reinstate operators license	9,231,030	-	1,599,710	-	-	-	-	-	-	-	10,830,740
Sale of vehicle or driver data	959,890	-	-	-	-	-	-	-	-	-	959,890
Standard IL ID card	9,391,440	-	-	-	-	-	-	-	-	-	9,391,440
Federal motor safety Admin	55,420	-	32,946	-	-	-	-	-	-	-	88,366
Installation fees-Indigent BAID	-	-	246,479	-	-	-	-	-	-	-	246,479
Private organizations or individuals	-	-	602,324	-	-	-	-	-	-	-	602,324
<u>Vehicle Services</u>											
Commercial distribution fee	46,657,973	-	-	-	-	-	-	-	-	-	46,657,973
Short term trip permits	1,064,300	-	-	-	-	-	-	-	-	-	1,064,300
Personalized license plates	2,954,372	-	-	-	-	-	-	-	-	-	2,954,372
Certificate of title	248,251,604	20,409,753	-	-	-	-	-	-	-	-	268,661,357
Registration Transfer Fees	14,539,409	-	-	-	-	-	-	-	-	-	14,539,409
ATV/Off-Highway Mcy: Ag & Ag DLR COT	66,946	-	-	-	-	-	-	-	-	-	66,946
Special Corrected-COT	226,048	-	-	-	-	-	-	-	-	-	226,048
Dealer Lien Release-COT	104,885	-	-	-	-	-	-	-	-	-	104,885
Low Speed Vehicle-COT	2,280	-	-	-	-	-	-	-	-	-	2,280
ATV/Off Highway Mcy: Non AG-COT	247,781	-	-	-	-	-	-	-	-	-	247,781
Delinquent vehicle register renewal	13,178,327	-	-	-	-	-	-	-	-	-	13,178,327
Expedited Certificate of Title	-	1,762,290	-	-	-	-	-	-	-	-	1,762,290
License fees or registration	1,321,624,883	219,633,415	-	-	-	-	-	-	-	-	1,541,258,298
Misplaced License Plates and Stickers	-	565,000	-	-	-	-	-	-	-	-	565,000

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**

Year Ended June 30, 2018

Department and Source	Multiple Department Sourced Funds		Vehicle Services Sourced Funds		Drivers Services Sourced Funds		Business Services Sourced Funds		Other Department Sourced Funds		ALL Funds Summary	
<u>SOS Police</u>												
Vehicle Inspection	\$ 417,388	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 417,388	
Circuit Clerk fines	32,053	-	-	-	-	-	-	-	4,717	-	36,770	
SOS Police Service fees	26,959	-	-	-	-	-	-	-	-	-	26,959	
Fines, penalties or violations	69,920	-	-	-	-	-	-	-	16,036	-	85,956	
All departments												
Miscellaneous	(19,343)	-	-	-	-	-	-	-	-	-	(19,343)	
Employee reimbursements	3,040	-	-	-	-	-	-	-	-	-	3,040	
Check Write Off/GO Back	999	-	-	-	-	-	-	-	-	-	999	
Motor Vehicle Theft Prevention Council	-	-	-	-	-	-	-	-	6,887,731	-	6,887,731	
Federal government through IEMA	1,851,681	-	-	-	-	-	-	-	-	-	1,851,681	
Unclaimed assets	119,280	-	-	-	-	-	-	-	-	-	119,280	
Prior period adjustments	19,479	10,797	2,877	-	-	-	-	-	2,616	-	35,769	
Total cash receipts per Comptroller	\$ 2,111,570,637	\$ 242,381,255	\$ 27,931,309	\$ 24,115,348	\$ 25,487,358	\$ 2,431,485,907						
Receipts in transit to State Treasurer												
June 30, 2017	59,080,637	-	-	-	-	-	-	-	-	-	59,080,637	
June 30, 2018	(59,534,985)	-	-	-	-	-	-	-	-	-	(59,534,985)	
Receipts in transit to State Comptroller												
June 30, 2017	(183,224,435)	(6,984,433)	(1,502,842)	(885,108)	(132,815)	(192,729,633)						
June 30, 2018	230,242,942	6,448,080	2,467,640	1,005,625	191,845	240,356,132						
Prior period and other adjustments	(19,479)	(10,797)	(2,877)	-	(2,616)	(35,769)						
Total Agency Receipts, fiscal year 2018	\$ 2,158,115,317	\$ 241,834,105	\$ 28,893,230	\$ 24,235,865	\$ 25,543,772	\$ 2,478,622,289						

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**

Multiple Department Sourced Funds

Year Ended June 30, 2018

Department and Source	General	Road	CDLIS/AAMVA	SOS Federal	SOS Inter-	Protest
	Revenue Fund #0001	Fund #0011	Net Trust Fund #109	Projects Fund #0176	Agency Grant Fund #0295	Fund #0401
Business Services						
Corporation taxes and fees	\$ 207,260,532	\$ -	\$ -	\$ -	\$ -	\$ 123,002
Uniform Limited Partnership	1,150,692	-	-	-	-	-
Uniform Commercial Code	1,370,591	-	-	-	-	-
Limited Liability Co. Act	67,591,163	-	-	-	-	-
Securities						
License fees or registration	42,045,829	-	-	-	-	-
Library						
Copy fees and book dues	435	-	-	-	-	-
Archives						
Copy and recording fees	2,795	-	-	-	-	-
Federal government	-	-	-	141,386	-	-
Index						
License fees or registration	830,961	-	-	-	-	-
Driver Services						
Operators' license and related fees	-	23,284,368	690,276	-	-	-
Sale of individual driving record	25,067,016	-	-	-	-	-
Automotive dealer fees	4,030,960	-	-	-	-	-
Reinstate operators license	9,231,030	-	-	-	-	-
Sale of vehicle or driver data	959,890	-	-	-	-	-
Standard IL ID card	9,391,440	-	-	-	-	-
Federal Motor Safety Admin	-	-	-	-	-	-
Vehicle Services						
Commercial distribution fee	46,657,973	-	-	-	-	-
Short term trip permits	1,064,300	-	-	-	-	-
Personalized license plates	2,954,372	-	-	-	-	-
Certificate of title	27,074,492	83,972,579	3,550	-	-	-
ATV/Off-Highway Mcy: Ag & Ag DLR COT	66,946	-	-	-	-	-
Special Corrected-COT	226,048	-	-	-	-	-

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**

Multiple Department Sourced Funds

Year Ended June 30, 2018

Department and Source	SOS Special Services Fund #0483	Budget Stabilization Fund #686	Capital Projects Fund #694	SOS Police Services Fund #759	State Construction Account #902	Total
Business Services						
Corporation taxes and fees	\$ 5,215,770	\$ -	\$ -	\$ -	-	\$ 212,599,304
Uniform Limited Partnership	-	-	-	-	-	1,150,692
Uniform Commercial Code	1,814,650	-	-	-	-	3,185,241
Limited Liability Co. Act	-	-	-	-	-	67,591,163
Securities						
License fees or registration	-	-	-	-	-	42,045,829
Library	-	-	-	-	-	435
Copy fees and book dues	-	-	-	-	-	-
Archives	-	-	-	-	-	-
Copy and recording fees	-	-	-	-	-	2,795
Federal government	-	-	-	-	-	141,386
Index						
License fees or registration	225	-	-	-	-	831,186
Driver Services						
Operators' license and related fees	12,533,662	-	33,667,900	-	13,670,380	83,846,586
Sale of individual driving record	-	-	-	-	-	25,067,016
Automotive dealer fees	-	-	-	-	-	4,030,960
Reinstate operators license	-	-	-	-	-	9,231,030
Sale of vehicle or driver data	-	-	-	-	-	959,890
Standard IL ID card	-	-	-	-	-	9,391,440
Federal Motor Safety Admin	55,420	-	-	-	-	55,420
Vehicle Services						
Commercial distribution fee	-	-	-	-	-	46,657,973
Short term trip permits	-	-	-	-	-	1,064,300
Personalized license plates	-	-	-	-	-	2,954,372
Certificate of title	-	-	87,871,880	-	49,329,103	248,251,604
ATV/Off-Highway Mcy: Ag & Ag DLR COT	-	-	-	-	-	66,946
Special Corrected-COT	-	-	-	-	-	226,048

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**

Multiple Department Sourced Funds

Year Ended June 30, 2018

	General Revenue Fund #0001	Road Fund #0011	CDLIS/AAMVA Net Trust Fund #109	SOS Federal Projects Fund #0176	SOS Inter- Agency Grant Fund #0295	Protest Fund #0401
<u>Department and Source</u>						
Vehicle Services (Continued)						
Dealer Lien Release-COT	\$ 104,885	\$ -	\$ -	\$ -	\$ -	\$ -
Low Speed Vehicle-COT	2,280	-	-	-	-	-
ATV/Off Highway Mcy: Non AG-COT	247,781	-	-	-	-	-
Delinquent vehicle register renewal	9,757,680	-	3,420,647	-	-	-
Registration Transfer Fees	-	5,495,931	-	-	-	-
License fees or registration	-	708,283,476	-	-	-	-
SOS Police						
Vehicle Inspection	-	262,937	-	-	-	-
Circuit Clerk fines	-	-	-	-	-	-
SOS Police Service fees	-	-	-	-	-	-
Fines, penalties or violations	-	-	-	-	-	-
All departments						
Miscellaneous	(19,343)	-	-	-	-	-
Employee reimbursements	3,040	-	-	-	-	-
Check Write Off/GO Back	999	-	-	-	-	-
Federal government through IEMA	-	-	-	-	1,851,681	-
Unclaimed assets	119,280	-	-	-	-	-
Prior period adjustments	9,484	-	-	-	-	-
Total cash receipts per Comptroller	\$ 457,203,551	\$ 821,299,291	\$ 4,114,473	\$ 141,386	\$ 1,851,681	\$ 123,002
Receipts in transit to State Treasurer						
June 30, 2017	3,317,571	55,763,066	-	-	-	-
June 30, 2018	(3,771,919)	(55,763,066)	-	-	-	-
Receipts in transit to State Comptroller						
June 30, 2017	(9,442,318)	(57,806,565)	(303,791)	-	-	-
June 30, 2018	10,735,463	117,406,082	240,085	-	-	-
Prior period and other adjustments	(9,484)	-	-	-	-	-
Total Agency Receipts, fiscal year 2018	\$ 458,032,864	\$ 880,898,808	\$ 4,050,767	\$ 141,386	\$ 1,851,681	\$ 123,002

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**

Multiple Department Sourced Funds

Year Ended June 30, 2018

Department and Source	SOS Special Services Fund #0483	Budget Stabilization Fund #686	Capital Projects Fund #694	SOS Police Services Fund #759	State Construction Account #902	Total
Vehicle Services (Continued)						
Dealer Lien Release-COT	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 104,885
Low Speed Vehicle-COT	-	-	-	-	-	2,280
ATV/Off Highway Mcy: Non AG-COT	-	-	-	-	-	247,781
Delinquent vehicle register renewal	-	-	-	-	-	13,178,327
Registration Transfer Fees	-	-	5,815,780	-	3,227,698	14,539,409
License fees or registration	-	-	185,848,485	309,466	427,183,456	1,321,624,883
SOS Police						
Vehicle Inspection	-	-	-	-	154,451	417,388
Circuit Clerk fines	-	-	-	32,053	-	32,053
SOS Police Service fees	-	-	-	26,959	-	26,959
Fines, penalties or violations	53,220	-	-	16,700	-	69,920
All departments						
Miscellaneous	-	-	-	-	-	(19,343)
Employee reimbursements	-	-	-	-	-	3,040
Check Write Off/GO Back	-	-	-	-	-	999
Federal government through IEMA	-	-	-	-	-	1,851,681
Unclaimed assets	-	-	-	-	-	119,280
Prior period adjustments	8,041	2,029	-	(75)	-	19,479
Total cash receipts per Comptroller	\$ 19,680,988	\$ 2,029	\$ 313,204,045	\$ 385,103	\$ 493,565,088	\$ 2,111,570,637
Receipts in transit to State Treasurer						
June 30, 2017	-	-	-	-	-	59,080,637
June 30, 2018	-	-	-	-	-	(59,534,985)
Receipts in transit to State Comptroller						
June 30, 2017	(1,667,760)	-	(31,893,945)	-	(82,110,056)	(183,224,435)
June 30, 2018	1,262,876	-	29,284,210	-	71,314,226	230,242,942
Prior period and other adjustments	(8,041)	(2,029)	-	75	-	(19,479)
Total Agency Receipts, fiscal year 2018	\$ 19,268,063	\$ -	\$ 310,594,310	\$ 385,178	\$ 482,769,258	\$ 2,158,115,317

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**

Vehicle Services Sourced Funds

Year Ended June 30, 2018

Source	Personalized License Plates	Certificate of Title	Expedited Cert. of Title	Misplaced License Plates and Stickers	License Fees or Registration	Prior Period Adjustment
Fund/Fund #						
Alzheimer Awareness #020	\$ -	\$ -	\$ -	\$ -	3,725	\$ -
Illinois Nurses #028	-	-	-	-	7,720	-
Illinois Retired Law Enforcement #032	-	-	-	-	2,619	-
State Police Memorial Park #034	-	-	-	-	19,828	-
State Parks #0040	-	-	-	-	795,050	-
Korean War Memorial Construction #0164	-	-	-	-	100	-
Violence Prevention #0184	-	-	-	-	307,725	-
SOS Special License Plate #0185	2,947,897	-	-	-	872,616	10,727
Illinois Fisheries Management #0199	-	1,804,966	-	-	-	-
State Police Vehicle #0246	-	-	-	-	9,660,061	-
Illinois Habitat #0391	-	-	-	-	231,000	-
Common School #0412	-	-	-	-	605,311	-
State College & University Trust #0417	-	-	-	-	263,900	-
University Grant #0418	-	-	-	-	96,775	-
Alternate Fuels #0422	-	-	-	-	1,825,160	-
Rotary Club #0454	-	-	-	-	4,470	-
Autism Awareness #0458	-	-	-	-	21,175	-
Ovarian Cancer Awareness #0459	-	-	-	-	13,906	-
Illinois Professional Golfers Assoc Jr Golf #0463	-	-	-	-	49,391	-
Boy Scout and Girl Scout #0464	-	-	-	-	19,850	-
Agriculture in the Classroom # 466	-	-	-	-	121,850	-
Sheet Metal Workers International #0468	-	-	-	-	2,888	-
Support Our Troops #0496	-	-	-	-	40,875	-
Wildlife Prairie Park #0504	-	-	-	-	16,300	-
Master Mason #0508	-	-	-	-	39,379	-

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**

Vehicle Services Sourced Funds

Year Ended June 30, 2018

Source	Receipts In Transit To		Prior Period	Total Agency
	Comptroller	Comptroller		
	June 30, 2017	June 30, 2018		
Fund/Fund #				
Alzheimer Awareness #020	\$ 3,725	\$ 1,486	\$ -	\$ 5,211
Illinois Nurses #028	7,720	2,320	-	10,040
Illinois Retired Law Enforcement #032	2,619	395	-	2,626
State Police Memorial Park #034	19,828	2,759	-	20,372
State Parks #0040	795,050	82,425	-	786,825
Korean War Memorial Construction #0164	100	16	-	108
Violence Prevention #0184	307,725	29,150	-	303,275
SOS Special License Plate #0185	3,831,240	425,296	(10,727)	3,791,447
Illinois Fisheries Management #0199	1,804,966	168,572	-	1,791,419
State Police Vehicle #0246	9,660,061	948,226	-	9,568,911
Illinois Habitat #0391	231,000	27,050	-	226,600
Common School #0412	605,311	48,252	-	604,653
State College & University Trust #0417	263,900	27,850	-	264,000
University Grant #0418	96,775	8,200	-	95,050
Alternate Fuels #0422	1,825,160	485,920	-	1,802,400
Rotary Club #0454	4,470	500	-	4,214
Autism Awareness #0458	21,175	1,825	-	21,125
Ovarian Cancer Awareness #0459	13,906	1,223	-	13,708
Illinois Professional Golfers Assoc Jr Golf #0463	49,391	5,895	-	50,438
Boy Scout and Girl Scout #0464	19,850	2,200	-	20,250
Agriculture in the Classroom # 466	121,850	13,050	-	123,075
Sheet Metal Workers International #0468	2,888	365	-	2,980
Support Our Troops #0496	40,875	4,725	-	41,900
Wildlife Prairie Park #0504	16,300	1,800	-	16,375
Master Mason #0508	39,379	4,962	-	39,079

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**

Vehicle Services Sourced Funds

Year Ended June 30, 2018

Source	Personalized License Plates	Certificate of Title	Expedited Cert. of Title	Misplaced License Plates and Stickers	License Fees or Registration	Prior Period Adjustment
Fund/Fund #						
Illinois Fire Fighters Memorial #0510	\$ -	\$ -	\$ -	\$ -	\$ 558,306	\$ -
Illinois & Michigan Canal #0570	-	-	-	-	7,050	-
Off-Highway Vehicle Trail #0574	-	323,034	-	-	-	-
Illinois Pan Hellenic Trust #0584	-	-	-	-	64,425	-
Park District Youth Program #0585	-	-	-	-	27,625	-
Hospice #0586	-	-	-	-	2,835	-
Professional Sports Team #0587	-	-	-	-	1,576,125	-
September 11th #0588	-	-	-	-	126,925	-
Illinois Route 66 Heritage Project #0594	-	-	-	-	192,425	-
Police Memorial Committee #0598	-	-	-	-	186,869	-
Mammogram #0599	-	-	-	-	121,815	-
Motor Vehicle License Plate #0622	-	11,061,888	1,762,290	565,000	100,420	70
Special Olympics #0623	-	-	-	-	16,070	-
Chicago Police Memorial #0639	-	-	-	-	38,471	-
Il Police Association #0655	-	-	-	-	104,779	-
Octave Chanute Aerospace #662	-	-	-	-	20,871	-
Organ Donor Awareness #0716	-	-	-	-	149,905	-
Illinois Future Teachers Corps Scholarship #0753	-	-	-	-	48,142	-
Marine Corps Scholarship #0760	-	-	-	-	138,932	-
Pet Overpopulation #0764	-	-	-	-	163,725	-
International Brotherhood of Teamsters #0803	-	-	-	-	6,200	-
Share the Road #0854	-	-	-	-	41,471	-
Fraternal Order of Police #0867	-	-	-	-	16,813	-
International Registration Plan #0890	-	-	-	-	178,614,560	-
Ducks Unlimited #0918	-	-	-	-	18,925	-
Park and Conservation #0962	-	7,219,865	-	-	19,320,160	-
	\$ 2,947,897	\$ 20,409,753	\$ 1,762,290	\$ 565,000	\$ 216,685,518	\$ 10,797

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**

Vehicle Services Sourced Funds

Year Ended June 30, 2018

Source	Total Cash Receipts per Comptroller	Receipts In Transit To Comptroller		Prior Period Adjustments	Total Agency Receipts, FY2018
		June 30, 2017	June 30, 2018		
Fund/Fund #	\$	\$	\$	\$	\$
Illinois Fire Fighters Memorial #0510	558,306	(61,950)	57,042	-	553,398
Illinois & Michigan Canal #0570	7,050	(1,000)	750	-	6,800
Off-Highway Vehicle Trail #0574	323,034	(33,150)	32,861	-	322,745
Illinois Pan Hellenic Trust #0584	64,425	(6,275)	5,950	-	64,100
Park District Youth Program #0585	27,625	(3,200)	3,050	-	27,475
Hospice #0586	2,835	(365)	355	-	2,825
Professional Sports Team #0587	1,576,125	(159,450)	157,725	-	1,574,400
September 11th #0588	126,925	(13,675)	12,350	-	125,600
Illinois Route 66 Heritage Project #0594	192,425	(20,950)	23,150	-	194,625
Police Memorial Committee #0598	186,869	(18,667)	17,754	-	185,956
Mammogram #0599	121,815	(13,460)	12,339	-	120,694
Motor Vehicle License Plate #0622	13,489,668	(1,302,352)	1,182,448	(70)	13,369,694
Special Olympics #0623	16,070	(1,995)	1,985	-	16,060
Chicago Police Memorial #0639	38,471	(3,145)	3,875	-	39,201
II Police Association #0655	104,779	(10,516)	10,272	-	104,535
Octave Chanute Aerospace #662	20,871	(1,874)	2,463	-	21,460
Organ Donor Awareness #0716	149,905	(16,855)	14,452	-	147,502
Illinois Future Teachers Corps Scholarship #0753	48,142	(5,428)	4,786	-	47,500
Marine Corps Scholarship #0760	138,932	(13,285)	12,677	-	138,324
Pet Overpopulation #0764	163,725	(17,525)	17,200	-	163,400
International Brotherhood of Teamsters #0803	6,200	(375)	750	-	6,575
Share the Road #0854	41,471	(4,665)	4,484	-	41,290
Fraternal Order of Police #0867	16,813	(1,740)	1,936	-	17,009
International Registration Plan #0890	178,614,560	-	-	-	178,614,560
Ducks Unlimited #0918	18,925	(2,350)	2,225	-	18,800
Park and Conservation #0962	26,540,025	(2,807,268)	2,570,739	-	26,303,496
	\$ 242,381,255	\$ (6,984,433)	\$ 6,448,080	\$ (10,797)	\$ 241,834,105

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**

Drivers Services Sourced Funds

Year Ended June 30, 2018

Source Fund/Fund #	Operators' License and Related Fees	Automotive Dealer Fees	Reinstatement Operators License	Installation Fees- Indigent BAIID	Federal Government	Private Organizations or Individuals
Drivers Education #0031	\$ 11,889,600	\$ -	\$ -	\$ -	\$ -	\$ -
Driver Services Administration #182	2,980,202	-	-	-	-	-
Drunk & Drugged Driving Prevention #0276	-	-	1,478,840	-	-	-
Family Responsibility #0322	-	-	120,870	-	-	-
Motor Vehicle Review Board #0323	-	215,136	-	-	-	-
Safety Responsibility #0436	-	-	-	-	-	583,069
Indigent BAIID #0451	-	-	-	246,479	-	-
Monitoring Device Driving Permit #0453	1,615,464	-	-	-	-	-
Secretary of State ID Security #0480	-	-	-	-	32,946	-
Motor Carrier Safety Inspection #0649	2,302,200	-	-	-	-	-
Secretary of State DUI Administration #0732	2,270,850	-	-	-	-	-
Cycle Rider Safety Training #0863	4,173,521	-	-	-	-	-
Secretary of State's Grant #0948	-	-	-	-	-	19,255
	<u>\$ 25,231,837</u>	<u>\$ 215,136</u>	<u>\$ 1,599,710</u>	<u>\$ 246,479</u>	<u>\$ 32,946</u>	<u>\$ 602,324</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**

Drivers Services Sourced Funds

Year Ended June 30, 2018

Source Fund/Fund #	Prior Period Adjustments	Receipts in Transit To Comptroller		Total Agency Receipts, FY2018
		June 30, 2017	June 30, 2018	
		Total Cash Receipts per Comptroller	Prior Period Adjustments	
Drivers Education #0031	\$ -	\$ 11,889,600	\$ -	\$ 12,900,696
Driver Services Administration #182	-	2,980,202	-	2,960,067
Drunk & Drugged Driving Prevention #0276	-	1,478,840	-	1,474,790
Family Responsibility #0322	-	120,870	-	119,820
Motor Vehicle Review Board #0323	-	215,136	-	215,636
Safety Responsibility #0436	-	583,069	-	583,069
Indigent BAIID #0451	2,877	249,356	(2,877)	244,836
Monitoring Device Driving Permit #0453	-	1,615,464	-	1,651,496
Secretary of State ID Security #0480	-	32,946	-	32,946
Motor Carrier Safety Inspection #0649	-	2,302,200	-	2,290,700
Secretary of State DUI Administration #0732	-	2,270,850	-	2,290,970
Cycle Rider Safety Training #0863	-	4,173,521	-	4,108,949
Secretary of State's Grant #0948	-	19,255	-	19,255
	<u>\$ 2,877</u>	<u>\$ 27,931,309</u>	<u>\$ (2,877)</u>	<u>\$ 28,893,230</u>
		<u>\$ (1,502,842)</u>	<u>\$ 2,467,640</u>	<u>\$ 28,893,230</u>

STATE OF ILLINOIS
 OFFICE OF THE SECRETARY OF STATE
**RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
 TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**

Business Services Sourced Funds

Year Ended June 30, 2018

Source Fund/Fund #	Corporation taxes and fees	Limited Liability Partnership	Total Cash		Receipts In Transit To		Total Agency Receipts, FY2018
			Receipts per Comptroller	Receipts per Comptroller	June 30, 2017	June 30, 2018	
Registered Limited Liability Partnership #0167	\$ -	\$ 598,070	\$ 598,070	\$ (48,600)	\$ 34,835	\$ 584,305	
Dept of Business Services Special Operations #0363	19,704,800	-	19,704,800	(744,570)	835,772	19,796,002	
Corporate Franchise Tax Refund #0380	3,368,338	-	3,368,338	(73,503)	115,298	3,410,133	
Charitable Trust Stabilization #0435	444,140	-	444,140	(18,435)	19,720	445,425	
	<u>\$ 23,517,278</u>	<u>\$ 598,070</u>	<u>\$ 24,115,348</u>	<u>\$ (885,108)</u>	<u>\$ 1,005,625</u>	<u>\$ 24,235,865</u>	

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**

Other Department Sourced Funds
Year Ended June 30, 2018

Source Department/Fund	License Fees or Registration	Fines, Penalties and Seizures	Circuit Clerk Fees/Fines	Use Fees and Dues	Private Organizations or Individuals	Federal Government	Subscriptions of Publications Sales
Index							
Lobbyist Registration Administration #0044	\$ 1,114,200	\$ 109,500	\$ -	\$ -	\$ -	\$ -	\$ -
General Assembly Computer Equipment Revolving #0155	-	-	-	-	-	-	27
	<u>1,114,200</u>	<u>109,500</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>27</u>
Securities							
Securities Investors Education #0292	3,300	-	-	-	-	-	-
Securities Audit & Enforcement #0362	11,100,965	-	-	-	-	-	-
	<u>11,104,265</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Library							
Live and Learn #0026	-	-	-	-	-	-	-
Library Services #0470	-	-	-	-	-	6,228,666	-
State Library #0471	-	445	-	2,120	5,185	-	-
	<u>-</u>	<u>445</u>	<u>-</u>	<u>2,120</u>	<u>5,185</u>	<u>-</u>	<u>-</u>
Physical Services							
State Parking Facility Maintenance #0782	-	-	-	11,850	-	-	-
Police							
Secretary of State Evidence #0374	-	16,036	-	-	-	-	-
Secretary of State Police DUI #0758	-	-	4,717	-	-	-	-
	<u>-</u>	<u>16,036</u>	<u>4,717</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Motor Vehicle Theft Prevention and Ins. Act							
Mtr Vhcle Theft Prev and Ins Ver #0156	-	-	-	-	6,887,731	-	-
	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>6,887,731</u>	<u>-</u>	<u>-</u>
Total	<u>\$ 12,218,465</u>	<u>\$ 125,981</u>	<u>\$ 4,717</u>	<u>\$ 13,970</u>	<u>\$ 6,892,916</u>	<u>\$ 6,228,666</u>	<u>\$ 27</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**

Other Department Sourced Funds
Year Ended June 30, 2018

Source Department/Fund	Index	Receipts In Transit To Comptroller				Total Agency Receipts, FY2018
		Prior Period Adjustments	Total Cash Receipts per Comptroller	June 30, 2017	June 30, 2018	
Lobbyist Registration Administration #0044		\$ -	\$ 1,223,700	\$ (18,400)	\$ 16,100	\$ -
General Assembly Computer Equipment Revolving #0155		-	27	-	-	27
		-	1,223,727	(18,400)	16,100	1,221,427
Securities Investors Education #0292		-	3,300	(300)	300	3,300
Securities Audit & Enforcement #0362		-	11,100,965	(114,115)	175,445	11,162,295
		-	11,104,265	(114,415)	175,745	11,165,595
Live and Learn #0026		29	29	-	-	(29)
Library Services #0470		2,512	6,231,178	-	-	(2,512)
State Library #0471		-	7,750	-	-	-
		2,541	6,238,957	-	-	(2,541)
		-	11,850	-	-	-
State Parking Facility Maintenance #0782		-	11,850	-	-	-
Secretary of State Evidence #0374		75	16,111	-	-	(75)
Secretary of State Police DUI #0758		-	4,717	-	-	-
		75	20,828	-	-	(75)
Mtr Vhcle Theft Prev and Ins Ver #0156		-	6,887,731	-	-	-
		-	6,887,731	-	-	-
		2,616	\$ 25,487,358	\$ (132,815)	\$ 191,845	\$ (2,616)
Total						\$ 25,543,772

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
AGENCY FUNCTIONS AND PLANNING PROGRAM
For the Two Years ended June 30, 2019
(Unaudited)

Jesse White, Illinois' 37th Secretary of State, assumed the office on January 11, 1999. The principal office of the Office of the Secretary of State (Office) is in the State Capitol, 213 Capitol Building, Springfield, Illinois 62756. The Secretary also maintains administrative offices in Chicago, as well as facilities throughout the State for drivers' licenses and motor vehicle related services.

The diverse responsibilities of this Office include the registering and titling of motor vehicles; issuing drivers' licenses; scheduling and conducting formal and informal hearings on driving privileges and registration matters; administering safety and financial responsibility laws; maintaining a vast data processing system which also assists law enforcement with immediate driver and motor vehicle information; and maintaining a Department of Police to enforce compliance with the provisions of the Illinois Vehicle Code, investigate code violations and maintain security at the Capitol Complex area in Springfield.

Other responsibilities include issuing corporate charters and certificates; registering dealers, brokers, agents, solicitors and investment advisors for securities; regulating the issuance of securities and enforcement of the Illinois Securities Law; retaining Uniform Commercial Code filings reflecting security interests of creditors financing businesses on the basis of secured transactions; oversight of the State library system through the Illinois State Library; store, maintain and preserve State historical documents and records at the Illinois State Archives and maintain the organ donation registry to match donors with recipient needs.

The Office is responsible for filing a significant number of legal and statutory documents, including Public Acts passed by the General Assembly, gubernatorial and amendatory vetoes, as well as registering trademarks, copyrights, notaries public and lobbyists. The Secretary serves as the Illinois State Librarian, State Archivist, and Ex-officio Clerk of the Court of Claims. The Office publishes the Rules of the Road, the Handbook of Illinois Government, the Illinois Blue Book, the Illinois Administrative Code, as well as other general educational materials for public distribution.

The Secretary of State has many departments that administer the various functions and duties prescribed by law and carry out the aforementioned responsibilities. The diversity of these responsibilities necessitates a comprehensive planning program.

The Office has a planning program, in which individual department goals are developed and updated at least annually. Upper management oversees the individual departments and is ultimately responsible for establishing Office-wide goals that will be accomplished if the individual department goals are met. The Office's plans consider resources, alternative approaches, and the feasibility of implementing programs pursuant to the plan and time schedule. External considerations include the needs of people of Illinois, legislative mandates and coordination with goals and objectives of other agencies for providing similar services.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2019
(Unaudited)

The Office of the Secretary of State's (Office) explanations for significant fluctuations in expenditures as presented in the Comparative Schedule of Net Appropriations, Expenditures, and Lapsed Balances (Schedule 3) are detailed below. For the purpose of this analysis, a significant fluctuation is defined as a variation that equaled or exceeded \$200,000 and 20% as compared to the prior year.

Executive Group:

General Revenue Fund – 001

The decrease in fiscal year 2018 from fiscal year 2017 was due to the Office receiving an ordinary and contingent lump sum appropriation in fiscal year 2017 as part of the stop-gap appropriation. A similar appropriation was not received in fiscal year 2018.

Budget Stabilization Fund – 686

The decrease in fiscal year 2018 from fiscal year 2017 was due to the Office receiving an ordinary and contingent lump sum appropriation in fiscal year 2017 as part of the stop-gap appropriation. A similar appropriation was not received in fiscal year 2018.

General Administrative Group:

General Revenue Fund – 001

General Fund:

This increase in fiscal year 2018 from fiscal year 2017 is due to the structure of the budget for fiscal year 2017. In fiscal year 2017, the Office was given a lump sum appropriation for General Revenue Fund operations, whereas in fiscal year 2018, the Office received appropriations for individual line items which included contractual services, travel, commodities, etc.

Establishment grants, annual per capita and area grants, and equalization grants pursuant to Section 8 of the Illinois Library System Act; library services for the blind and physically handicapped; literacy; miscellaneous permanent improvements – buildings; Chicago Public Library; Agudath Israel of Illinois; Encourage Census Participation;

The increase in these lines in fiscal year 2018 from fiscal year 2017 is due to the budget impasse during fiscal year 2017. The Office did not receive any appropriations for these lines in the General Revenue Fund in fiscal year 2017, other than for court ordered personnel services related to the library services for the blind and physically handicapped. Normal spending resumed in fiscal year 2018.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2019
(Unaudited)

Encourage Census Participation; Stevenson Room; Assist Immigrant Communities; Operations of Special Olympics; and Brighton Park Neighborhood Council:

The increase in fiscal year 2019 from fiscal year 2018 is due to the one-time grant program appropriation the Office received in fiscal year 2019.

Road Fund – 011

The increase in fiscal year 2019 from fiscal year 2018 is due to more refunds being processed. Refunds expenditures vary depending on how many refunds are required to be issued.

Secretary of State Special Services Fund – 483

The increase in fiscal year 2019 from fiscal year 2018 is due to a reallocation of resources related to personnel services expenditures for fiscal year 2019.

Live and Learn Fund – 026

Research and reference:

The decrease in fiscal year 2018 from fiscal year 2017 is due to requests for funding did not require full expenditure of the appropriation in fiscal year 2018. The increase from fiscal year 2019 from fiscal year 2018 is due to requests for funding returned to a higher level of expenditure in fiscal year 2019 as compared to fiscal year 2018.

Family literacy:

The decrease in fiscal year 2019 from fiscal year 2018 is due to requests for funding did not require full expenditure of the Live & Learn Fund appropriation for fiscal year 2019. Expenditures for literacy grants were allocated first from the General Revenue Fund (001) and the Special Services Fund (483).

Capital Development Fun – 141

Rehabilitation of Various Facilities:

The increase in fiscal year 2018 from fiscal year 2017 and the decrease in fiscal year 2019 from fiscal year 2018 is due to expenditures for capital projects vary from year to year due to the nature of the projects and the time it takes to complete them.

Grant to the Chicago Park District Munroe Park:

The increase in fiscal year 2019 from fiscal year 2018 is due to a grant the Office received in fiscal year 2019.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2019
(Unaudited)

Library Services Fund – 470

The increase in fiscal year 2018 from fiscal year 2017 is due to expenditures varying on the availability of federal grant funds. In fiscal year 2017 the Office received fewer federal dollars than in fiscal year 2018.

Renewable Energy Resources Trust Fund – 564

The increase in fiscal year 2019 from fiscal year 2018 is due to a grant the Office received in fiscal year 2019.

Illinois Clean Water Fund – 731

The increase in fiscal year 2019 from fiscal year 2018 is due to a grant the Office received in fiscal year 2019.

Build Illinois Bond Fund – 971

Public Libraries:

The increase in fiscal year 2018 from fiscal year 2017 is due to the budget impasse during fiscal year 2017. The Office did not receive any appropriations for library construction grant program in fiscal year 2017. The decrease in fiscal year 2019 from fiscal year 2018 is due to fiscal year 2019 being the final year of spending on the completion of the original \$50 million Library Construction program which began in fiscal year 2014.

Grant to Wendell Phillips Academy; Grant to City of Chicago Street Lighting; Grant to Impacting Veterans Lives; Grant to Village of Buffalo Grove; Grant to Chicago PSD 299 :

The increase in fiscal year 2019 from fiscal year 2018 is due to a grant the Office received in fiscal year 2019.

Build Illinois Bond:

The increase in fiscal year 2018 from fiscal year 2017 is due to the budget impasse during fiscal year 2017. The Office did not receive any appropriations for library construction grant program in fiscal year 2017.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2019
(Unaudited)

Motor Vehicle Group:

Secretary of State Special License Plate Fund – 185

The decrease in fiscal year 2018 from fiscal year 2017 is due to the decrease in personnel costs as well as a reduction in printing needs. The increase in fiscal year 2019 from fiscal year 2018 is due to an increase in personnel costs as well as the purchase of temporary registration permits.

Motor Vehicle Theft Prevention and Insurance Verification Trust Fund – 156

The increase in fiscal year 2019 from fiscal year 2018 is due to fiscal year 2019 being the first year this program received an appropriation.

Driver Services Administration Fund – 182

The decrease in fiscal year 2018 from fiscal year 2017 and the decrease in fiscal year 2019 from fiscal year 2018 is due to the driver's license renewal cycle. There was a decrease in revenues into the fund.

Monitoring Device Driving Permit Fund – 453

The decrease in fiscal year 2018 from fiscal year 2017 and the increase in fiscal year 2019 from fiscal year 2018 is due to a reallocation of resources related to personal service related expenditures.

Motor Vehicle License Plate Fund – 622

The increase in fiscal year 2018 from fiscal year 2017 is due to an increase in license plate expenditures out of this fund for the Office's replacing license plate initiative.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2019
(Unaudited)

The Office of the Secretary of State's (Office) explanations for significant fluctuations in expenditures as presented in the Comparative Schedule of Cash Receipts (Schedule 7) are detailed below. For the purpose of this analysis, a significant fluctuation is defined as a variation that equaled or exceeded \$200,000 and 20% as compared to the prior year.

Business Services:

Uniform Limited Partnership

The increase in Uniform Limited Partnership (ULP) receipts from fiscal year 2018 to fiscal year 2019 was due to a \$858,525 deposit that was incorrectly deposited into the Uniform Limited Partnership account instead of the Corporation Taxes and Fees account in June 2019. The Office attempted to correct the error, by submitting a Receipt Transfer Request to the Office of the Comptroller (Comptroller) in July 2019. However, according to Office management, the Comptroller refused to process the Receipt Transfer Request stating because the receipt accounts were within the same fund, a correction would only result in a zero dollar net effect.

Limited Liability Co. Act

Public Act 100-0571 decreased Limited Liability Company Act fees significantly. As a result, receipts in this account decreased from fiscal year 2017 to 2018, and again from fiscal year 2018 to fiscal year 2019.

Library:

Federal Government

Variances in this category are due to the availability of federal funding available to the Office within each fiscal year and then expenditure patterns of use of the funding. In fiscal year 2018, the Office received funding for the "Back to Books Program" for hundreds of libraries; the Office did not have funding for those programs in fiscal year 2017 or fiscal year 2019.

All Departments:

Other state agencies

The Office received two grants from the Illinois Emergency Management Agency for the purchase of a new bomb truck and for the upgrade of Police communications equipment during fiscal year 2018. These were one-time grants that concluded in fiscal year 2018.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2019
(Unaudited)

Unclaimed assets

The fiscal year 2018 decrease of these receipts was due to a large increase in fiscal year 2017 collections due to an increase in claims from different customers for checks that were not cashed or claimed by the Treasurer's Office.

Motor Vehicle Insurers

Public Act 100-0373 created the Illinois Motor Vehicle Theft Prevention and Insurance Verification Council to be administered by the Office. The Public Act became effective on January 1, 2018, so the first revenues collected under this Public Act began in fiscal year 2018.

Driver Services:

Sale of Vehicle or Driver Data

The sales of vehicle or driver data fluctuates year to year. Office management could not point to any specific factors to cause the increase from fiscal year 2018 to fiscal year 2019.

Private Organizations or Individuals

Receipts from private organizations or individuals fluctuates year to year. Office management could not point to any specific factors to cause the increase from fiscal year 2018 to fiscal year 2019.

Vehicle Services:

Misplaced License Plates or Titles

Receipts increased in fiscal year 2019 due to more aggressive review and enforcement by the Office.

Delinquent Vehicle Registration Renewal

Due to the budget impasse in fiscal year 2017, the Office temporarily suspended mailing out registration renewals. As a result, an increase in late fee revenue occurred in fiscal year 2017. These receipts subsequently decreased when the mailings began again in fiscal year 2018.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Two Years Ended June 30, 2019
(Unaudited)

The Illinois Office of Secretary of State’s (Office) explanations for significant lapse period spending as presented in the Schedule of Appropriations, Expenditures and Lapsed Balances for fiscal years 2019 and 2018 (Schedules 1 and 2) are detailed below. For purposes of this analysis, significant lapse period spending is defined as \$200,000 and 20% or more of the total expenditures for the respective fiscal year.

Fiscal Year 2019

<u>Fund, Fund Number, and Explanation</u>	<u>Total Expenditures</u>	<u>Lapse Period Expenditures</u>	<u>Percent</u>
Road Fund – 011 A few of the refunds issued out of the Road Fund were issued during the lapse period.	\$1,755,034	\$553,648	32%
Motor Vehicle Theft Prevention and Insurance Verification Trust Fund – 156 The lapse period spending consisted of the refund of an overpayment and the June service fee for a mandatory insurance verification system.	\$514,457	\$209,334	41%

Fiscal Year 2018

<u>Fund, Fund Number, and Explanation</u>	<u>Total Expenditures</u>	<u>Lapse Period Expenditures</u>	<u>Percent</u>
Road Fund – 011 A few of the refunds issued out of the Road Fund were issued during the lapse period.	\$1,316,324	\$331,980	25%

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF ACCOUNTS RECEIVABLE
For the Fiscal Years Ended June 30, 2019, and 2018
(Unaudited)
(In Thousands)

The Office's receivable balances consist of amounts due from the federal government for grant programs, corporate franchise taxes receivable, and various fees due the Office (and also includes amounts owed to the Office for checks returned for same.)

Accounts receivable balances at June 30, 2019 and 2018 are summarized as follows:

	2019	2018
Taxes receivable	\$ 2,244	\$ 2,962
Due from federal government	87	44
Other receivables	7,105	9,239
	\$ 9,436	\$ 12,245

An aging schedule of the Office's accounts receivable at June 30, 2019 is presented below:

Fund	Current	1 to 30 Days	31 to 91 Days	91 to 180 Days	181 to 365 Days	Over 365 Days	Total
General Revenue	\$ 43	\$ 22	\$ 34	\$ 37	\$ 80	\$ 794	\$ 1,010
Road	1,061	91	118	32	169	1,619	3,090
Drivers' Education	-	2	-	-	-	8	10
Securities Audit & Enforcement	-	-	-	111	-	3,363	3,474
Indigent BAIID	20	-	-	-	-	-	20
Secretary of State IRP	-	-	-	-	-	15	15
State Library Trust	-	-	-	-	-	1	1
State Construction Account	623	53	70	19	100	951	1,816
Total	\$ 1,747	\$ 168	\$ 222	\$ 199	\$ 349	\$ 6,751	9,436
Accrued interest receivable - all funds							8
Allowance for uncollectible accounts							(5,625)
Net Receivable							\$ 3,819

The Office utilizes internal collection techniques, the Comptroller Offset System, the Attorney General, and private collection agencies to collect unpaid receivables.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
BUDGET IMPASSE DISCLOSURES
For the Two Years Ended June 30, 2019
(Unaudited)

Payment of Fiscal Year 2016 or 2017 Costs in Future Fiscal Years

The Secretary of State did not have any outstanding unpaid invoices from either fiscal year 2016 or fiscal year 2017 after the closure of the fiscal year 2016 lapse period on August 31, 2016, and the closure of the fiscal year 2017 lapse period on September 30, 2017, respectively.

Although the Office reported bills held at June 30th of each year, no outstanding invoices on hand existed. While Public Act 100-0021 did allow for payment of costs incurred prior to fiscal year 2018, the Office did not utilize PA 100-0021 to do so.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
AVERAGE NUMBER OF EMPLOYEES
For the Two Years Ended June 30, 2019
(Unaudited)

Operating Group	2019		2018		2017	
	Regular Positions	Extra Help	Regular Positions	Extra Help	Regular Positions	Extra Help
Executive Group	88	1	87	-	86	-
General Admin Group	906	23	890	19	885	21
Motor Vehicle Group	2,436	228	2,323	204	2,318	193
Total	3,430	252	3,300	223	3,289	214

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
EMERGENCY PURCHASES
For the Two Years Ended June 30, 2019
(Unaudited)

The Office reported the following emergency purchase during fiscal years 2018 and 2019:

Fiscal Year	Vendor	Description	Estimated Amount	Actual Amount
2018	Reliable Environmental Solutions	Tested water in ten locations on the State Capitol Complex after repairs to a break in the domestic hot water piping solution. The expenditure included the collection of test water samples, submittal of samples collected for lab results, and summary of results.	\$ 100,000	\$ 72,774
2018	BRiC Partnership & CORE Construction Inc.	Design the implementation and water management plan for the Illinois State Capitol Complex located in Springfield, Illinois.	150,000	108,664
2018	CORE Construction Inc.	Open walls and ceilings to identify old galvanized plumbing; repair openings; replacement of plumbing runs, risers and branches; to eliminate old, unused and abandoned pipes in buildings on the State Capitol Complex water systems.	250,000	250,000
2018	Bob Ridings	The Office ordered vehicles through a Department of Central Management Services (CMS) master contract with Wright Automotive. The vehicles were never delivered. Chrysler made arrangements with Bob Ridings to take possession of these vehicles so the Office could purchase them. Bob Ridings agreed to provide these vehicles to the Office for an additional cost of \$1,610.	-	101,500
2018	Morrow Brothers Ford, Inc.	The Office ordered vehicles through a Department of Central Management Services (CMS) master contract with Wright Automotive. The vehicles were built and delivered to the vendor. The vehicles were repossessed by Ford upon the cancellation of the master contract. Ford coordinated the transfer of the vehicles to Morrow Brothers Ford, Inc. The pricing remained the same as the original contract pricing.	77,520	77,520

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
EMERGENCY PURCHASES
For the Two Years Ended June 30, 2019
(Unaudited)

The Office reported the following emergency purchase during fiscal years 2018 and 2019:

Fiscal Year	Vendor	Description	Estimated Amount	Actual Amount
2019	Surysinc.com	The current vendor failed to meet deadlines and delivery of over five million stickers. Current stock was getting low, which affected daily operations statewide. Pressure seal form with stickers: 325,000 2020 glued, 1,400,000 2021 glued at a cost of \$.079 each.	\$ 136,275	\$ -

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**ACCOUNTING REVENUE SALES ANALYSIS AS REPORTED
BY VEHICLE SERVICES AND DRIVERS SERVICES**
For the Two Years Ended June 30, 2019
(Unaudited)

	Year ended June 30,		
	2019	2018	2017
<u>Vehicle Services - vehicle registration and related fees</u>			
Passenger car licenses	\$ 720,764,501	\$ 722,232,026	\$ 746,106,500
Taxi and livery licenses	1,128,033	1,228,170	1,393,266
Motorcycle licenses	94,738	100,050	89,950
Dealers - license and certificates of authority	1,269,191	1,281,063	1,294,094
M and U plates	39,312	39,688	40,413
<u>Trucks</u>			
Flat rate licenses	218,780,071	214,087,239	214,206,054
Mileage licenses	6,784,527	7,119,427	7,462,646
Mileage tax - current and prior	770,224	747,649	941,418
Conservation licenses	103,944	115,168	114,192
Other (Truckster and Farm)	39,750	43,000	40,750
International reciprocity plates	259,848,527	266,461,701	252,487,595
<u>Trailers</u>			
Regular licenses	36,003,105	35,083,848	34,398,471
Mileage licenses	179,070	208,645	215,500
Farm licenses	1,443,133	1,732,394	1,395,417
<u>Installments</u>			
Flat rate licenses	2,367,594	2,224,074	3,040,818
Bus licenses	34,890	264,250	30,790
Recreational vehicle and trailer licenses	5,625,634	5,604,175	5,554,776
Transfers	7,965,774	8,530,072	8,846,106
IRP - Transfers	257,775	245,310	248,175
Farm trailers and trucks	25,699,727	26,582,079	25,842,623
<u>Reclass</u>			
Regular and calendar	2,308,597	2,582,487	2,363,206
Titles	180,443,875	181,435,968	185,380,359
Other - misc. account, trip permits, etc.	392,075,863	395,139,736	397,132,535
Total motor vehicles sales	\$ 1,864,027,855	\$ 1,873,088,219	\$ 1,888,625,654
Total drivers' services - sales and related fees	\$ 152,247,143	\$ 153,195,778	\$ 152,690,717
Total vehicle, drivers' and related fees sales	<u>\$ 2,016,274,998</u>	<u>\$ 2,026,283,997</u>	<u>\$ 2,041,316,371</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**ACCOUNTING REVENUE SALES ANALYSIS AS REPORTED
BY VEHICLE SERVICES AND DRIVERS SERVICES
VOLUME OF TRANSACTIONS**
For the Two Years Ended June 30, 2019
(Unaudited)

	Year ended June 30,		
	2019	2018	2017
<u>Vehicle Services - vehicle registration and related fees</u>			
Passenger car licenses	\$ 11,559,590	\$ 11,633,296	\$ 11,979,647
Taxi and livery licenses	16,247	17,561	19,804
Motorcycle licenses	1,985	2,095	1,891
Dealers - license and certificates of authority	73,983	74,783	74,259
M and U plates	6,573	5,774	6,038
<u>Trucks</u>			
Flat rate licenses	1,645,398	1,550,905	1,583,635
Mileage licenses	9,484	9,985	10,658
Mileage tax - current and prior	472	522	598
Conservation licenses	213	236	234
Other (Trickster and Farm)	161	174	164
International reciprocity plates	176,905	170,107	173,298
<u>Trailers</u>			
Regular licenses	758,731	752,170	755,866
Mileage licenses	438	531	545
Farm licenses	10,402	31,708	10,499
<u>Installments</u>			
Flat rate licenses	2,297	2,238	2,941
Bus licenses	3,743	26,684	3,184
Recreational vehicle and trailer licenses	123,299	123,016	121,699
Transfers	527,834	565,156	585,907
IRP - Transfers	17,185	16,354	16,545
Farm trailers and trucks	26,857	28,150	27,854
<u>Reclass</u>			
Regular and calendar	32,703	30,627	27,018
Titles	3,324,823	3,359,186	3,426,284
Other - misc. account, trip permits, etc.	34,217,934	39,149,686	33,555,474
Total motor vehicles sales	52,537,257	57,550,944	52,384,042
Total drivers' services - sales and related fees	15,826,027	15,922,095	16,024,167
Total vehicle, drivers' and related fees sales	\$ 68,363,284	\$ 73,473,039	\$ 68,408,209

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF CASH RECEIPTS
For the Two Years Ended June 30, 2019
(Unaudited)

Department of Business Services

The second largest cash collecting department in the Office of the Secretary of State is the Department of Business Services, whose primary responsibility is administration of the Business Corporation Act (Act). A total of 354,798 domestic and foreign business corporations filed returns in calendar year 2018 under the Act, reporting information which permits the Department to calculate the franchise tax, the largest source of receipts for this Department.

Franchise taxes and licenses fees are collected annually from each corporation. In addition, franchise taxes are payable to the Office upon stock issuances and on increases in paid-in capital. As a result, franchise tax collections fluctuate from year to year.

Other collections by the Department of Business Services include filing fees required with applications for articles of incorporation, certificates of authority of foreign corporations, articles of amendments, articles of merger or consolidation, certificates of withdrawal, reservations of name and filing fees collected from approximately 96,427 not-for-profit corporations.

The following table indicates the collections in the General Revenue Fund by the Department of Business Services for corporation taxes and fees in each of the last five fiscal years and the number of business corporations registered in Illinois in each of the last five calendar years:

Year Ended June 30,	Receipt Amount	Number of Registered Corporations			
		Year Ended December 31	Total	Domestic	Foreign
2019	\$ 262,246,224	2018	352,764	352,764	33,630
2018	229,917,418	2017	386,656	353,094	33,562
2017	206,513,677	2016	383,671	350,110	33,561
2016	207,160,144	2015	381,367	347,665	33,702
2015	202,575,418	2014	376,815	343,225	33,590

The Uniform Commercial Code Division of the Department of Business Services collects fees through its administration of records involving security interests and commercial transactions as directed by the Uniform Commercial Code. A summary of the collections in the General Revenue Fund for the last five years follows:

Year Ended June 30,	Amount
2019	\$ 1,414,589
2018	1,370,591
2017	1,325,026
2016	1,338,514
2015	1,305,803

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF CASH RECEIPTS
For the Two Years Ended June 30, 2019
(Unaudited)

Securities Department

The Securities Department administers the Illinois Securities Law of 1953 which relates to:

1. Registration of securities in the State of Illinois before public offering or sale.
2. Registration of securities dealers and salespersons.
3. Registration of investment advisers and investment adviser representatives.

Registration of securities for sale in the State and the related receipts fluctuate depending upon general economic and stock market conditions. A summary of collections in the General Revenue Fund during each of the five fiscal years ended June 30 and the value of debt and equity securities in each of the five years ended December 31 follows:

Receipts		Equity Securities Registered	
Year Ended June 30,	Amount	Year Ended December 31	Amount
2019	\$ 42,435,139	2019	\$ 161,368,724,897
2018	42,871,075	2018	173,973,477,776
2017	40,586,626	2017	152,456,433,232
2016	41,750,920	2016	165,346,769,379
2015	39,085,750	2015	181,260,820,557

Index Department

The Index Department collects fees for the registration of notaries public, issuance of certified copies, judges' fees, and photostats. A summary of receipts for the last five fiscal years follows:

Year Ended June 30,	Amount
2019	\$ 834,545
2018	830,961
2017	799,825
2016	803,606
2015	829,750